



# Block YWB Offshore Seismic Campaign

Initial Environmental Examination

Final Report

*Rev 4 – February 2016*



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## Initial Environmental Examination

Revision 4




February 2016

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## ABBREVIATIONS

0-P	Zero-to-peak
AET	Apparent Effect Threshold
ALGAS	Asia Least Cost Greenhouse gas Abatement Strategy
DGPS	Differential Global Positioning Systems
EEZ	Exclusive Economic Zones
EIA	Environmental Impact Assessment
EMP	Environmental Management Plan
GHG	Green House Gases
IEE	Initial Environmental Examination
IFC	International Finance Corporation
IMO	International Maritime Organisation
IPIECA	International Petroleum Industry Environmental Conservation Association
IUCN	International Union for Conservation of Nature
JNCC	Joint Nature Conservation Committee
LC	Least Concern
MARPOL 73/78	International Convention for Prevention of Pollution from Ships 1973/78
MEDEVAC	MEDical EVACuation
Mlf, Mmf, Mhf	Frequency weightings for cetaceans sensitive to low, middle and high frequencies
MMO	Marine Mammal Observer
MOE	Ministry Of Energy
MOGE	Myanma Oil and Gas Enterprise
NCEA	National Commission for Environmental Affairs
OCHA	United Nation Office for the Coordination of Humanitarian Affairs
OGP	International Association of Oil and Gas Producers
PAM	Passive Acoustic Monitoring
PSC	Production Sharing Contract
RMS	Root Mean Square
SEL	Sound Exposure Level
SELmp	Sound Exposure Levels – multiple pulse
SELOp	Sound Exposure Levels – single pulse
SPL	Sound Pressure Level
TL	Transmission Loss
TSS	Total Suspended Solids
TTS	Temporary Threshold Shift (refer to noise exposure limit of the marine mammal)
VU	Vulnerable
WHO	World Health Organisation
WMP	Waste Management Plan



# TEPM'S LETTER OF ENDORSEMENT

Yangon Branch

**U Nay Aye**  
Director General  
Environmental Conservation Department  
Ministry of Environmental Conservation and  
Forestry Office No.53, Otrathiri Township  
Nay Pyi Taw, Myanmar

Date: 24<sup>th</sup> February, 2016  
Reference no.: L/162-2016/DG

**Subject: YWB Initial Environmental Examination (IEE) and Environmental and Social  
Management Plan (ESMP)**

Dear U Nay Aye,

In reference to the above mentioned subject, we, Total EP Myanmar, hereby submitted IEE and ESMP prepared and finalized by Artelia Co., Ltd to Environmental Conservation and Forestry (MOECAF) so as to be in line with the Environmental Conservation Law (2012), Environmental Conservation Rules (2014) and Environmental Impact Assessment Procedure (2015). This IEE report and ESMP is reviewed and revised under the guidance of Environmental Conservation Department (ECD).

This report was formally submitted to Myanmar Oil and Gas Enterprise (MOGE) on 10<sup>th</sup> July 2015.

Total Exploration and Production Myanmar (TEPM) has carefully supervised Artelia during the preparation of the report. TEPM confirms that in the context of the proposed seismic survey campaign, the IEE is

- a) accurate and complete
- b) prepared in compliance with applicable Laws, Rules, Procedures and Administrative Instructions;
- c) and that operation by TEPM and its contractors on YWB block will at all times comply fully with the commitments, mitigation measures, and plans outlined in the EMSP in the IEE.

The undersigned is authorized to issue this Letter of Endorsement on behalf of TEPM.

Yours faithfully,



Xavier PREEL  
General Manager





# SECTION 0. EXECUTIVE SUMMARY

## 0.1 MYANMAR LANGUAGE ACCURATE SUMMARY

**ကမ်းလွန်ရေနံလုပ်ကွက် YWB တွင် ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းအတွက်**

**ပတ်ဝန်းကျင်ကို ကနဦးလေ့လာခြင်း အစီရင်ခံစာ အကျဉ်းချုပ်**

**နိဒါန်း**

**နောက်ခံအကြောင်းအရာ**

TOTAL - မြန်မာ ရေနံနှင့်ဓာတ်ငွေ့ တူးဖော်ထုတ်လုပ်ရေး (TOTAL Exploration & Production Myanmar – TEMP) သည် YWB ကမ်းလွန်လုပ်ကွက်တွင် စမ်းသပ်ရှာဖွေရေးအစီအစဉ်အရ ဆိုက်စမစ် တိုင်းတာခြင်း လုပ်ငန်းဆောင်ရွက်ရန် ပြင်ဆင်လျှက်ရှိပါသည်။

မြန်မာနိုင်ငံတွင် ပတ်ဝန်းကျင်နှင့်လူမှုဆိုင်ရာ ထိခိုက်မှုဆန်းစစ်ခြင်း (Environmental and Social Impact Assessment – ESIA) လုပ်ငန်းများနှင့် ပတ်ဝန်းကျင်ကို ကနဦးလေ့လာခြင်း (Initial Environmental Examination (IEE) လုပ်ငန်းများအတွက် လုပ်ထုံးလုပ်နည်းသတ်မှတ်ချက်များကို ပြဌာန်းရန် နည်းဥပဒေ မူဘောင်များ ပြင်ဆင်နေဆဲဖြစ်ပြီး၊ တရားဝင်ထုတ်ပြန်ခြင်း မရှိသေးသည့်အတွက်၊ ရရှိနိုင်သော လုပ်ထုံးလုပ်နည်း မူကြမ်းများအား အခြေခံ၍ လက်ရှိ IEE ကို ရေးဆွဲပြင်ဆင်ထားပါသည်။

ပတ်ဝန်းကျင်ထိန်းသိမ်းရေးအာဏာပိုင်များက ဤစီမံကိန်းသည် ပတ်ဝန်းကျင် သို့မဟုတ် လက်ရှိ လူမှုစီးပွားရေး ဆိုင်ရာ လုပ်ငန်းများအား ထိခိုက်ခြင်း ရှိ/မရှိကို ဆုံးဖြတ်နိုင်စေရန်နှင့် စီမံကိန်းအား ခွင့်ပြုမည်/ ခွင့်မပြုမည်ကို ဆုံးဖြတ်နိုင်စေရန်အတွက် ဤ IEE အစီရင်ခံစာကို ပြင်ဆင်ခဲ့ခြင်းဖြစ်ပါသည်။ ဤ IEE အစီရင်ခံစာ၏ ပုံစံ၊ ပါဝင်သည့်အကြောင်းအရာများနှင့် ဖွဲ့စည်းထားပုံတို့သည် စီစဉ်ထားသော ကမ်းလွန်ရေနံရှာဖွေရေး လုပ်ငန်းစဉ် အတွင်း ပတ်ဝန်းကျင်ထိခိုက်မှုများကို ကာကွယ်ရေးအတွက် ထည့်သွင်းစဉ်းစားရမည့် ပတ်ဝန်းကျင်ဆိုင်ရာ ပြဿနာ များနှင့် ယင်းတို့အားလျော့ပါးစေရေး နည်းလမ်းများနှင့်ပတ်သက်သည့် အချက်အလက်များကို ထောက်ပံ့ပေးထား သောကြောင့် စည်းကမ်းသတ်မှတ်ချက်များနှင့် ကိုက်ညီမှုရှိပါသည်။ ယခုတင်ပြသည့် စာတမ်းမှာ IEE အစီရင်ခံစာ၏ အကျဉ်းချုပ်စာတမ်းဖြစ်ပါသည်။

ဤ IEE အစီရင်ခံစာကို (၂၀၁၂ ခုနှစ်၊မတ်လတွင် ပြဌာန်းခဲ့သည့်) မြန်မာနိုင်ငံ၊ ပတ်ဝန်းကျင် ထိန်းသိမ်းရေး ဥပဒေနှင့် အညီ ရေးဆွဲပြုစုထားပြီး၊ ပတ်ဝန်းကျင်စီမံခန့်ခွဲရေးစီမံချက် (Environmental Management Plan – EMP) လည်း ပါဝင်ပါသည်။ ဤအစီရင်ခံစာပြုစုရာတွင် ကမ်းလွန်ရေနံတူးဖော်ခြင်းလုပ်ငန်းနှင့်သက်ဆိုင်သည့် နိုင်ငံတကာ ဥပဒေ များအပြင်၊ TOTAL E&P Myanmar ကုမ္ပဏီ၏ စံနှုန်းများကိုလည်း ထည့်သွင်းစဉ်းစားထားပါသည်။

ဤစာတမ်းကို ၂၀၁၂ ခုနှစ်၊အောက်တိုဘာလမှစ၍ ရန်ကုန်မြို့တွင် ရုံးခွဲဖွင့်လှစ်ဆောင်ရွက်နေသော Artelia အဖွဲ့၏ Risk, Society & Environment ဌာနခွဲက ပြုစုထားခြင်းဖြစ်ပါသည်။ Artelia အဖွဲ့မှ ကျွမ်းကျင်ပညာရှင်များသည် ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းနှင့်ပတ်သက်သည့် ပတ်ဝန်းကျင်နှင့် လူမှုဆိုင်ရာ ပြဿနာများကို ကောင်းစွာသိရှိ ထားပါသည်။ ကျွမ်းကျင်ပညာရှင်အဖွဲ့တွင် အင်္ဂလန်နိုင်ငံ (JNCC) ၌ အသုံးပြုနေသည့် MMO စံနှုန်းများအကြောင်း ကျွမ်းကျင်အင်ဂျင်နီယာတစ်ဦး၊ အာရှဒေသကမ်းလွန်ဆိုက်စမစ်တိုင်းတာခြင်း လုပ်ငန်း စီမံခန့်ခွဲရာတွင် အတွေ့အကြုံ ရှိသည့် ကျွမ်းကျင်ပညာရှင်တစ်ဦး၊ ရန်ကုန်မြို့တွင် အခြေစိုက်သည့် ပတ်ဝန်းကျင် ထိန်းသိမ်းရေးအင်ဂျင်နီယာတစ်ဦး တို့ ပါဝင်ပါသည်။ ရန်ကုန်မြို့ရှိ ရုံးခွဲသည် အချက်အလက်စုဆောင်းခြင်းနှင့် ပေါင်းစပ်ညှိနှိုင်းရေးလုပ်ငန်းများကို စီစဉ် ပံ့ပိုးကူညီပေးပါသည်။

**စီမံကိန်းအကြောင်း ဖော်ပြချက်**

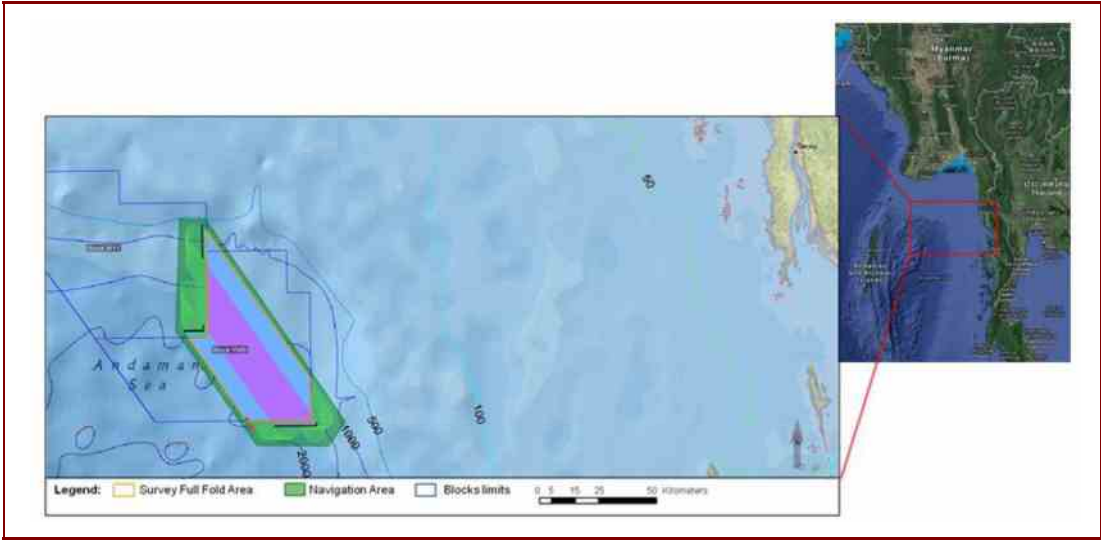
မြန်မာနိုင်ငံ၊ စွမ်းအင်ဝန်ကြီးဌာန၏ ၂၀၁၄ ခုနှစ် မတ်လတွင်း ကြေငြာချက်အရ YWB ကမ်းလွန်ရေနံလုပ်ကွက် အတွက် TEMP ကို ရွေးချယ်ခဲ့ပါသည်။ YWB ကမ်းလွန်လုပ်ကွက်အတွက် လုပ်ငန်းခွင့်ပြုကာလမှာ (၂) နှစ်ဖြစ်ပါ သည်။

ကမ်းလွန်လုပ်ကွက် YWB သည် မြန်မာနိုင်ငံ၊ ကမ်းရိုးတန်းမှ (၂၀၀) ကီလိုမီတာခန့်ကွာဝေးပြီး၊ ကပ္ပလီ ပင်လယ် အတွင်း ဧရိယာ (၃၀၀၀) စတုရန်းကီလိုမီတာခန့် ကျယ်ဝန်းပါသည်။

TOTAL E&P ကုမ္ပဏီသည် YWB လုပ်ကွက်၊ အရှေ့ဖက်ဒေသ စမ်းသပ်တူးဖော်ရေးစီမံကိန်း၏ တစ်စိတ်တစ်ပိုင်း အဖြစ်၊ လုပ်ကွက်အတွင်း ဘူမိအနေအထားများကို မြေပုံထုတ်လေ့လာနိုင်ရန်အတွက် သုံးဖက်မြင် ဆိုက်စမစ် တိုင်း တာခြင်းလုပ်ငန်းကို ပြီးစီးအောင်ဆောင်ရွက်လိုပါသည်။ အခြားရွေးချယ်စရာ လုပ်ငန်း တခုအနေဖြင့် နှစ်ဖက်မြင် ဆိုက်စမစ် တိုင်းတာခြင်းလုပ်ငန်းကိုဆောင်ရွက်မည်ဖြစ်ပါသည်။

ကြံရွယ်ထားသော အစီအစဉ်တွင် YWB လုပ်ကွက်အနီးအပြားရှိ ဧရိယာ (၂၂၀၀) စတုရန်း ကီလိုမီတာခန့်ကို လွှမ်းခြုံတိုင်းတာမည်ဖြစ်ရာ ဤ IEE အစီရင်ခံစာတွင် ယင်းဧရိယာကို 'စီမံကိန်း ဧရိယာ' အဖြစ် ရည်ညွှန်းဖော်ပြပါမည်။ အခြားရွေးချယ်စရာ နှစ်ဖက်မြင် တိုင်းတာခြင်းသည် လုပ်ကွက်တခုလုံး ကို လွှမ်းခြုံမည်ဖြစ်ပါသည်။

YWB လုပ်ကွက်အတွင်း သုံးဖက်မြင်ဆိုက်စမစ်တိုင်းတာမည့် ဧရိယာသည် မြန်မာနိုင်ငံကမ်းရိုးတန်း၏ အနောက်ဖက် (၂၅၀) ကီလိုမီတာခန့်အကွာအဝေးတွင် တည်ရှိပြီး၊ ရန်ကုန်မြို့မှ (၄၀၀) ကီလိုမီတာခန့် ကွာဝေးပါသည်။ စီမံကိန်း ဧရိယာတွင်းရှိ ပင်လယ်ရေအနက်မှာ (၂၀၀) မီတာမှ (၂၀၀၀) မီတာအထိ ရှိပါသည်။ YWB လုပ်ကွက် အတွင်း သုံးဖက်မြင်တိုင်းတာရေးဧရိယာ၏ တည်နေရာကို ပုံ (၁) တွင် ဖော်ပြထားပါသည်။



ပုံ (၁) - စီမံကိန်းဧရိယာ၏ တည်နေရာ



**ပုံ (၂) - နှစ်ဖက်မြင် ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်း ဆောင်ရွက်မည့်ဧရိယာ**

**လုပ်ငန်းဆောင်ရွက်မည့် အချိန်ကာလ**

သုံးဖက်မြင်ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်းကို ၂၀၁၆ ခုနှစ်၊ အစပိုင်းတွင် YWB လုပ်ကွက်သို့ ဆိုက်စမစ် တိုင်းတာရေးသင်္ဘောများရောက်ရှိသည့်အချိန်တွင် စတင်ဆောင်ရွက်ပါမည်။ တိုင်းတာရေး လုပ်ငန်းများသည် (၃) လ အထိ ကြာမြင့်နိုင်ပြီး၊ ပစ္စည်းကိရိယာများအား ပြန်လည်သိမ်းဆည်းခြင်း (ဆိုက်စမစ်တိုင်းတာရေးပစ္စည်းများအား ပင်လယ်ပြင်မှ ပြန်လည် ဆယ်ယူခြင်း) သည် (၅) ရက်ခန့် ကြာမြင့်ပါမည်။

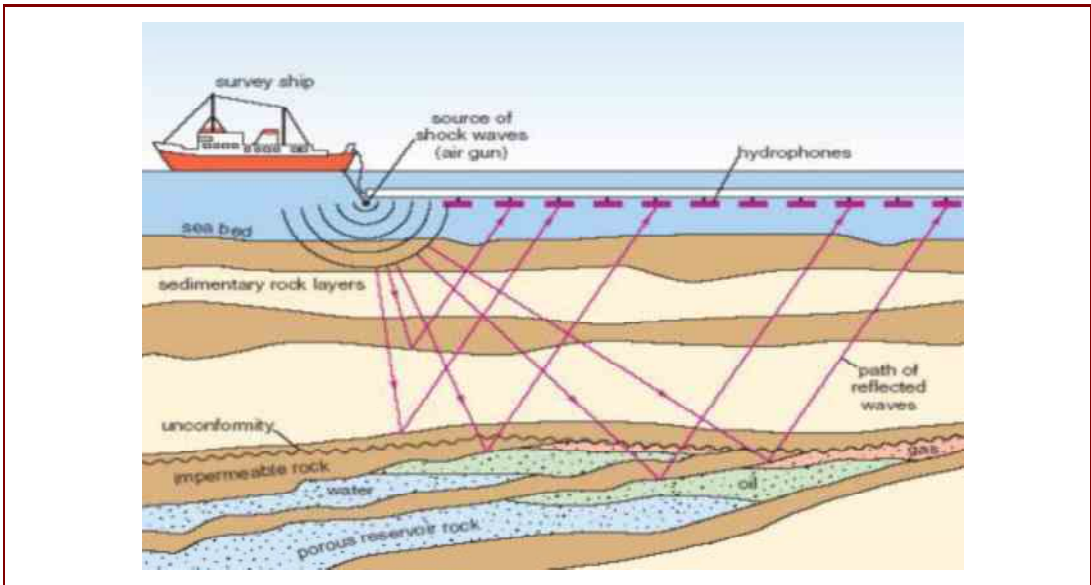
အခြားရွေးချယ်စရာ နှစ်ဖက်မြင်ဆိုက်စမစ် တိုင်းတာခြင်းလုပ်ငန်းသည် YWB လုပ်ကွက်တစ်ခုလုံးအား တလတာ အချိန် ကြာမြင့်မည်။ အဘယ်ကြောင့်ဆိုသော် ၎င်းသည် ရေကြောင်းသွားလာမှုလမ်းကြောင်း များအတွင်း လိုင်းကွန်ရက် နည်းပါး ပြီး ပိုမို ကျယ်ဝန်းစွာ လွှမ်းခြုံ နိုင်သောကြောင့်ဖြစ် သည်။

ဤစီမံကိန်းသည် အစီအစဉ်ကြီးတစ်ခုလုံး၏ တစ်စိတ်တစ်ပိုင်းမျှသာဖြစ်ပြီး၊ တိုင်းတာရေးလုပ်ငန်းများ ဆောင်ရွက်မှု ရရှိပါက အစမ်းတွင်းတူးဖော်ခြင်းလုပ်ငန်းများ ဆက်လက်လုပ်ကိုင်ပါမည်။

**အဆိုပြုထားသော စီမံကိန်းအကြောင်း ရှင်းလင်းတင်ပြခြင်း**

**ဆိုက်စမစ်တိုင်းတာခြင်း**

ပင်လယ်ပြင်တွင် ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းသည် အထူးသင်္ဘောဖြင့် ဆွဲယူသွားမည့် စွမ်းအင်ရင်းမြစ်များမှ လွှင့်ထုတ်လိုက်သည့် အသံလှိုင်းများကို အခြေခံထားပါသည်။ အသံလှိုင်းသည် ပင်လယ်ရေ အလွှာနှင့် အောက်ခံ ကျောက်သားများကို ဖြတ်သန်းသွားပြီး၊ မတူညီသော အသံလှိုင်းများ (ဥပမာအားဖြင့် ပါဝင်ပစ္စည်း မတူညီသော အလွှာများအကြား ကျောက်သားအမျိုးအစားပြောင်းလဲမှုများကြောင့်) အဖြစ် တုန်ပြန်ထွက်လာပါသည်။ ထိုသို့ တုန်ပြန်ထွက်လာသော အသံလှိုင်းစွမ်းအင်ကို ထိုအထူးသင်္ဘောဖြင့်ပင် ဆွဲလာသည့် ကေဘယ်ကြိုးများ (Streamers) အတွင်း ထည့်သွင်းတပ်ဆင်ထားသော အသံလှိုင်းလက်ခံစက်များ (hydrophones) များက လျှပ်စစ်စီးကြောင်း များအဖြစ်သို့ ပြောင်းလဲပေးပါသည်။ ထိုလျှပ်စစ်စီးကြောင်းများကို အထူးသင်္ဘောပေါ်ရှိ ဓါတ်ခွဲခန်း တစ်ခုမှ မှတ်တမ်း တင်ပါမည်။ သုံးဖက်မြင်ဆိုက်စမစ်တိုင်းတာခြင်း လုပ်ငန်းတွင် hydrophone ထည့်သွင်း တပ်ဆင်ထားသော ကေဘယ်ကြိုးများစွာကို တိုင်းတာရေး သင်္ဘောနောက်တွင် ချိတ်၍ ဆွဲသွားပါမည်။ ပုံမှန်အား ဖြင့် ဆိုက်စမစ် ထုတ်လွှင့်သည့် စက် (၂)ခုကို အသုံးပြုပါမည်။



**ပုံ (၃) - ပင်လယ်ပြင်တွင် ဆိုက်စမစ်တိုင်းတာ၍ အချက်အလက်ကောက်ယူသည့် နည်းစနစ်**

သုံးဖက်မြင်ဆိုက်စမစ်တိုင်းတာရာတွင် အချက်အလက်များကို ပုံ (၃)တွင် ပြထားသည့်အတိုင်း 'ပြေးလမ်းကြောင်း (racetrack)' ပုံစံဖြင့် ရရှိနိုင်ပါသည်။ ထိုပုံစံကို သုံးခြင်းဖြင့် တိုင်းတာရေးသင်္ဘောလှည့်ရန် လိုအပ်သော အချိန်ကို လျော့ချနိုင်ပြီး၊ ကပ်လျက်ရှိနေသော လမ်းကြောင်းများရှိ အချက်အလက်များကို တူညီသော လားရာဖြင့် မှတ်တမ်းတင်နိုင်ပါသည်။ ထိုနည်းစနစ်သည် အချက်အလက်များအား အဓိပ္ပါယ်ဖော်ရာတွင် အနှောင့်အယှက်ဖြစ်စေသည့် လူကြောင့်ဖြစ်သော အမှားများကို အနည်းဆုံးဖြစ်စေပါသည်။ ယေဘုယျအားဖြင့် တိုင်းတာမည့်ဧရိယာကို အကွက်ငယ်များအဖြစ် ခွဲခြားပြီး၊ ယင်းအကွက်ငယ်များရှိ နမူနာလမ်းကြောင်းများကို အဆင့်လိုက် တိုင်းတာပါသည်။

မှတ်ချက်။ ဆိုက်စမစ်တိုင်းတာခြင်းကို YWB လုပ်ကွက်အတွင်းတွင်သာ ဆောင်ရွက်ပါမည်။ အကယ်၍ တိုင်းတာရေးသင်္ဘောက YWB လုပ်ကွက်ပြင်ပတွင် လှည့်ရမည်ဆိုပါက ဆိုက်စမစ်လွှင့်ထုတ်သည့်စက်ကို ပိတ်ထားပါမည်။

(ကုမ္ပဏီသည် တိုင်းတာရေးလုပ်ငန်းကို ဆောင်ရွက်ပေးမည့် ဘူမိဗေဒဆိုင်ရာ ကန်ထရိုက်တာကို မရွေးချယ် ရသေးပါ။ သို့ဖြစ်၍ အောက်တွင် ဖော်ပြထားသော ဆိုက်စမစ်ကိရိယာအကြောင်း ရှင်းပြချက်သည် ယေဘုယျ ပုံစံကိုသာ အခြေခံထားပါသည်။)

ကမ်းလွန်ပင်လယ်ပြင်အတွင်း ဆိုက်စမစ်တိုင်းတာရာတွင် အောက်ပါကိရိယာများကို အသုံးပြုပါသည် -

ဆိုက်စမစ်တုန်ခါမှုများကို airguns များဖြင့် ထုတ်လွှတ်ပါသည်။ airguns များသည် ရေအောက်အသံလှိုင်းများကို ထုတ်လွှတ်ရန် အတွက် ဖိသိပ်ထားသော လေကို အသုံးပြုပါသည်။ ယင်းရေအောက် အသံလှိုင်းများက ပင်လယ်ကြမ်းပြင်အောက်တွင် ထိတွေ့သော ဘူမိအလွှာအမျိုးအစားပေါ် မူတည်၍ အနေး၊ အမြန် မတူညီဘဲ ပြန်ထွက်လာပါသည်။ ဆိုက်စမစ်ထုတ်လွှတ်သည့်စက်ကို လျှပ်စစ်စီးကြောင်း တစ်ခုဖြင့် အသက်ဝင်စေသောအခါ၊ ပတ်ဝန်းကျင်ရှိ ရေထဲသို့ ဖိအားပေးထားသော လေပူဖောင်းများကို ထုတ်လွှတ်လိုက်ပါသည်။ ထိုထုတ်လွှတ်သည့်ဖြစ်စဉ်မှာ အလွန် လျင်မြန်ပြီး၊ နောက်တစ်ကြိမ် ပြန်လည်ထုတ်လွှတ်ရန် စက္ကန့်အနည်းငယ်သာ ကြာမြင့်ပါသည်။ အသံချဲ့ပေးသော ကိရိယာများက ၁၀ kherz မှ ၁၀၀ kherz အထိ ရှိသော ကြိမ်နှုန်းမြင့်အသံလှိုင်းများအဖြစ် ပြောင်းလဲပေးပါသည်။



**ပုံ (၄) - ဆိုက်စမစ်ထုတ်လွှတ်သည့် စက်**

အမြင့်ဆုံးထုတ်လွှတ်နိုင်သည့်ပမာဏမှာ တစ်မီတာအကွာအဝေးတွင် ၂၅၄ dB re 1  $\mu$ Pa ခန့်ဖြစ်ပါသည်။ (ဆိုက်စမစ် ထုတ်လွှတ်သည့်နေရာမှ တစ်မီတာအကွာတွင် ရှိသော အသံလှိုင်း၏ အင်အား ဖြစ်ပါသည်။)

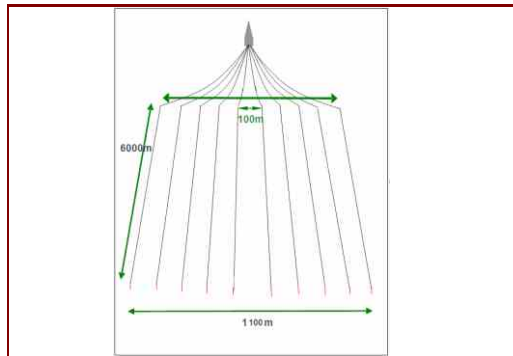
ဤစီမံကိန်းအတွက် ဆိုက်စမစ်ထုတ်လွှတ်သည့် စက် (၂) ခုကို တစ်လှည့်စီ အသုံးပြုပါမည်။ စက်တစ်ခုချင်းစီတွင် airguns (၉) ခု တပ်ဆင်ထားသော အတန်းငယ် (၃)တန်းစီ ပါဝင်ပြီး၊ ယင်း airguns များက လေဖိအား ၂၀၀၀ psi ၌ အလုပ်လုပ်ပါသည်။ airguns အတန်းငယ်များ၏ စုစုပေါင်း ထုထည်မှာ ၃၆၀၀ ကုဗလက်မအထိ ရှိနိုင်ပါသည်။ လမ်းကြောင်း တစ်ခုနှင့် တစ်ခုဖြတ်သောနေရာများတွင် ဆိုက်စမစ် တုန်ခါမှုများကို ခန့်မှန်းခြေအားဖြင့် ၁၀ စက္ကန့် တိုင်းတွင် ထုတ်လွှတ်ပါမည်။

တိုင်းတာရေးသင်္ဘောမှ ဆွဲလာမည့် ဆိုက်စမစ်လက်ခံ ကေဘယ် ကြိုးများ (Seismic streamers) တွင် အကွာအဝေးညီမျှစွာ တပ်ဆင်ထားသော hydrophones ဟုခေါ်သည့် အသံလှိုင်းလက်ခံ စက်များ ပါဝင်ပါသည်။ ထိုလက်ခံစက်များက အောက်ခံ ကျောက် သား အလွှာမတူခြင်းကြောင့် ပြန်ထွက်လာသော ပဲ့တင်သံများကို ဖမ်းယူပါသည်။ ပဲ့တင်ပြန်လာသော အသံလှိုင်း အချက်ပြမှုများကို မှတ်တမ်းတင်ရန်အတွက် (၆)ကီလိုမီတာ ရှည်လျားသော ကေဘယ်ကြိုးအပိတ်များကို သင်္ဘောဖြင့် ဆွဲသွားပါမည်။ ပဲ့တင်သံများ ကို analog အချက်ပြလှိုင်းများအဖြစ် စုဆောင်းပြီး၊ ဒီဂျစ်တယ် format အဖြစ်ပြောင်းလဲကာ၊ သင်္ဘောပေါ်ရှိ ကွန်ပျူတာများ အတွင်းသို့ ထည့်သွင်းပါမည်။ တိုင်းတာမှုပြီးဆုံးချိန်တွင် ဆိုက်စမစ် အချက်အလက်ကြမ်းများကို ကမ်းပေါ်ရှိ တွက်ချက်ရေးဌာနများသို့ ပေးပို့ပြီး၊ ဘူမိရူပပညာရှင်များက သရုပ်ခွဲ၍ အဓိပ္ပါယ်ဖွင့်ပါမည်။ ရလဒ်များကို အခြား အချက်အလက်များနှင့် ညှိနှိုင်း၍ (ကျောက်သားနမူနာများ၊ ဒေသတွင်းတွင် တူးထားသော တွင်းများမှ ရလဒ်များ၊ သိရှိထားပြီးသော ဘူမိအချက်အလက်များစသည်ဖြင့်) တိုင်းတာသည့် ဧရိယာ ၏ ဘူမိဗေဒ ရာဇဝင်ကို တည်ဆောက်ပါမည်။



ပုံ (၅) - ပဲ့တင်အသံလှိုင်းလက်ခံ ကေဘယ်ကြိုး

ဆိုက်စမစ်လက်ခံကေဘယ်ကြိုးများအား ပုံစံချခြင်းကို တိုင်းတာ သည့် ဧရိယာအား အမြင့်ဆုံး လွှမ်းခြုံနိုင်စေရန် စီစဉ်ပြီး၊ ပန်ကာ လည်သည့် ပုံစံ (Fan shooting mode) ဖြင့် ဖွဲ့စည်းပါမည်။ ဤ ပုံစံကိုလုပ်ငန်းများအား အသင့်ပြင်ဆင်သည့်အချိန်တွင် စမ်းသပ်ပါ မည်။ လက်ခံ ကေဘယ်ကြိုးများအတွက် ပန်ကာလည်သည့် ပုံစံ နမူနာကို ပုံ (၆)တွင် ဖော်ပြထားပါသည်။



ပုံ (၆) - Fan Mode Geometry

အခြားရွေးချယ်စရာ နှစ်ဖက်မြင်ဆိုက်စမစ် တိုင်းတာခြင်းလုပ် ငန်းသည် ဆိုက်စမစ်ထုတ်လွှတ်သည့်စက်တစ်ခုနှင့် steamer တစင်း အသုံးပြုခြင်း မှတစ်ပါး ကျန်လုပ်ငန်း စဉ် အတူတူဖြစ်သည်။

**ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းအတွက် ထောက်ပံ့ဖြည့်ဆည်းရေးကိစ္စရပ်များ**

ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်းများဆောင်ရွက်ရန်အတွက် အထူးတည်ဆောက်ထားသော ဆိုက်စမစ်လက်ခံ ကေဘယ်ကြိုးဆွဲသည့် သင်္ဘောတစ်စင်းလိုအပ်ပါသည်။ ထိုသင်္ဘောမှာ POLARCUS ASIMA ဖြစ်ပါမည်။ ပုံ (၇) တွင် ထိုသင်္ဘော၏ ဓါတ်ပုံကို ဖော်ပြထားပါသည်။ သုံးဖက်မြင် ဆိုက်စမစ်တိုင်းတာခြင်း လုပ်ငန်းအပြီး သတ်ရန်အတွက် သင်္ဘောတွင် အများဆုံး လူ (၆၀) ဦး အထိ နေရာပေးနိုင်ရပါမည်။

ပုံမှန်အားဖြင့် သုံးဖက်မြင်ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းအတွက် ထောက်ပံ့ရေးသင်္ဘောတစ်စင်းနှင့် အကူ သင်္ဘော (၂) စင်း လိုက်ပါလေ့ရှိပြီး၊ ထိုသင်္ဘောတစ်စင်းလျှင် အများဆုံး (၂၀) ဦးအထိ စီးနင်းနိုင်ပါသည်။ အကူသင်္ဘော (၂) စင်းသည် တိုင်းတာရေးဖုန်းကို စစ်ဆေးရန်နှင့် အရေးပေါ်ကိစ္စရပ်များတွင် အကူအညီပေးနိုင်ရန်အတွက် တိုင်းတာရေး သင်္ဘောနှင့်အတူ သွားလာပါမည်။

ဤတိုင်းတာရေးလုပ်ငန်းအတွက် တစ်ခုတည်းသော မြေပြင်လုပ်ငန်းမှာ ထောက်ပံ့ရေးသင်္ဘောနှင့်သက်ဆိုင်ပြီး၊ တိုင်းတာရေးသင်္ဘောအတွက် ရိက္ခာနှင့် လောင်စာဆီ ပြန်လည်ထောက်ပံ့နိုင်ရန် ရန်ကုန်မြို့နယ်ကမ်းကို အသုံးပြု ပါမည်။ အဓိကတိုင်းတာရေးသင်္ဘောသည် လိုအပ်ပါက ပင်လယ်ပြင်တွင် လောင်စာဆီဖြည့်တင်းပါမည်။ သို့ရာတွင် ပုံမှန်အားဖြင့် ထိုသင်္ဘောများသည် ကာလရှည်အတွက် လောင်စာဆီ လုံလောက်စေရန် စီမံရွေးချယ်ထားသော ကြောင့် သင်္ဘောသားများ လဲလှယ်ရန် မလိုအပ်ဟု ယူဆထားပါသည်။

ဆိုက်စမစ်တိုင်းတာရေးသင်္ဘောအုပ်စုအတွက် လမ်းကြောင်းရှာဖွေခြင်းကို online ဂြိုဟ်တုသုံးတည်နေရာပြစနစ် (SATPOS system) အသုံးပြု၍ ဆောင်ရွက်ပါမည်။



ပုံ (၇) - ဆိုက်စမစ်တိုင်းတာရေး သင်္ဘော၏ ဓါတ်ပုံ

အစ်မြစ် - TOTAL E&P

**အမှိုက်များ၊ စွန့်ထုတ်ပစ္စည်းများ၊**

**ထုတ်လွှတ်မှုများကို စာရင်းကောက်ခြင်း**

ပထမအမျိုးအစားမှာ ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်းများနှင့် ပတ်သက်နေပါသည်။

ဆိုက်စမစ်ထုတ်လွှတ်သည့် အတန်းများကြောင့် (တိုင်းတာသည့် ဧရိယာအတွင်း airguns များဖြင့် ပစ်ခြင်းကြောင့်) ထွက်လာသည့် ရေအောက်ဆူညံမှု - ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်းများ ဆောင်ရွက်နေစဉ်တွင် ပတ်ဝန်းကျင် ဆူညံမှု များဖြစ်ပေါ်နိုင်ပါသည်။ သို့ရာတွင် ထိုဆူညံမှုများမှာ ကာလတိုအတွင်းသာဖြစ်ပြီး၊ ပမာဏအားဖြင့် နည်းပါးပါသည်။

ဒုတိယအမျိုးအစားမှာ တိုင်းတာရေးသင်္ဘောများ၏ လှုပ်ဆောင်မှုများနှင့် ပတ်သက်နေပါသည်။

**ရုပ်ပိုင်းဆိုင်ရာ နှောင့်ယှက်မှု** - တိုင်းတာရေးသင်္ဘောများသည် အဓိကသင်္ဘောလမ်းကြောင်းများနှင့် စီးပွားဖြစ် ငါးဖမ်းခြင်းကို အနှောင့်အယှက်ဖြစ်နိုင်ပါသည်။ ထို့အပြင် အဏ္ဏဝါ နီတိုက်သတ္တဝါများ၊ အထူးသဖြင့် ကာကွယ်ထားသော မျိုးစိတ်များအား တိုက်မိခြင်းများဖြစ်နိုင်ပါသည်။

**လေထုအတွင်းသို့ ထုတ်လွှတ်မှုများ** - ကမ်းလွန်ပင်လယ်တွင် တိုင်းတာရေးလုပ်ငန်းများကြောင့် လေထုအတွင်းသို့ ထုတ်လွှတ်မှုများမှာ အဓိကအားဖြင့် တိုင်းတာရေးသင်္ဘောများ၏ ဒီဇယ်အင်ဂျင်များကြောင့်ဖြစ်ပါသည်။ ဤစီမံကိန်းကြောင့် မှန်လုံအိမ်အာနိသင်ဓါတ်ငွေ့ (GHG) ထုတ်လွှတ်မှုမှာ ကာဗွန်ဒိုင်အောက်ဆိုဒ်တန် ၁၃၄၀၃ တန်နှင့် ညီမျှမည်ဟု ခန့်မှန်းထားပါသည်။

**ရေဆိုးစွန့်ထုတ်မှု** - ရေဆိုးစွန့်ထုတ်မှုမှာ ယေဘုယျအားဖြင့် သင်္ဘောတွင်းနှင့် သန့်ရှင်းရေးမှရေဆိုးများ (အိမ်သာမှထွက်ရှိသောရေဆိုးများ နှင့် ရေချိုးခန်းမှ ထွက်ရှိသော ရေဆိုးများ)၊ သင်္ဘောဝမ်းဗိုက်တွင်းနှင့် ကုန်းပတ်မှ ရေများနှင့် သက်ဆိုင်ပါသည်။ ဤစီမံကိန်း ကာလ တစ်လျှောက်လုံးအတွက် တစ်ကိုယ်ရည်နှင့် သန့်ရှင်းရေးသုံး ရေဆိုးမှာ ၂၃၃၀ ကုဗမီတာ ရှိမည်ဟု ခန့်မှန်းထားပြီး၊ သင်္ဘော ဝမ်းဗိုက်နှင့် ကုန်းပတ်မှ ရေဆိုးမှာ ၁၇၀ ကုဗမီတာရှိမည်ဟု ခန့်မှန်းပါသည်။ ထိုရေစီးကြောင်းများကို MARPOL ကွန်ပင်းရှင်းနှင့်အညီ၊ သင်္ဘောပေါ်ရှိ ရေသိုလှောင်ရေးနှင့် သန့်စင်ရေး ကိရိယာများ အသုံးပြု၍ စီမံခန့်ခွဲ ပါမည်။

**အပိုင်အခဲစွန့်ပစ်ပစ္စည်းများ** - သင်္ဘောများ၏ လှုပ်ဆောင်မှုများကြောင့် အန္တရာယ်ရှိသော စွန့်ပစ်ပစ္စည်းများနှင့် အန္တရာယ် မရှိသော စွန့်ပစ်ပစ္စည်းများ၊ နှစ်မျိုးလုံး ထွက်နိုင်ပါသည်။ တိုင်းတာရေးသင်္ဘောတစ်စီးသည် အရွယ်တူ ကုန်တင်သင်္ဘော တစ်စီးမှ ထွက်ရှိသော စွန့်ပစ်ပစ္စည်းများအတိုင်းပင် ထွက်ရှိပါသည်။ YWB လုပ်ကွက်အတွင်း စမ်းသပ်တူးဖော်ရေး အစီအစဉ်၏ ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်းများအတွင်းတွင် တစ်ကိုယ်ရည်သုံး စွန့်ပစ်ပစ္စည်း ၄၂ ကုဗမီတာခန့်နှင့် အန္တရာယ်ရှိ/မရှိသော စွန့်ပစ်ပစ္စည်း ၃၉၀ တန်ခန့် ထွက်ရှိမည်ဟု ခန့်မှန်းထားပါသည်။

**အနံ့နှင့် အလင်းရောင်** - လုပ်ငန်းနှင့်ဆက်စပ်မှုမရှိသော အလင်းရောင်များကြောင့် ထိခိုက်နိုင်သော အလားအလာမှာ အလွန်နည်းမည်ဟု မျှော်မှန်းထားပါသည်။ ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်းဆောင်ရွက်နေစဉ်အတွင်း ထူးခြားသော အနံ့ထွက်ရှိနိုင်ကြောင်း မျှော်မှန်းထားပါသည်။

**မတော်တဆ ထုတ်လွှတ်မှုများ** - တိုင်းတာရေးသင်္ဘောများရှိ လောင်စာကန်များမှ မမျှော်မှန်းထားသော ယိုစိမ့်မှုများ ရှိနိုင်ပါသည်။

**လက်ရှိ ပတ်ဝန်းကျင်အကြောင်း ဖော်ပြချက်**

**ရုပ်ပိုင်းဆိုင်ရာ ပတ်ဝန်းကျင်**

မြန်မာနိုင်ငံသည် မုတ်သုန်ရာသီလွှမ်းမိုးနေသည့် ပူအိုက်စွတ်စိုသော ရာသီဥတုရှိပါသည်။ မြန်မာနိုင်ငံ၏ ရာသီဥတုကို အားကောင်းသော မုတ်သုန်လေစီးကြောင်းများရှိသည့် ပုံမှန်မုတ်သုန်ရာသီ၊ နေရောင်ခြည် အသင့်အတင့်ရရှိမှု၊ မိုးရေချိန်များခြင်း (ကမ်းရိုးတန်းဒေသများတွင် နှစ်စဉ်မိုးရေချိန် ၅၀၀၀ မီလီမီတာကျော် ရရှိပါသည်) နှင့် လေထုထိုင်းဆများခြင်းတို့ဖြင့် ဖော်ပြနိုင်ပါသည်။ မြန်မာနိုင်ငံ၏ အပူချိန်မှာ ၁၉ °C မှ ၃၈ °C အကြားရှိပြီး၊ လေထုထိုင်းဆမှာ ၆၆% မှ ၈၃% အထိရှိပါသည်။ YWB လုပ်ကွက်အတွင်း ဆိုက်စမစ်တိုင်းတာရန် မျှော်မှန်းထားသော ကာလအတွင်း (ဇန်နဝါရီလမှ ဧပြီလအတွင်း) တွင် ပျမ်းမျှအပူချိန်သည် ၂၅ °C မှ ၂၈ °C အကြားရှိမည်ဟု ခန့်မှန်းထားပြီး၊ အနောက်မြောက်မုတ်သုန်ရာသီဖြစ်သည့်အတွက် မိုးရွာသွန်းမှု အနည်းငယ်သာရှိနိုင်ပါသည်။

YWB လုပ်ကွက်နှင့် အနီးတစ်ဝိုက်တွင် လေပြေလေညင်းမှ အသင့်အတင့်အထိ လေတိုက်ခတ်နိုင်ပြီး၊ လစဉ်ပျမ်းမျှ လေတိုက်နှုန်းမှာ ၃.၅ m/s မှ ၇.၅ m/s အထိ ရှိနိုင်ပါသည်။ နိုဝင်ဘာလမှ ဧပြီလအထိ လေတိုက်ခတ်မှုလမ်း ကြောင်း



မှာ အများအားဖြင့် မြောက်အရပ်နှင့် အရှေ့မြောက်အရပ်မှဖြစ်ပြီး၊ မေလမှ အောက်တိုဘာလအထိ ကာလတွင် အနောက်တောင်အရပ်မှ အားကောင်းသော လေများတိုက်ခတ်ပါသည်။

YWB လုပ်ကွက်သည် ကမ်းလွန်ပင်လယ်ပြင်တွင် ရှိနေပြီး (မြန်မာနိုင်ငံ ကမ်းရိုးတန်းမှ ၂၅၀ ကီလိုမီတာခန့်)၊ အနီးအနားတွင် (ပင်လယ်ရေကြောင်းသွား သင်္ဘောများမှ တစ်ပါး) လူသားတို့ ဆောင်ရွက်သော လုပ်ငန်းများမရှိခြင်းကြောင့် လေအရည်အသွေးမှာ ကောင်းမွန်မည်ဟု မျှော်မှန်းထားပါသည်။

**လေအရည်အသွေး၏ ထိခိုက်နိုင်မှု (sensitivity) မှာ အလွန်နည်းပါးသည်ဟု အဆင့်သတ်မှတ်ပါသည်။**

တိုင်းတာရေး ဧရိယာအတွင်းရှိ ရေအနက်မှာ ၂၀၀ မီတာမှ ၂၀၀၀ မီတာအထိ ရှိပါသည်။

မျက်နှာပြင်ရေစီးကြောင်းကို မှတ်သန်လေက လွှမ်းမိုးနေပါသည်။ မှတ်သန်လေ အတော်အသင့်တည်ငြိမ်ခြင်းကြောင့် ပျမ်းမျှရေစီးနှုန်း ၀.၄ m/s ရှိပြီး၊ အမြင့်ဆုံး အချိန်များတွင် ၂.၀ m/s အထိရှိနိုင်ပါသည်။ ထိုရေစီးကြောင်းများသည် ရေနက်ပိုင်းသို့ ရောက်လာသည်နှင့် အလွန်နှေးသွားပါသည်။(ပင်လယ်ကြမ်းပြင်အနီးတွင် ပျမ်းမျှရေစီးနှုန်း ၀.၁၅ m/s ရှိပါသည်။)

စီမံကိန်းဧရိယာသည် အာရှတိုက်ကုန်းမြေ၏ လျှောစောက်ဒေသတွင် ရောက်ရှိနေပြီး၊ မုတ္တမအနိမ့်ပိုင်းမှ ၂၀ ကီလိုမီတာခန့်တွင်လည်းကောင်း၊ Alcock ကုန်းမြင့်မှ ၁၅၀ ကီလိုမီတာခန့်တွင်လည်းကောင်း တည်ရှိနေပါသည်။ ဧရာဝတီမြစ်မှ ရေချိုမြောက်မြားစွာ စီးဝင်သည့်အတွက် အပေါ်ယံရေမျက်နှာပြင်သည် ဆားငန်ဓါတ်ပါဝင်မှု နည်းပါးပြီး၊ နောက်ကျိုမှုနှင့် chlorophyll-a ပါဝင်မှုတို့ကိုလည်း အကျိုးသက်ရောက်နေပါသည်။

YWB လုပ်ကွက်တွင် ပတ်ဝန်းကျင်ဆိုင်ရာ အခြေခံစာရင်းကောက်လုပ်ငန်းများဆောင်ရွက်ထားခြင်းမရှိပါ။ သို့ရာတွင် ဧရာဝတီမြစ်မှ နန်းအနည်အနှစ်အမြောက်အမြား ပို့ချမှုနှင့် တိုင်းတာမည့်ဧရိယာ၏ ဘူမိအနေအထားတို့ကြောင့် အနည်များ၏ အရည်အသွေးမှာ သိပ်သည်းသော နန်းသဲများဖြစ်နိုင်ကြောင်း မျှော်မှန်းနိုင်ပါသည်။

YWB လုပ်ကွက် အနီးပတ်ဝန်းကျင်တွင် အပေါ်ယံရေမျက်နှာပြင်၏ ဆားငန်ဓါတ်ပါဝင်မှုမှာ နိမ့်ပြီး၊ နောက်ကျိုမှုများ သည့်အတွက် chlorophyll-a ပါဝင်မှု လျော့နည်းစေပါသည်။ chlorophyll-a ၏ အမြင့်ဆုံးပြင်းအားကို ပင်လယ် ရေအနက် ၁၀ မီတာတွင် တွေ့နိုင်ပါသည်။

**ရေပြင်၏ ထိခိုက်နိုင်မှု (sensitivity) မှာ အလွန်နည်းပါးသည်ဟု အဆင့်သတ်မှတ်ပါသည်။**

**သက်ရှိဖီဝပတ်ဝန်းကျင်**

**Benthic မျှောလှေ (ပင်လယ်ကြမ်းပြင်၌ တွေ့ရသော အနုဖီဝသက်ရှိများ) နှင့် Plankton မျှောလှေ (ရေထဲ၌ တွေ့ရသော အနုဖီဝသက်ရှိများ) အုပ်စုများ**

စီမံကိန်းဧရိယာ၏ ရေအနက် (၂၀၀ မီတာမှ ၂၀၀၀ မီတာ အထိ) ကို ထည့်သွင်းစဉ်းစားပါက၊ Benthic မျှောလှေကောင်များ နည်းပါးပြီး၊ (ကျောက်တုံး သို့မဟုတ် သန္တာကျောက်တန်းမရှိသော) နန်းအနည်ကျဒေသတစ်ခု၏ ပုံမှန်အနေအထားအတိုင်း တွေ့ရှိရမည်ဟု မျှော်မှန်းထားပါသည်။

**Benthic မျှောလှေအုပ်စုများ၏ ထိခိုက်နိုင်မှု (sensitivity) မှာ အလွန်နည်းပါးသည်ဟု အဆင့်သတ်မှတ်ပါသည်။**

စီမံကိန်းဧရိယာတွင် ဂဏန်းငယ်များ၊ မျှောလှေစားပုစွန်ငယ်များနှင့် အင်းဆက်စားအကောင်ငယ်များ၏ ပေါများမှုမှာ နည်းပါးသော်လည်း၊ မြန်မာနိုင်ငံ၏ ရေပြင်ပိုင်နက်တောင်ပိုင်းတွင် ယခင်က ပြုလုပ်ခဲ့သော ပတ်ဝန်းကျင်ဆိုင်ရာ အခြေခံစာရင်းကောက် လုပ်ငန်းများအတွင်း မျှောလှေကောင်အုပ်စုများ (calanoid copepods, poecilostomatoid copepods, arrow worms များအပါအဝင်) ကို ပေါများစွာတွေ့ရှိထားပါသည်။

**Plankton မျောလှေအုပ်စုများ၏ ထိခိုက်နိုင်မှု (sensitivity) မှာ အလွန်နည်းပါးသည်ဟု အဆင့်သတ်မှတ်ပါသည်။**

**ငါးများ**

မှတ်သန်စနစ်၏ ရာသီအလိုက်ပြောင်းလဲမှုများက လွှမ်းမိုးထားသောကြောင့် Pelagic ငါးအုပ်စုများကို နေရာအနှံ့ အပြားတွင် ပုံမှန်ပြန့်နှံ့မှုဖြင့် တွေ့ရှိရပါသည်။ ထိုငါးအုပ်စုတွင် jack ငါးများ၊ တူနာငါးများ၊ barracudas ငါးများ၊ ငါးမန်းများနှင့် ray ငါးများပါဝင်ပြီး၊ ၎င်းတို့ကို အိန္ဒိယသမုဒ္ဒရာတစ်ခုလုံးတွင် တွေ့ရှိနိုင်ပါသည်။ စီမံကိန်းဧရိယာ အတွင်းတွင် တွေ့ရှိနိုင်သော pelagic ငါးမျိုးစိတ် (၃၇) မျိုးတို့ကို IUCN အဖွဲ့က ခြိမ်းခြောက်ခံနေသည့် မျိုးစိတ်များ (threatened) အဖြစ် သတ်မှတ်ထားပြီး၊ ယင်းမျိုးစိတ်များ၏ ထိခိုက်နိုင်မှု (Vulnerability) အဆင့်များမှာလည်း အမျိုးမျိုးကွဲပြားကြပါသည်။ (မျိုးစိတ် ၃ မျိုးမှာ CR၊ မျိုးစိတ် ၅ မျိုးမှာ EN၊ နှင့် မျိုးစိတ် ၂၆ မျိုးမှာ VU အဆင့်များ ဖြစ်ပါသည်။)

**ငါးမျိုးစိတ်များ၏ ထိခိုက်နိုင်မှု (sensitivity) မှာ အသင့်အတင့်ရှိသည်ဟု အဆင့်သတ်မှတ်ပါသည်။**

**ပင်လယ်ပြင်နို့တိုက်သတ္တဝါများ**

ကပ္ပလီပင်လယ်ပြင်တွင် IUCN အဖွဲ့က ထိခိုက်နိုင်မှု (Vulnerability) အဆင့်အမျိုးမျိုးဖြင့် မှတ်တမ်းတင်ထားသော နို့တိုက်သတ္တဝါမျိုးစိတ် (၂၉) မျိုးရှိသည့်အနက်၊ စီမံကိန်းဧရိယာအတွင်းတွင် (၂၁)မျိုးကို တွေ့ရှိရနိုင်ပါသည်။

ဝေလငါးပြာ (Blue Whale – Balaenoptermusculus) နှင့် ဆူးတောင်ပါဝေလငါး (Balaenopterophysalus) မျိုးစိတ် (၂) မျိုးတို့မှာ မျိုးသုဉ်းလုနီးပါး (EN) အဆင့်တွင် ပါဝင်ပြီး၊ သဘာဝအခြေအနေတွင် မျိုးသုဉ်းပျောက်ကွယ်တော့မည့် အလားအလာမြင့်မားပါသည်။

Sperm Whale (Physeter macrocephalus) ဝေလငါးမျိုးစိတ်မှာ မျိုးသုဉ်းလွယ် (VU) အဆင့်တွင် ပါဝင်ပြီး၊ သဘာဝအခြေအနေတွင် မျိုးသုဉ်းပျောက်ကွယ်တော့မည့် အလားအလာမြင့်မားပါသည်။

**ပင်လယ်ပြင်နို့တိုက်သတ္တဝါများ၏ ထိခိုက်နိုင်မှု (sensitivity) မှာ မြင့်မားသည်ဟု အဆင့်သတ်မှတ်ပါသည်။**

**လိပ်များ**

မြန်မာနိုင်ငံ ကမ်းရိုးတန်းတစ်လျှောက်ရှိ ကမ်းခြေအချို့ကို ကာကွယ်ထားသော လိပ်မျိုးစိတ် (၅)မျိုးအတွက် သားပေါက်ရာ နေရာများအဖြစ် သတ်မှတ်ထားပါသည်။ ယင်း မျိုးစိတ် (၅)မျိုးမှာ Olive Ridley Turtle, Loggerhead Turtle, Green Turtle, Hawksbill Turtle နှင့် Leather Back Turtle တို့ဖြစ်ကြပါသည်။

ထိုမျိုးစိတ်များကို နိုင်ငံတကာတွင် ကာကွယ်ထားပြီး၊ IUCN red list တွင်လည်း၊ မျိုးသုဉ်းလွယ် သို့မဟုတ် မျိုးသုဉ်းလုနီးပါး (UV or EN) အဆင့်သတ်မှတ်ထားပါသည်။ စီမံကိန်းဧရိယာသည် စက်တင်ဘာလကုန်မှ မတ်လအတွင်း (အများဆုံးမှာ ဇန်နဝါရီမှ ဖေဖော်ဝါရီလအတွင်း) သားပေါက်သည့် ရာသီတွင် မြန်မာနိုင်ငံကမ်းခြေများသို့ လာရောက်သော လိပ်များ၏ ရွှေ့ပြောင်းရာလမ်းကြောင်းပေါ်တွင် ကျရောက်နေနိုင်ပါသည်။ ပုံမှန်အားဖြင့် လိပ်များကို ကာကွယ်ထားသော ရေတိမ်ပိုင်းဒေသ (ရေအနက် ၂၂ မီတာမှ ၂၅ မီတာအတွင်း) ရှိ ကမ်းရိုးတန်းမှ (၁၅)ကီလိုမီတာခန့် အကွာအဝေးအတွင်း တွေ့ရှိရသဖြင့် စီမံကိန်းဧရိယာနှင့် အလွန်ဝေးကွာပါသည်။ သို့ရာတွင် ထိုလိပ်မျိုးစိတ်များမှာ ရွှေ့ပြောင်းသွားလာနေသည့် မျိုးစိတ်များဖြစ်သည့်အတွက် အချို့သော မျိုးစိတ်များကို စီမံကိန်းဧရိယာ အတွင်းတွင်လည်း တွေ့ရှိနိုင်ပါသည်။

**လိပ်မျိုးစိတ်များ၏ ထိခိုက်နိုင်မှု (sensitivity) မှာ မြင့်မားသည်ဟု အဆင့်သတ်မှတ်ပါသည်။**

**အက္ကဝါ ငှက်မျိုးစိတ်များ**

မြန်မာနိုင်ငံ ရေပြင်ပိုင်နက်တွင် အက္ကာဝါငှက်မျိုးစိတ် စုစုပေါင်း (၂၀) မျိုးကို IUCN အဖွဲ့မှ မှတ်တမ်းတင်ထားပါသည်။ ယင်းတို့အနက် (၄)မျိုးမှာ ခြိမ်းခြောက်ခံရလုနီးပါး (near threatened) အဆင့်နှင့် ကျန် (၁၆) မျိုးမှာ သာမန် (least concern) အဆင့်ဖြစ်ပါသည်။

**အက္ကာဝါငှက်များ၏ ထိခိုက်နိုင်မှု (sensitivity) မှာ နည်းပါးသည်ဟု အဆင့်သတ်မှတ်ပါသည်။**

**ကမ်းရိုးတန်းဒေသ ဂေဟစနစ်များ၊ ထိန်းသိမ်းရေးနယ်မြေများနှင့် ကမ်းလွန်ရှိ အပင်မျိုးစိတ်များ**

မြန်မာနိုင်ငံသည် ဘင်္ဂလားပင်လယ်အော်တစ်လျှောက်တွင် ကမ်းရိုးတန်းအရှည် (၂၀၀၀) ကီလိုမီတာကျော်ကို ပိုင်ဆိုင်ထားပါသည်။ ကမ်းရိုးတန်းတစ်လျှောက်ရှိ နယ်မြေပုံစံများမှာ ဒီရေတောများ၊ သန္တာကျောက်တန်းများ၊ ပင်လယ်မြက်ခင်းများ၊ အမြဲစိမ်းတောများ၊ စိမ့်တောမြေများနှင့် စိုက်ပျိုးမြေအမျိုးမျိုးတို့ဖြစ်ကြပါသည်။ **အနီးဆုံးသော သန္တာကျောက်တန်းမှာ စီမံကိန်းဧရိယာမှာ (၁၅၈) ကီလိုမီတာအကွာအဝေးတွင် တည်ရှိပါသည်။ အနီးဆုံးသော ဒီရေတောများနှင့် ပင်လယ် မြက်ခင်းများမှာ စီမံကိန်းဧရိယာမှ (၂၅၀) ကီလိုမီတာအကွာအဝေးတွင် ရှိကြပါသည်။**

**ကမ်းရိုးတန်းဒေသဂေဟစနစ်နှင့် အပင်များ၏ ထိခိုက်နိုင်မှု (sensitivity) မှာ အသင့်အတင့်ရှိသည်ဟု အဆင့်သတ်မှတ် ပါသည်။**

စီမံကိန်းဧရိယာ၏ အနီးတစ်ဝိုက်တွင် ထိန်းသိမ်းရေးနယ်မြေမရှိပါ။ အနီးဆုံးသော ထိန်းသိမ်းရေးနယ်မြေ (မော်စကို ကျွန်းဘေးမဲ့တော) မှာ စီမံကိန်းဧရိယာမှ ၂၀၀ ကီလိုမီတာခန့်ဝေးကွာပါသည်။ အနီးဆုံးသော ငှက်ထိန်းသိမ်းရေး နယ်မြေများမှာ ဧရာဝတီမြစ်ဝကျွန်းပေါ်ဒေသတွင် တည်ရှိပြီး၊ စီမံကိန်းမှ ၂၅၀ ကီလိုမီတာခန့် အကွာအဝေးတွင် လည်းကောင်း၊ လန်ပိအမျိုးသားဥယျာဉ်မှာ စီမံကိန်းဧရိယာမှ ၃၅၀ ကီလိုမီတာအကွာအဝေးတွင်လည်းကောင်း တည်ရှိပါသည်။

**ထိန်းသိမ်းရေးနယ်မြေများ၏ ထိခိုက်နိုင်မှု (sensitivity) မှာ နည်းပါးသည်ဟု အဆင့်သတ်မှတ်ပါသည်။**

**လူမှုစီးပွားရေးဆိုင်ရာ ပတ်ဝန်းကျင်**

စီမံကိန်းဧရိယာသည် စတုရန်းကီလိုမီတာ ၄၈၀၀၀ ကျော်ရှိသော ကမ်းဝေးငါးဖမ်းဖန်အတွင်းတွင် တည်ရှိပြီး၊ လိုင်စင်ရ ဒေသခံငါးဖမ်းသင်္ဘော ၂၀၀၀ ခန့်ကသာ အသုံးပြုနေပါသည်။ စီမံကိန်းဧရိယာ ပါဝင်သော ကမ်းဝေးငါးဖမ်း ဖန်အတွင်း ငါးဖမ်းသင်္ဘောအရေအတွက်နည်းပါးခြင်း (ကမ်းနီးငါးဖမ်းဖန်တွင် ငါးဖမ်းသင်္ဘော ၃၀၀၀ ရှိပြီး၊ ကမ်းဝေး ငါးဖမ်းဖန်တွင် ၂၀၀၀ သာရှိပါသည်)၊ စီမံကိန်းဧရိယာ၏ တည်နေရာဝေးကွာခြင်း၊ သတ်မှတ်ထားသော ငါးဖမ်းကွက် များ၏ ပြင်ပတွင် တည်ရှိခြင်းတို့ကြောင့် ငါးဖမ်းသင်္ဘောများနှင့် ထိတွေ့ဆက်ဆံရမှု နည်းပါးမည်ဟု မျှော်မှန်းထားပါ သည်။ ထို့အပြင် လက်ရှိတွင် မြန်မာနိုင်ငံရေပြင်အတွင်း နိုင်ငံခြားငါးဖမ်းသင်္ဘောများအား ငါးဖမ်းခွင့်ပိတ်ပင်ထားခြင်း ကြောင့်လည်း (တရားမဝင်ငါးဖမ်းသင်္ဘောများမှအပ) ငါးဖမ်းသင်္ဘောများနှင့် တွေ့ကြုံနိုင်မှုကို ထပ်မံလျော့ကျစေပါ သည်။

**ငါးဖမ်းလုပ်ငန်းများ၏ ထိခိုက်နိုင်မှု (sensitivity) မှာ နည်းပါးသည်ဟု အဆင့်သတ်မှတ်ပါသည်။**

စီမံကိန်းဧရိယာသည် အပြည်ပြည်ဆိုင်ရာ အဓိကရေလမ်းကြောင်းများပေါ်တွင် ကျရောက်နေခြင်းမရှိပါ။ သို့ရာတွင် YWB ကမ်းလွန်လုပ်ကွက်၏ အရှေ့ဖက်ပိုင်းသည် (ရန်ကုန်နှင့် နိုင်ငံတောင်ပိုင်းမှ ဆိပ်ကမ်းများ၊ ရန်ကုန်နှင့် မလတ္တာ ရေလက်ကြားကို ချိတ်ဆက်နေသော) ဒေသတွင်းသုံး ရေလမ်းကြောင်းနှင့် ထပ်နေပါသည်။ သို့ဖြစ်၍ **စီမံကိန်း ဧရိယာအတွင်းတွင် ကုန်သွယ်သင်္ဘောများနှင့် တွေ့ကြုံရမှုများရှိနိုင်ပါသည်။**

**ပင်လယ်ရေကြောင်းလမ်း၏ ထိခိုက်နိုင်မှု (sensitivity) မှာ နည်းပါးသည်ဟု အဆင့်သတ်မှတ်ပါသည်။**

**အဆိုပြုထားသော စီမံကိန်းကြောင့် ပတ်ဝန်းကျင်နှင့် လူမှုဆိုင်ရာ ထိခိုက်မှုများ**

ဆန်းစစ်မှုကို အဓိက အဆင့် (၃)ဆင့်ဖြင့် ဆောင်ရွက်ခဲ့ပါသည်။

- စီမံကိန်းအကြောင်းအရာဖော်ပြချက်မှတစ်ဆင့် ထိခိုက်နိုင်သော ရင်းမြစ်များ (impact source) ကို သတ်မှတ်ဖော်ထုတ်ခြင်းနှင့် ကနဦးအခြေအနေဖော်ပြချက်များမှတစ်ဆင့် ပတ်ဝန်းကျင်၏ ထိခိုက်နိုင်မှု (environmental sensitivity) ကို သတ်မှတ်ဖော်ထုတ်ခြင်း။
- ထိခိုက်နိုင်သော ရင်းမြစ်တစ်ခုချင်းက ပတ်ဝန်းကျင်အစိတ်အပိုင်းတစ်ခုချင်းအပေါ် ထိခိုက်နိုင်မှုများကို ခန့်မှန်းခြင်း။
- အလားအလာရှိသော ထိခိုက်မှုများကို ထိန်းချုပ်ရန်နှင့် လျော့ချရန်အတွက် လျော့ပါးစေရေးနည်းလမ်းများကို သတ်မှတ်ဖော်ထုတ်ခြင်းနှင့် ထိုနည်းလမ်းများကို အကောင်အထည်ဖော်ပြီးပါက ကြွင်းကျန်နိုင်သော ထိခိုက်မှု (residual impacts) များကို ခန့်မှန်းခြင်း။

ဤလေ့လာဆန်းစစ်မှုအရ ပတ်ဝန်းကျင်နှင့် လူမှုဆိုင်ရာအခြေအနေတို့အပေါ် အဓိက ထိခိုက်မှု (၂)ခုကို တွေ့ရှိရပါသည်။

- ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းများ (airguns များ) မှ ထုတ်လွှတ်သော ဆူညံသံသည် အချို့သော အဏ္ဏခါနီးတိုက်သတ္တဝါများ၊ လိပ်နှင့် ငါးမျိုးစိတ်များ၏ အပြုအမူအပေါ် ထိခိုက်မှုများရှိနိုင်ပါသည်။
- တိုင်းတာရေးသဘောများရှိခြင်းကြောင့် ကုန်သွယ်ရေးလမ်းကြောင်းနှင့် ကမ်းဝေးငါးဖမ်းလုပ်ငန်းကဏ္ဍတို့ အပေါ် အလွန်နည်းသော ထိခိုက်မှုများ ရှိနိုင်ပါသည်။

အောက်ပါဇယားတွင် စီမံကိန်းမှ ပြုပြင်ရေးနည်းလမ်းများ သို့မဟုတ် လျော့ပါးစေရေးနည်းလမ်းများကို အကောင်အထည်ဖော်ပြီးနောက် ကြွင်းကျန်နိုင်သော ထိခိုက်မှုများကို ဖော်ပြထားပါသည်။ 'လစ်လျူရှုနိုင်သော' အဆင့်အဖြစ် စဉ်းစားသော ထိခိုက်မှု (၇)ခု နှင့် 'အသေးစား' အဆင့်အဖြစ် စဉ်းစားသော ထိခိုက်မှု (၂) ခုပါဝင်ပါသည်။

**မူလလုပ်ငန်း သည်သုံးဖက်မြင်ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်းဖြစ်သော်လည်း Total EP Myanmar သည် နှစ်ဖက်မြင်ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်းကိုအကောင်အထည်ဖော်မည်။ နှစ်ဖက်မြင်ဆိုက်စမစ်တိုင်း တာရေးလုပ်ငန်းသည် ဆိုက်စမစ်ထုတ်လွှတ်သည့်စက်တစ်ခုနှင့် steamer တစ်စင်းသာအသုံး ပြုပြီး ကီလိုမီတာအနည်း ငယ် လွှမ်းခြုံမည် (တလတာ ကြာမြင့်မည်)။ ထို့ကြောင့် နှစ်ဖက်မြင်ဆိုက်စမစ်တိုင်း တာရေးလုပ်ငန်း ၏ ပတ်ဝန်းကျင်နှင့် လူမှုဆိုင်ရာ ထိခိုက်မှုများ သည် သုံးဖက်မြင်ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်း ထက် ပေါ့ပါး မည်။ ထို့ကြောင့် နှစ်ဖက်မြင်ဆိုက်စမစ်တိုင်း တာရေးလုပ်ငန်းကို ရွေး ချယ် ပါက သုံးဖက်မြင်ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်း အတွက် ဖော်ပြ ထား သည့် လျော့ပါး စေရေးနည်းလမ်းများ သည် အဆိုပါ ထိခိုက်မှုများ အတွက် လုံလောက် သည်။**

**ပတ်ဝန်းကျင်ဆိုင်ရာ ထိခိုက်မှုများနှင့် လျော့ပါးစေရေးနည်းလမ်းများ**

ဖြစ်နိုင်သော ထိခိုက်မှုများကို စုစည်းဖော်ပြခြင်း - ရုပ်ပိုင်းဆိုင်ရာနှင့် သဘာဝအစိတ်အပိုင်းများ	
ကြွင်းကျန်နိုင်သော ထိခိုက်မှုများအကြောင်း ဖော်ပြချက်	ကြွင်းကျန်နိုင်သော ထိခိုက်မှု (R)
<b>လေထုအရည်အသွေး</b>	
ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းများမှ လေထုတွင်းသို့ ထုတ်လွှတ်မှုများမှာ အချိန်နှင့်နေရာ အားဖြင့် အကန့်အသတ်ဖြင့်သာထုတ်လွှတ်သည်ဟု စဉ်းစားပါသည်။ ထိုထုတ်လွှတ်မှုများမှာ ပုံမှန်ကုန်သွယ်သဘော တစ်စင်းမှ ထုတ်လွှတ်မှုများနှင့် ဆင်တူပါသည်။ လေထုတွင်းသို့ ထုတ်လွှတ်မှုများမှာ ထုတ်လွှတ်ပြီးသည်နှင့် ချက်ချင်းပြန့်နှံ့သွားသည့်အတွက် ၎င်းတို့၏	လစ်လျူရှုနိုင်သည့် အဆင့်

လေထုအရည်အသွေးအပေါ် ထိခိုက်မှုများကို လစ်လျူရှုနိုင်သည်ဟု စဉ်းစားပါသည်။	
<b>ရေအရည်အသွေး</b>	
<p>ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းကာလမှာ အလွန် တိုတောင်း (၉၀ ရက်ခန့်) ပြီး သန့်စင်ပြီးထုတ်လွှတ်လိုက်သော တစ်ကိုယ်ရည်သုံး စွန့်ပစ်ပစ္စည်းပမာဏမှာလည်း နည်းပါးသည် (အရွယ်တူ ကုန်သွယ် သင်္ဘော တစ်စင်းနှင့် တူညီသော ပမာဏရှိပါသည်) ဟု စဉ်းစားနိုင်ပါသည်။ စွန့်ပစ်ပစ္စည်းများကြောင့် ထိခိုက်မှုသည် နေရာတစ်ခု အတွင်းသာ ဖြစ်ပြီး၊ ယာယီဖြစ်သည့်အတွက် ပင်လယ်ရေထဲသို့ လွယ်ကူစွာပျော်ဝင်သွားပါသည်။ တစ်ကိုယ်ရေသုံး စွန့်ပစ်ပစ္စည်းများကြောင့် ထိခိုက်မှုကို လစ်လျူရှုနိုင်သည်ဟု စဉ်းစားပါသည်။ ပင်လယ်ထဲသို့ စွန့်ပစ်သည့် စားသောက်ပစ္စည်းအမှိုက်များမှာ လျင်မြန်စွာပြန်သွားပြီး၊ ငါးများအတွက် အစာ ဖြစ်သွားပါမည်။</p> <p>စွန့်ပစ်ပစ္စည်းအားလုံးကို MARPOL အပြည်ပြည်ဆိုင်ရာ ကွန်ဗင်းရှင်းနှင့်အညီ ကိုင်တွယ်ဆောင်ရွက်ပါမည်။ သင်္ဘော ဝမ်းဗိုက်တွင်းရေတွင် ပါဝင်သည့် ဟိုက်ဒရိုကာဗွန်များ (&lt;15 ppm) သည် ပင်လယ်ရေထဲတွင် လျင်မြန်စွာ ပျော်ဝင်သွားပါမည်။ ရေအရည်အသွေးအပေါ် ထိခိုက်မှုများကို လစ်လျူရှုနိုင်သည်ဟု စဉ်းစားပါသည်။</p>	လစ်လျူရှုနိုင်သည့် အဆင့်
<b>နန်းပိုချမှုနှင့် benthic မျှောလှေးအုပ်စုများ</b>	
ဆိုက်စမစ်တိုင်းတာမှုများကို ရေအနက် မီတာ ၅၀ အောက်နေရာများတွင် ပြုလုပ်ပါက ပင်လယ်ကြမ်းပြင် လှုပ်ရှားမှုနှင့် benthic မျှောလှေးအုပ်စုများအပေါ် ထိခိုက်မှုများတွေ့ရနိုင်ပါသည်။ ဤရေအနက်တွင် ဘူမိရူပဆိုင်ရာ လုပ်ငန်းများမဆောင်ရွက်သည့်အတွက် ထိခိုက်မှုများကို လစ်လျူရှုနိုင်သည်ဟု စဉ်းစားပါသည်။	လစ်လျူရှုနိုင်သည့် အဆင့်
<b>ကမ်းရိုးတန်းဒေသ သက်ရှိဇီဝဆိုင်ရာ ပတ်ဝန်းကျင်နှင့် ထိန်းသိမ်းရေးနယ်မြေများ</b>	
<p>တိုင်းတာရေးလုပ်ငန်းများအတွင်းတွင် ဟိုက်ဒရိုကာဗွန်များ မထွက်ရှိပါ။ မတော်တဆထုတ်လွှတ်မှုများ အတွက် မွမ်းမံပြင်ဆင်ထားသော စီမံခန့်ခွဲရေးစီမံချက်ကို အကောင်အထည်ဖော်ခြင်းဖြင့် ကုန်းမြေအပေါ် ထိခိုက်မှုများကို အနိမ့်ဆုံးသို့ လျော့ချနိုင်ပါမည်။</p> <p>ထို့အပြင် စီမံကိန်းဧရိယာနှင့် ကမ်းရိုးတန်းသည် အနည်းဆုံး ၂၅၀ ကီလိုမီတာ ဝေးကွာခြင်းကြောင့်၊ ကမ်းရိုးတန်း ဒေသရှိ သက်ရှိဇီဝပတ်ဝန်းကျင်ကို ထိခိုက်လိမ့်မည်မဟုတ်ပါ။</p>	လစ်လျူရှုနိုင်သည့် အဆင့်
<b>Plankton မျှောလှေးအုပ်စုများ</b>	
<p>Plankton မျှောလှေးများအပေါ် ထိခိုက်မှုကို ဆိုက်စမစ်အသံလှိုင်းလွှင့်ထုတ်သည့်နေရာအနီး (၅) မီတာပတ်လည်တွင် ယေဘုယျအားဖြင့် တွေ့ရပါသည်။ သို့ရာတွင် ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းကြောင့် ထိုသက်ရှိများအား ထိခိုက်မှုမှာ ကိန်းဂဏန်းအချက်အလက်အရ သိသာထင်ရှားမှု မရှိသည့်အတွက် တိုင်းတာ တွက်ချက်ခြင်း မပြုလုပ်ပါ။</p> <p>ကပ္ပလီပင်လယ်ပြင်တွင် အကောင်မျှောလှေးအုပ်စုများ ပေါများစွာရှိသော်လည်း၊ သဘာဝအတိုင်း သေဆုံးမှုနှုန်းမှာ မြင့်မားပြီး၊ (ပင်လယ်ပြင်အခြေအနေနှင့် ရာသီဥတုပြောင်းလဲခြင်းများကြောင့်) နှစ်စဉ်ပမာဏ ပြောင်းလဲမှုမှာလည်း ကြီးမားပါသည်။</p>	လစ်လျူရှုနိုင်သည့် အဆင့်
<b>အက္ကဝါ နီတိုက်သတ္တဝါများ</b>	
<p>အက္ကဝါ နီတိုက်သတ္တဝါများသည် ရေအောက်ဆူညံမှုများကြောင့် ထိခိုက်နိုင်သော်လည်း၊ အနီးကပ် စောင့်ကြည့်ခြင်းဖြင့် ရုပ်ပိုင်းဆိုင်ရာထိခိုက်မှုများ၊ အမူအကျင့်ပိုင်း ထိခိုက်မှုများ (ရေအောက်ပင်ခြင်း၊ အသက်ရှူခြင်း ပုံစံများ၊ အသံပြုခြင်းပုံစံများ)၊ ရွှေ့ပြောင်းမှုကို နှောင့်ယှက်မှုများ၊ အချင်းချင်းဆက်ဆံရေးတွင် ပြောင်းလဲမှုများ စသည်တို့အပါအဝင် ဘူမိရူပတိုင်းတာမှုများကြောင့် ထိခိုက်မှုများကို သိသာစွာလျော့ချ နိုင်ပါသည်။</p>	အသေးစားအဆင့် (soft-stratလုပ် ထုံးလုပ်နည်းများကို အကောင် အထည်ဖော်ပါက)
<b>လိပ်များ</b>	
<p>အက္ကဝါနီတိုက်သတ္တဝါစောင့်ကြည့်သူ (MMO) များဖြင့်လိပ်မျိုးစိတ်များအား အနီးကပ်စောင့်ကြည့်ခြင်း နည်းလမ်းဖြင့် ရုပ်ပိုင်းဆိုင်ရာ ထိခိုက်မှုများ၊ အမူအကျင့်ပိုင်းထိခိုက်မှုများ (အထူးသဖြင့် သားပေါက်</p>	အသေးစားအဆင့်

<p>သောအခါ)၊ ရွှေပြောင်းမှုကို နှောင့်ယှက်မှုများ စသည်တို့အပါအဝင် ဘူမိဗေဒတိုင်းတာမှုများကြောင့် လိပ်များအပေါ် ထိခိုက်မှုများကို သိသာစွာ လျော့ချနိုင်ပါသည်။</p>	
<p><b>ငါးများ</b></p>	
<p>အရွယ်ရောက်ငါးများ - စီမံကိန်းဧရိယာအတွင်းတွင် (IUCN အဖွဲ့မှ မျိုးသုဉ်းရန်အန္တရာယ်ရှိသည်ဟု သတ်မှတ်ထားသော မျိုးစိတ်များအပါအဝင်) အရွယ်ရောက်ငါးများ ရှိနိုင်ပါသည်။ သို့ရာတွင် ဆိုက်စမစ် တိုင်းတာရေးလုပ်ငန်းများတွင် ယေဘုယျအားဖြင့် တွေ့ရသည့် အပြုအမူများကို ရှောင်ရှားခြင်းဖြင့် ထိုငါးများအပေါ် ထိခိုက်မှုများကို လျော့ချနိုင်ပါသည်။ ထို့အပြင် လုပ်ငန်းများအား တစ်ဆင့်ပြီးတစ်ဆင့် စတင်ခြင်းဖြင့်လည်း ငါးများအတွက် လုပ်ငန်းဧရိယာအတွင်းမှ ထွက်ခွါချိန် ရရှိစေနိုင်ပါသည်။ ထို့ကြောင့် ငါးများအပေါ်ထိခိုက်မှုကို လစ်လျူရှုနိုင်သည်ဟု စဉ်းစားပါသည်။</p>	<p>အသေးစားမှ လစ်လျူရှုနိုင်သည့် အဆင့် (soft-start လုပ်ထုံးလုပ်နည်းများကို အကောင်အထည်ဖော်ပါက)</p>
<p>အရွယ်မရောက်သေးသော ငါးများ - ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းများကြောင့် စုပေါင်း၍ သားပေါက်သည့် လေ့ရှိသော အချို့ ငါးမျိုးစိတ်များ၏ မျိုးပွားမှုပုံစံများကို အနှောင့်အယှက်ဖြစ်စေပါသည်။ သို့ရာတွင် YWB လုပ်ကွက်သည် ငါးမျိုးပွားရာတွင် အရေးကြီးဧရိယာမဟုတ်သည့်အတွက် ထိခိုက်ခံရသည့် အကွာဝါ ငါးမျိုးများအား တိုင်းတာတွက်ချက်မှုမပြုလုပ်ပါ။</p>	<p>လစ်လျူရှုနိုင်သည့် အဆင့်</p>
<p><b>ငှက်များ</b></p>	
<p>ငှက်များအတွက် အစားအစာရင်းမြစ်များ (ငါးများ၊ စွန့်ပစ်အစားအစာများ) ကို ဖယ်ရှားထားပါက၊ တိုင်းတာရေး သင်္ဘောများအနီးသို့ ငှက်များ လာရောက်မည်မဟုတ်ပါ။ ထို့အပြင် မတော်တဆ အခြေအနေများတွင် ပင်၊ ဆိုက်စမစ်တိုင်းတာခြင်းကြောင့် အကွာဝါငှက်များကို အန္တရာယ်ဖြစ်စေနိုင်သည့် ကြီးမားသော လောင်စာဆီ ယိုဖိတ်မှုမဖြစ်နိုင်ပါ။ (ဤ ဘူမိဗေဒသွင်ပြင် လေ့လာမှုအတွင်း ရေနံထုတ်လုပ်မှုလည်း မရှိပါ။)</p>	<p>လစ်လျူရှုနိုင်သည့် အဆင့်</p>

အောက်ပါ လျော့ပါးစေရေးနည်းလမ်းများကို အဆိုပြုအပ်ပါသည်။ TOTAL E&P Myanmar ကုမ္ပဏီမှ ရွေးချယ်ထားသော လျော့ပါးစေရေး နည်းလမ်းများသည် (JNCC ကဲ့သို့သော) နိုင်ငံတကာစံနှုန်းအချို့ထက် ပိုမိုတင်းကျပ်ပါသည်။ (ဥပမာအားဖြင့် စောင့်ကြည့်ရေးဖန်၏ အရွယ်အစားသတ်မှတ်ခြင်း။ JNCC လမ်းညွှန်ချက်များကို ကမ္ဘာ တစ်ဝှမ်းလုံးတွင် ကောင်းမွန်သော လုပ်ထုံးများအဖြစ် ရည်ညွှန်း အသုံးပြုလေ့ရှိပါသည်။

**စက်များပိတ်ရမည့် ဧရိယာသတ်မှတ်ခြင်း**

- အတွေ့ရနည်းသော အကွာဝါနို့တိုက်သတ္တဝါများ (အရွယ်ရောက်ပြီး ငါးအလျား ၈ မီတာအထက်) အတွက် **အချင်းဝက် ၂၈၀၀ မီတာအတွင်း။**
- အတွေ့များသော/အသင့်အတင့်တွေ့ရသော အကွာဝါနို့တိုက်သတ္တဝါများ (အရွယ်ရောက်ပြီး ငါးအလျား ၈ မီတာအောက်) အတွက် **အချင်းဝက် ၈၀၀ မီတာအတွင်း။**

**စောင့်ကြည့်ရေးဖန် သတ်မှတ်ခြင်း - ဆိုက်စမစ်ထုတ်လွှင့်စက်များ၏ ဗဟိုမှ အချင်းဝက် ၃ ကီလိုမီတာအတွင်း။**

ဤစောင့်ကြည့်ရေးဧရိယာအတွင်းတွင် တိုင်းတာရေးသင်္ဘောပေါ်တွင် နေရာယူထားသော ကျွမ်းကျင်သည့် အကွာဝါနို့တိုက်သတ္တဝါစောင့်ကြည့်သူ (Marine Mammal Observer) တစ်ဦးက မျက်မြင်အခြေအနေကို အချိန်ပြည့် စောင့်ကြည့်ပါမည်။ ထိုစောင့်ကြည့်ခြင်းလုပ်ငန်းတွင် (ရေနက်ပိုင်းတွင် အသုံးပြုမည့်) airguns များ မပစ်လွှတ်မီ အနည်းဆုံး ၆၀ မိနစ်အလိုမှစ၍ အချိန်ပြည့် စောင့်ကြည့်ခြင်းလည်း ပါဝင်ပါသည်။

airguns များ မပစ်လွှတ်မီ စောင့်ကြည့်စဉ်တွင်၊ သတ်မှတ်ဧရိယာအတွင်း အကွာဝါနို့တိုက်သတ္တဝါများကို တွေ့ရှိပါက ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းကို ရပ်ဆိုင်းပါမည်။ အကယ်၍ ငါးသည် လုပ်ငန်းများ စတင်ပြီး

နောက်ပိုင်းမှ သတ်မှတ်ဧရိယာအတွင်းသို့ ဝင်ရောက်လာပါက JNCC တွင် အကြံပြုထားသည့်အတိုင်းပင်၊ မည်သည့် လျော့ပါးစေရေးနည်းလမ်းကိုမျှ ဆောင်ရွက်မည်မဟုတ်ပါ။

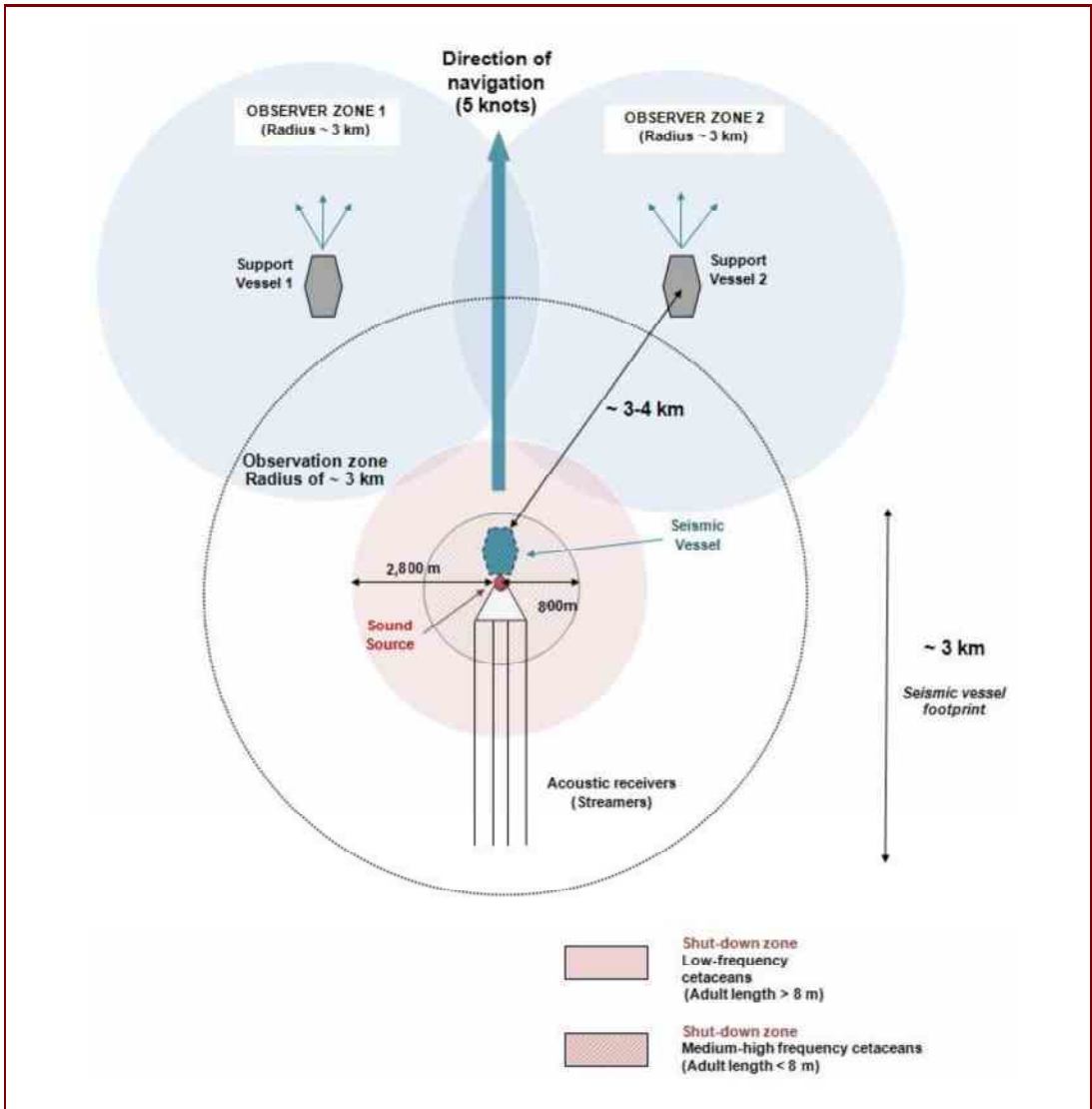
တိုင်းတာရေးသဘောပေါ်မှ MMO တစ်ဦးအပြင်၊ အကူသဘောနှစ်စင်းတွင်လည်း တစ်စင်းလျှင် စောင့်ကြည့်သူ တစ်ဦးစီ နေရာယူထားပါမည်။ ထိုစောင့်ကြည့်သူများမှာ သက်ဆိုင်ရာ အကူသဘောမှ ဝန်ထမ်းများ ဖြစ်ပြီး၊ တိုင်းတာရေးလုပ်ငန်းမစတင်မီတွင် ၎င်းတို့ကို MMO က လေ့ကျင့်သင်တန်းပေးထားပါမည်။ ထို စောင့်ကြည့် သူများသည် သတ်မှတ်ဧရိယာအတွင်းသို့ အဏ္ဏဝါနိတိုက်သတ္တဝါများ ဝင်ရောက်လာပါက သတင်းပို့ရန် တာဝန်ရှိပါသည်။

စက်များပိတ်ရမည့် ဧရိယာအတွင်းတွင် အဏ္ဏဝါနိတိုက်သတ္တဝါများကို နောက်ဆုံးအကြိမ်အဖြစ် စောင့်ကြည့်ပြီး နောက်တွင်လည်း (soft-start လုပ်ငန်းအဆင့်များအပါအဝင်) လုပ်ငန်းများကို ၂၀ မိနစ်စောင့်ဆိုင်းပြီးမှ စတင်ပါမည်။ မျက်မြင်စောင့်ကြည့်ခြင်းကို တစ်ဆင့်ခံအသံလိုင်းဖြင့်စောင့်ကြည့်ခြင်း (Passive Acoustic Monitoring – PAM) ဖြင့်လည်း အားဖြည့်ပေးပါမည်။ ထိုကိရိယာများကို သုံးခြင်းအားဖြင့် ညအချိန်များတွင် လည်းကောင်း၊ မြင်နိုင်စွမ်းအလွန်နည်းသည့်အချိန်များတွင်လည်းကောင်း၊ (ရေအောက်တွင် အချိန်ကြာ မြင့်စွာ ၎င်းနေသည့်တိုင်အောင်) ဝေလငါးများက အသံပြုသည့်အချိန်တွင် အသံလိုင်းများကို ဖမ်းယူနိုင်စွမ်း ရှိပါသည်။

Soft-start လုပ်ထုံးလုပ်နည်းများကို လိုက်နာ အကောင်အထည်ဖော်ခြင်း - ဆိုက်စမစ်လိုင်းတစ်ခုချင်းစီမှ အချက်အလက်များကို မရယူမီတွင်လည်းကောင်း၊ လုပ်ငန်းတစ်ခု ပြန်လည်စတင်ချိန်တွင်လည်းကောင်း၊ ဆိုက်စမစ်လိုင်းများသို့ ပေးပို့သည့် လျှပ်စစ်ဓါတ်အားကို ၂၀ မိနစ်အတွင်း (၄၀ မိနစ်ထက်မပိုစေရပါ) ဖြည်းဖြည်းချင်းတိုးမြှင့်ပေးရပါမည်။ သို့မှသာ ထိခိုက်ခံရနိုင်သော သတ္တဝါများအနေဖြင့် ထိုဧရိယာအတွင်းမှ ထွက်ခွါရန်/ရှောင်ရှားရန် အချိန်ရပါမည်။

အချင်းဝက် မီတာ (၈၀၀) ဧရိယာကို လိပ်များအတွက်လည်း အသုံးပြုပါမည်။ ထို့အပြင် အသံလိုင်းလက်ခံ ကေဘယ်ကြိုးများနောက်တွင် ချည်နှောင်ထားသော ဖော်ယာများ၏ ဒလက်များနှင့် ထိခိုက်မိ၍ လိပ်များ ထိခိုက်ဒဏ်ရာရမှုမှ ကာကွယ်ရန်အတွက် ကာကွယ်ရေးစနစ်များ (လိပ်အကာအကွယ်များ) တပ်ဆင်ပါမည်။

ပင်လယ်သတ္တဝါများအား ထိခိုက်မှုကို လျော့ပါးစေရေးအတွက် စီမံကိန်းတွင် ဆောင်ရွက်ရန် အဆိုပြုထားသော စက်ပိတ်ရန်နှင့် စောင့်ကြည့် ရန်များကို ပုံ (၈) တွင် ဖော်ပြထားပါသည်။



**ပုံ (၈) - YWB လုပ်ကွက်အတွင်း ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းအတွက် အဆိုပြုထားသော စက်ပိတ်ရန်နှင့် စောင့်ကြည့်ရန်များပုံ**

**လူမှုစီးပွားဆိုင်ရာ ထိခိုက်မှုများနှင့် လျော့ပါးစေရေးနည်းလမ်းများ**

ဖြစ်နိုင်သော ထိခိုက်မှုများကို စုစည်းဖော်ပြခြင်း - လူသားများနှင့်ပတ်သက်သော အစိတ်အပိုင်း	
ကြွင်းကျန်နိုင်သော ထိခိုက်မှုများအကြောင်း ဖော်ပြချက်	ကြွင်းကျန်နိုင်သော ထိခိုက်မှု (R)
<b>ပင်လယ်ရေကြောင်းသွားလာရေး</b>	
YWB လုပ်ကွက်အတွင်း တိုင်းတာရေးသင်္ဘောများ ရှိနေခြင်းကြောင့် ပင်လယ်ရေကြောင်း သွားလာရေးကို အနှောင့်အယှက်ဖြစ်စေနိုင်ပါသည်။ လုပ်ငန်းများမစတင်မီတွင်ကန်ထရိုက်တာ၏ ဝန်ထမ်းများအား HSE သို့မဟုတ် စီမံကိန်းဆောင်ရွက်ချိန်တွင် စီမံကိန်းဧရိယာအတွင်း၌ ငါးဖမ်းသင်္ဘောနှင့် ကုန်သွယ်သင်္ဘောများ ရှိနေခြင်းကြောင့် ထိခိုက်နိုင်မှုအလားအလာများကို အသိပေးထားရန်နှင့် လျော့ပါးစေရေးနည်းလမ်းများကို ပြင်ဆင်ထားရန် လိုအပ်ပါသည်။ ထို့အပြင် မြန်မာ့ပင်လယ်ပြင်အာဏာပိုင်အဖွဲ့များ (MPA, DMA, မြန်မာနိုင်ငံ	လစ်လျူရှုနိုင်သည့် အဆင့်



<p>ရေတပ်နှင့် ကမ်းခြေစောင့်တပ်ဖွဲ့နှင့်ဆက်သွယ်၍ ယင်းအာဏာပိုင်အဖွဲ့များက ဆိုက်စမစ်တိုင်းတာရေး လုပ်ငန်းများနှင့်ပတ်သက်၍ ပင်လယ်ရေကြောင်းသွားလာသူများအား သတိပေးချက်များ ထုတ်ပြန်ရန် လိုအပ်ပါသည်။</p> <p>ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းဆောင်ရွက်ချိန်တွင် လုံခြုံရေးဇုန်တစ်ခုတည်းထောင်ခြင်းဖြင့် ပင်လယ် ရေ ကြောင်းသွားလာရေးကို နှောင့်ယှက်မှု အနည်းဆုံးသို့ လျှော့ချနိုင်ပါသည်။</p> <p>ဆိုက်စမစ်တိုင်းတာရေးသင်္ဘောများ၏ ရွေ့လျားမှု (5 Knots ခန့်) ကြောင့်၊ ထိုလုံခြုံရေးဇုန်များကို နာရီ အနည်းငယ်သာ ပိတ်ထားရန် လိုအပ်ပါသည်။</p> <p>ရေဒီယိုဆက်သွယ်ရေးစနစ် မပါဝင်သော သင်္ဘောများနှင့် ဆက်သွယ်ရန်အတွက် အသံချဲ့စက်များတပ်ဆင် ခြင်းဖြင့် အခြားသင်္ဘောများနှင့် တိုက်မိနိုင်သော အန္တရာယ်ကို လျှော့ချနိုင်ပါသည်။ သင်္ဘောတစ်စင်းသည် လမ်းကြောင်းအတွင်းရောက်ရှိလာပြီး၊ ခေါ်ဆိုမှုများကို တုန့်ပြန်ဖြေကြားခြင်းမရှိပါက၊ အကူသင်္ဘောများက ထိုသင်္ဘောအနီးသို့ ကပ်သွား၍ အသိပေးရပါမည်။</p>	
<p><b>ငါးဖမ်းခြင်း</b></p>	
<p>စီမံကိန်းဧရိယာသည် ကုန်သွယ်ရေးကြောင်း သွားလာရေးဇုန်နှင့် ကမ်းဝေးငါးဖမ်းဇုန်များကို ဖြတ်ကျော်နိုင်ပါ သည်။ အဓိကအားဖြင့် တိုင်းတာရေးသင်္ဘောများ ရှိနေခြင်း (တစ်နေရာတွင် အချိန်အနည်းငယ်သာ) နှင့် ပင်မသင်္ဘောအနီးမှ ငါးဖမ်းကို မောင်းထုတ်ရန် ရည်ရွယ်သည့်လုပ်ငန်းများ (soft-start) များကြောင့် ငါးဖမ်း လုပ်ငန်းများကို ထိခိုက်စေနိုင်ပါသည်။</p> <p>လုပ်ငန်းများမစတင်မီတွင် ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းနှင့်ပတ်သက်သည့် လက်ကမ်းစာစောင်များကို မြန်မာ၊ ထိုင်းနှစ်ဘာသာဖြင့် ပြင်ဆင်ဖြန့်ဝေပါမည်။</p> <p>ဆိုက်စမစ်လုပ်ငန်းများမစတင်မီတွင် စီမံကိန်းဧရိယာအတွင်း ငါးဖမ်းလုပ်ငန်းများအား ကင်းထောက်လေ့လာ ခြင်းကို ဤစီမံကိန်းအတွက် စီစဉ်ထားခြင်းမရှိပါ။ အဘယ်ကြောင့်ဆိုသော် ငါးဖမ်းသင်္ဘောများ၊ ငါးဖမ်းပိုက် များနှင့် ထိတွေ့နိုင်မှု ဖြစ်နိုင်ခြေနည်းသောကြောင့်ဖြစ်ပါသည်။</p> <p>ဆိုက်စမစ်တိုင်းတာခြင်းလုပ်ငန်းဆောင်ရွက်နေစဉ်အတွင်းတွင် ပင်လယ်ရေကြောင်းလုပ်ငန်းများအား အနှောင့်အယှက်ဖြစ်မှုကို လျှော့ချနိုင်ရန်အတွက် တိုင်းတာရေးသင်္ဘောများ၏ တည်နေရာနှင့် ခန့်မှန်းရောက် ရှိမည့်နေရာတို့ကို ပင်လယ်ပြင်အာဏာပိုင်များ၊ အဓိကငါးဖမ်းလုပ်ငန်း ဆက်စပ်ပတ်သက်သူများ (DoF, MFF) သို့ အချိန်နှင့်တစ်ပြေးညီ အသိပေးသွားပါမည်။</p> <p>ဆိုက်စမစ်တိုင်းတာရေးသင်္ဘောများ၏ ရွေ့လျားမှုကြောင့် ငါးဖမ်းသင်္ဘောများ၏ လမ်းကြောင်းများ သေဖွယ် သွားခြင်း မရှိနိုင်ပါ။ ထို့အပြင် ထောက်ပံ့ရေးသင်္ဘောများက ရေဒါများ၊ မျက်မြင်စောင့်ကြည့်ခြင်းများဖြင့် အခြားသင်္ဘောများ တည်ရှိမှုကို စစ်ဆေးနေပြီး၊ အခြားသင်္ဘောများအား တွေ့ရှိပါက ရေဒီယိုများ၊ အသံချဲ့ စက်များသုံး၍ လုပ်ငန်းဧရိယာအကြောင်းနှင့် လမ်းကြောင်းပြောင်းလဲရန် အသိပေးပါမည်။ အကူသင်္ဘောများ သည် လိုအပ်ပါက ငါးဖမ်းခြင်းလုပ်ငန်း ဆောင်ရွက်ပါမည်။</p>	<p>လစ်လျူရှုနိုင်သည့် အဆင့်</p>
<p><b>ဒေသတွင်း ဖွံ့ဖြိုးရေး</b></p>	
<p>ဆိုက်စမစ်တိုင်းတာရေးစီမံကိန်း၏ ကာတိုတောင်းမှုကြောင့် ဒေသခံများအတွက် အလုပ်အကိုင်အနည်းငယ် သာ ရရှိပါမည်။</p> <p>ထိုင်းငါးဖမ်းသင်္ဘောများနှင့် ထိတွေ့ဆက်ဆံနိုင်ရေးအတွက် တိုင်းတာရေးလုပ်ငန်းစဉ်အတွင်း ထိုင်းစကားပြန် တစ်ဦးကို ခန့်ထားရန် အကြံပြုပါမည်။</p> <p>ဤအဆင့်တွင် ခရီးသွားလုပ်ငန်းအပေါ် ထိခိုက်မှုမှာ လစ်လျူရှုနိုင်သော အဆင့်ဖြစ်ပါသည်။ တိုင်းတာရေး သင်္ဘောများ၏ ရွေ့လျားမှုကြောင့် မြန်မာနိုင်ငံသို့ ခုတ်မောင်းနေသော ခရီးသည်တင် သင်္ဘောများအား အနှောင့်အယှက်ဖြစ်မှုမှာလည်း လစ်လျူရှုနိုင်သည့်အဆင့်တွင် ရှိပါသည်။</p>	<p>လစ်လျူရှုနိုင်သည့် အဆင့်</p>

ပတ်ဝန်းကျင်ကို ကနဦးလေ့လာမှုအရ စီမံကိန်းလုပ်ငန်းများနှင့် ငါးဖမ်းလုပ်ငန်းများအကြားတွင် ထိတွေ့ဆက်ဆံမှု များ ရှိနိုင်ကြောင်း ဖော်ပြနေပါသည်။ ထိုထိခိုက်မှုကို ရှောင်ရှားရန်အတွက်၊ ပင်လယ်ပြင်အာဏာပိုင် အဖွဲ့များနှင့် ဆက်သွယ်ရေးစီမံချက်တစ်ခုကို အကောင်အထည်ဖော်ပြီး၊ ငါးဖမ်းသင်္ဘောများအား ဆိုက်စမစ် တိုင်းတာရေး သင်္ဘော များ၏ တည်နေရာကို အသိပေးရန်နှင့် ဘေးကင်းသည့် အကွာအဝေးမှသာ အလေးထား ခုတ်မောင်းရန် အသိပေးရ ပါမည်။ လုပ်ငန်းများ ဆောင်ရွက်နေစဉ်တွင် အဓိကတိုင်းတာရေးသင်္ဘော၏ အနီးတစ်ဝိုက်တွင် ရှိနေသော ငါးဖမ်း သင်္ဘောများကို အကူသင်္ဘောများက ဆက်သွယ်ပြောကြားရပါမည်။

နိဂုံးချုပ်အနေဖြင့်၊ ဤတိုင်းတာရေးလုပ်ငန်းများနှင့် ဆက်စပ်နေသည့် ထိခိုက်မှုများမှာ ကာလတို (ရက်ပေါင်း ၉၀) အတွင်းသာ ဖြစ်ပြီး၊ (တိုင်းတာရေးသင်္ဘောများက ရွေ့လျားနေခြင်းကြောင့်) ကန့်သတ်ထားသော ဧရိယာအတွင်း ဌာနာ ဖြစ်ပေါ်ပါမည်။ သို့ဖြစ်၍ ကြီးမား၍ ပြန်လည်မပြုပြင်နိုင်သော ထိခိုက်မှုများကို မျှော်မှန်းထားခြင်းမရှိပါ။ ထို့အတူပင် ပတ်ဝန်းကျင် သို့မဟုတ် အနီးရှိ ဂေဟစနစ်များအားသိသာစွာပြောင်းလဲစေသည့် ရေရှည်ထိခိုက်မှုများကို လည်း မျှော်မှန်းထားခြင်းမရှိပါ။

မူလလုပ်ငန်း သည်သုံးဖက်မြင်ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်းဖြစ်သော်လည်း Total EP Myanmar သည် နှစ်ဖက်မြင်ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်းကိုအကောင်အထည်ဖော်မည်။ နှစ်ဖက်မြင်ဆိုက်စမစ်တိုင်း တာရေးလုပ် ငန်းသည် ဆိုက်စမစ်ထုတ်လွှတ်သည့်စက်တစ်ခုနှင့် steamer တစ်စင်းသာအသုံး ပြုပြီး ကီလိုမီတာအနည်း ငယ် လွှမ်းမိုးမည် (တလတာ ကြာမြင့်မည်)။ ထို့ကြောင့် နှစ်ဖက်မြင်ဆိုက်စမစ်တိုင်း တာရေးလုပ်ငန်း ၏ ပတ်ဝန်းကျင်နှင့် လူမှုဆိုင်ရာ ထိခိုက်မှုများ သည် သုံးဖက်မြင်ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်း ထက် ပေါ့ပါး မည်။ ထို့ကြောင့် နှစ်ဖက်မြင်ဆိုက်စမစ်တိုင်း တာရေးလုပ်ငန်းကို ရွေး ချယ် ပါက သုံးဖက်မြင်ဆိုက်စမစ်တိုင်းတာရေးလုပ်ငန်း အတွက် ဖော်ပြ ထား သည့် လျော့ပါး စေရေးနည်းလမ်းများ သည် အဆိုပါ ထိခိုက်မှုများ အတွက် လုံလောက် သည်။

**ပတ်ဝန်းကျင်နှင့် လူမှုဆိုင်ရာ စီမံခန့်ခွဲရေး စီမံချက်**

ပတ်ဝန်းကျင်နှင့် လူမှုဆိုင်ရာ စီမံခန့်ခွဲရေး စီမံချက် (Environmental and Social Management Plan) သည် IEE တွင် သတ်မှတ်ဖော်ထုတ်ထားသော ထိခိုက်မှုလျော့ပါးစေရေးနည်းလမ်းများအားလုံးကို သင့်တော်သော စီမံချက် တစ်ခုဖြင့် အကောင်အထည်ဖော်မည်ဖြစ်ကြောင်း သေချာစေပါသည်။ စီမံချက်တွင် အောက်ပါအကြောင်းအရာများ ပါဝင်ပါသည် -

- ကျန်းမာရေး၊ ဘေးကင်းလုံခြုံရေးနှင့် ပတ်ဝန်းကျင်ဆိုင်ရာ မူဝါဒ
- အဖွဲ့အစည်း၊ ရင်းမြစ်များနှင့် မှတ်တမ်းထိန်းသိမ်းခြင်း
- အန္တရာယ်ရှိမှုကို သတ်မှတ်ဖော်ထုတ်ခြင်းနှင့် ထိခိုက်နိုင်မှုအလားအလာကို စီမံခန့်ခွဲခြင်း
- လုပ်ထုံးလုပ်နည်းများ၊ အရေးပေါ်အခြေအနေအပေါ် တုံ့ပြန်မှုနှင့် စီမံခန့်ခွဲမှုအပြောင်းအလဲများ
- စောင့်ကြည့်စစ်ဆေးခြင်းစနစ်ဖြင့် အလုပ်လုပ်ခြင်း - အစီရင်ခံခြင်းနှင့် ပြင်ဆင်ရေးလုပ်ငန်းများအား သုတေ သန ပြုလုပ်ခြင်း
- စွန့်ပစ်ပစ္စည်း စီမံခန့်ခွဲရေး စီမံချက်
- ထုတ်လွှတ်မှုများ စီမံခန့်ခွဲရေးစီမံချက်
- လေ့ကျင့်သင်တန်းပေးခြင်း အစီအစဉ်
- ပတ်ဝန်းကျင်ကို စောင့်ကြည့်လေ့လာရေး စီမံချက်
- ဒေသခံပြည်သူများနှင့် ဆက်ဆံရေးအပါအဝင်၊ လူမှုစီးပွားရေးအစီအစဉ်နှင့် ရေကြောင်းသွားလာရေးစီမံချက်။



## 0.2 INTRODUCTION

### 0.2.1 Context

Total Exploration & Production Myanmar (TEPM) plans to conduct a seismic acquisition survey as part of its exploration program of the YWB block.

Since the preparation of Myanmar regulatory's framework, which stipulates a formal requirement to undertake an Environmental and Social Impact Assessment (ESIA) or an Initial Environmental Examination (IEE), is still under progress and not officially issued, the present IEE is based on the draft procedures already available.

This Initial Environmental Examination was prepared to allow environmental authorities to determine whether the project affects the environment or existing socioeconomic activities, and to decide whether the project should be allowed or not. The form, content and structure of the report may satisfy regulatory requirements by providing relevant information on environmental issues and mitigation measures to be taken into account in order to protect environment during the scheduled offshore exploration campaign. The present document is the Executive Summary of the IEE report.

The IEE has been developed in accordance with Myanmar's Environmental Conservation Law (promulgated in March 2012), and includes the Environmental Management Plan (EMP). International legislation addressing offshore petroleum industry and Total E & P Myanmar standards are also taken into account.

The document was performed by the Risk, Society & Environment department of Artelia, which has operated a branch office in Yangon since October 2012. Artelia's experts have a good knowledge of seismic survey environmental & social issues. The project team included an environmental engineer trained to MMO standards in UK (JNCC), a social specialist experienced in the management of offshore seismic campaign in Asia, and an environmental engineer based in Yangon. The branch office in Yangon helped in facilitating data collection and local coordination.

### 0.2.2 Description of the project

On March 2014, Myanmar's Ministry of Energy (MOE) announced that TEPM was awarded the deepwater offshore block YWB. The validity of the YWB license is 2 years.

The offshore block YWB covers a 3,000 square kilometers area in the Andaman Sea, approximately 200 kilometers off the Burmese coastline.

As part of its exploration project on the East part of YWB block, Total E&P Myanmar is eager to complete a 3D seismic campaign on the block to fulfil an optimal imaging of the geologic objectives in the area. **An alternative project would be to perform a 2D seismic survey instead.**

The envisaged 3D program covers the acquisition of a 2,200 km<sup>2</sup> (48 % of YWB area) footprint across YWB block, identified as « the project area » in the IEE report. The 2D alternative would cover the whole block.

The 3D survey area within the YWB block is located about 250 km west of the Myanmar coast and 400 km far from Yangon. The water depth in the area ranges from 200 to 2,000 m. The location of the 3D YWB survey area is shown in Figure 0-1.

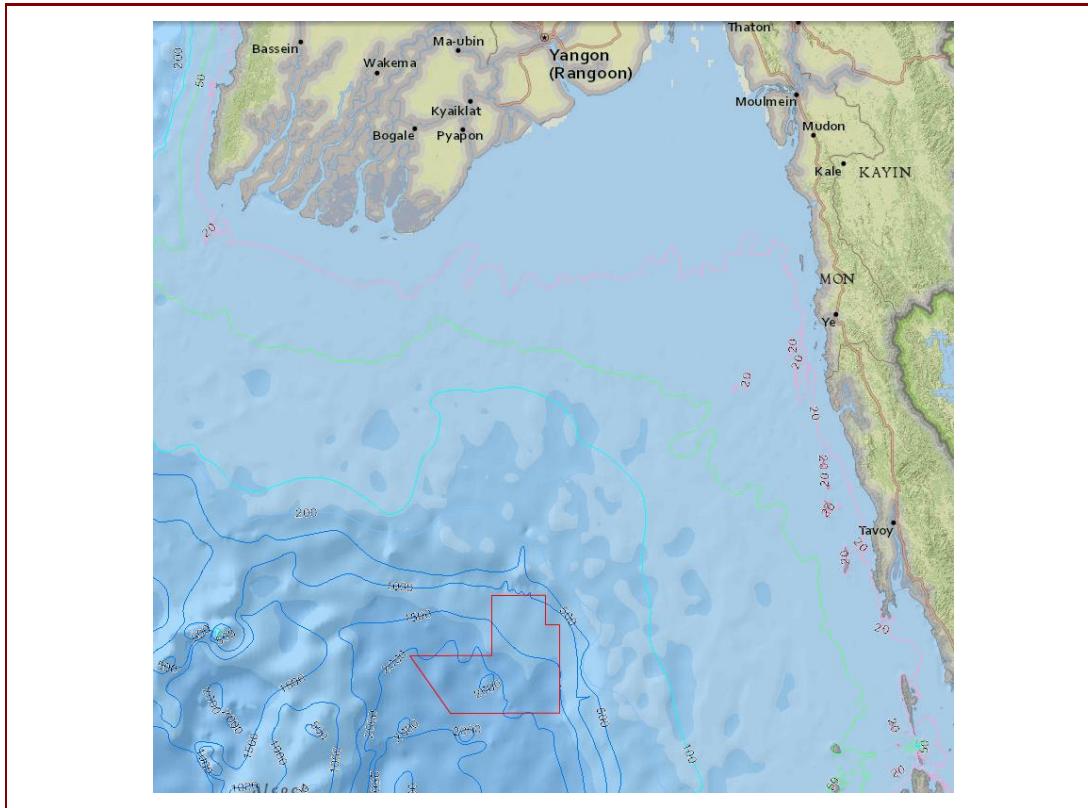


Figure 0-1 Project location, Bloc YWB

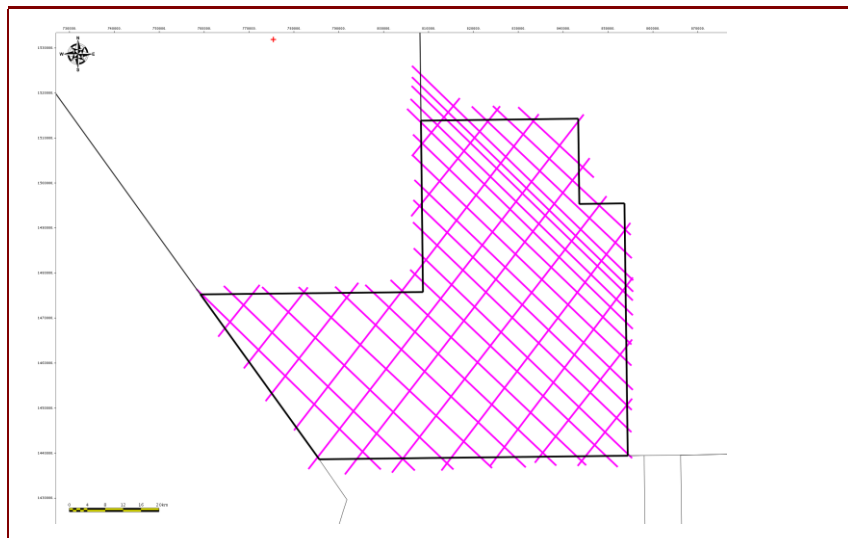


Figure 0-2 Possible 2D seismic survey path



### 0.2.3 Timing of operation

The 3D seismic campaign is planned to begin on beginning of 2016 with the arrival of the seismic fleet on YWB block. Then, the seismic acquisition will last up to 3 months and the demobilisation of materials (retrieving seismic equipment from the sea) will last approximately 5 days.

The alternative 2D seismic survey on the whole YWB block would last only one month because it covers a less dense grid with more space between the navigating lanes.

This project is part of a larger program, where exploration surveys lead to an exploration well in case of success.

## 0.3 DESCRIPTION OF THE PROPOSED PROJECT

### 0.3.1 Seismic survey

A seismic marine survey is based on the transmission of sound waves emitted by energy sources towed by a specific boat. The sound travels through the water layer and the underlying rocks and are reflected back on acoustic contrasts (lithological changes between layers of different composition, for example). The reflected energy is transformed into electric pulses on receivers (hydrophones) inserted within cables (streamers) also towed by the same vessel. Those electric pulses are then recorded in a laboratory located in the vessel. In 3D seismic, several hydrophone streamers are towed behind the survey vessel, generally together with dual sources.

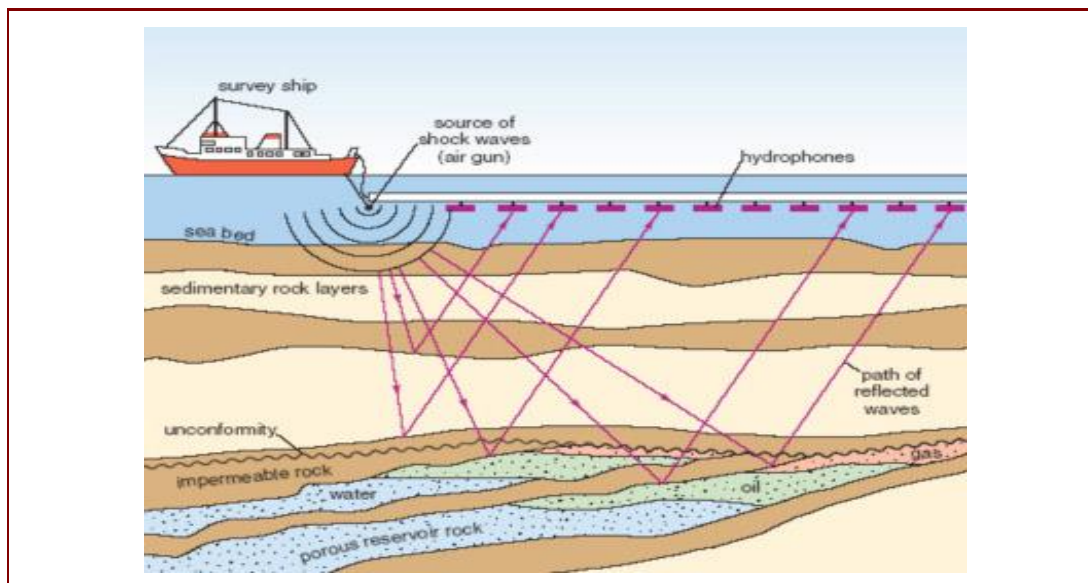


Figure 0-3 Marine seismic data acquisition methodology

A 3D survey data is normally acquired as shown in Figure 0-3, with a 'racetrack' pattern being employed to reduce the time necessary to turn the vessel while allowing adjacent lines to be recorded with the data in the same direction. This minimizes processing artifacts, which could adversely affect the data interpretation. In general, the survey area is broken down into areas in which swathes of lines are completed in phases.



## Offshore Seismic Campaign YWB Block

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Note: Seismic acquisition will only take place within YWB block. While the seismic vessel carries out its turn outside the YWB block, no airgun will be in activity.

(The Company has not yet selected the geophysical contractor that will carry out the operation; therefore, the description of the seismic equipment below is based on a generic pattern.)

An offshore seismic campaign uses the following devices:

- Seismic sources will be generated by airguns, which are using compressed air to generate underwater waves which reflect more or less quickly depending on the geological strata encountered beneath the sea bed. When an electrical pulse activates the seismic source, pressurized air bubbles are discharged into the surrounding water. This process is very rapid, taking only a few seconds to reload. The acoustic units operate to high acoustic frequencies, 10 to 100 khertz, with a maximum output of approximately 254 dB re 1  $\mu$ Pa at 1 m. (that is to say the sound power at 1m of the source).



Figure 0-4 Typical seismic source

For this project, two seismic sources will operate alternatively. Each source will be composed of three sub-arrays of 9 airguns that will be operating at an air pressure of 2,000 psi. The total volume of the source arrays anticipated reaches 3,600 cubic inches. During each transit, a seismic shot is executed approximately every 10 seconds.

- Seismic receiver cables (« seismic streamers »), towed by the survey vessel, contain regularly spaced receivers called hydrophones, which capture the echoes reflected by the underlying lithological contrasts. Twelve 6 km-length solid streamers will be towed in order to record reflected acoustic signals. The echoes recorded are collected as an analogic signal, converted to digital format and relayed to computers on-board the vessel. At the end of the survey, seismic raw data are transferred to onshore processing centers, and then interpreted by geophysicists. The results are calibrated with other data (such as rock samples, regional well/drilling results and known geology) to build an interpretation of the geologic history in the area.



Figure 0-5 Typical seismic receiver cable

- The configuration of the streamers for optimal coverage has been determined and constitutes fan shooting mode. This will be tested during the mobilization phase of operations. An example of the fan shooting geometry for the streamers is shown in Figure 0-6.

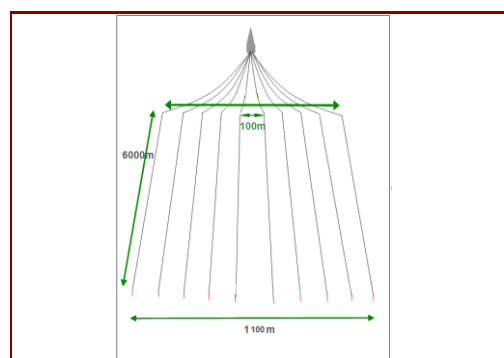


Figure 0-6 Fan mode geometry



The 2D seismic survey alternative would follow the same process, except it would use only one source and only one streamer

### 0.3.2 Logistic aspects of the seismic campaign

A specific marine streamer vessel is required to perform such a kind of operation. It will be the POLARCUS ASIMA. Figure 0-7 provides photographs of this marine streamer vessel. The 3D seismic vessel required to complete this survey will accommodate a maximum crew of 60 people on board.

Typical 3D exploration surveys also mobilize one supply vessel and two chase boats, each one named by up to 20 people maximum. Both of the chase boats will accompany the marine vessel to monitor the survey zone and to provide any emergency assistance required.

The only expected onshore activities proposed for this seismic survey will be associated with the support vessel, which may use the port of Yangon to re-supply the seismic vessel with food and fuel. The main survey vessel will be bunkered (refuelled) at sea in the 3D seismic area if necessary, however in general these marine vessels are selected for their self-sufficiency over long durations and it is assumed that no crew change will be effected.

Tracking for seismic fleet will be undertaken using an online satellite positioning system (SATPOS system or equivalent).



Figure 0-7 Photograph of marine streamer vessel

Source: Total E&P

### 0.3.3 Inventory of waste, discharges and emissions

The first category is related to seismic acquisition survey activities:



- **Underwater noise** generated by the seismic source arrays (firing of air guns through the survey area), ambient noise impacts may occur during seismic activities; however, they will be on a short term and at a small scale.

The second category is related to the activities of the vessels:

- **Physical disturbance:** the vessels may interfere with the main shipping route and/or with commercial fishing. In addition, collision with marine mammals and specifically protected species might occur.
- **Atmospheric emissions:** atmospheric emissions from offshore exploration survey are primarily due to vessel diesel engines. GHG generated by the Project was estimated at 13,403 tons eqCO<sub>2</sub>.
- **Wastewater:** wastewaters are generally associated with domestic and sanitary wastewaters (black and grey waters) as well as bilge and deck waters. For the project, the volume of domestic and sanitary wastewaters has been estimated at 2,331 m<sup>3</sup>; the volume of deck and bilge waters was estimated at 170 m<sup>3</sup> for the total duration of the Project. These water streams will be managed by the water storage and treatment devices on board, in compliance with the MARPOL Convention.
- **Solid waste:** ship activities produce hazardous waste and non-hazardous waste. A seismic survey only produces waste similar to those generated by a commercial ship of the same size. About 42 m<sup>3</sup> of domestic waste were estimated and approximately 390 tons of hazardous and non-hazardous waste might be produced during the seismic activities on the exploration program of the YWB block.
- **Odor and light:** potential impact from extraneous light is expected to be minor. No particular olfactory emission is expected during the seismic operation.
- **Accidental release:** unexpected leak from survey vessel fuel tanks.

## 0.4 DESCRIPTION OF THE EXISTING ENVIRONMENT

### 0.4.1 Physical environment

Myanmar has a hot and humid climate dominated by monsoon pattern. Its climate can be described as tropical monsoon characterized by strong monsoon influences, a considerable amount of sun, a high rate of rainfall (coastal regions receiving over 5,000 mm of rain annually) and high humidity. Temperature in the country varies from 19°C to 38°C and humidity from 66 % to 83 %. During the forecasted period of the YWB seismic survey project (January to April), average temperature should be between 25-28°C and very little precipitation is anticipated as it will be the Northeast Monsoon's period.

The wind regime in the vicinity of the YWB block is gentle to moderate, with average monthly wind speeds ranging from 3.5 m/s to 7.5 m/s. Wind direction is mostly from the north-northeast from November to April. From May to October, the predominant wind direction is southwest.

Air quality conditions in YWB block are anticipated to be good due to its offshore location (approx. 250 km off the Burmese coastline), and to poor anthropic activities in the vicinity (excluding marine traffic).

**Air quality's sensitivity is ranking as very low.**

The water depth in the area ranges from 200 to 2,000 m.

Surface current are dominated by monsoon winds. Average speeds are 0.4 m/s with possible peaks approaching 2.0 m/s due to the relatively steady monsoon winds. These currents decrease rapidly with depth below the surface (average speeds 0.15 m/s near the bottom).

The project area is situated in the slope of the continental slope, at approximately 20 km from the Martaban Canyon and 150 km of the Alcock rise seamount. The surface water is characterized by low salinity due to large freshwater influx from the Irrawaddy River, which has also an impact on local turbidity and Chlorophyll-a concentration.





No Environmental Baseline Survey has been performed in YWB block; nevertheless, the sediment quality is expected to be thick silty clays due to the large amount of sediment inputs of Irrawaddy River and the geomorphological context of the study area.

Salinity of the surface water in the vicinity of YWB block is low and the high turbidity may contribute to decrease the chlorophyll-a concentration. Maximum concentration of Chlorophyll-a is obtained at 10 m below sea level.

**Water column's sensitivity is ranking as very low.**

#### 0.4.2 **Biological environment**

##### 0.4.2.1 **Benthic and planktonic communities**

Taking into account the water depth (200-2,000 m) of the project area, benthic fauna is expected to be poor and typical of a sedimentary area (no stone or coral reef).

**Benthic's community sensitivity is ranking as very low.**

Although the region presents a low abundance of crab larvae, planktonic shrimps and larvaceans, a rich abundance of zooplankton groups (including calanoid copepods, poecilostamatoid copepods and arrow worms) was observed during previous environmental baseline surveys carried out in the southern part of Myanmar waters.

**Planktonic community's sensitivity is ranking as low.**

##### 0.4.2.2 **Fishes**

Through the influence of the seasonal variations imposed by the monsoon systems, the pelagic fish community appears to be widespread with a common distribution. This community contains a wide variety of jacks, tunny, barracudas, flying fish, sharks and rays, which are observed across the entire Indian Ocean. A total of 37 pelagic fish species that may potentially be found in the Project Area were identified by the IUCN as threatened, with different levels of vulnerability (3CR/5EN/26 VU).

**Fish species' sensitivity is ranking as medium.**

##### 0.4.2.3 **Marine mammals**

Among the 29 marine mammal species recorded by the IUCN with different levels of vulnerability in Andaman Sea, 21 may be encountered within the project area.

- 2 species are endangered (EN) facing very high risk of extinction in the wild: the Blue Whale (*Balaenoptera musculus*) and the Fin Whale (*Balaenoptera physalus*);
- 1 species is classified as vulnerable (VU) facing high risk of extinction in the wild: the Sperm Whale (*Physeter macrocephalus*).

**Marine mammals' sensitivity is ranking as high.**

##### 0.4.2.4 **Turtles**

Some beaches on the Myanmar coastline were identified as nesting sites for five protected turtle species: **Olive Ridley Turtle, Loggerhead Turtle, Green Turtle, Hawksbill Turtle and Leather Back Turtle.**

These species are internationally protected and listed as vulnerable or endangered on the IUCN red list. The project area may be on a migration path for turtles that reach Myanmar beaches during the nesting period from the end of September to March, with a peak in January-February. Most observations of turtles are typically within 15 km of mainland shores in protected shallow marine waters (22-25 m), far away from the project area. Nevertheless, some species may be encountered in the area to be explored as they are migratory species.

**Turtle's sensitivity is ranking as high.**



#### 0.4.2.5 Marine birds

A total of 20 seabird species are currently identified by the IUCN in Myanmar waters. Amongst these species, 4 species are identified as near threatened and 16 species are recorded as least concern.

**Marine birds'sensitivity is ranking as low.**

#### 0.4.2.6 Coastal ecosystems, protected area and offshore flora

Myanmar has more than 2,000 kilometers of coastline on the Bay of Bengal. The land pattern in coastal areas consist of mangroves, coral reefs, sea-grass beds, evergreen forest, wetlands and various types of agricultural land. **The closest coral reef is situated 158 km of the project area. The nearest Mangroves are at 250 km from the project area as seagrass beds.**

**Coastal ecosystem and flora's sensitivity is ranking as medium.**

No protected area is located close to the project. The closest is situated at 200 km of the project area (Moscos Island, Widelife sanctuary). The closest Important Bird Areas are Ayeyarwaddy Delta (250 km far from the project area) and Lampi National Park (350 km of the project area).

**Protected area's sensitivity is ranking as low.**

#### 0.4.3 Socioeconomic environment

The Project is located in the offshore fishery area, which represents more than 480,000 square kilometers and is only used by 2,000 domestic licensed vessels. However, interactions with fishermen are expected to be low due to the small number of fishermen authorized to fish in the offshore fishery area (2,000, against 30,000 in the inshore fishery area), the location of the block, out of identified fishing grounds, and a recent ban on foreign fishing in Myanmar waters that further reduces the likelihood to encounter fishermen (apart from illegal fishermen).

**Fishing activities' sensitivity is ranking as low.**

The Project area is not located on major international shipping lanes. However, the eastern part of the YWB block is overlapping the domestic shipping lane (connecting Yangon to ports in the south of the country and to the Malacca Strait), meaning that **commercial ships could be encountered in the Project area.**

**Marine traffic's sensitivity is ranking as low.**

## 0.5 ENVIRONMENTAL AND SOCIAL IMPACT OF THE PROPOSED PROJECT

The assessment was conducted in three distinct stages:

- Identification of the impact source from the project description, and of the environment sensitivity from the description of its initial status;
- Estimation of the potential impact of each source of impact on each sensitive environmental component ;
- Identification of mitigation measures to reduce and control the potential impact, and estimation of the residual impact once these measures are implemented.

The study showed two main impacts on the environment and on the socioeconomic context:

- The noise generated by marine seismic activities (airguns) may have consequences on the behavior of some species of on marine mammals, turtles and fishes.
- At a very lower level, the impact of the presence of ships on commercial marine traffic and the offshore fishery sector.

The table below shows all the project residual impacts, i-e once the compensatory or mitigation measures are implemented. There are 7 impacts considered as « negligible » and 2 as « minor ».



The original project is about a 3D seismic survey but TEMPM could change and implement a 2D seismic survey instead. The 2D survey would use only source and one streamer, and it would cover less kilometers (and last only one month), therefore it is assumed that the environmental & social impacts of the 2D seismic campaign would be lighter than those from the 3D one. So, if the 2D alternative is chosen, the mitigation measures described for the 3D survey will be enough to cover efficiently these impacts.

0.5.1 **Environmental impacts and associated measures**

Table 0.1 Synthesis of potential impact : physical and natural components

Description of residual impacts	Residual impact (R)
<b>Air quality</b>	
<p>Air emissions resulting from the seismic campaign are considered as limited in time and space; they are similar to those of any other merchant ship of a same size.</p> <p>As air emissions scatter right after their release, their impact on air quality is considered as negligible.</p>	Negligible
<b>Water column</b>	
<p>The duration of the seismic study is quite short (90 days) and the quantity of discharged treated sanitary effluents is considered to be low (and identical to any other merchant ship of similar size). The sanitary discharges impact will be localized and temporary, and the discharges easily diluted in the sea. The sanitary discharges impact is negligible.</p> <p>Crunched food waste discharged at sea are quickly scattered and will feed fish.</p> <p>All the discharges will satisfy the MARPOL International Convention standards. Residual hydrocarbons contained in bilge waters (&lt;15 ppm) should quickly dilute in the ocean. The impact on water quality will be negligible.</p>	Negligible
<b>Sediment and benthic communities</b>	
<p>A perturbation of the seabed or impacts on the associated benthic community can be observed when seismic surveys are carried out in less than 50 m water depth. As no geophysics activities will be performed at this water depth, the impact should be negligible.</p>	Negligible
<b>Coastal biological environment and protected area</b>	
<p>No hydrocarbon will be produced during the exploratory survey. The implementation of an adapted management plan for accidental release should reduce to the minimum the impacts on land.</p> <p>Furthermore, due to the distance from the coast of the project (at least 250 km), coastal biological environment will be not impacted.</p>	Negligible



Planktonic communities	
<p>Impacts on planktons are generally observed in the 5 meters around the seismic source, but seismic operation impacts on these organisms are considered as statistically insignificant, and cannot be measured. Even if the Andaman sea has a rich abundance of zooplankton groups, natural mortality rates are high and natural annual fluctuations in population densities is large (due to oceanographic and climatic variations).</p>	Negligible
Marine mammals	
<p>Though marine mammals are sensitive to underwater noise, specific surveillance should significantly reduce geophysical survey impacts on cetaceans, including the risk of physical injuries, behavior disturbance (diving and breathing patterns, vocalization), migration disturbance, social behavior change. The soft start of seismic shooting will give cetaceans time to leave the study area. The use of passive acoustic monitoring equipment (PAM) will improve, in particular at night, the observation performed by marine mammal observers (MMOs.)</p>	<p>Minor <i>If a soft-start procedure is implemented</i></p>
Turtles	
<p>The turtle surveillance by MMO should significantly reduce geophysical survey impacts on turtles, including the risk of physical injuries, the disturbance of their behavior (especially when nesting) and migration, etc. The soft start procedure will give turtles time to leave the study area before the beginning of seismic shooting. In addition, the implementation of the Turtle Guard System will avoid turtle mutilation and death caused by tail buoy towed tied at the end of the streamers.</p>	Minor
Fish	
<p>Adult fish: adult fishes (including threatened species identified by the IUCN) are likely to be present in the project area, but avoidance behavior generally observed in response to seismic acquisition activities contributes to prevent possible damages caused on these populations. In addition, the progressive start of the activities will give fish time to leave momentarily the area. The impact is therefore considered as minor to negligible.</p>	<p>Minor to negligible <i>If a soft-start procedure is implemented</i></p>
<p>Juvenile fish: The seismic survey may cause short term disturbance to reproduction patterns of certain fish species which form concentrated breeding aggregations. However, YWB block is not an important area for fish reproduction, it is considered that the marine fauna which could be affected will not be measurable and localized.</p>	Negligible
Birds	
<p>It is unlikely that birds dive near the ship if food sources (fish, food waste) are kept away. In addition, even in an accidental situation, a seismic survey does not entail a risk of major heavy oil spill (no oil production during this geophysics study) that could harm marine birds. As a consequence, the impact should be negligible.</p>	Negligible

The main mitigation measures proposed are as follows (it should be noted that the measures selected by Total E & P Myanmar are more restrictive than some international standards such as the JNCC guidelines (for instance regarding the size of the observation zone) : these JNCC Guidelines are usually used as good practices references worldwide).

- Establishment of a shutdown zone:
  - **radius of 2,800 m** for low frequency cetaceans (i.e. adult length > 8 m)



- **radius of 800 m** for medium/high frequency cetacean (i.e. adult length < 8 m)
- Establishment of an observation zone (**radius of 3 km**) from the center of the seismic source array. Within this area, continuous visual monitoring will be undertaken by a qualified Marine Mammal Observer (MMO) on the seismic survey vessel, including continuous monitoring during a period of at least 60 minutes prior to airgun start-up (applicable to deep waters).
- During the pre-shooting watch, the seismic survey will be stopped if a marine mammal is observed within this area. If a marine mammal enters the zone after operations have started, no mitigation actions are recommended by the JNCC.
- 1 observer on each of the 2 support vessels in addition to the MMO on seismic vessel. The observers will be members of the crew of each vessel trained by the MMO before the beginning of the survey. The Observers will be in charge of reporting any marine mammals approaching the area.
- Establishment of a delay period of 20 minutes before the start-up (including soft start) after the last sighting of a marine mammal within the shutdown zone. Passive Acoustic Monitoring (PAM) will complement visual observation (with adapted devices that enable to detect animals when they vocalize, even when they dive for a long time) during night time and when visibility is too low to enable visual observations.
- Implementation of a soft-start procedure. Prior to acquiring data on each seismic line and after each break in operations, power will increase slowly in the seismic array over a period of at least 20 minutes (no longer than 40 minutes) to allow sensitive marine fauna to leave/avoid the area.

An 800-m radius exclusion zone will be used as well for turtles. Moreover, tail buoys tied to streamers will be equipped with a protection system on their propellers (such as Turtle Guard) in order to prevent turtles from being injured.

Figure 0-8 illustrates the shut-down and observations zones proposed for the project to mitigate the impact on marine fauna.

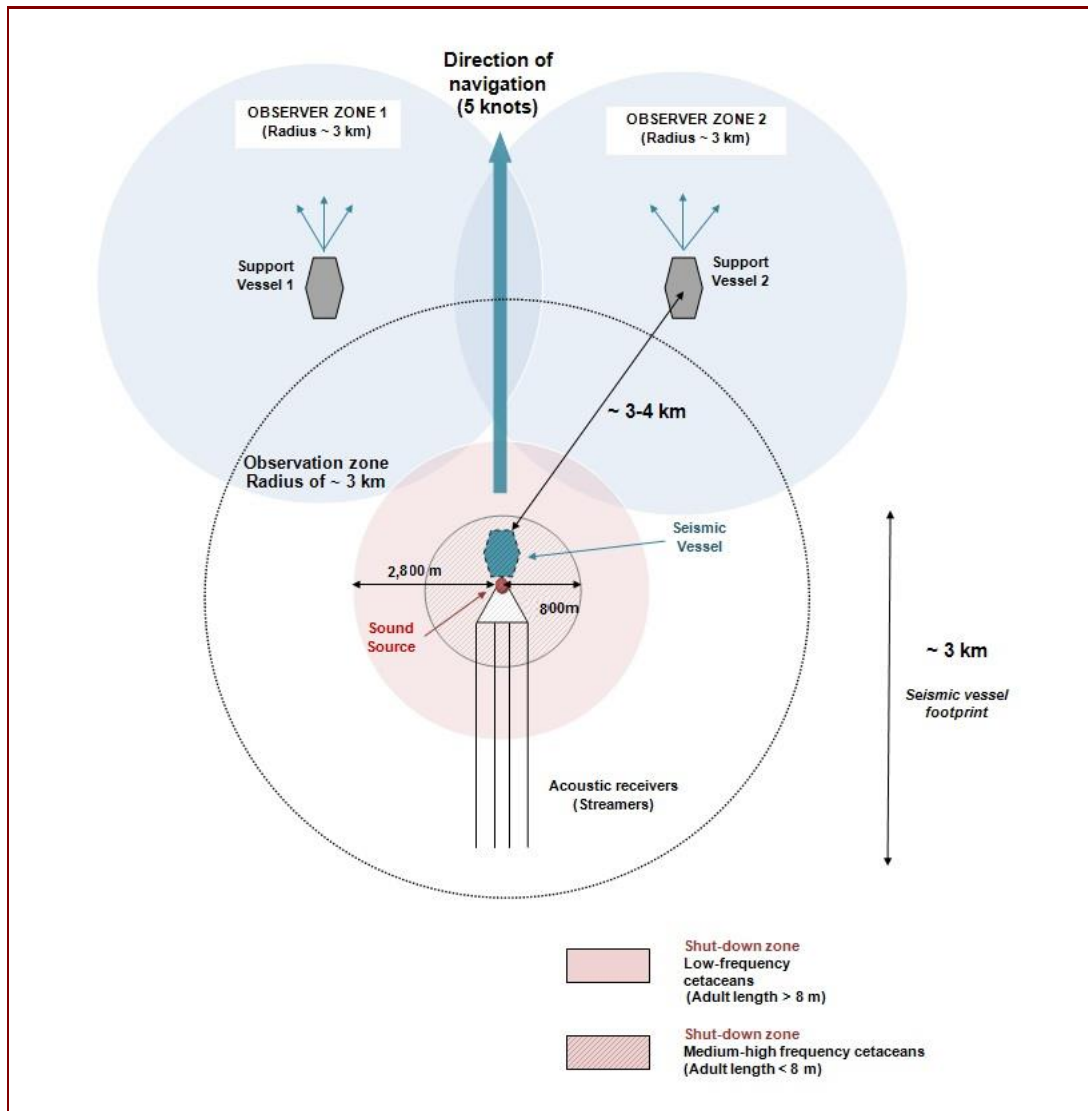


Figure 0-8 Diagram of proposed shut-down and observation zones for YWB seismic survey



0.5.2 **Socioeconomic impacts and associated measures**

**Table 0.2 Synthesis of potential impact : human component**

Description of residual impacts	Residual impact (R)
<b>Marine traffic</b>	
<p>Vessels presence on YWB block could disturb marine traffic. Prior to the operation, it will be necessary to inform contractor’s employees during HSE or Project inductions about the risks related to the presence of fishermen or commercial ships in the Project area and the mitigation measures planned. Furthermore, communication with Myanmar marine authorities (MPA, DMA, Myanmar Navy and coastguards) and the issuance of a notice to mariners about the seismic operation by marine authorities will be needed.</p> <p>During the seismic operation, the implementation of a safety zone will minimize disruption of marine traffic. Due to seismic vessel movement (approximately 5 knots), occupation of a same area will last only a few hours.</p> <p>Equipment of the seismic vessels with loud speakers to communicate with boats not equipped with radio will minimize the risk of collision with others ships present in the area. If the ship pursues its approach and does not respond to calls, chase vessels should make physical approach to inform the captain</p>	Negligible
<b>Fishing</b>	
<p>The project area could encroach on the commercial and offshore fishing zone. The fishing activity will be affected by seismic activities, mainly because of the presence of the survey vessel (for a short time at the same place), but also because of the procedures aiming at driving fish back from the main ship (soft start). Prior to the activity, flyers on the seismic campaign in Burmese and Thai languages will be prepared. A scouting survey enabling to assess the fishery activity at the seismic area, to be conducted before the seismic starts, is not planned for this project due to the low probability of encounters with fishermen and of the presence of fish traps.</p> <p>The position of the ship and prospected areas will be notified at any time to the marine authorities and main fishery stakeholders (DoF and MFF) during the survey, in order to minimize the obstacle to maritime activities.</p> <p>Boats are not really deviated from their fishing area due to the seismic vessel movement. Furthermore, chase vessels are used to monitor the presence of ships using radar or visual observation, and establish radio or loud speaker communication with the ship operator to inform him about the operation area and the need to reroute their boat. Chase boats will also be used in case of need to contact fishing.</p>	Negligible
<b>Local development</b>	
<p>Short duration of seismic project implies small solicitations of local suppliers.</p> <p>The recruitment of a Thai translator to participate to the survey and handle encounters with Thai fishermen is advised.</p> <p>At this stage, the impact on tourism industry is negligible. The potential disruption of cruise ships roads to Myanmar is negligible due to the survey vessel movements.</p>	Negligible

This initial environmental examination showed that there might be interactions between the project development and fishing activities. In order to avoid this impact, a communication plan with Maritime Authorities will be implemented to inform fishing boats of the seismic vessel position and of the safety distance that must be respected around it. Support ships will be used to communicate with fishing boats located around the main boat during operations.



To conclude, the impacts associated with these activities have a limited duration (90 days) and occur in a limited area (the vessels do not remain static). Thus, heavy and irreversible impacts are neither expected, nor long-term impacts significantly altering the environment or surrounding ecosystems.

## **0.6 ENVIRONMENTAL AND SOCIAL MANAGEMENT PLAN**

The Environmental and Social Management Plan will ensure that all identified mitigation measures in the IEE are implemented, in an appropriate planning.

It will include the following items:

- Health, Safety and Environment policy;
- Organization, resources and documentation;
- Hazard identification and risk management;
- Work procedures, change management and response in case of emergency situation;
- Operation monitoring system: reporting, and research on corrective actions;
- Waste management plan;
- Discharge management plan;
- Training program;
- Environment monitoring plan ;
- Socioeconomic program, including relationship with community, and navigation plan;
- ...





# SECTION 1. INTRODUCTION

## 1.1 CONTEXT

Myanmar is one of the world's oldest oil producers, exporting its first barrel in 1853. Rangoon Oil Company, the first foreign oil company to drill in the country, was created in 1871. Between 1886 and 1963, the country's oil industry was dominated by Burmah Oil Company (BOC), which discovered the Yenangyaung field in 1887 and the Chauk field in 1902. Both are still in production.

Currently, in the offshore, Total E&P Myanmar, Petronas Carigali Myanmar, Daewoo, PTT-EP, China National Offshore Oil Corporation, China National Petrochemical Corporation, Essar, GAIL, Malaysia's Rimbunam, India's ONGC, Silver Wave Energy, Australia's Danford Equities and Russia's Sun Itera Oil & Gas are exploring and/or developing 31 blocks.

In this context, in March 2014, Myanmar's Ministry of Energy (MOE) through its 100% state owned entity Myanmar Oil and Gas Enterprise (MOGE) has announced that Total E&P Myanmar was awarded the deepwater offshore block YWB (Cf. Figure 1-1). Total E&P Myanmar objectives are to evaluate the prospectivity of the East part of YWB Block, in particular to identify if there is an extension of the M11 anticlinorium; and the aim is to identify/obtain characteristics of potential hydrocarbons' reservoirs. To achieve this, Total E&P Myanmar plans to complete a seismic campaign, object of the present Initial Environmental Examination. Total E&P Myanmar is 100% operator of YWB Block and the validity of the YWB license is 2 years.

The original project is about a 3D seismic survey but TEPM could change and implement a 2D seismic survey instead. The environmental & social impacts of a 2D seismic campaign being lighter than those from a 3D one, it is assumed that if the 2D alternative is chosen, the mitigation measures will have to be the same as described for the 3D.

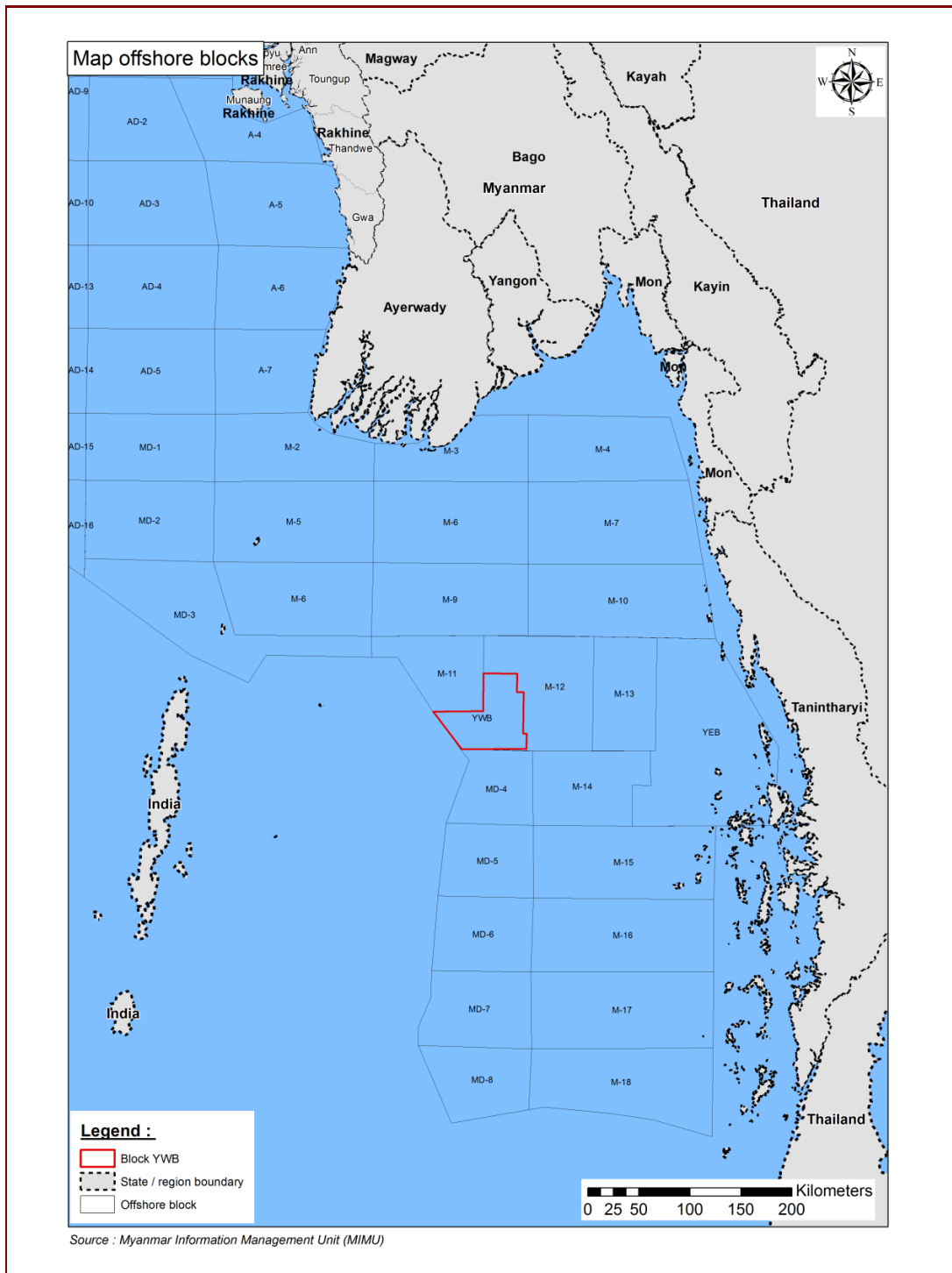


Figure 1-1 Myanmar Offshore Blocks



Since the preparation of Myanmar regulatory’s framework, which stipulates a formal requirement to undertake an Environmental and Social Impact Assessment (ESIA) or an Initial Environmental Examination (IEE), is still under progress and not officially issued, Total E&P Myanmar performed the present IEE based on the Myanmar draft procedure available.

## 1.2 PROJECT LOCATION

### 1.2.1 3D survey location

The seismic survey will be located about 250 km west of the Myanmar coast, within the YWB Block, in the Andaman Sea. The water depth in the area of prospect ranges from 200m to 2000m.

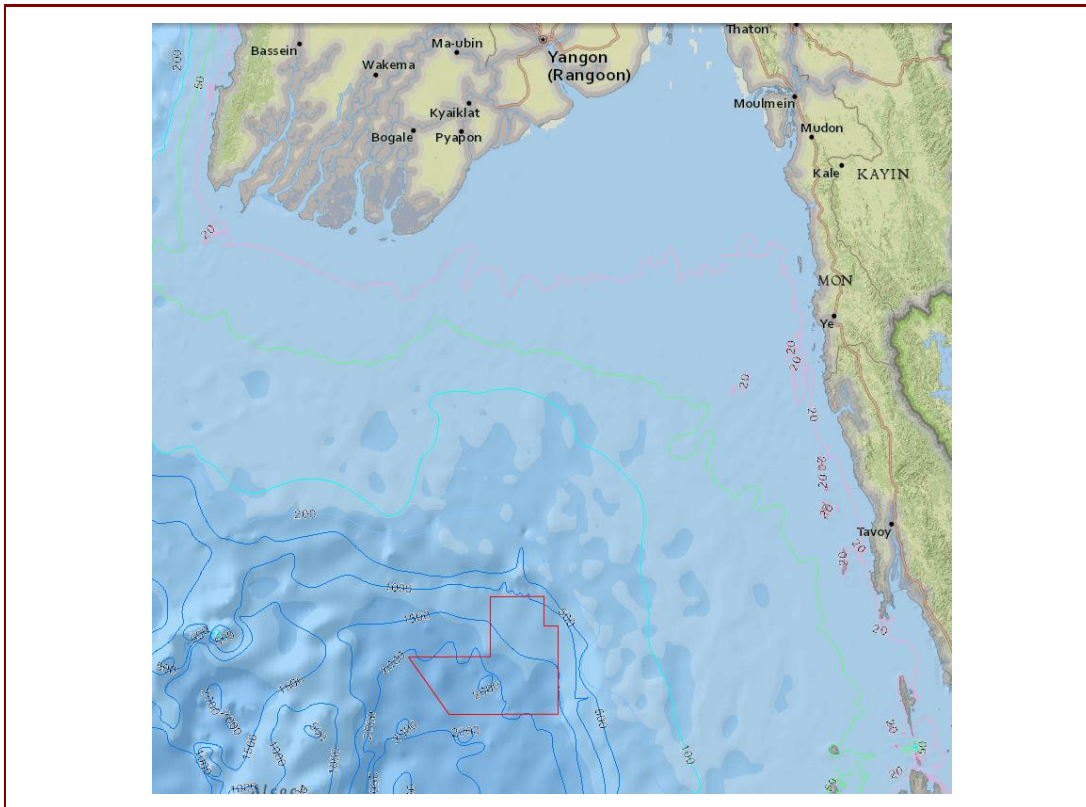


Figure 1-2 YWB Block location

SOURCE: Total E&P MYANMAR

YWB is situated at the interface between the Sunda Shelf and the bottom of the Andaman Sea, in the continental slope.

The coordinates of the 3D seismic campaign footprint are given in the table below. The first table presents the coordinates of the full fold area, where the seismic acquisition will occur, and the second table presents the navigation area with turns of seismic vessels during which no seismic activity will occur.



Table 1 1 Coordinates of the survey full fold area (Moattama 92 – UTM N46)

Point n°	Easting (X) in m	Northing (Y) in m
1	808936,30	1475762,18
2	800268,83	1475701,47
3	827026,91	1439421,33
4	854652,70	1439589,14
5	854070,89	1456327,68
6	808335,81	1523237,05
Navigation area		
Point n°	Easting (X) in m	Northing (Y) in m
7	794600	1478700
8	796300	1528100
9	807800	1527900
10	869300	1438600
11	861900	1427800
12	830600	1427900

SOURCE: Total E&P MYANMAR

It is not decided yet where the survey vessel will come from: Ranong (Thailand) or Yangon (or Myeik port, as an alternative option). The supply will likely be likely done from Yangon port (or Myeik port, as an alternative option).

TEPM's socio-economic program covers several regions and areas in Myanmar, including the Thaketa port (Yangon), which would facilitate the communication and ensure the stakeholders' agreement if this port is eventually chosen for the operation.

**1.2.2 2D seismic survey alternative location**

TEPM could chose to undertake a 2D seismic survey instead of a 3D one. This 2D survey would cover the whole YWB block. The coordinates of the alternative 2D seismic campaign navigation area are given in the table below.

Table 1 1 Coordinates of the survey full fold area (Moattama 92 – UTM N46)

Point n°	Easting (X) in m	Northing (Y) in m
B1	808312,182	1513839,06
B2	843349,841	1514274,96



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B3	843596,632	1495335,06
B4	853656,156	1495467,23
B5	854389,614	1439409,95
B6	785653,263	1438613,14
B7	759163,102	1475246,65
B8	808754,777	1475770,25

SOURCE: Total E&P MYANMAR

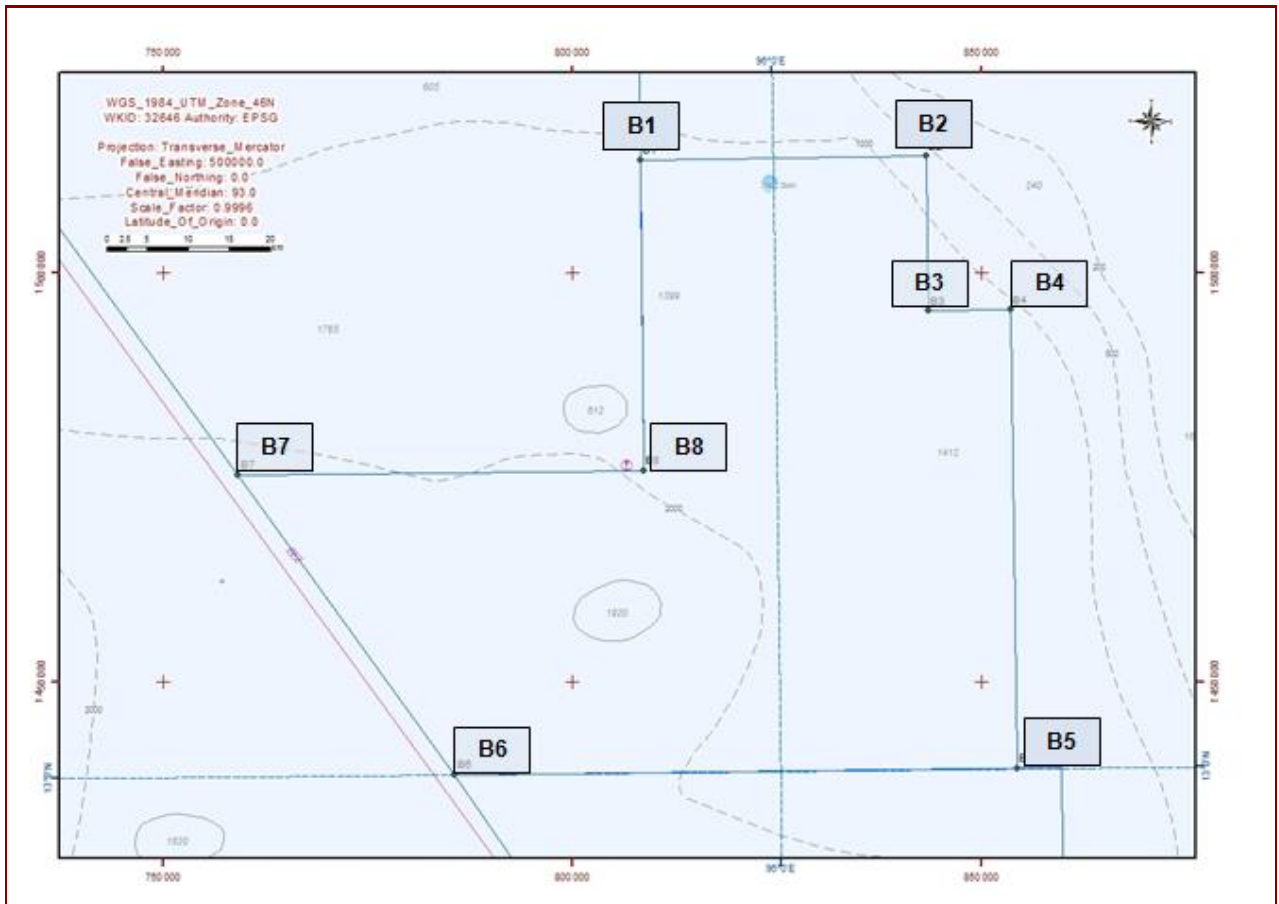


Figure 1-3 2D seismic survey location



### **1.3 OBJECTIVES OF THE IEE (INITIAL ENVIRONMENTAL EVALUATION)**

An Initial Environmental Evaluation is a report comprising an assessment of a proposed project that is prepared to aid environmental Authorities in determining whether the project affects the environment or existing socio-economic activities, and in deciding whether the project should be allowed or not. The form, content and structure of the report shall be in accordance with the Myanmar regulation (EIA Procedure), Total E&P Myanmar guidelines and international best practice, and include the Environmental Management Plan (EMP).

#### **Box 1. Content of IEE Report**

*The proposed IEE will comprise the following parts:*

- a) Project description in reasonable detail together with overview and layout maps;*
- b) Identification of the Project Proponent including the identification of the owners, directors (if any) and day to day management and officers of the Project Proponent;*
- c) Identification of the IEE experts, including which expert is responsible for which part of the IEE Report;*
- d) Description of the surrounding environmental conditions of the Project;*
- e) Identification and assessment of potential Adverse Impacts;*
- f) Results of the public consultation / public participation process and the Total E&P Myanmar's written response to comments received during that process;*
- g) The environmental protection measures of the Project;*
- h) The conclusion of the IEE;*
- i) The EMP; and*
- j) The persons, organizations and budgets needed for implementation of the EMP.*



# SECTION 2. DESCRIPTION OF THE PROJECT

## 2.1 SEISMIC SURVEY DESCRIPTION

The YWB survey area is located about 250km west of the Myanmar coast and 400km far from Yangon, within the YWB Block, in Andaman Sea. The 3D seismic campaign will cover around 2,200 km<sup>2</sup> (48% of the YWB surface). Within the project area, the bathymetry ranges from 200m to 2000m. The project is planned to begin on March 2016 and to take up to 3 months (maximum time for data acquisition). The location of Blocks YWB is shown on the figure below.

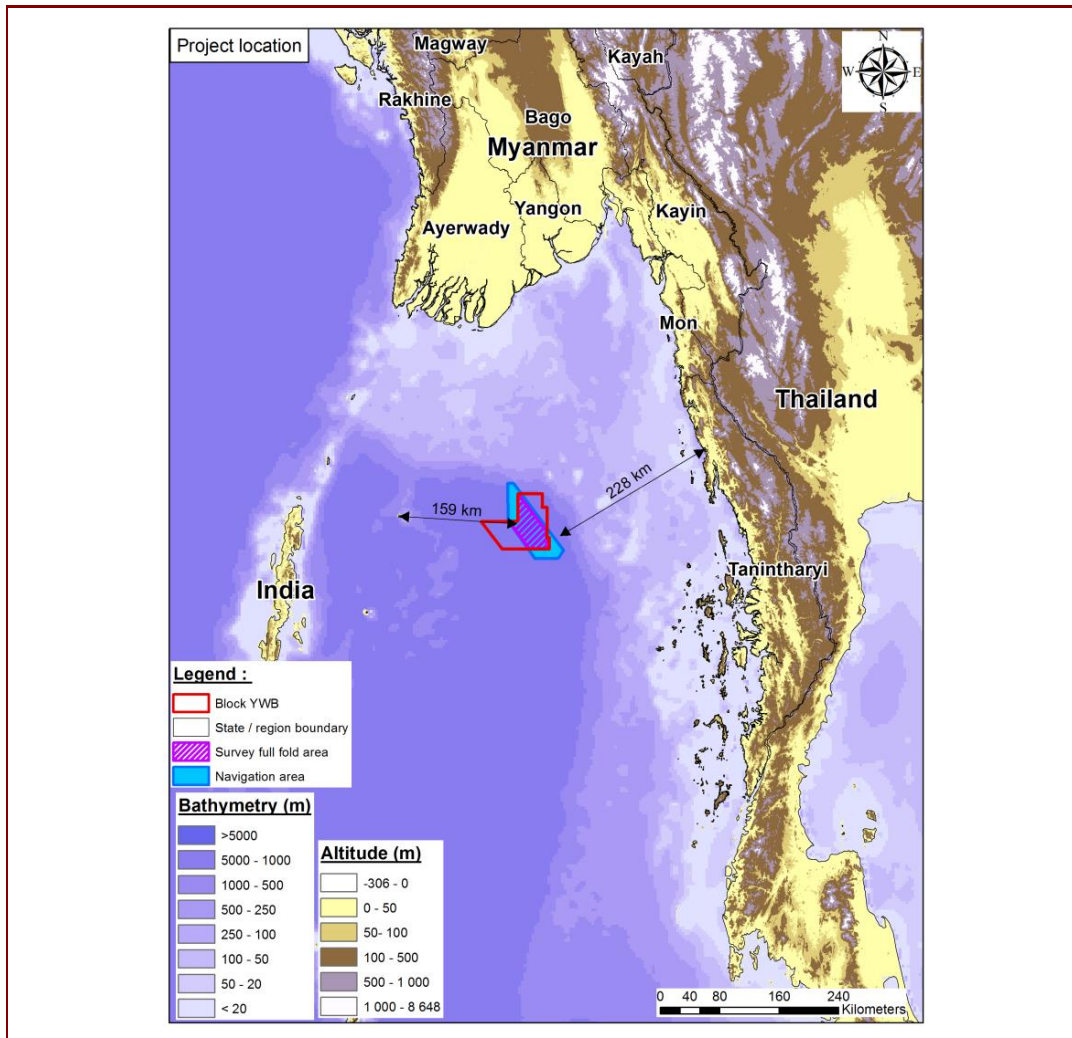


Figure 2-1 YWB Block location



### 2.1.1 General description of marine seismic surveys

Seismic surveys are carried out to allow the mapping of the subsurface geological formations and to allow the identification of potential hydrocarbon deposits.

Marine seismic campaigns use a combination of air guns or water guns towed astern a marine seismic vessel to produce seismic pulses below the water level. These pulses generate acoustic energy rays which are propagated towards the ocean floor. These waves are reflected back to the water surface by the different geological formations in the earth's crust and are detected and recorded over a predetermined time period by underwater microphones (hydrophones). The data recorded is stored for later analysis and the generation of seismic data profiles which can be interrogated to determine the presence of potential hydrocarbon reserves.

An example of the marine seismic data acquisition technique is given Figure 2-2, with an aerial view of a seismic vessel in operation.



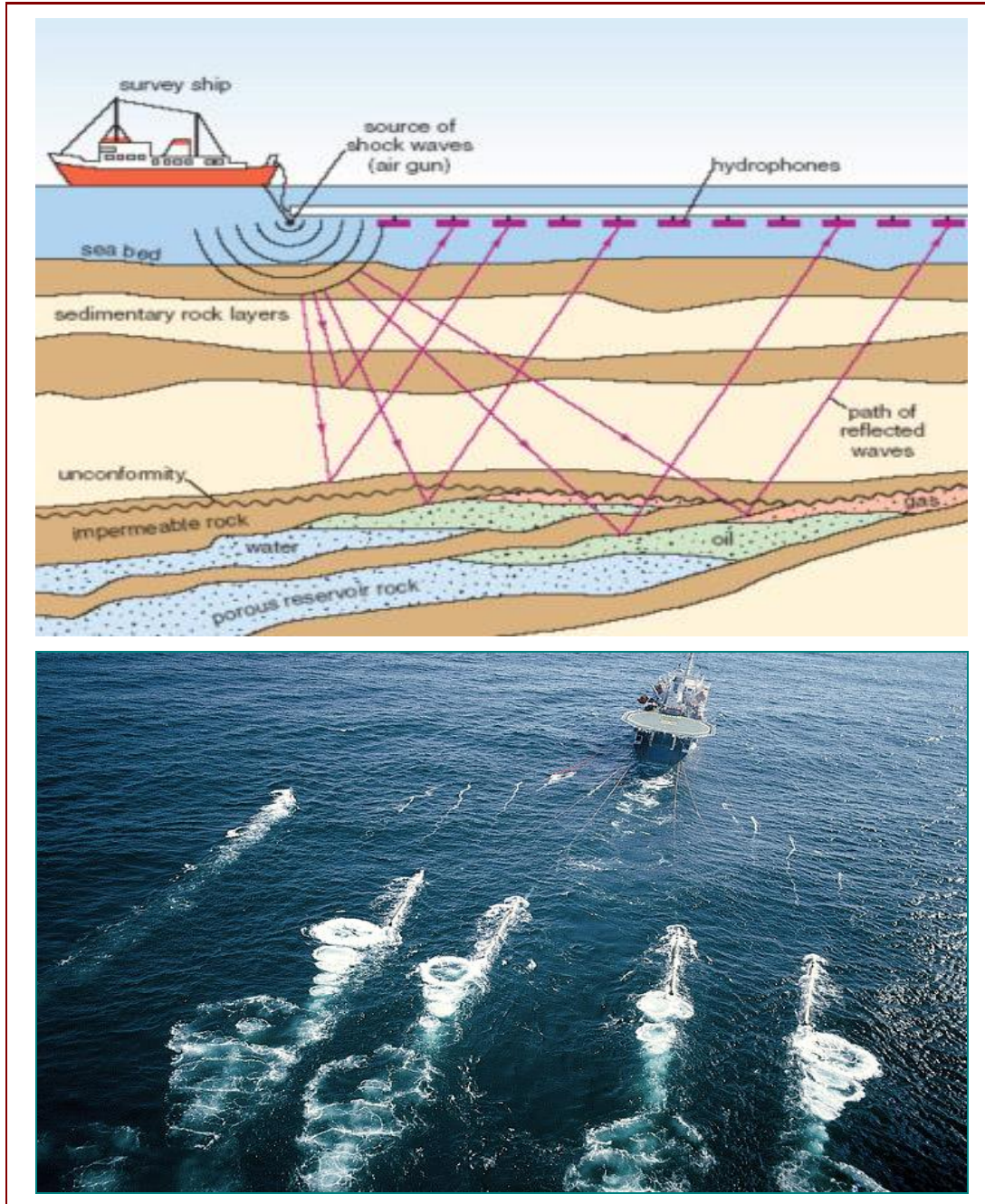


Figure 2-2 Technique and example of data acquisition during a marine seismic survey

SOURCE: [HTTP://WWW.OPEN.EDU/OPENLEARN/SCIENCE-MATHS-TECHNOLOGY/SCIENCE/ENVIRONMENTAL-SCIENCE/EARTHS-PHYSICAL-RESOURCES-PETROLEUM/CONTENT-SECTION-3.2.1](http://www.open.edu/openlearn/science-maths-technology/science/environmental-science/earths-physical-resources-petroleum/content-section-3.2.1)



### 2.1.2 Description of 3D seismic surveys

In 3D surveys several hydrophone streamers are towed behind the survey vessel, together with generally dual sources. This technique is the one proposed for the exploration of the YWB Block.

Since seismic waves travel along expanding spherical wave fronts they have surface area. A truly representative image of the subsurface is only obtained when the entire wave field is sampled. A 3D seismic survey is more capable of accurately imaging reflected waves because it utilizes multiple points of observation. Multi streamer or multi source surveys allow for a range of different angles (azimuth) and distances (offset) to be sampled resulting in a volume, or cube, of seismic data.

This allows for a more detailed and accurate delineation of the boundaries and extent of the subsurface geological structures. Potential oil and gas reservoirs can be imaged in three dimensions allowing interpreters to view the data in cross-sections along 360° of azimuth, in depth slices parallel to the ground surface, and along planes that cut arbitrarily through the data volume. Information such as faulting and fracturing, bedding plane direction, the presence of pore fluids, complex geologic structure and detailed stratigraphy are now commonly interpreted from 3D seismic data sets.

A 3D survey data is normally acquired as shown in Figure 2-3, with a 'racetrack' pattern being employed to reduce the time necessary to turn the vessel while allowing adjacent lines to be recorded with the data in the same direction. This minimizes processing artifacts, which could adversely affect the interpretation of the data. In general, the survey area is broken into areas in which swathes of lines are completed in phases.

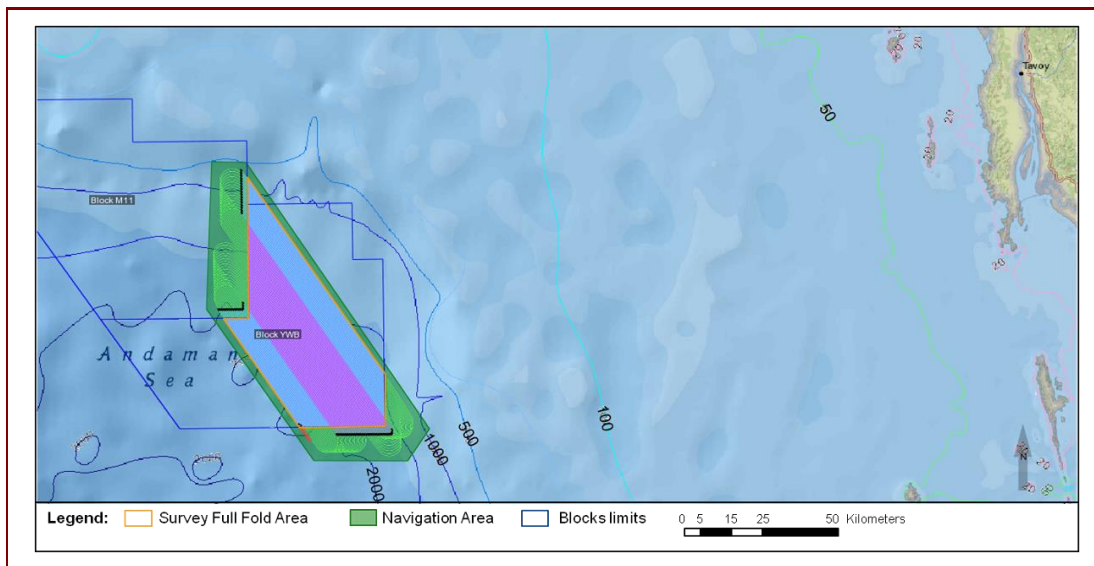


Figure 2-3 Racetrack shooting pattern for 3D seismic survey

SOURCE: Total EXPLORATION & PRODUCTION

Seismic acquisition will only take place inside YWB block. While the seismic vessel carries out its turn outside the YWB block, no airgun will be in activity.



2.1.3 **Description of the alternative 2D seismic survey**

A 2D seismic survey is basically the same process as a 3D seismic survey, except that only one to 4 hydrophone streamers are towed behind the survey vessel, together with a single source.

The alternative YWB 2D seismic survey would use only one streamer and would follow the pattern as shown in Figure 2-3.



Figure 2-4 Racetrack shooting pattern for the 2D seismic survey (Alternative)

SOURCE: Total EXPLORATION & PRODUCTION

As it follows a less dense “racetrack”, the 2D alternative survey would be completed much faster than the 3D survey: only one month. The vessel would travel only 2000 km within the YWB block, which would reduce the impact compared to the 3D survey.

With only one source and one streamer, on a shorter period of time (less kilometers crossed), the environmental & social impacts of the 2D seismic alternative are lighter than those from the 3D survey, so it is assumed that if the 2D alternative is eventually chosen, the mitigation measures will have to be the same as described for the 3D.



#### 2.1.4 YWB Block

The YWB SURVEY is located about 250 km west of the south-east Myanmar coast. Very few vintage 2D lines were acquired in the area during the 70s. The water depth ranges from 200 m to around 2000 m. The survey area will be approximately 2,000 km, covering the entirety of YWB Block.

The targeted structure is the result of the rifting in the Andaman Sea and is consequently highly faulted. The aim of such an acquisition will be the detection of structural traps within the Plio-Pleistocene sequence. It is therefore essential to obtain a good structural image with a clear delineation of potential reservoirs.

The YWB block is described in details in the section 5 “Description of the Environment”

## **2.2 SEISMIC ACQUISITION EQUIPMENT**

A seismic survey system is mainly composed of the following equipment:

- Seismic vessel and support vessels;
- Seismic sources (airguns);
- Seismic receiver cables (streamers).

The survey is completed in three steps: (i) deployment of the streamers (ii) initialization of the airgun firing sequence and carrying out of the seismic survey and (iii) recovery of the streamers.

#### 2.2.1 Master seismic vessel and chases/support vessels

The marine streamer vessel will be manned by up to 60 personnel and the support vessels manned by up to 20 persons maximum each. There will be one supply vessel and one chase boat.

The vessels are equipped with accommodation and supplies' quarters. The marine streamer vessel is equipped with a helipad that will serve for crew change and in case of medical evacuation necessity. The vessels are equipped with modern navigation equipment including radar, sonar, current meter, speed log, communication equipment and propulsion systems as well as independent energy production capabilities.

The streamer vessel will comprise an instrument's room where the main seismic instrumentation is housed, including energy source firing.

The back deck serves the purpose of storing, retrieving and deploying seismic equipment. The energy source equipment (air guns) is generally located here as well as the air feed from seismic vessel compressors. The towing equipment is located here as well allowing for an accurate positioning behind the vessel and various operating conditions.



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A compressor room contains the compressor engines and the compressors that supply high pressure air to the seismic sources. The compressor allows the continuous firing of seismic sources typically every ten seconds during data acquisition (12 to 24 hours/day).

It is likely the marine streamer vessel will be the POLARCUS ASIMA. Figure 2-5 provides a photograph of this marine streamer vessel.

The vessels will follow the MARPOL guidelines.



Figure 2-5 Photographs of the marine streamer vessel

SOURCE: Total EXPLORATION & PRODUCTION / POLARCUS

It is not decided yet where the survey vessel will come from: Ranong (Thailand) or Yangon (or Myeik port, as an alternative option). The supply will likely be done from Yangon port (or Myeik port, as an alternative option).

General characteristics of the vessels to be used for the campaign are presented in the below table (see Table 2-1).



Table 2-1 Characteristics of vessels for the seismic campaign – generic characteristics

General information of the seismic vessel	
Length	92 m
Fuel consumption	40 m <sup>3</sup> /day
Crew	60
Survey speed	4.5 knot
Turning speed	4.3 knot
General information of the supply and chase vessels	
Fuel consumption	Maximum 10 m <sup>3</sup> /day per vessel
Crew	Maximum 20 persons per vessel
Working speed	10 knots

SOURCE: Total EXPLORATION & PRODUCTION

The supply vessel and the two chase boats are also not defined yet; therefore, generic characteristics are given on Table 2-1. Figure 2-6 provides photographs of typical marine support/supply vessels.



Figure 2-6 Photographs of support vessel (on the left) and chase vessels (on the right)

SOURCE: Total EXPLORATION & PRODUCTION



### 2.2.2 Seismic source for seismic survey

The seismic source for this project will be generated using airguns which emit pressurized bubbles to create powerful sound waves.

The air gun is composed of two high pressure air chambers; an upper control chamber and a discharge chamber (refer to Figure 2-7). A compressor situated on the seismic vessel supplies high pressure air (**source pressure: 2000 psi**) to the upper control chamber via an air hose which feeds into the lower firing chamber via an orifice in the shank of the shuttle. The airgun is actuated by sending an electrical pulse to the solenoid valve which opens and allows the high pressure air to flow to the underside of the piston. The high pressure air is then discharged into the surrounding water through the airgun ports. The air from these ports forms a bubble which oscillates according to the operating pressure, the water depth and the temperature and volume of air vented into the water. The shuttle is forced back into its original position by the high pressure air in the control chamber so that once the discharge chamber is recharged the airgun is ready for firing. This process is very rapid, taking only a few seconds to recharge.

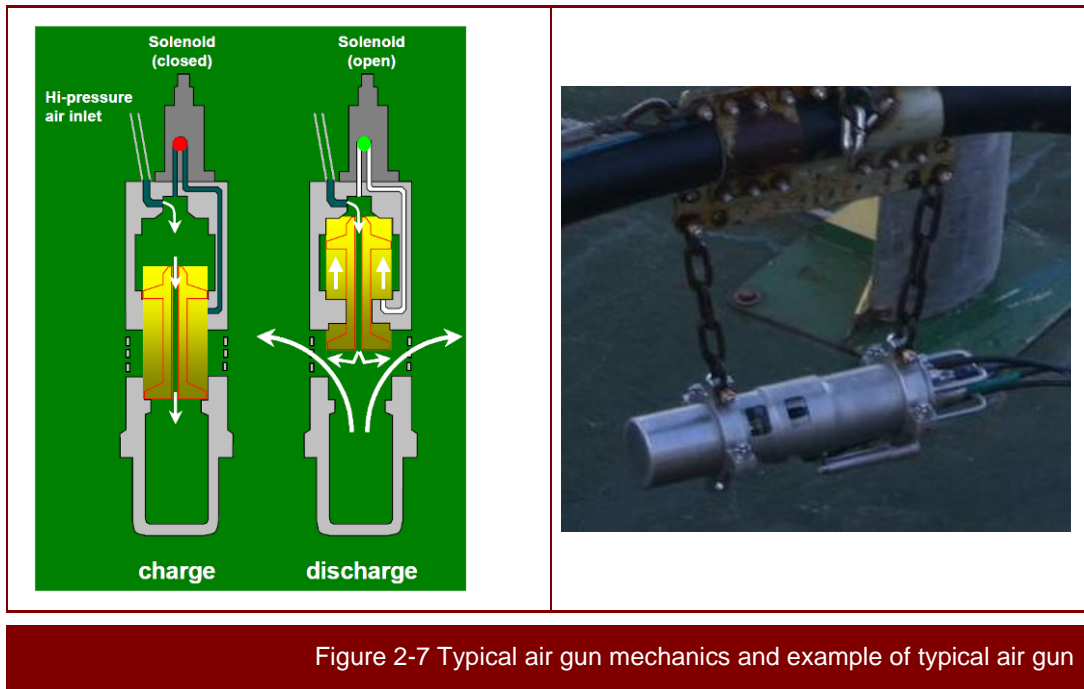


Figure 2-7 Typical air gun mechanics and example of typical air gun

Airguns are operated in groups (or sub-arrays) which are suspended from flotation devices to maintain the specified operating depths behind the marine vessel. The effect of having several airguns operating simultaneously as a series of sub-arrays (gun array) is to attenuate the emitted sound level by the oscillation of the bubbles to obtain the shortest acoustic signal possible.

Airguns are capable of providing information about geological structures until 10 km below the sea floor.

For this project, two seismic sources will operate in “flip-flop” mode (i.e alternatively). Each source will be composed of three sub-arrays of 9 airguns that will be operating at an air pressure of 2000psi. The total volume of the source arrays anticipated reaches 3,600 cubic inches. During each transit, a seismic shot is executed approximately every 10sec.

The characteristics of the seismic sources are summarized in Table 2-2.



Table 2-2 Characteristics of airguns

Number of sources	2
Source Centre Separation	50 m
Shot interval per Source	25 m flip-flop
Operating Air Pressure	2,000 psi
Source volume	~ 3,600 cu inch
Cross-line separation between sub-arrays	8 m
Source Depth	6 m
Vessel speed during seismic acquisition	4.5 knot

SOURCE: Total EXPLORATION & PRODUCTION

The figure below shows the configuration of a gun array.

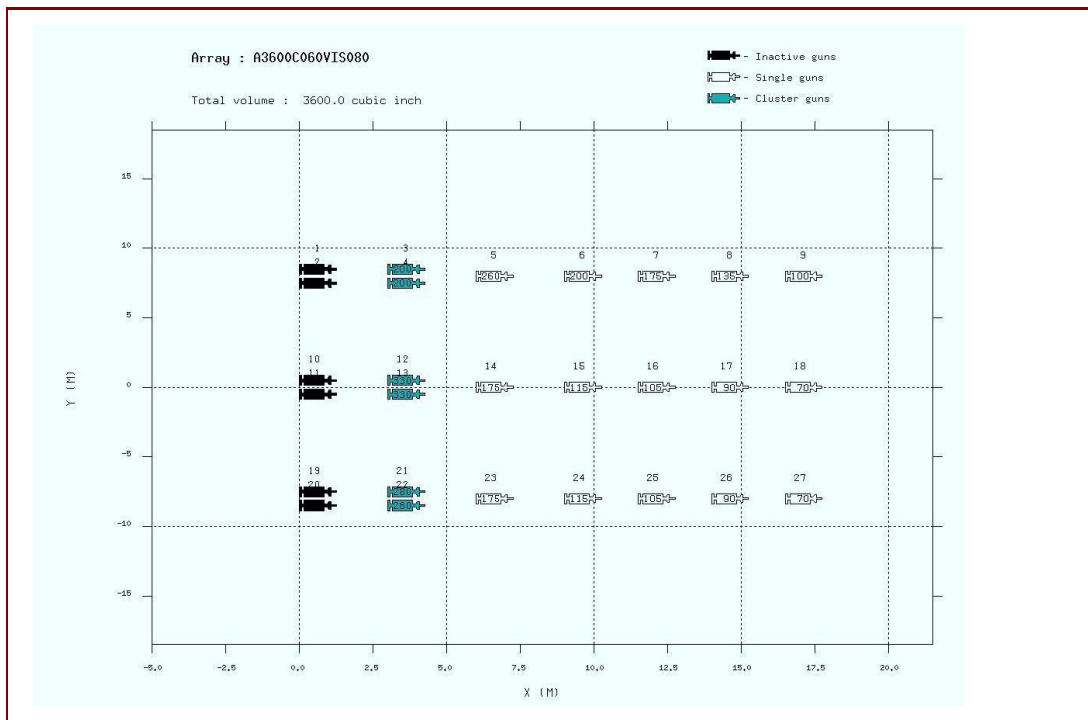


Figure 2-8 Gun array layout

SOURCE: Total EXPLORATION & PRODUCTION

2.2.2.1 Principles of underwater acoustics

Sound in the ocean travels as vibrations of water molecules that exert push-pull pressure on objects in their path. The properties of these vibrations are important in determining the impact on receivers:

- The frequency or rate of oscillation is measured in cycles per second or hertz (Hz). Ultrasonic frequencies are too high to be heard by humans (>20,000 Hz) but may be heard by some animals





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such as dolphins and bats. Infrasound is too low to be heard (<20 Hz) but can be heard by baleen whales (Richardson *et al.*, 1995).

- The wavelength is the length of the sound oscillation.

Sound pressure expressed in pressure units, microPascal ( $\mu\text{Pa}$ ), is the parameter measured by most instruments.

Acoustic intensity is the acoustic power per unit area in the direction of propagation (units:  $\text{watts/m}^2$ ). The intensity, power and energy of an acoustic wave are proportional to the average of the pressure squared (mean square pressure).

The human ear responds in a logarithmic fashion to increases in sound intensity, therefore this scale has been adopted to reflect this response. The decibel scale is a logarithmic scale used to measure the intensity (power) of sound. It is defined as:

- $\text{dB} = 10 \log_{10}(I/I_0)$ , where  $I_0$  is a reference intensity.

However sound measuring devices usually respond to sound pressure ( $P$ ) and the intensity of sound varies as the square of the pressure. Consequently, the level of sound intensity can be rewritten as:

- $\text{dB} = 20 \log_{10}(P/P_0)$ , where  $P_0$  is a reference pressure.

The reference pressure ( $P_0$ ) is chosen to indicate the limit of human hearing and is:

- 20  $\mu\text{Pa}$  in air;
- 1  $\mu\text{Pa}$  in water.

The logarithmic decibel scale allows a large range of values to be represented by smaller numbers. For example a doubling of the pressure represents approximately 3dB.

In water, the acoustic signals emitted by airguns generally have a sinusoidal form constituted by a peak and dip in pressure. The intensity is usually expressed in dB re 1  $\mu\text{Pa}\cdot\text{m}$ , which represents the sound power at 1m of the source.

Figure 2-9 illustrates the waveform of an airgun which is a typical pulsed output. The sound can be characterized by the following parameters of the Sound Power Level (SPL):

- Peak-to-peak (P-P) or Zero-to-peak pressure (0-P) (dB re 1  $\mu\text{Pa}\cdot\text{m}$ ): this considers the change in amplitude (pressure) of a sound wave, being respectively the maximum pressure of the rising part of the wave and the sum of the pressure of first peak plus the absolute value of the first trough.
- RMS (Root Mean Square) (dB re 1  $\mu\text{Pa}\cdot\text{rms}$ ): measures the total sound intensity, and then, divides it by the length of the signal. In other words, it expresses the average peak pressure over the duration of the sound pulse. Acoustic power, intensity and energy are proportional to the mean squared pressure.
- SEL (Sound Exposure level): measures the energy of a signal split up into one second. It involves a correction of the mean square calculation to account for the difficulty in determining signal duration. Behavioral response may be correlated with SEL, in particular for single pulse (SELOp) and multi pulse (SELmp) sources. In the case of multiple pulse sources, like seismic sources (one pulse per 5 seconds), SELmp is the sum of the energy during the supposed contact between de sources and the receiver.
- The noise level at a given frequency: usually the frequency at which the transmitted sound power is a maximum. In this case, the unit is "maximum amplitude" a  $\mu\text{Pa}$  in dB/Hz.

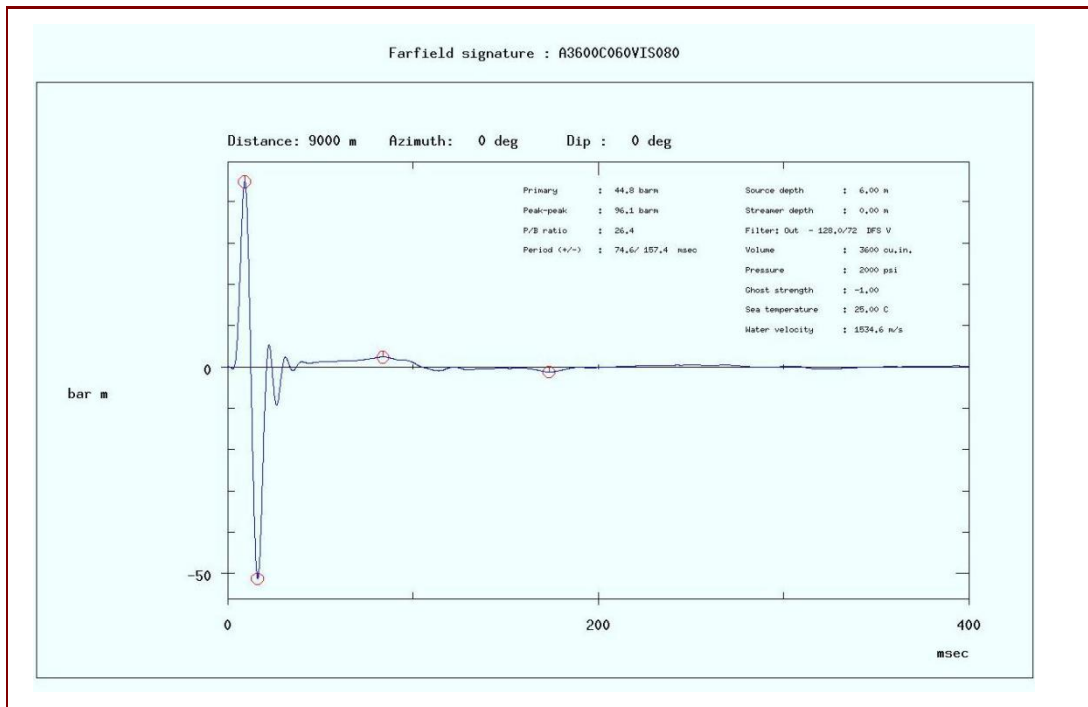


Figure 2-9 Pulsed sound from an air gun array expected to be generated during the project

SOURCE: Total EXPLORATION & PRODUCTION

Generally used by biologists, the parameter dB-RMS (but not only) will be used in this report. Furthermore, these measures will be applied for the protection of aquatic species during this study.

2.2.2.2 Acoustics of seismic source

It is assumed that the characteristics of the specific source signature of YWB project are as follows:

- **Intensity:** characteristics are summarized in Table 2-3.

Table 2-3 Characteristics of source signature intensity	
Initial positive peak (0-P)	48.05 bar.m
Primary peak to peak (P-P)	96.1 bar.m
SPL (0-P)	254 dB re 1 $\mu\text{Pa}\cdot\text{m}^{-1}$
SPL (P-P)	260 dB re 1 $\mu\text{Pa}\cdot\text{m}^{-1}$
SPL RMS (20 ms)	251 dB re 1 $\mu\text{Pa}\cdot\text{m}^{-1}$
SELOp (one pulse)	234 dB re 1 $\mu\text{Pa}^2\cdot\text{s}^{-1}$
SELMp (4320 pulses over 6 hours)	262 dB re 1 $\mu\text{Pa}^2\cdot\text{s}^{-1}$

Note 1: dB at 1 metre

- **Frequency:** Spectrum bandwidth at - 6 dB is [7 Hz - 100 Hz]. Figure 2-10 presents the source bands present in the airgun source spectrum. Note that typical source output of approximately 210 dB relative to 1 uPa/Hz at 1 metre, which is in the order of 40 bars of pressure (0-P).

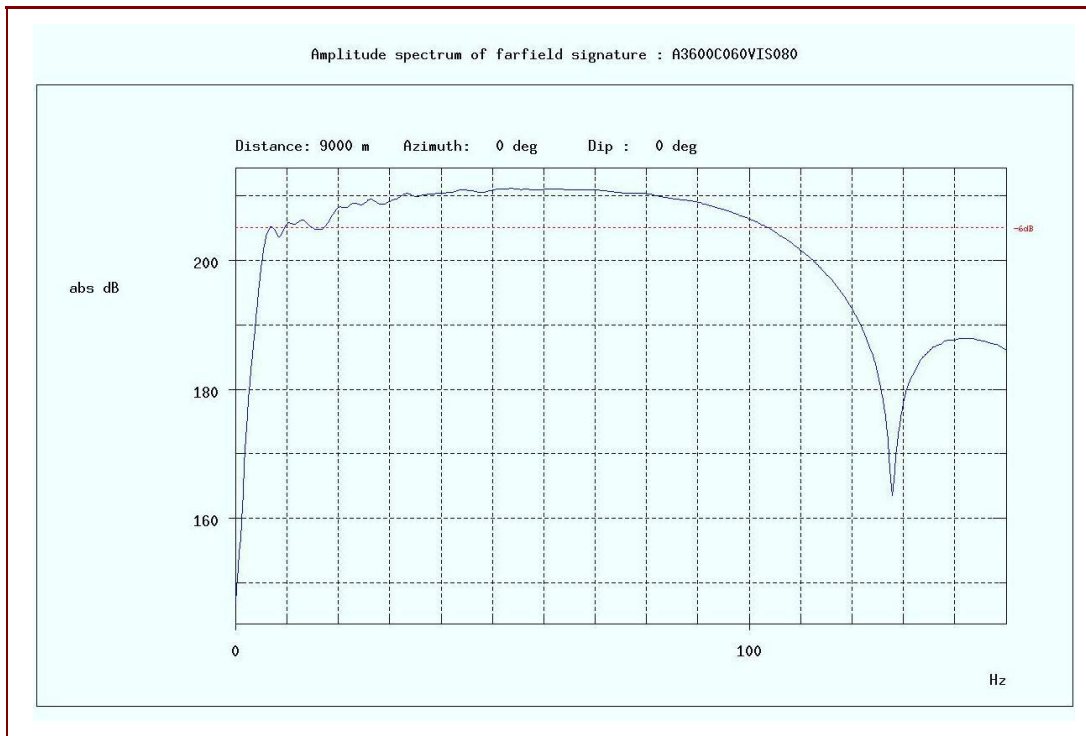


Figure 2-10 Acoustic spectrum in water

### 2.2.2.3 Acoustics attenuation in water and sound spreading

When sound propagates in seawater, the sound intensity reduces with the distance  $R$  from the source. This is due to (i) the absorption of sound energy by water and (ii) energy loss from sound spreading with increasing distance from the source.

- Sound energy absorption in water: has been quantified by numerous studies as approximately 0.005 dB/km for low frequency sounds such as those of the seismic source. This attenuation is thus considered to be negligible.
- Sound spreading losses: result from the diminution of intensity with distance from the source, as the total amount of acoustic energy remains the same as it spreads out. This sound attenuation or transmission loss (TL) is defined as

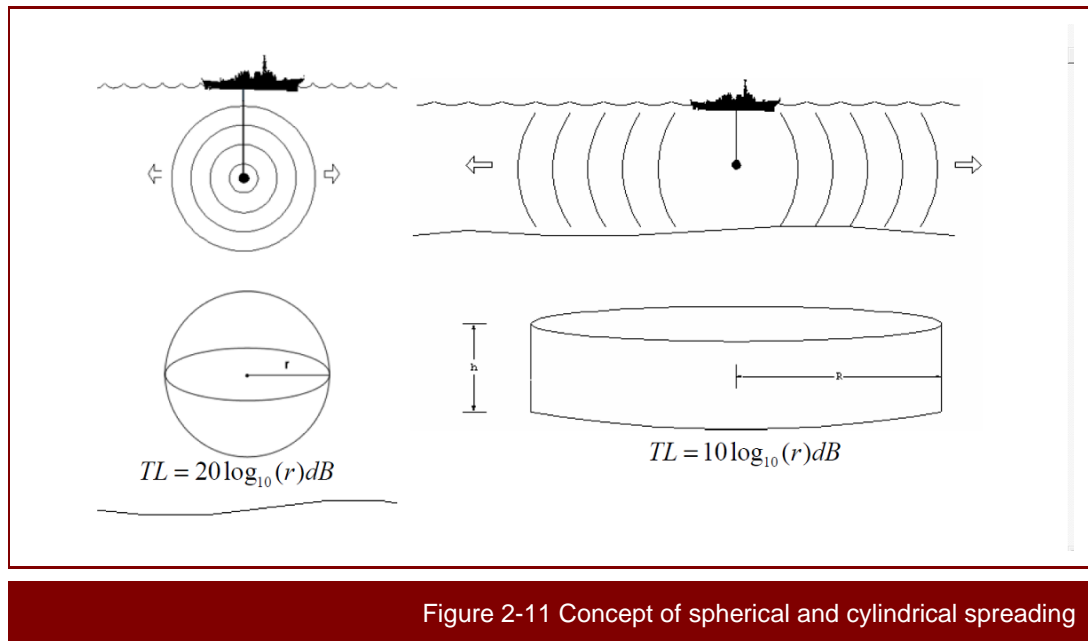
$$TL = n \log R \text{ in dB re } 1 \mu\text{Pa.}$$

The value  $n$  is a specific variable to denote the characteristics of the attenuation depending on the type of spreading and the site specific conditions.

Cylindrical and spherical spreading are two simple approximations used to describe how sound level decreases as a sound wave propagates away from a source. Spherical spreading describes the reduction in level when a sound wave propagates away from a source uniformly in all directions, such as for a sound source at mid-depth in the ocean.

Beyond some range, the sound will hit the sea surface or bottom. This can be represented by cylindrical spreading in a zone with upper and lower boundaries. The assumption is adopted that sound is distributed uniformly over the surface of a cylinder having a radius equal to the range  $r$  and a height  $H$  equal to the depth of the ocean.

The concepts of spherical and cylindrical spreading are shown in Figure 2-11.



SOURCE: IFREMER

The theoretical value of  $n$  is 20 for spherical attenuation and 10 for cylindrical attenuation. In field,  $n$  values are demonstrated to be superior to theoretical values, in particular for cylindrical attenuation. These differences depend mainly (i) of sound absorption by sea water for medium frequencies (spherical/cylindrical attenuation) and (ii) by the absorption of the sound by the bottom of the sea and the water/air mirror at sea surface. For the calculation of the exclusion zone,  $N$  has been taken as a fixed value (i.e calculations have been made with  $N=20$  (Cf. Figure 2-11).

The sound pulses for the scenario with  $n=20$  usually decrease to levels in the order of 190 re 1  $\mu\text{Pa}\cdot\text{m}$  within 1 km of the source and approximately 185 dB re 1  $\mu\text{Pa}\cdot\text{m}$  within 2 km (Acoustic Ecology Institute, 2005).

The sound power emitted from the 3 airguns array is spread over about 260  $\text{m}^2$ . Within a radius of fifty meters from the airguns, the sound pressure level is significantly lower than that predicted by a point source representing the total power emitted by the airgun array. Thus, model noise predictions are only valid at distances exceeding 100 meters from the center of the airgun array.

The sound waves produced by a typical seismic source, such as airguns, usually have a moderately strong directivity component (refer to Figure 2-12). Thus perceived sound power levels can fluctuate significantly at locations situated at a fixed radius from the source with different vertical angles.

Airguns are designed to transmit the maximum power towards the seafloor using low frequencies of between 5 to 100 Hz with the result that source signals are much lower near the surface than on the vertical axis. For frequencies exceeding 100 Hz, the directional component of directivity varies, but is particularly focused.

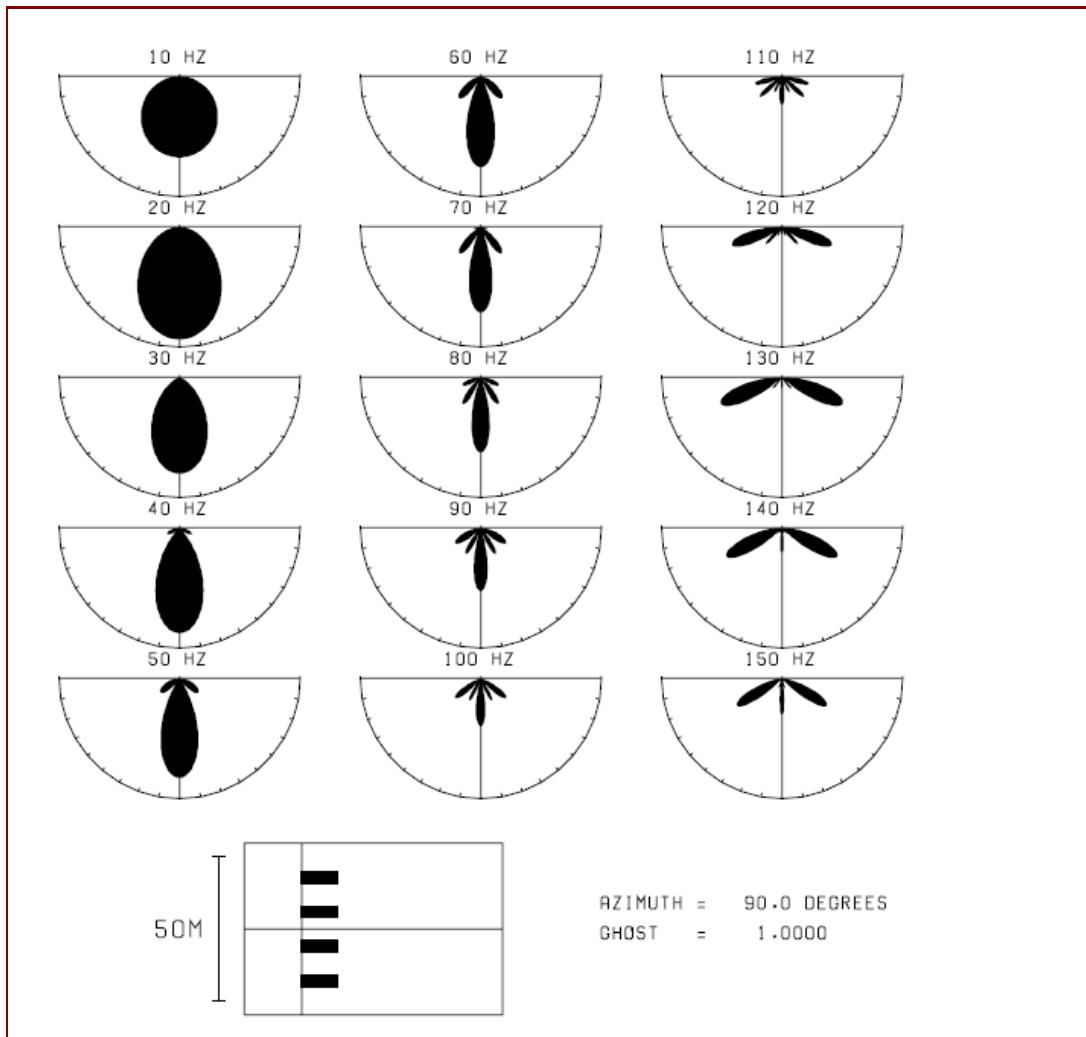


Figure 2-12 Graphical representation of airgun array acoustical spectrum in water

### 2.2.3 Streamers

The seismic receiver cables or streamers comprise an array of marine receivers which are towed by the marine vessel. Streamers consist of long net-like bands with marine receivers evenly spaced along their lengths, which listen for seismic echoes caused by the firing of the airguns. The marine receivers are composed of piezoelectric hydrophones, which respond to changes in water pressure and measure the acceleration of the medium as a seismic wave passes through it. The echoes recorded by the hydrophones are collected into an analogue signal, converted to digital format and relayed to the computers on the vessel.



Table 2-4 Characteristics of the streamers

Streamer length	6000 m
Number of Streamers	10+2=12
Streamer separation	100 m

SOURCE: Total EXPLORATION & PRODUCTION



Figure 2-13 Typical seismic receiver cable

The streamer cables are filled with electrical isolating fluid, with a specific gravity of less than one, to make the streamers neutrally buoyant. Historically, this fluid has been organic compound such as kerosene since it would evaporate in the event of leak. More recently synthetic fluids tend to be more commonly used. The last generation of streamers, solid section streamers, constructed of extruded foam minimized or remove the requirement for isolation fluid. These materials are more robust and resistant and do not leak when damaged. Another recent variation of this equipment is the gel filled cables.

The present project will use solid section streamer.

**2.2.3.1 Vessel steering and positioning**

The configuration of the streamers for optimal surface coverage has been determined and constitutes fan shooting mode. This will be tested during the mobilization phase of operations.

An example of the fan shooting geometry for the streamers is shown in figure below.

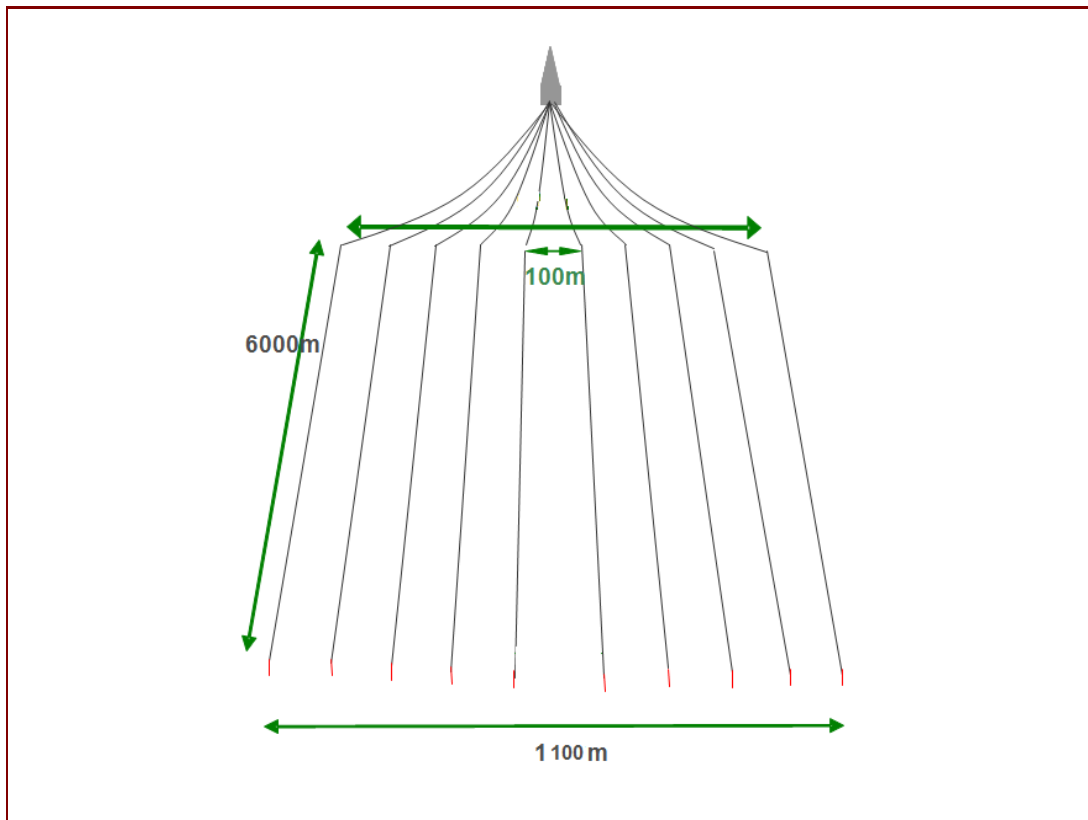


Figure 2-14 Fan mode geometry

Steering pieces of equipment are of two types: depth control units and bird and lateral control birds. The accuracy of such system is typically +/- 1m. The wings of the bird are electronically control to pivot in response to the hydrostatic pressure measured by a pressure transducer. As the streamer is weighted to be neutrally buoyant, the birds are used to counteract depth variation in the streamers introduced by vessel pitching moments in heavy weather or when different currents are experience, with corresponding fluctuation in density and/or temperatures.

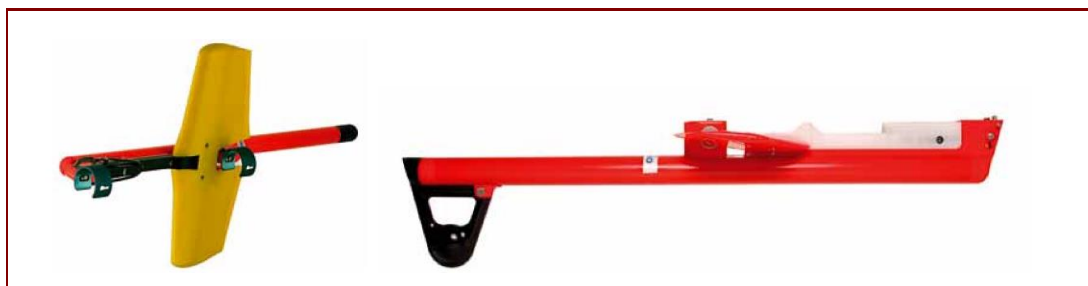


Figure 2-15 Birds

SOURCE: OGP, 2011



### 2.2.3.2 *Ancillary equipment and onshore support*

Support vessels for this project will include 1 supply vessel, and 1 chase vessel which will accompany the marine vessel to monitor the survey zone and to provide any emergency assistance required (Cf. § 2.2.1).

The only expected onshore activities proposed for this seismic survey will be associated with the support vessel, which may visit the port Yangon to re-supply the seismic vessel with food and fuel. The main survey vessel will be bunkered (refueled) at sea in the 3D seismic area if necessary, however in general these marine vessels are selected for their self-sufficiency over long durations and it is assumed no crew changes will be effected.

The seismic vessel might originally come from Ranong (Thailand) or Yangon (Myeik could also be an alternative option).

Tracking for seismic fleet will be undertaken using an online satellite positioning system (SATPOS system or equivalent).

### 2.2.3.3 *Positioning systems*

Tail buoys will be used to position 2 independent dual frequency Differential Global Positioning (DGPS) systems. Calibration and validation of the positioning systems will be undertaken as part of the mobilization phase. These DGPS will record pitch, roll and heave at around 1 minute intervals; the height will be corrected from this data and these results will be GPS time stamped.

### 2.2.3.4 *Additional equipment*

It is critically important for the success of a seismic survey to know very precisely the location of sources and receivers. To achieve this compasses, which measure the deviation of the streamer relative to the magnetic North, are placed every 300m on the streamer. Acoustic ranging units are used to provide additional positional information. These are attached to the hull of the vessel, the source floats, the streamers themselves and the tailbuoys. The acoustic units operate to high acoustic frequencies, 10 to 100 khertz, with a maximum output SPL of approximately 195 dB re 1 $\mu$ Pa at 1m. They provide range information up to approximately 1 km, after which distance the received sound pressure levels of these signals are attenuated to background levels.

Sea water temperature and salinity measurements shall be performed on regular basis throughout the survey and a current meter shall be available on-board the seismic vessel.

**The equipment used by the contractor is described in detail in the APPENDIX L**

## **2.3 TIMING OF ACTIVITY**

The seismic campaign is planned to begin on the beginning of 2016 with the arrival of the seismic fleet on YWB block. Then, the seismic acquisition will last up to 1 month.

This project is part of a larger program, where exploration surveys lead to an exploration well in case of success. The expected overall YWB program is given in the figure below.



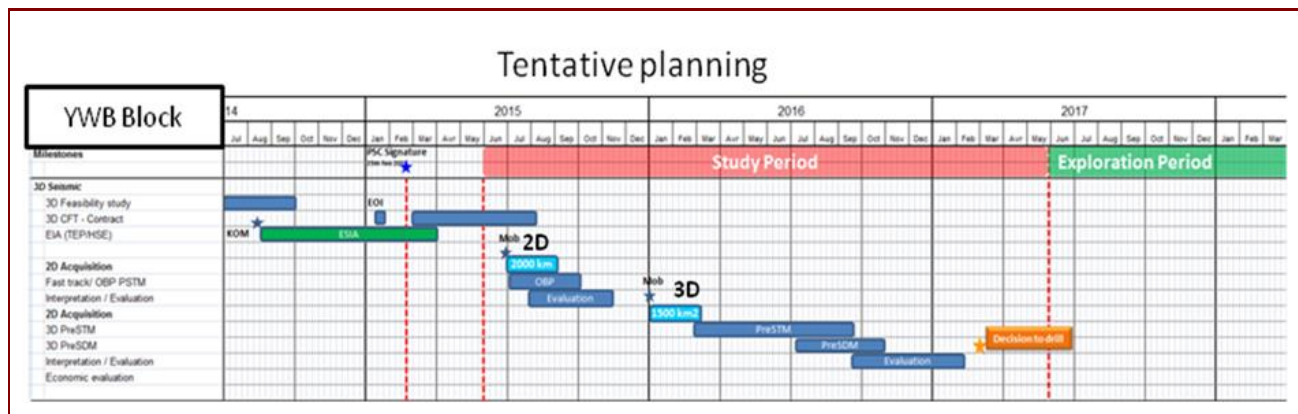


Figure 2-16 Overall program of YWB

SOURCE: Total E&P MYANMAR

## 2.4 LEGAL/POLICY CONTROL SUMMARY

The Myanmar government has been involved in a significant number of investments regarding strategic planning reforms of environmental management policy. Myanmar has joined a number of international and regional agreements and treaties such as ASEAN (Association of South-East Asian Nations) and is in the process of enhancing its national environmental laws.

The present IEE has been developed according to Myanmar’s Environmental Conservation Law (promulgated in March 2012), and Total E&P Myanmar requirements.

This section is presented as a synthetic table with the relevant regulation applied in the case of YWB seismic project; a more detailed part is available in APPENDIX A .

### 2.4.1 International agreements and conventions

Main international agreement and conventions signed by Myanmar and in line with the project activities are given in the table below.

Table 2-5 International agreements and convention in line with the project

International conventions and protocols	Adherence of Myanmar in
International Plant Protection Convention (1952)	2006
RAMSAR convention	2005
United Nations Framework Convention on Climate Change	2003
Asia Least Cost Greenhouse gas Abatement Strategy (ALGAS)	1998
Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)	1997
Convention for the Protection of the World Cultural and Natural Heritage	1994
London Amendment to the Montreal Protocol, London	1993



Table 2-5 International agreements and convention in line with the project	
Convention on Biological Diversity, Rio de Janeiro	1992
Montreal Protocol on Substances that Deplete the Ozone Layer	1989
Vienna Convention for the protection of the Ozone Layer	1988
United Nations Convention on Law of the Sea	1986
International Convention on the Prevention of Pollution from Ships, 1973 as modified by the protocol of 1978 (MARPOL 73/78)	1988 Myanmar has only ratified annex I/II. Nevertheless, we have applied recommendation of annex IV and V for this IEE
RIPAM or COLREG Convention 72 "collision regulation 72"	1987 The International Regulations for Preventing Collisions at Sea 1972 (Colregs) are published by the International Maritime Organization (IMO) and set out, among other things, the "rules of the road" or navigation rules to be followed by ships and other vessels at sea to prevent collisions between two or more vessels
SOLAS convention (Safety Of Life At Sea)	1987 International maritime safety treaty. It ensures that ships flagged by signatory States comply with minimum safety standards in construction, equipment and operation.
1948 Convention on the International Maritime Organization	1951
International Convention on Standards of Training, Certification and Watchkeeping for Seafarers, 1978 (STCW)	1988 This convention concerns the project particularly by its 2010 amendment which asks for new requirements for marine environment awareness training and training in leadership and teamwork; and new training guidance for personnel operating Dynamic Positioning Systems.



2.4.2 **Myanmar environmental legislation in line with the Project**

The below table synthesizes Myanmar’s Environmental Legislation that is applicable to the offshore seismic project, in YWB Block.

Table 2-6 Myanmar environmental legislation in line with the project	
<p>The Environmental Conservation Law (30<sup>th</sup> March, 2012)</p>	<p>The objective of this Law is to implement Myanmar’s National Environmental Policy, enabling to lay down the basic principles and to give guidance for systematic integration of environmental conservation matters in the sustainable development process. The 7th article confers the following powers and functions to the Ministry:</p> <ul style="list-style-type: none"> <li>• To set environmental quality standards</li> <li>• To prescribe emission standards</li> <li>• To formulate EIA and SIA systems</li> <li>• To ensure the polluter pays principle</li> <li>• To issue rules, regulations and by-laws as may be necessary with the approval of the Union Government</li> </ul> <p><b>On the basis of this law, EIA rules have been issued on the 5<sup>th</sup> June 2014 by the Myanmar administration.</b></p>
<p>Environmental Conservation Rule, (5th, June, 2014)</p>	<p>These place responsibility on the Government to establish and adopt the necessary programmes for the conservation and enhancement of environment, protection, control and reduction of pollution in environment, and conservation. An environment management fund has been created. Apart from state budget and other donations, polluters and people which obtain benefit from the natural environment service system will have to compensate their action through the fund.</p> <p>The Ministry may determine conditions for hazardous waste treatment, treatment of effluent, storage and transport of hazardous products, constraint of production to protect the environment.</p> <p>The Rules reinforce the obligation for project developers to submit an EIA or an IEE. The ministry will publish a list of the categories of plan, business or activity which shall carry out EIA or IEE.</p> <p>EIA shall be conducted by a qualified third person or organization accepted by the Ministry.</p> <p>Sets out the process to obtain prior permission for certain categories of project.</p>
<p>Environmental Impact Assessment Procedure (2015)</p>	<p>The EIA Procedure gives the methodology and requirements to undertake an IEE or an EIA, according to the project.</p>
<p>National Sustainable Development Strategy (2009)</p>	<p>This strategy concerns the sustainable management of natural resources, integrated economic</p>



Table 2-6 Myanmar environmental legislation in line with the project	
	development, and sustainable social development. <sup>12</sup>
Law Amending the Ports Act (2008)	“(2) Any person who by himself or another so casts or throws any ballast or rubbish or any such other thing or so discharges any oil or water mixed with oil, or the master of any vessel from which the same is so cast, thrown or discharged, shall be punishable with fine not exceeding fifty thousand kyats, and shall pay any reasonable expenses which may be incurred in removing the same”.
Law Amending the Territorial Sea and Maritime Zone Law (2008)	After clause 3 of the annex to the Territorial Sea and Maritime Zone Law, clause 4 and clause 5 have been inserted with new Coordinates which have no impact on the offshore YWB Block (and mostly confined to areas adjacent to Bangladesh).
Rules on protection of wildlife and protected area conservation law (2003)	This law has been created to establish a procedural framework for the 1994 Protection of Flora and Fauna, and Protected Area Conservation Law.
Myanmar Agenda 21 (1997)	<p>The Myanmar Agenda 21 makes recommendations for the drafting and promulgation of a framework law which can further promote the integration of environmental and developmental concerns in the decision-making processes of the country.</p> <p>The Myanmar Agenda 21 contains guidelines to address the following issues:</p> <ul style="list-style-type: none"> <li>• increasing energy and material efficiency in production processes;</li> <li>• reducing wastes from production and promoting recycling;</li> <li>• promoting use of new and renewable sources of energy;</li> <li>• using environmentally sound technologies for sustainable production;</li> <li>• reducing wasteful consumption;</li> <li>• increasing awareness for sustainable consumption.</li> </ul>
National Environment Policy (1994)	The aim of this law is “to establish sound environment policies, utilisation of water, land, forests, mineral, marine resources and other natural resources in order to conserve the environment and prevent its degradation”
The Protection of Wildlife and Conservation of Natural Areas Law (1994)	Government policy for wildlife protection, conservation of natural areas, protection and conservation of wildlife ecosystems and migratory birds, protect endangered species and their natural habitats. Chapter 11 outlines the penalties “causing water and air pollution, causing damage to a water-course or putting poison in the water in a natural area”, and ‘possessing or disposing of pollutants or mineral pollutants in a natural area”.



Table 2-6 Myanmar environmental legislation in line with the project	
Union of Myanmar Marine Fisheries law (25 April 1990, amended 1993)	The relevance of this law to the offshore component of the YWB project is that it places restriction on pollution: “No person shall dispose of living aquatic creatures or any material into the Myanmar Marine Fisheries Waters to cause pollution of water or to harass fishes and other marine organisms.”
Territorial Sea and Maritime Zones law (1977)	The Union of Myanmar has exclusive jurisdiction for the construction, maintenance or operation of offshore terminals and exclusive jurisdiction to preserve and protect the marine environment, and to prevent and control marine pollution
The Oilfields Act (1918)	This act provides clarification on activities within the oil and gas industry and provides the Government with the power to define and alter limits of any notified oilfield. In addition, the Government can make rules for regulating all matters connected with many operations related to the extraction of oil and/or gas. The Act also provides guidance and issues such as preventing oil and gas wastes, reporting of fires, accidents and other occurrences and regulating the collection and disposal of both oil and gas.
The Foreign Investment Rules (Jan 2013) & The Foreign Investment Law (Nov 2012) & Myanmar Investment Commission, Notification No. 1/2013 and No. 50/2014	<p>The Myanmar foreign investment rules contain several elements dealing with environmental protection, including:</p> <p>Art. 33. Proposals for economic activities that are considered capital intensive by the Commission, and that are prescribed to undergo environmental impact assessment by the Ministry of Environmental Protection and Forestry have to be submitted along with Environmental and Social Impact Assessment.</p> <p>Art. 54. The promoter or investor shall: (a) comply with Environmental Protection Law in dealing with environmental protection matters related to the business;</p> <p>Art. 123. If it is scrutinized and found out that the investor has carried out business that causes environmental pollution or has not taken action to minimize environmental pollution at the land for which he is entitled to lease or use, or if it is scrutinized and found that the work carries out causes nuisance to the persons who reside around such place due to noise or by culture and if relevant persons officially object, the Commission may terminate the lease or tendering right to use after making necessary inquiry.</p> <p>Art. 125. The investor, for operating any business, does not have the right to lease and develop the following lands:</p> <ul style="list-style-type: none"> <li>(a) religious lands;</li> <li>(b) cultural heritage and natural heritage regions designated by relevant Ministries;</li> <li>(c) lands restricted for Union defence and security;</li> <li>(d) lands under litigation;</li> <li>(e) lands restricted by the State from time to time;</li> <li>(f) lands where exists place or building which may cause situations such as impact on public environment noise, pollution, impact on culture within urban residential area due to the business of the investor</li> </ul>
Prevention of Hazard from Chemical and Related Substances Law (26th,	The project owner will abide the provisions of section 15, 16, 17, 22, 27 and 30 of said law, stating



Table 2-6 Myanmar environmental legislation in line with the project	
August,2013)	obligations related to chemicals and related substances: registration, training, instructions to abide by, mitigation measures, compensation for damages
Conservation of Water Resources and Rivers Law (Znd, Oct, 2006)	<p>Section 6 outlines prohibitions for the following activities:</p> <p>"No person shall anchor the vessels where vessels are prohibited from anchoring in the rivers and creeks.</p> <p>No person shall dispose of engine oil, chemical, poisonous material and other materials which may cause environmental damage, or dispose of explosives from the bank or from a vessel which is plying, vessel which has berthed, anchored, stranded or sunk.</p> <p>No one shall dispose of any substance into the river-creek that may cause damage to waterway or change of watercourse from the bank or vessel."</p> <p>The aims of this Law are as follows:</p> <ul style="list-style-type: none"> <li>to conserve and protect the water resources and river systems for beneficial utilization by the public;</li> <li>to smooth and enhance safety of waterways navigation along rivers and creeks;</li> <li>to contribute to the development of State economy through improving water resources and river systems;</li> <li>to protect environmental impact.</li> </ul> <p>The empowerment of this Law is provided to the Ministry of Transport for controlling navigation of vessels in the rivers and creeks as well as communicating with local and foreign government and organizations for conservation of water resources, rivers and creeks. Also, to carry out conservation works for water resources, rivers and creeks, in accordance with the relevant international conventions, regional agreements and bilateral agreements for environmental conservation</p>
Rules on Protection of Wildlife, and protected area conservation law (2003)	<p>Rules created to establish a procedural framework for the 1994 Protection of Flora and Fauna, and Protected Area Conservation Law.</p> <p>It includes rules for licence to Hunt Animals; for Fishing in Reserved Forests Wild Life Sanctuaries; for Restricting Imports and Exports of Animals; rules as to Rewards, Appointment of Game Wardens and General Powers and Penalties.</p>
The Protection of Wildlife and Wild Plant and Conservation of Natural Areas Law (8th, June, 1994) & The Protection of Wildlife and Wild Plant and Conservation of Natural Areas Rule (2002)	<p>The objectives of this Law are as follows:-</p> <ul style="list-style-type: none"> <li>(a) to implement the Government policy for wildlife protection;</li> <li>(b) to implement the Government policy for natural areas conservation;</li> <li>(c) to carry out in accordance with the International Conventions acceded by the State in respect of the protection and conservation of wildlife, ecosystems and migratory birds;</li> <li>(d) to protect endangered species of wildlife and their natural habitats;</li> <li>(e) to contribute for the development of research on natural science;</li> <li>(f) to protect wildlife by the establishment of zoological gardens and botanical gardens.</li> </ul>
The Myanmar Insurance Law (Ju1y,1993)	<p>The objectives of this law are:</p> <ul style="list-style-type: none"> <li>(a) to overcome financial difficulties by effecting</li> </ul>



Table 2-6 Myanmar environmental legislation in line with the project	
	<p>mutual agreement of insurance against social and economic losses which the people may encounter, due to common perils;</p> <p>(b) to promote the habit of savings individually by effecting life assurance, thus contributing to the accumulation of resource, of the State;</p> <p>(c) to win the trust and confidence of the people in the insurance system by providing effective insurance safeguards which may become necessary in view of the social and economic developments.</p>
<p>The Petroleum Act (1934) &amp; The Oilfields Act (1918)</p>	<p>This Act provides clarification on activities within the oil and gas industry and provides the Government with the power to define and alter limits of any notified oilfield. In addition, the Government can make rules for regulating all matters connected with many operations related to the extraction of oil and/or gas. The Act also provides guidance and issues such as preventing oil and gas wastes, reporting of fires, accidents and other occurrences and regulating the collection and disposal of both oil and gas.</p>

2.4.3 **International guidelines and standards used for this IEE**

Table 2-7 International guidelines and standards used for this IEE	
Standards/Guidelines	Aim/Goal
<p>World Health Organization (WHO) standards and guidelines</p>	<p>Gives guidelines value for ambient air quality (Cf. Appendix A) regarding emission of SO<sub>2</sub>, NO<sub>2</sub>, Particulate matter (PM10 and PM2.5), O<sub>3</sub></p>
<p>the IFC Environmental Health and Safety Guidelines (2007) and IFC Environmental Health and Safety Guidelines – Offshore Oil and Gas Developments (2007)</p>	<ul style="list-style-type: none"> <li>• Significant (&gt;100,000 tons CO<sub>2</sub> equivalent per year) greenhouse gas (GHG) emissions from offshore seismic vessel and support boats should be quantified annually.</li> <li>• All reasonable attempts should be made to maximize energy efficiency and operation of vessels for lowest energy use. During equipment selection, air emission specifications should be considered.</li> </ul>



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	<ul style="list-style-type: none"> <li>• Methods for controlling and reducing fugitive emissions should be considered and implemented in the design, operation, and maintenance of offshore vessels. Leak detection and repair programs should be implemented.</li> </ul>
<p>MARPOL (Annex IV and V) and PARCOM (1986) (activities carried out at sea)</p>	<ul style="list-style-type: none"> <li>• Regulation 8: “the sewage that has been stored in holding tanks shall not be discharged instantaneously but at a moderate rate when the ship is <i>en route</i> and proceeding at not less than 4 knots”;</li> <li>• the oily mixture is processed through an oil filtering equipment meeting the requirements of above table;</li> <li>• the oil content of the effluent without dilution does not exceed 15 ppm</li> <li>• grinded food waster can be discharged to the sea at 3 nautical mile of the nearest coast</li> <li>• Annex IV gives information concerning the management of liquid discharges</li> <li>• Annex V gives information for management of wastes</li> </ul> <p>More details on APPENDIX A.</p>





JNCC standards	Standard for the protection of marine mammals against important underwater acoustic waves, particularly due to seismic survey. Gives procedures such as prewatching, soft start, etc. in order to prevent potential damages on cetaceans. More details given in APPENDIX B .
OGP Waste Management Guidelines (1993)	Waste Management Guidelines given by the International Association of Oil and Gas Producers
IAGC Recommended Mitigation Measures For Cetaceans during Geophysical Operations (June 201 1)	Recommendations from the International Association of Geophysical Contractors
Joint OGP/IAGC position paper Seismic Surveys & Marine Mammals (2004)	Position paper on the sound introduced into the marine environment as a result of seismic exploration and its potential impact on marine mammals.
(IPIECA) the Oil and Gas Industry: Operating in Sensitive Environments (2003)	This publication from the International Petroleum Industry Environment and Conservation Association summarizes a series of case studies which describe some of the oil and gas industry's experience of operating responsibly in sensitive human and physical environments. It also includes a description of key management features
(OGP) Environmental management in oil and gas exploration and production (1997)	This document from the International Association of Oil and Gas Producers gives an overview of issued and management approaches for O&G exploration
International Cable Protection Committee (ICPC) Procedure to be Followed Whilst Offshore Seismic Survey Work is undertaken in the Vicinity of Active Submarine Cable Systems (ICPC Recommendation No.8)	This procedure gives guidelines to follow Whilst Offshore Seismic Survey Work is undertaken in the Vicinity of Active Submarine Cable Systems

## 2.5 EMISSION INVENTORY

This chapter provides a brief description of the types of emissions and associated rejects generally associated with marine seismic campaigns.

The most significant impacts are associated with the underwater noise produced during the functioning of airguns. Other emissions and discharges to be analysed include emissions to air and sea and waste to be conveyed onshore.

### 2.5.1 Acoustic emissions

Acoustic emissions are generally associated with seismic survey airguns and propeller noise located aboard with the seismic vessel, as well as support vessels and helicopters.

This is particularly aggravated due to the different frequencies generated by these different elements (propeller, engines, etc.) and the impacts of water attenuation, the low frequencies and the susceptibilities of marine species to bass frequencies.



The effects of the low range acoustic emissions of seismic survey airguns on marine life are the subject of a complex assessment process. The impacts are related to the number of exposures, level of exposure and the noise type, be it single pulse, multiple pulse or continuous noise.

The acoustic impacts associated with engine noise are negligible in the context of airgun noise impacts (offshore support vessel underwater noise: 136 dB (rms) re 1 µPa – 1m (Mc Cauley, 1998)).

Ambient acoustic emissions are also associated with the operation of support vessels and helicopters. Under normal operating conditions, the emissions of the support vessels would be confined to within a short distance of the ship. McCauley (1998) measured underwater broadband noise of approximately 182dB re 1µPa from a rig support vessel holding station in the Timor Sea. Emissions associated with helicopters are expected to be more significant, but due to the infrequency of helicopter presence (if any), these impacts are expected to be of short duration.

**2.5.2 Atmospheric emissions**

Atmospheric emissions are generally associated with diesel engine combustion and energy generation. The main sources of atmospheric emission are the following:

- Vessels diesel engine combustion;
- Incinerator (if present on board);
- Helicopter emissions (MEDEVAC case and for crew changes);
- Crew turn-over carried out by boat.

Hypothesis taken is given in the table below. It is inspired considering previous similar seismic campaigns.

Table 2-8 Hypothesis			
Seismic consumption vessel		40 m <sup>3</sup> /day	Source: Total E&P Myanmar
Consumption supply vessel/chase boat		10 m <sup>3</sup> /day	Source: Total E&P Myanmar
Consumption helicopter		0.6 m <sup>3</sup> /h	Source: Total Exploration & Production
Flight duration		12h/week	Source: Total Exploration & Production
Duration of the project		105 days	Source: Total E&P Myanmar
Oil density		0.85 t/m <sup>3</sup>	Internet data

Estimations of atmospheric emissions were undertaken by multiplying diesel consumption and emission factors for relevant atmospheric components. The diesel consumption was estimated for the seismic vessel and supports vessels based on typical operations of 40m<sup>3</sup>/day and 10m<sup>3</sup>/day respectively for 105 operating days (3 months of seismic campaign + 15 days for mobilisation/demobilisation).



Table 2 9 Calculation of atmospheric emissions

Gas produced during the activity	OGP Emission Factor (t/t)*	Total emission (tons)
CO <sub>2</sub>	3,2	13,313
CO	0,008	9
NO <sub>x</sub>	0,059	39
N <sub>2</sub> O	0,00022	1
SO <sub>2</sub>	0.008	33
CH <sub>4</sub>	0,0003	0
VOC	0,0024	8

\*METHODS FOR ESTIMATING ATMOSPHERIC EMISSIONS FROM E&P OPERATIONS, REPORT N°2.59/197, SEPTEMBER 1994

(1) GHG emissions correspond to the sum of CO<sub>2</sub>, N<sub>2</sub>O and CH<sub>4</sub> emissions each multiplied by the corresponding Global Warming Potential factor.

In conclusion, the overall GHG production of the seismic campaign should range approximately **13,403 tons**.

Emissions relative to the incinerator are negligible given the project duration.

### 2.5.3 Discharges

Discharges are generally associated with wastewater and bilge water. These streams will be stored on vessels before discharging into the sea.

#### 2.5.3.1 Domestic and sanitary wastewater

Domestic and sanitary waste will be generated as a result of the human presence on the survey vessels. The calculations for the vessels (survey and chase) are summarised in the table below.

The wastewater will be treated and monitored before discharge into the surrounding environment.



Table 2 10 Volumes domestic and sanitary wastewater		
Waste stream	Quantity (m <sup>3</sup> )	Assumption for estimation
Black water (m <sup>3</sup> ) (sewage water coming from the toilets)	945	Based on <b>120</b> crew members (60 persons on seismic boats and 60 in total for the 3 supply vessel/chase boat) and the flow rate determined by the US Bureau of Ocean Energy Management, Regulation and Enforcement (BOEMRE) for EIA in the Gulf of Mexico ( <b>75 L/person/day for black water</b> and <b>110 L/person/day for grey water</b> ). The seismic project duration is 105 days.
Grey water (m <sup>3</sup> ) (All domestic water with the exception of the flush toilet)	1,386	

**2.5.3.2 Deck and bilge water**

The deck water comprises rainwater and deck washing water of the seismic vessel. The run-off water is collected by an open drain system.

The deck area free space comprises an area of about 100 metres by 25 metres (assumption) and all water falling within this area will be contained in isolated drainage systems depending on the potential for contamination. Annual rainfall in the project area is in the order of 5450mm/year. However, considering that the seismic survey will take place in the first quarter 2015, as currently planned, the bridge water releases are almost zero because these months are very low rainfall (~ 2mm/month in average for the first quarter).

The bilge water means accumulated water in the ship holds and containing infiltration water, oil residues or any other product that would have been stored.

In order to minimize the waste associated with oils in bilge and deck water, no water will be discharged without prior on-board treatment. These wastewater releases comply with the Myanmar regulations and MARPOL 73/78. The table below summarizes the deck and bilge water production assumptions.



Table 2-9 Summary of deck and bilge water		
Waste stream	Quantity (m <sup>3</sup> )	Assumption for estimation
Deck water	10	Seismic vessel = 100 x 25 m and rainfall about 2mm/month in the considered period. Support vessel = 50 x 15 m and rainfall about 2 mm/month 3 support vessel/chase boat The seismic campaign duration is anticipated for 105 days.
Bilge water	160	53.2m <sup>3</sup> /month Based on the experiences of full fold 4D seismic survey M5-M6 (Polarcus DMCC Total Yadana Draft 1 report)

**2.5.4 Hazardous and non hazardous waste**

A variety of non-hazardous solid waste will be generated such as glass, paper, plastic and wood. Much of this is associated with galley and food services operations and with operational supplies such as shipping pallets, containers and protective coverings. No solid waste will be intentionally disposed of into the marine environment. All solid wastes are collected and shipped to shore.

Milled food waste (i.e. < 25 mm diameter) will be discharged at the seismic location in compliance with MARPOL regulations. Hazardous wastes from lubricants, filters, chemical containers, used equipment, will be stored and consolidated for onshore disposal.

Table 2-10 Estimated wastes and emissions from seismic activities		
Waste stream	Quantity (t)	Assumption for estimation
Hazardous wastes	9	Estimate based on waste records database from previous similar operations, for Block 17, Angola. Waste generated is based on ships utilized during the installation phase activities which will have a similar waste production schedule as the current project: <b>10 tons of hazardous waste/ship/years</b> and <b>434 tons non-hazardous waste/ship/years</b> . The seismic duration is 3,5 months in total; 1 seismic vessel and 3 support vessels forecasted.
Non-hazardous wastes	379	
Domestic waste	42m <sup>3</sup>	Considering the last seismic survey performed (duration similar to YWB project:3 months)



# SECTION 3. PROJECT PROPONENT DETAILS

## 3.1 TOTAL E&P MYANMAR AND CONTACT PERSON

The operator of the project is Total E&P Myanmar. Total E&P Myanmar is present in Myanmar since 1992. Total currently operates the Yadana gas field with a 31.2% interest with a Production Sharing Contract signed with Myanma Oil and Gas Enterprise (MOGE). MOGE, created in 1963, is responsible for general oil and gas exploration and production in Myanmar, as well as domestic gas transmission through a 1,200-mile onshore pipeline network.

Commercial production began in 2000 with an expected field life of 30 years. With the Yadana project, Total has employed 2,500 people during the construction phase and currently employs 800 for the operating phase; 95% of the company’s workforce is Myanmar national.

The following table presents the latest local seismic project performed by Total E&P Myanmar.

Table 3-1 Local Total E&P Myanmar recent experience in seismic acquisition		
Year	Type	Characteristic
2008	Exploration survey – Arakan - Bangladesh	
2012	4D development survey	Yadana Field
2013	Exploration survey	Andaman – shallow water-2090 km <sup>2</sup>
2014	Exploration survey	Andaman – shallow water-3150 km <sup>2</sup>
<b>2016</b>	<b>3D Exploration survey</b>	<b>Andaman – Deep offshore – object of the present IEE</b>

Table 3-2 Detail Information about Project Proponent	
Proponent name	Jean Paul Thiriet
Citizenship	France
Passport number	09AF56771
Address in Myanmar	No. 5, Sacred Tooth Relic Lake Avenue, Punn Pin Gone Quarter No.5, Mayangone Township, Yangon, The Republic of the Union of Myanmar.



	Tel. 95 (1) 650 977, 650 989, 660 466 Fax. 95 (1) 650 478, 650 479
Phone number	Tel. : +(65) 6879 7900 Mobile: +(65) 9235 7786

Table 3-3 Detail Information about Project Proponent Organization

Name of Principle Organization	Total EP Myanmar
Type of Business	Oil and Gas
Principle Company's Address	No. 5, Sacred Tooth Relic Lake Avenue, Punn Pin Gone Quarter No.5, Mayangone Township, Yangon, The Republic of the Union of Myanmar.  Tel. 95 (1) 650 977, 650 989, 660 466 Fax. 95 (1) 650 478, 650 479

TEPM is committed to Corporate Social Responsibility as a core policy embedded in its operations. It prescribes Ethics, HSE, Code of Conduct and VPSHR principles to all stakeholders, while maintaining one of the most advanced human resources policy in Myanmar. As part of its commitment towards Myanmar and its local communities, TEPM has implemented since 1995 major Socio-Economic Programs in the pipeline area to improve Health, Education, Access to Energy, skills of local community, Micro Finance, etc... Such programs benefit to 33 villages and around 38 000 people and have become along the years a world class reference in term of CSR and an asset for Myanmar. TEPM also develops nationwide CSR programs and is actively involved in the EITI process.





### 3.2 INSTITUTIONAL FRAMEWORK OF THE PROJECT PROPONENT

#### 3.2.1 Total E&P Myanmar

The TEPM's organisation chart for this seismic survey is as presented in the following figure.

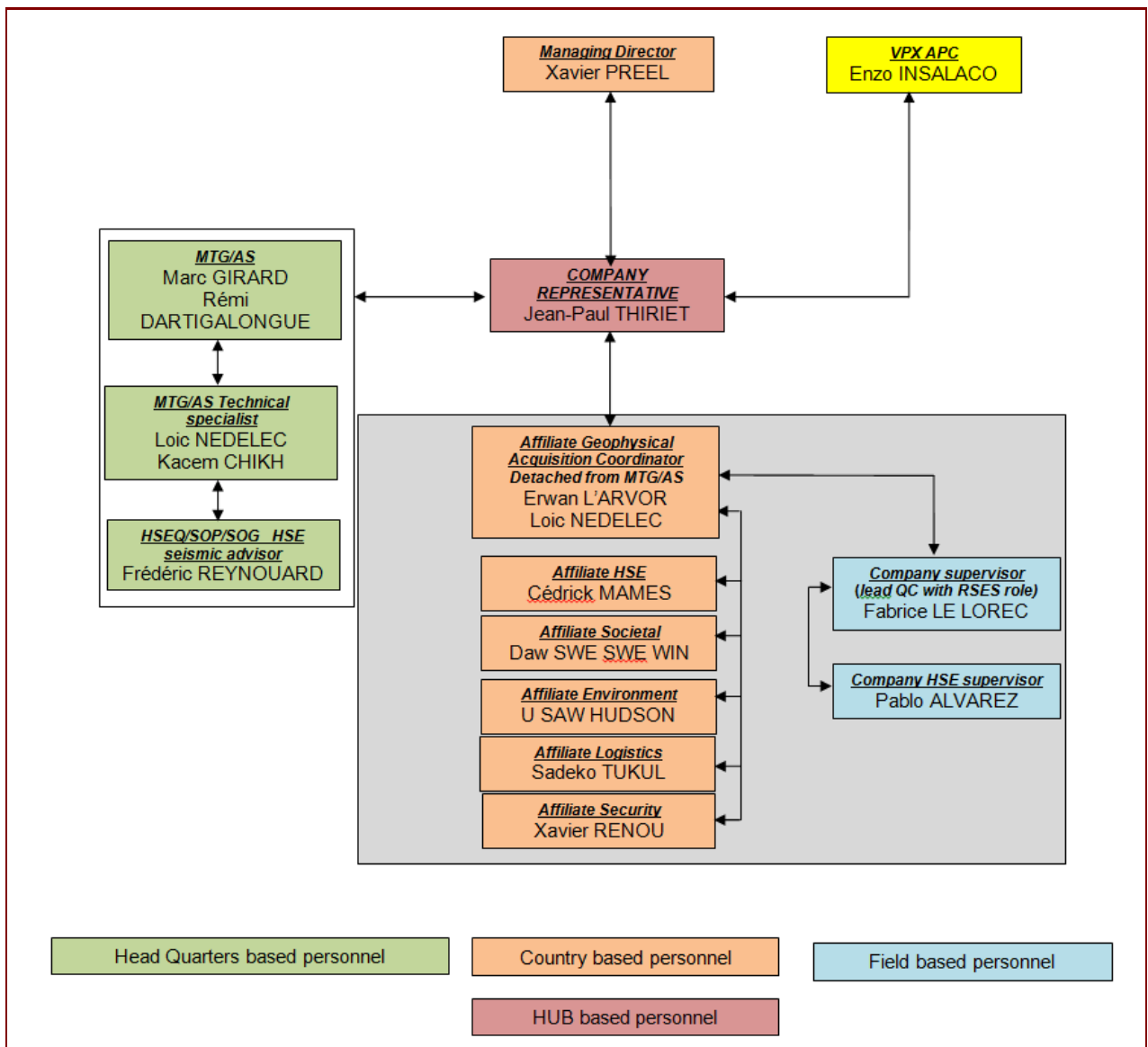


Figure 3-1 TEPM's Organisation chart during seismic operation





3.2.2 **Seismic survey contractor : Polarcus**

The seismic survey contractor will be Polarcus. The following figure presents its team and interactions with TEPM's staff for this project.

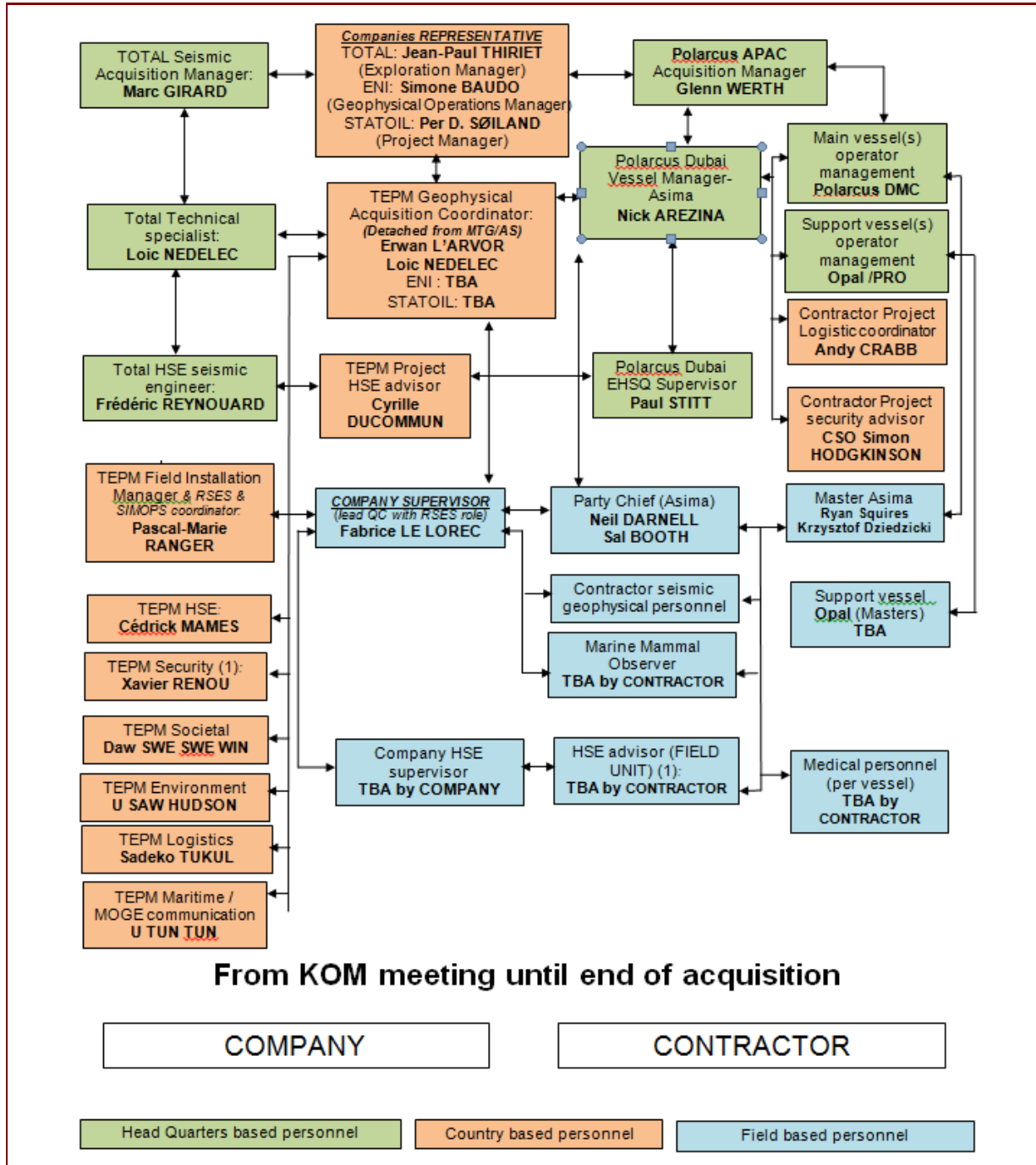


Figure 3-2 Polarcus' Organigram and connections with TEPM's team



# SECTION 4. INFORMATION ON EXPERTS

Artelia operates a branch office in Yangon since October 2012 and has already performed offshore environmental monitoring and six ESIA's for Total Exploration & Production Myanmar. An environmental engineer from the Risk, Society & Environment department is now based in Yangon, which will facilitate data collection and local coordination. This gives to Artelia a good understanding of the local context and requirements, as well as an access to some existing data describing the offshore environment in Myanmar.

Artelia's experts have a good knowledge of seismic survey environmental & social issues: the project team will include an environmental engineer trained to MMO standards in UK (JNCC) and a social specialist experienced in the management of offshore seismic campaign in Asia.

Table 4 1 Presentation of the team of experts		
Name	Specific knowledge / skills	In charge of
Anne-Charlotte DUFAURE	Environmental engineer, Marine Mammal Observer accredited JNCC	<b>Section 1,2,3,4</b> <b>Section 5:</b> 5.1 to 5.5 <b>Section 6</b> 6.1 to 6.4, 6.7 <b>Section 8</b> <b>Section 9</b> <b>Section 10:</b> 10.1-10.7
Armeline DIMIER	Social impact management specialist	<b>Section 5:</b> 5.6 <b>Section 6:</b> 6.5 and 6.6 <b>Section 7</b> <b>Section 8:</b> 8.2 <b>Section 10:</b> 10.8
Charles BOUHELIER	Environmental engineer, based in Rangoon	Responsible of the data collection Meeting with the identified stakeholders
Frederic MATHIEU	Environmental Expert, noise modelling	Technical control quality
Christophe DERRIEN	Senior HSE engineer	Technical peer review, QA/QC

CVs of experts are available in APPENDIX D



## SECTION 5. DESCRIPTION OF THE ENVIRONMENT

*Note: The YWB seismic survey will be referred as “the Project” in the following sub-sections*

### 5.1 INTRODUCTION

This section provides a description of the environmental conditions of the YWB block within the maritime jurisdiction of the Union of Myanmar (offshore) and its southern coastal areas.

The main environmental and social data for the project area has been obtained from a bibliographical research and previous environmental studies (including M5-M6 3 AA-3CA seismic project).

The study area covers all potential zones that may be impacted by the project activities, i.e the offshore area of the Project, the coastal areas, the natural environment (wetlands, rivers, national park, forest, deltas, etc...) and the socio-economical context.

### 5.2 GEOGRAPHICAL SCOPE OF THE IEE

The geographical scope of an impact evaluation process depends on the receiver’s environment, the involved activities, the direct or indirect nature of the impact and the existence of cumulative aspects.

In fact, the geographical scope of the analysis it is, in principal, not limited but is part of the potential impact analysis, which is per se a component of the impact scope. Therefore, it is legitimate to say that the intensity of an impact decreases with the distance and/or that the contribution of an impact has a direct or cumulated effect that dilutes in other contribution with the distance.

Then, the geographical scope of the IEE is the one for which a potential impact and their indirect effects were considered as existing. This IEE is focused on YWB block seismic project to determine the potential and residual impacts.

The typical geographical scopes are given in the table below to set the order of magnitudes.



Table 5.1 Typical covers of impact research depending on impact factors and receiver's environment

Impact factors	Physical environment	Natural environment	Human environment
Physical right-of-way	Direct effects: right-of-way of streamers towed by seismic boat in less than 10km	Direct effects: right-of-way of facilities and buffer zones in several hundreds of meters	Indirect effects: up to several dozen kilometers around facilities
Physical disruptions (submarine acoustic emissions)	Direct effects: around a few dozens of meters Indirect effects: around a few dozen of meters	Direct effects: around a few hundreds of meters Indirect effects: up to a few kilometers around the seismic vessel	Direct effects: right-of-way of seismic equipment less than 10 km Indirect effects : up to a few kilometers around the seismic vessel
Atmospheric emissions (GHG)	Direct effects: several kilometers for air quality aspects to worldwide for climate aspects with GHG emissions	Direct effects: between a few hundreds of meters to several kilometers Indirect effects: worldwide with climate changes related to GHG emission	Direct effects: several kilometers for health aspects Indirect effects: worldwide with climate changes related to GHG emission
Discharge into the sea	Direct effects: Between hundreds of meters to several kilometers. There is a strong relation between impact intensity and distance.	Direct effects: Between hundreds of meters to several kilometers. There is a strong relation between impact intensity and distance. Indirect effects: several dozens of meters and easily cumulative	Direct effects: idem Indirects effects: several dozens of kilometers. Effects easily cumulative with other discharges coming from other activities
Ambient noise and light	Direct effects: Between hundreds of meters to several kilometers. There is a strong relation between impact intensity and distance.	Direct effects: Between hundreds of meters to several kilometers. There is a strong relation between impact intensity and distance. Indirect effects: they can be of a larger scale (several dozens of kilometers)	Direct effects: Between hundreds of meters to several kilometers. There is a strong relation between impact intensity and distance.
Hazardous and non-hazardous solid wastes	Direct effects: Between hundreds of meters to several kilometers.	Direct effects: Between hundreds of meters to several kilometers. Indirect effects: several dozens of kilometers	Direct effects: Between hundreds of meters to several kilometers. Indirect effects: several dozens of kilometers
Accidental situations	Direct effects: several dozens of kilometers. No sensed international impacts (marine seismic)	Direct effects: several dozens of kilometers to several hundreds of kilometers. No sensed international impacts (marine seismic)	Direct effects: several dozens of kilometers
Workforce, subcontracting and supplies	-	-	Indirect effects: international effects (no hiring of local persons)

The details of the socio-economic study area selection is given in § 5.6.1 .



### 5.3 METEOROLOGICAL ENVIRONMENT

In the Andaman Sea, four seasons are distinguished:

- The Northeast Monsoon, which brings fine cool weather and very little rainfall to the area;
- The Pre-monsoon transition period, characterised by relatively weak and variable winds (prevailing land and sea breezes) and hot temperatures (37°C on the coast);
- The Southwest Monsoon, characterised by overcast skies, nearly daily drizzle, interspersed with squalls, thunderstorms and very heavy torrential rains (more than 1,200 mm) over the Tenasserim coast on the east side of the Andaman Sea;
- The Post-Monsoon Transition, which is relatively similar to the Pre-monsoon transition with cooler temperatures.

The calendar of the four seasons in the Union of Myanmar is presented in Figure 5-1.

	J	F	M	A	M	J	Jy	A	S	O	N	D
Northeast Monsoon	Blue			Light Blue		White				White		Blue
Pre-monsoon transition	White			Light Blue		White				White		White
Southwest monsoon	White			White		Green				White		White
Post Monsoon transition	White			White		White				Green		White

Figure 5-1 Calendar of the four seasons in the Union of Myanmar



### 5.3.1 Temperature

Tavoy is the closest city to the project, situated at the same latitude and at 242 km East of the site. Therefore, temperature within the YWB Block is expected to be relatively similar to the ones registered in Tavoy.

Average temperature of Tavoy is about 26°C year-round. The months April and May are the hottest. Relief from the heat is provided by the breaking of the monsoon (for most of the country) usually around the latter part of May. The temperature remains very steady during the south-west monsoon (June to September). Variations above or below the average seldom exceed 3°C.

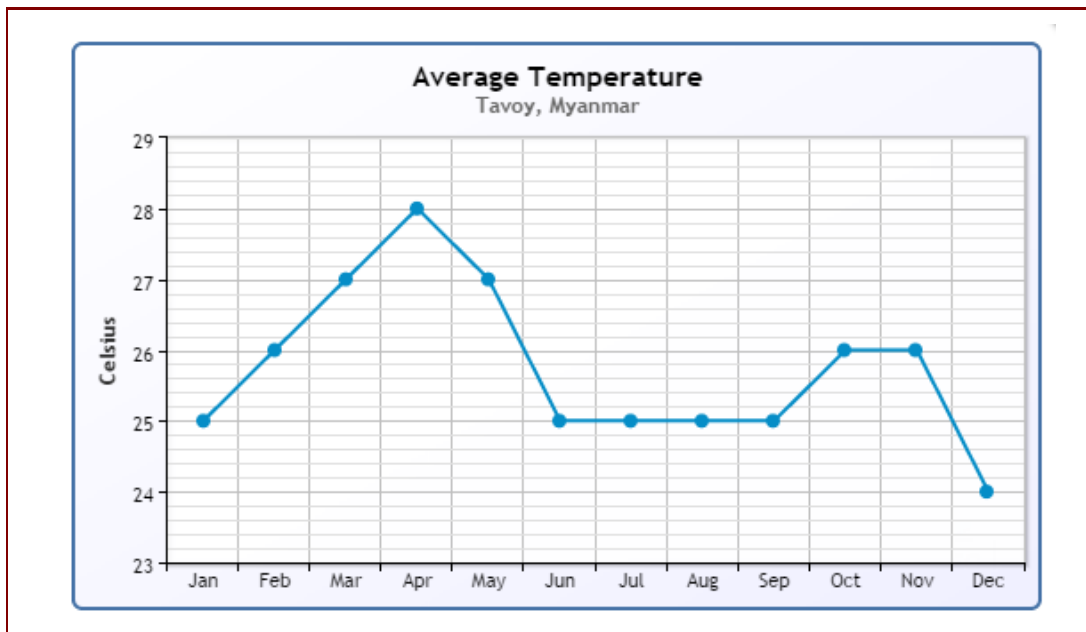


Figure 5-2 Average temperature of Tavoy

SOURCE: WEATHERBASE, YEARS ON RECORD: 50

**During the forecasted period of the YWB project (January to April), temperatures will be at its highest values.**

### 5.3.2 Rainfall

Rainfall is abundant in Myanmar and often very heavy during the wet season. Volumes are highest along the coast exposed to the moist southwest monsoon from Chittagong to Victoria Point, which covers the entire Myanmar coast. Intense rainfall events are quite common with up to 250mm in a day and 100mm in one hour, depending on the period.

Rainfall in lower Myanmar continues almost daily from latter May until October (it is also the case of Tavoy, Cf. figure below). Tavoy is therefore rainy with an annual average of 142 rainy days and 5450mm of precipitation (based on 32 years' records).

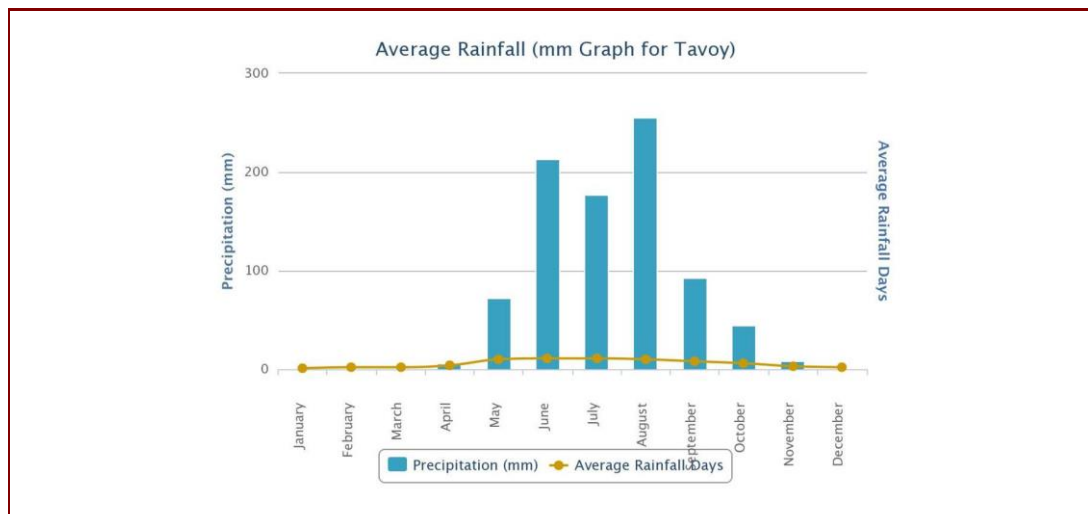


Figure 5-3 Average rainfall of Tavoy

SOURCE: WORLD WEATHER ONLINE ([HTTP://WWW.WORLDWEATHERONLINE.COM](http://www.worldweatheronline.com))

During the forecasted period for the YWB project (January to April), few rain is expected to happen.

### 5.3.3 Humidity

The main differences in the humidity values occur during the seasonal changes from the moist equatorial air of the Southwest Monsoon to the dry continental winter monsoon. The Northeast Monsoon generates high moisture levels over the south and south-west.

Tavoy is permanently humid with an annual average relative humidity of 78%.

### 5.3.4 Wind

The strongest winds mainly occur during the Pre-monsoon Transition (April) and the Southwest monsoon (summer period) and are SW orientated. The winds are then moderate changing to North-easterly during the Post-monsoon Transition (November) and North-westerly during the Northwest Monsoon (January).

Description of the wind directions within the project area is described on section § 5.4.2.2 .

### 5.3.5 Vulnerability to natural disaster

Myanmar is exposed to multiple natural hazards including cyclones, earthquakes (cf. figure below), floods and fires. The country has been periodically hit by natural disasters. Recent major cyclones disasters have been listed on Figure 5-4.

According to OCHA, the tropical storm season in Myanmar occurs from May to November, with a peak of risk in October.

Taking into account the bar chart on the figure below, the major natural hazard risks in Myanmar are:

- Earthquakes;
- Tropical storms;
- Floods (onshore).

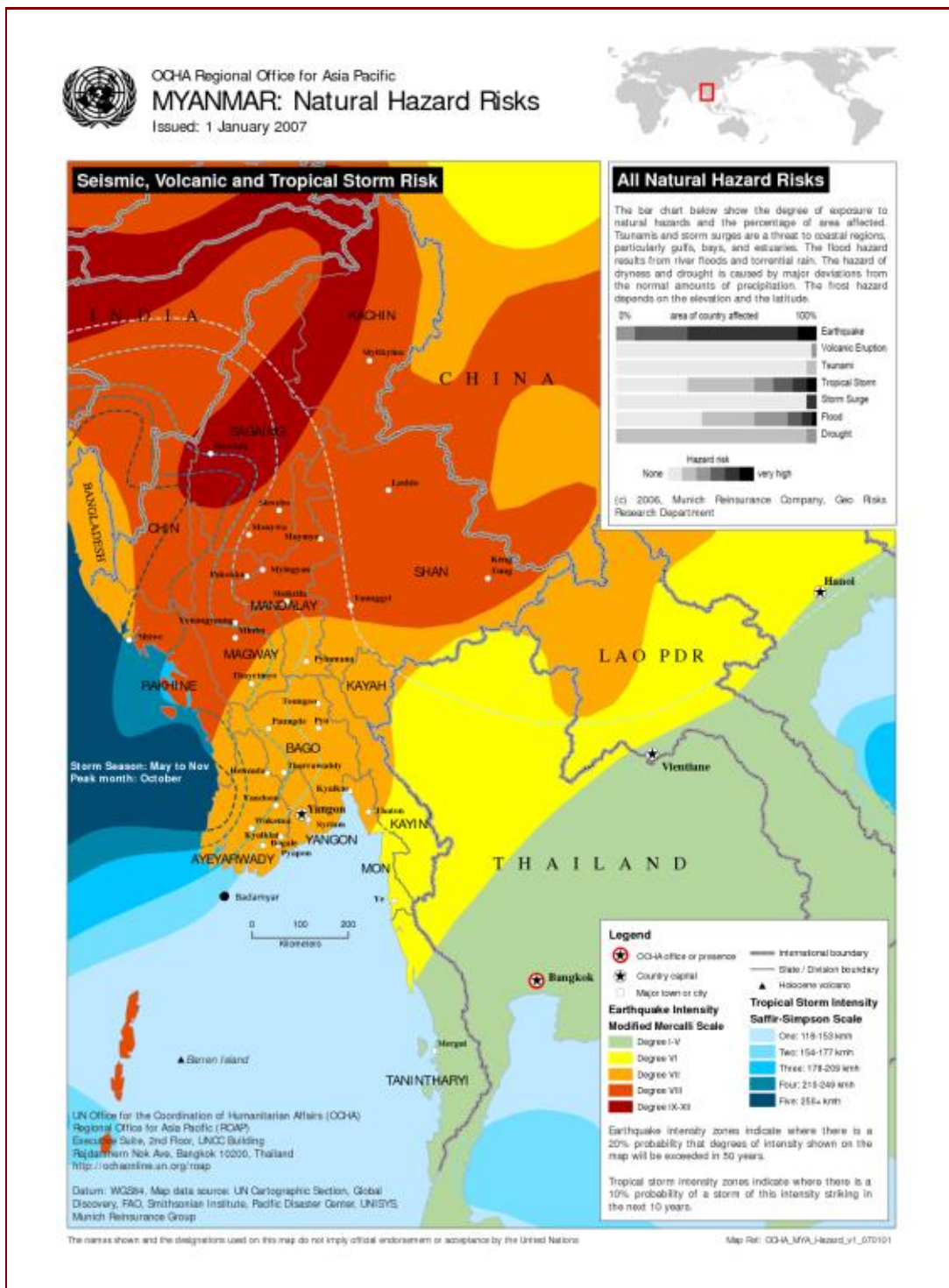


Figure 5-4 Natural hazard risks in Myanmar

SOURCE: UNITED NATIONS OFFICE FOR THE COORDINATION OF HUMANITARIAN AFFAIRS (OCHA)





## Offshore Seismic Campaign YWB Block

## Description of the environment – 11/14

The YWB block is potentially exposed to multiple natural hazards; the most worrying is the tropical storm. The most risky season occurs from May to November, with a risk higher in October (outside the forecasted project period).

### **5.4 PHYSICAL ENVIRONMENT**

#### **5.4.1 Geomorphology and sismology**

##### **5.4.1.1 *Geomorphology***

The project area is near the Andaman-Nicobar islands (cf. Figure 5-5) ~278km of the nearest YWB seismic project boundary.

At the Eastern/Northern sides of the project area, specific geological structures are present, namely: the Sagaing Fault system (SFS), the Martaban canyon (~20 km of the project location) and the Alcock rise seamount (~150 km of the project location).

The project is located within the continental slope (depth comprises between 200-2000m).

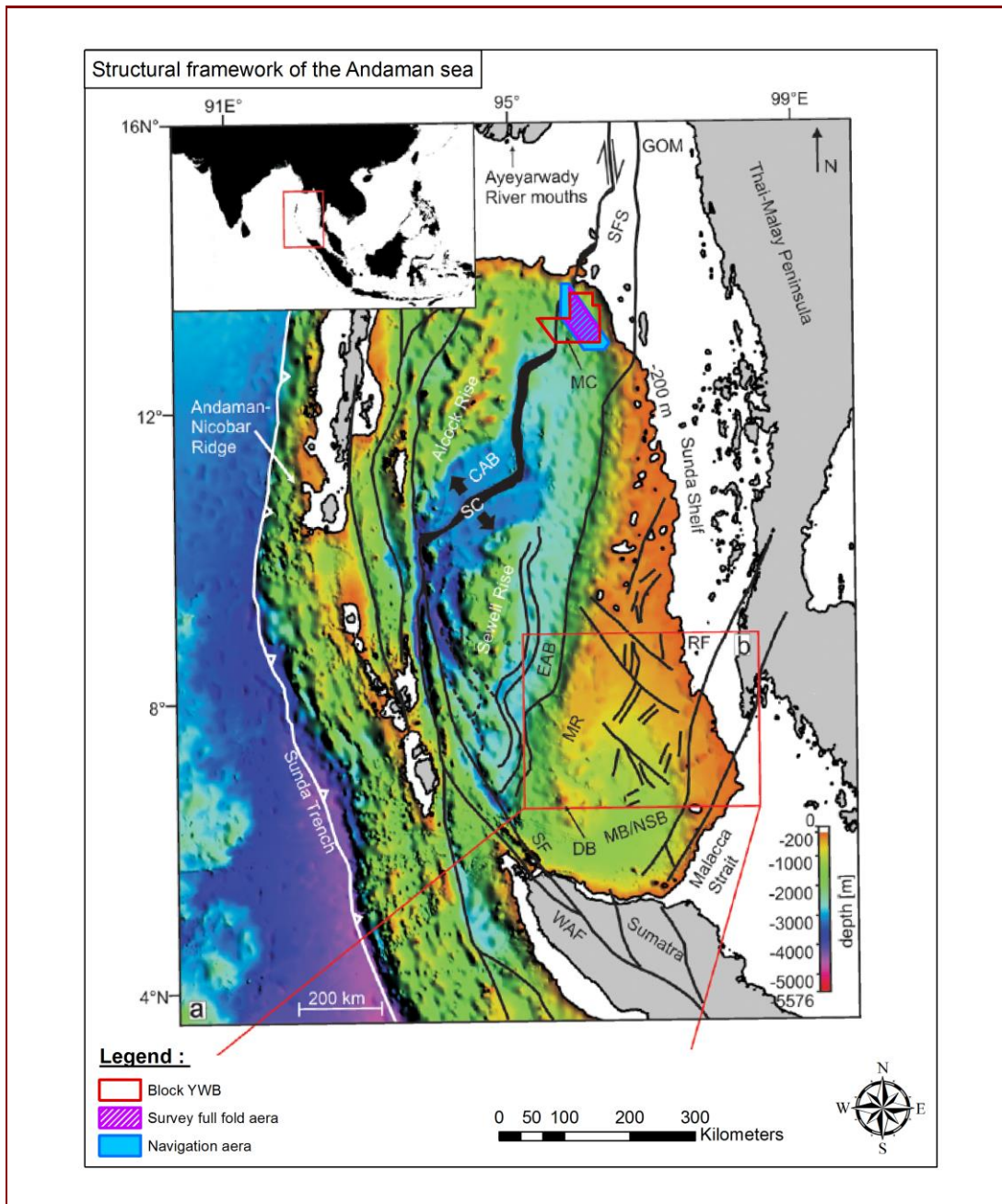


Figure 5-5 Structural framework of the Andaman Sea

SOURCE: SUBMARINE MASS WASTING AND ASSOCIATED TSUNAMI RISK OFFSHORE WESTERN THAILAND, ANDAMAN SEA, INDIAN OCEAN, J. M. SCHWAB, S. KRSTEL, NATURAL HAZARDS AND EARTH SYSTEM SCIENCES, 2012

The study area is characterized by quite diversified structures, including the presence of:

- Seamounts;
- Canyons, and,



- Associated mud slides.

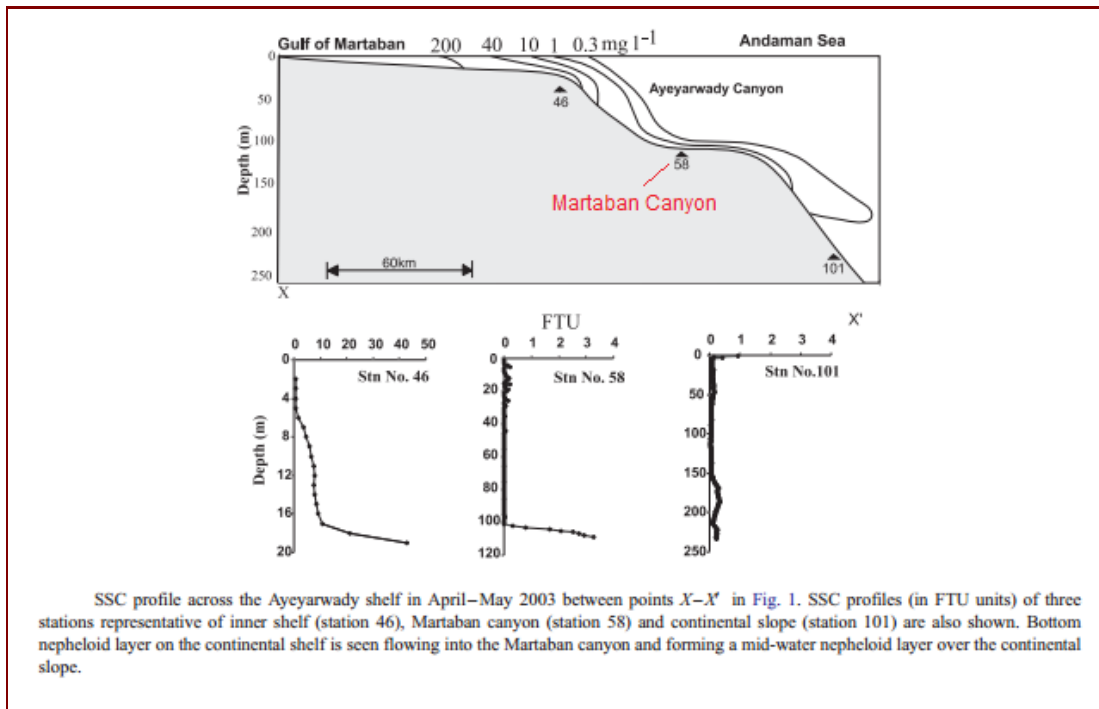
These geological structures may have a specific interest for some species.

**Martaban canyon and associated mud slides**

The Andaman Basin has an area of 800,000km<sup>2</sup> separated from the Bay of Bengal by the Andaman-Nicobar Ridge. The basin's principal sediment source is the Irrawaddy River's annual load of about 265.106 metric tons of silty clay.

Mud slides are generally localised between the continental shelf or slope and abyssal depths; phenomena of gravity transport may occurs due to the existing degradation of aquatic fauna and the huge amount of sediments coming from Irrawaddy Rivers. Therefore, the slope failures lead to mud slides.

Ramaswamy *et al.* (2004) gave a comprehensive account of the fate of the Irrawaddy discharge providing turbidity profiles that show transport of sediments into the deep Andaman Sea via the Martaban Canyon (Cf. figure below).



**Figure 5-6 Characteristics of Martaban Canyon**

SOURCE: V. RAMASWAMY, P.S. RAO, K.H. RAO, SWE THWIN, N. SRINIVASA RAO AND V. RAIKER, 2004, TIDAL INFLUENCE ON SUSPENDED SEDIMENT DISTRIBUTION AND DISPERSAL IN THE NORTHERN ANDAMAN SEA AND GULF OF MARTABAN, MARINE GEOLOGY (208) 33– 42

Potential mud slides in the vicinity of the project area suggest that the turbidity can be important.

**Seamounts**

Seamounts are manifestations of crustal tectonics and volcanism, and are also sites of biodiversity and hydrothermal events. Unlike islands, seamounts never reach the surface of the ocean. These are special ecosystems which often contain a biomass and biodiversity higher than the surrounding water bodies. The waters are shallow and the substrate, often harder, provides habitat for marine species absent in the area.



Two seamounts have been identified within the project area: Alcock rise and Sewell seamounts.

Table below gives the distance and main characteristics of these seamounts.

Table 5-1 Seamounts within the project area		
Name	Location	Characteristics
Alcock rise seamount	12°30'N 94°40'E	270 km long and 100 km wide. Maximum relief 2400 m. Slope > 3°. Massive slabs of unaltered intergranular basalt. Pre-Pleistocene age, distinct magnetic anomalies.
Sewell rise seamount	9°25'N 94°45'E	Pleistocene volcanic episode, distinct magnetic anomalies. Size and relief similar to Alcock Seamount.

SOURCE: SRIDHAR D. IYER\*, PRANAB DAS, NIYATI G. KALANGUTKAR AND CHINTAN M. MEHTA, 25 MAY 2012, SEAMOUNTS – WINDOWS OF OPPORTUNITIES AND THE INDIAN SCENARIO, *CURRENT SCIENCE* (VOL. 102, NO. 10)

**The nearest seamount (Alcock Seamount) is situated at approx. 150km of the project area.**

**5.4.1.2 Seismology**

According to USGS, the Sumatra-Andaman portion of the collision zone forms a subduction zone megathrust plate boundary, the Sunda-Java trench, which accommodates convergence between the Indo-Australia and Sunda plates. This convergence is responsible for the intense seismicity and volcanism in Sumatra. The Sumatra Fault, a major transform structure that bisects Sumatra, accommodates the northwest-increasing lateral component of relative plate motion.

**The project area is localized at a relative proximity of The Ridge Transform Sagaing Fault** (Cf. Figure 5-7). Main earthquakes are located in the vicinity of Coco and Andaman Islands, between the Trench parallel shear of Coco Delta and the Sagaing Fault. The most recent undersea megathrust dates of 2010. The most impressive was the 2004 earthquakes which led to Indian Ocean Tsunami. With a magnitude of Mw 9.1–9.3, it was the third largest earthquake ever recorded on a seismograph.

The nearest earthquake occurred at 57km of the Project Area.

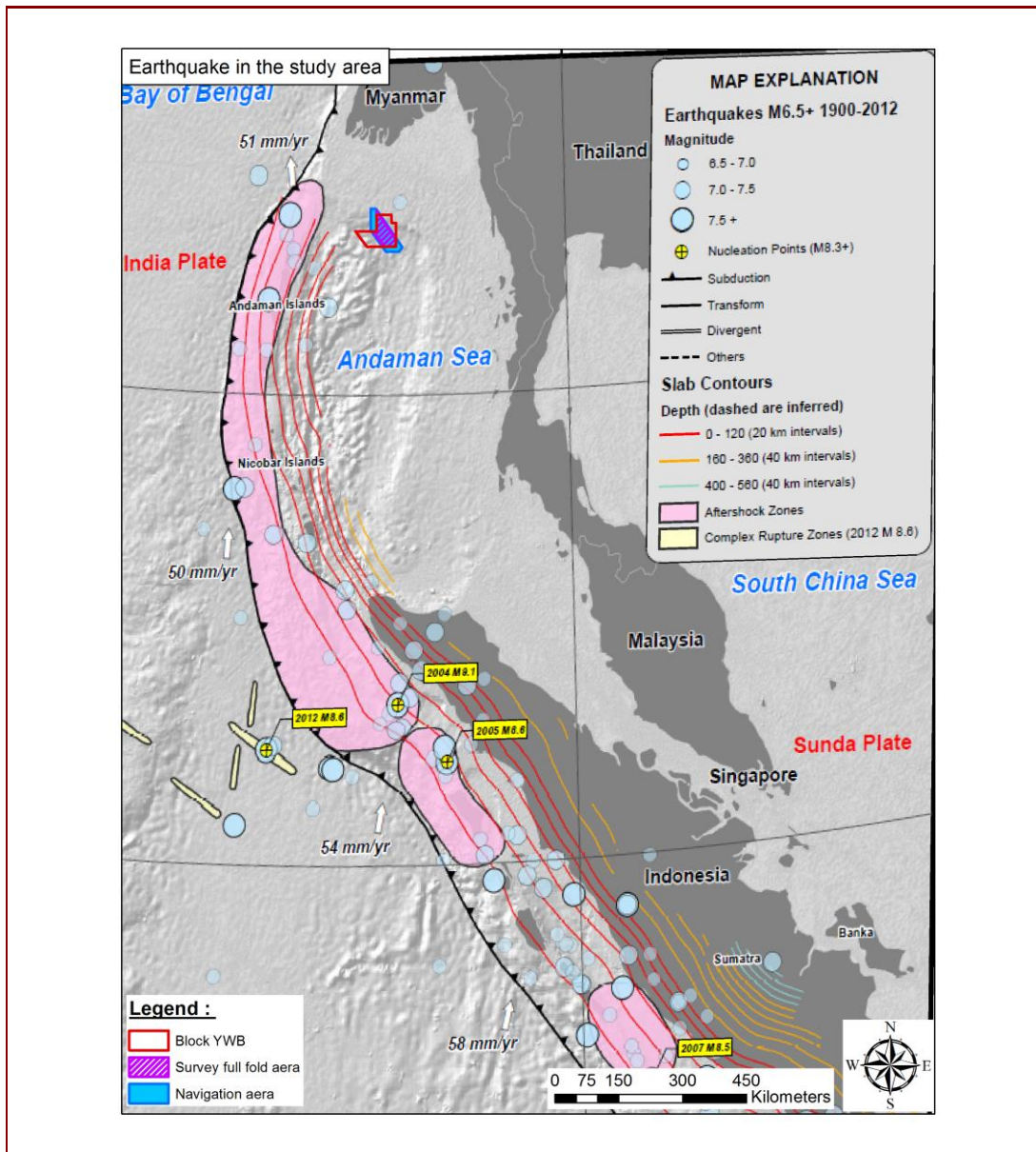


Figure 5-7 Earthquakes in the study area

SOURCE: YU WANG, EARTHQUAKE GEOLOGY OF MYANMAR, CALIFORNIA INSTITUTE OF TECHNOLOGY, PASSADENA, 2013

5.4.2 **Regional oceanography**

5.4.2.1 **Bathymetry**

Bathymetry within the project area ranges from 200m to 2000m.

The YWB block is situated at the boundary of the continental shelf (Sunda Shelf) and mostly located on the continental slope.

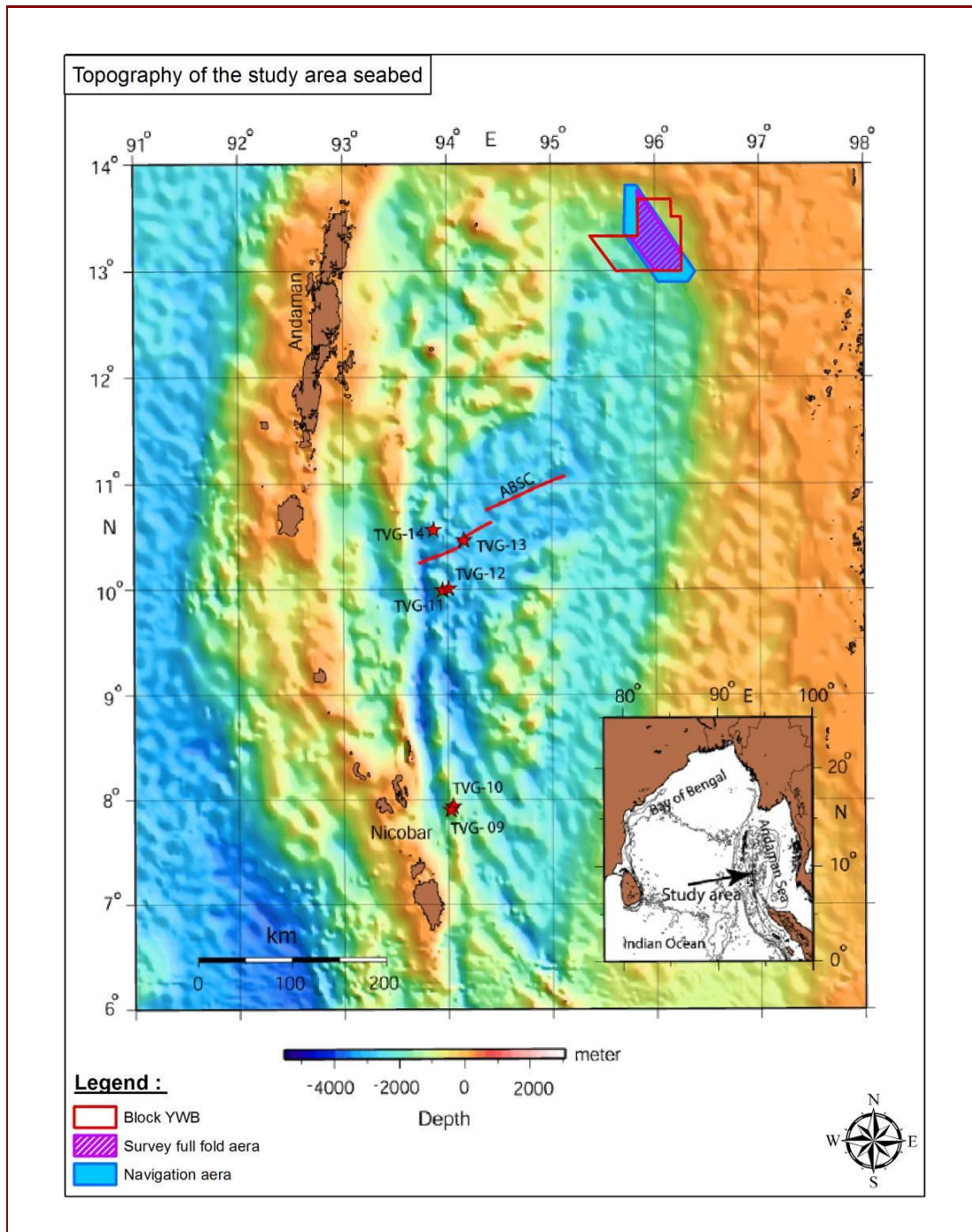


Figure 5-8 Bathymetry and topography within the YWB Block



5.4.2.2 Winds and currents

**Winds**

The winds in the Northern Andaman Sea are generally gentle to moderate, with mean monthly wind speeds ranging from 3.5 m/s to 7.5 m/s. Strong winds (>11.0 m/s) occur less than 2% of the time during the year and are generally limited to the monsoonal seasons. Mean winds are stronger during the summer Southwest Monsoon (~6.5 m/s, from the south-west to west sector) than during the winter Northeast Monsoon (~4.5 m/s). Winds in excess of 14 m/s can occur during any season but are most common during the monsoonal seasons. Strong surges of the Northeast Monsoon, squalls associated with the Southwest monsoon and the occasional tropical cyclones can occasionally cause gale force winds (> 17.5 m/s) in the Northern Andaman Sea.

The least windy season is the spring (Pre-monsoon) transition period with a mean wind speed of 4.2 m/s, when land/sea breezes (i.e. onshore – offshore wind flow) dominate. The autumn transition season is windier than the Northeast Monsoon, with a mean wind speed of 4.8 m/s. Wind speed values less than 2.0 m/s occur less than 7% of the time during the year.

Predominant wind direction within the project area blows from North-Northeast from November to April. From May to October, the predominant wind direction is Southwest (Cf. figure below).

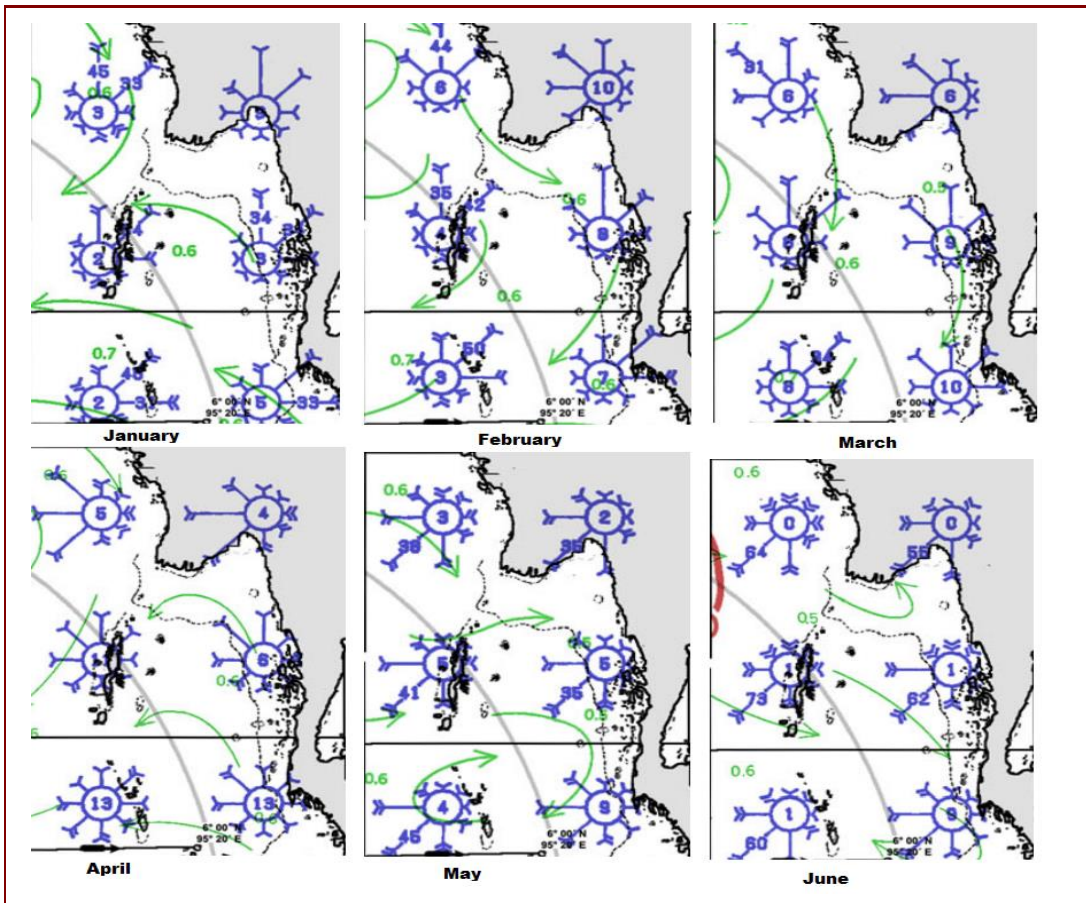


Figure 5-9 Average wind and current within the project area (January to June)

SOURCE: PILOT CHART 2014

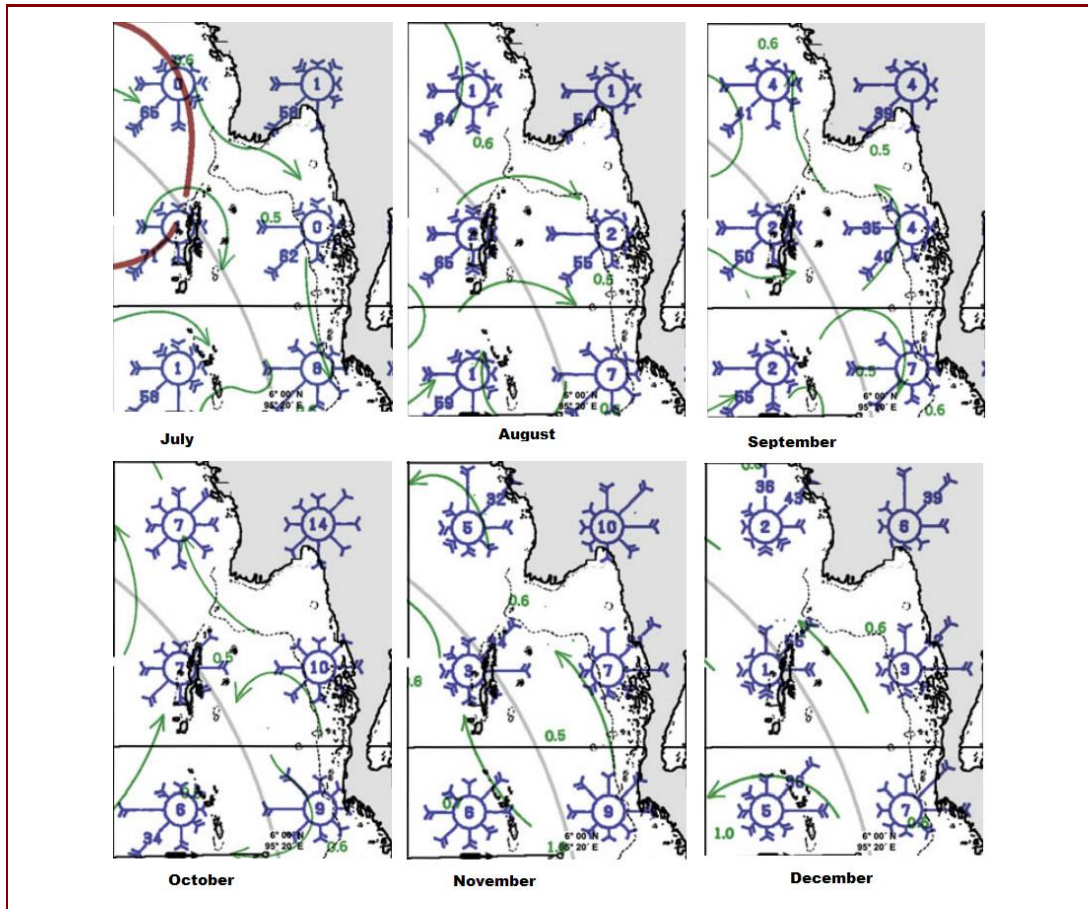


Figure 5-10 Average wind and current within the project area between July to December

SOURCE: PILOT CHART 2014

**Storm, cyclones and tornadoes**

Cyclones characterised by winds above 32.7m/s affect different areas of the country at different times of the year although the major cyclone paths do not pass over the Andaman Sea. **They are most frequent from mid-May to early December.**

Violent storms can occur in the transition seasons but the maximum monthly frequency of severe storms is during October and November. In June the storms originate mainly to the north of the Indian coast, but do not in general affect the study area. During July and August, the storm development area is around latitude 18°N but further south in September. The subsequent movement is towards northeast.


Tornadoes recorded in the area are considered small scale and while very destructive, they are rare in the study area. Waterspouts are more common, and their destructive path is more limited. They occur throughout the wider region, mainly in the south.

Among the 10 cyclones recorded in the Bay of Bengal the last 15 years, two have reached the study area. Table below gives characteristics of these two cyclones.





Table 5-2 Cyclones recorded within the project area

Name	Category	Year	Trajectories
Cyclonic Storm Phailin	5	October 2013	
Odysha cyclone	5	October/November 1999	

\*SAFFIR-SIMPSON SCALE

**Currents**

The influence of the monsoon winds changes the current patterns considerably during the course of the year. In the relatively restricted waters of the Andaman Sea, there is no simple pattern of currents associated with each monsoon (Cf Figure 5-10). Boundaries imposed by the coast of Myanmar and the Andaman Islands modify any general flows.

The variation along the year is as follows:

- The Northeast Monsoon produces a clockwise gyre. It is extensive and contributes to a general south-westerly flow of water in the Andaman Sea, from the Gulf of Martaban towards the Andaman Islands. This flow is of moderate constancy, at approximately ¼ knots (0.125m/s). This flow weakens during subsequent months, moving southwards.
- By May, the north-east monsoon system has broken down and water movement in the Andaman Sea is generally eastward, though relatively inconstant (less than 50%).
- By September, an anticlockwise loop forms in the Andaman Sea, with water flowing east immediately to the south of the Andaman Islands and flowing west along the Irrawaddy coast of Myanmar before turning north along the Arakan coast.
- By November, the Southwest Monsoon system no longer exerts an influence and the general flows in the Andaman Sea are North-Westward.

The Figure 5-9 and Figure 5-10 summarises seasonal current trajectories.



5.4.3 **Water characteristics**

5.4.3.1 **Salinity**

Andaman Sea exhibits strong seasonal variations due to an extremely large freshwater influx from the Irrawaddy and Salween Rivers during the monsoon season.

In the northern part, the salinity ranges from about 20 in June-November to about 32 in December-May. The salinity of surface seawater in the southwest end is fairly constant at about 33.5 (cf. Figure 5-11).

The salinity reaches a maximum of 35 almost at 1,500m depth.

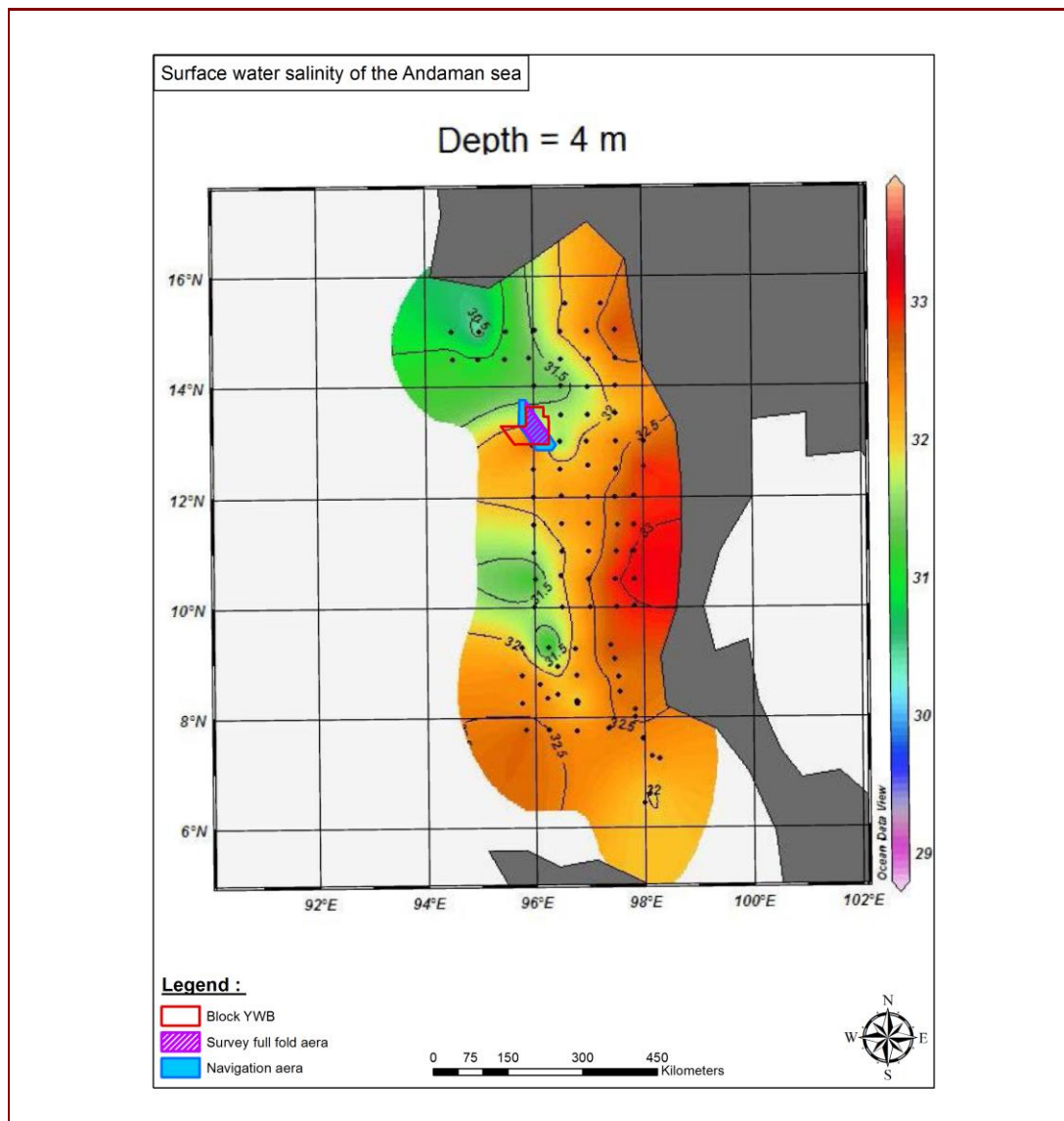


Figure 5-11 Surface water salinity of Andaman Sea (February to April 2007)

SOURCE: BOBLME – COUNTRY REPORT ON POLLUTION – 2011



5.4.4 **Chlorophyll-a**

Phytoplankton is a primary producer which converts inorganic matters into organic compounds through photosynthesis, enabling the transfer of energy and nutrients to the zooplankton. Considering that plankton organisms have short life cycles and can quickly respond to changing environments such as in the case of water pollution, some phytoplankton species can thus be used as index for monitoring water quality. Chlorophyll is a principal pigment which phytoplankton use in photosynthesis to convert nutrients and carbon dioxide, which are dissolved in sea water into plant materials. Chlorophyll-a,b,c and Phaeophytin are the most commonly occurring pigment in seawater.

Chlorophyll-a is the major photosynthetic pigment of marine phytoplankton that has been used as an indicator of biomass or primary productivity in the oceans (Beebe, 2008).

The distribution of chlorophyll in the Bay of Bengal was determined from the 25<sup>th</sup> October to the 21<sup>st</sup> December 2007, during a joint research survey on the Ecosystem-Based Fishery Management in the Bay of Bengal by the M.V. SEAFDEC. **Among the 25 stations included in this field survey, the closest ones to the YWB Block are station 10 and 11** (Cf. Figure below). More details on this study are available on APPENDIX C (page 45).

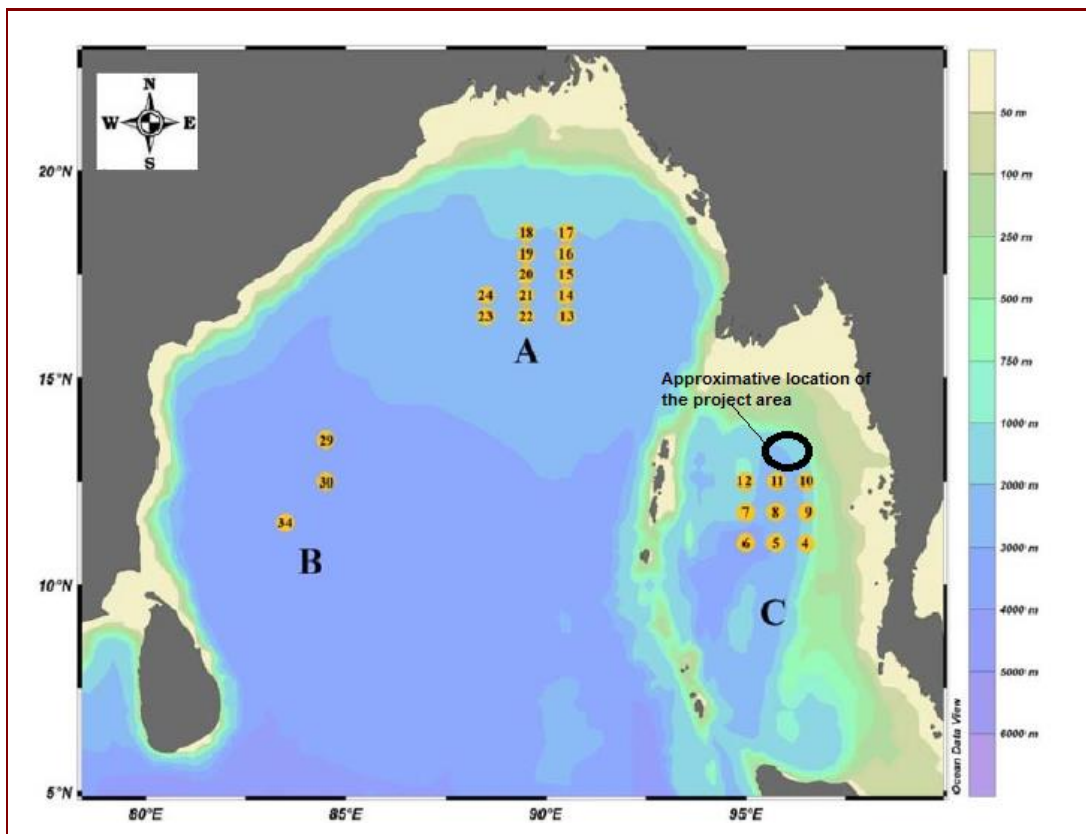


Figure 5-12 Chlorophyll-a sampling station in the Bay of Bengal

SOURCE: DISTRIBUTION OF CHLOROPHYLL-A IN THE BAY OF BENGAL, RITTHIRONG PROMMAS, 2007

Water samples have been taken at 4 different depths (2m, 10m, 125m, 200m from the sea level) and at bottom depth (station 10: 1,128 m and station 11: 2,551m).

The results of Area C (which is the closest to the YWB project location) are given in the figure below.

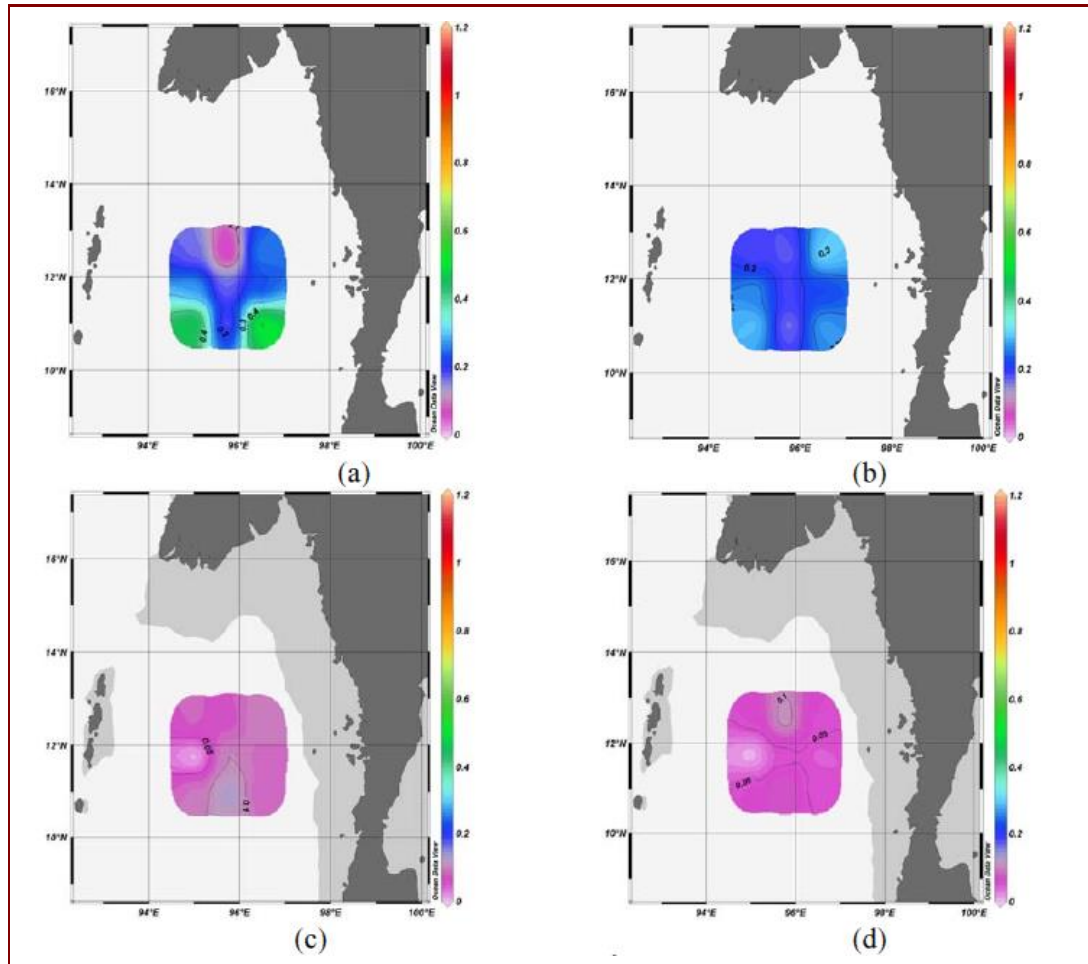


Figure 5-13 Concentration of chlorophyll-a ( $\text{mg.m}^{-3}$ ) in area C of the Bay of Bengal

The spatial distribution of chlorophyll-a is shown in Figure 5-13. The chlorophyll-a concentration at 2m and 10m ranged from  $0.0433\text{-}0.5207\text{mg.m}^{-3}$  and  $0.1519\text{-}0.3218\text{ mg.m}^{-3}$ , respectively. The distributions of chlorophyll-a at 2m and 10m have the same pattern.

It was also observed that the low latitude stations had higher chlorophyll-a than the high latitude stations in stations belonging to area C. Distribution of chlorophyll-a had also a similar pattern to the salinity. **Especially at the surface layer of station 11 (one of the closest stations of the YWB project area), the salinity was low, probably due to the influence of the Irrawaddy River's discharge with high turbidity that may have an effect of decreasing the chlorophyll-a concentration values.**

Deeper than 100m, chlorophyll-a concentrations were lower than above and homogeneous. According to the study, the highest concentrations of chlorophyll-a in several stations were observed at 10m depth for stations belonging to Area C.

It should be noted that in the study area, the chlorophyll-a concentration is dependent of the input of freshwater coming from Irrawaddy River and its turbidity. It also depends on the season and the salinity of the seawater. Maximum concentrations of chlorophyll-a are obtained at 10m below the sea level.



#### 5.4.5 Sediment quality

Few studies concerning sediment quality have been performed within the project area. However, this section has been described taking into account the study of sediment distribution and dispersal on the Ayeyarwady continental shelf and Gulf of Martaban.

The Gulf of Martaban and the inner shelf are covered with thick silty clays while relict sands deposited during lowered sea levels fill the outer shelf. The sediments within the Ayeyarwady Canyon contain low carbonate sands and patches of silty clay. The principal clay minerals are illite, kaolinite, chlorite and smectites. The sediments delivered by the rivers are thoroughly mixed in the high tidal regime of the Gulf of Martaban and there is not much variation in clay mineral composition over the shelf. Tidal currents play a major role in bringing in sediment from the Ayeyarwady River and retaining them in the Gulf of Martaban. Tidal currents also help in flushing out sediments from rivers and estuaries and depositing them on the continental shelf.

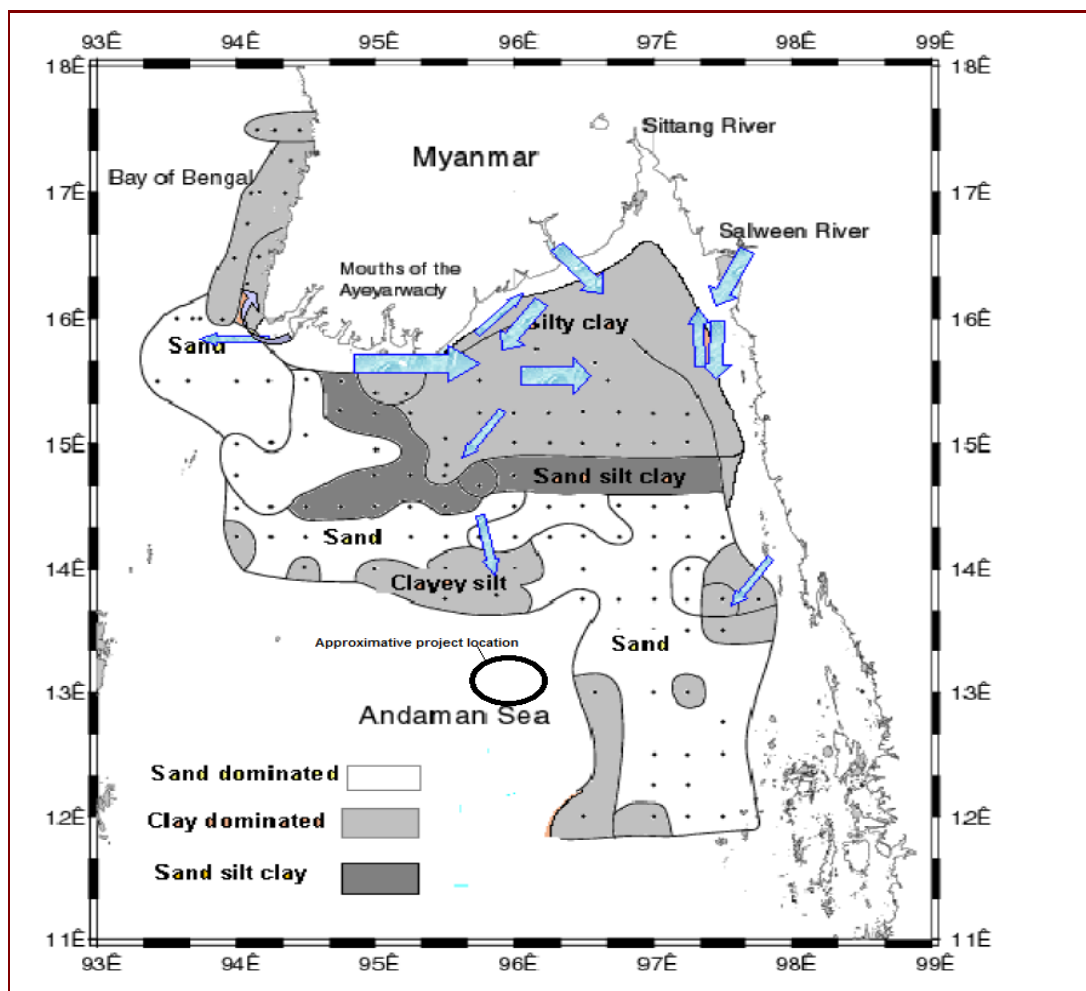


Figure 5-14 Figure showing sediment distribution and dispersal pattern over the Ayeyarwady continental shelf

SOURCE: V. RAMASWAMY, *SEDIMENT DISTRIBUTION AND DISPERSAL ON THE AYEYARWADY CONTINENTAL SHELF AND GULF OF MARTABAN, NORTHERN ANDAMAN SEA*



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## Description of the environment – 11/14

According to V. Ramaswamy, the Ayeyarwady-Thanlwin River system discharges huge amount of suspended sediment which gets deposited in the deep Andaman Sea via Maraban canyon by different tidal currents.

Even if the project area is situated in the slope of the continental shelf, sediments' deposits coming from the Ayeyarwady River should be found within the project footprint.

### 5.5 BIOLOGICAL ENVIRONMENT

#### 5.5.1 Offshore habitat and fauna

##### 5.5.1.1 Offshore habitat

The YWB Block seismic survey will be located about 250km west of the Myanmar coast in Andaman Sea. In the prospect location the water depth ranges from 200m to 2000m which corresponds to the epipelagic, mesopelagic and bathypelagic oceanic zones (see Figure 5-15). The local fauna will therefore include species from surface and deep waters.

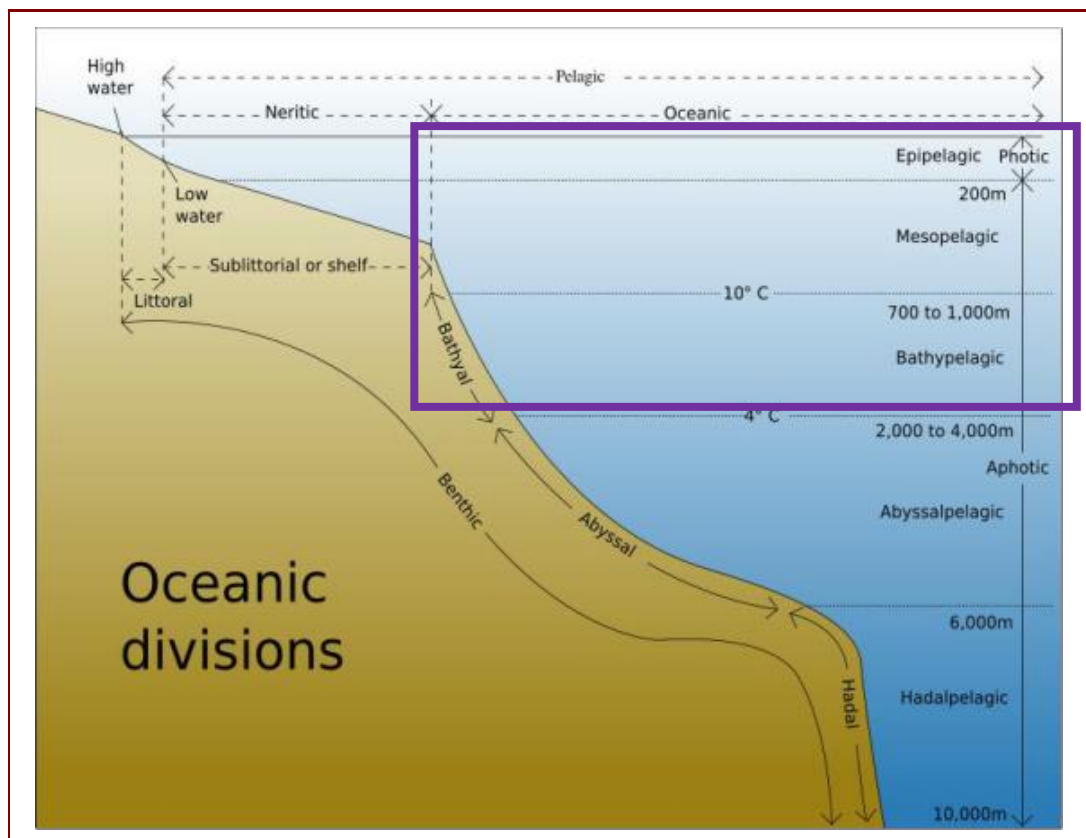


Figure 5-15 Oceanic division

The epipelagic zone is the one closest to the surface and is the best lit. It extends to 200 meters and contains both phytoplankton and zooplankton that can support larger organisms like marine mammals and some types of fish.



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The mesopelagic zone is the part of the pelagic zone that extends from a depth of 200 to 700 metres. Although some light penetrates as deep as this zone, it is insufficient for photosynthesis. Therefore, the general types of life forms found are daytime visiting herbivores, detritivores feeding on dead organisms and fecal pellets, and carnivores feeding on the former types. Examples of animals living in this zone include the swordfish, squids, wolf eels, cuttlefish and other deepsea creatures.

The Bathypelagic zone is the part of the pelagic zone that extends from 700 to 2000m and where the temperature rounds the 4°C. It is less densely populated as the above zones and since no sunlight reaches this depth, there is also no primary production. It is known as the midnight zone because of this feature. Because of the lack of light, some species do not have eyes; however those possessing eyes in this zone include the viperfish and the frill shark. Sponges, brachiopods, sea stars, and echinoids are also common. The fish in this zone have become very energy efficient, since it is especially hard to find nutrients. Many have slow metabolic rates to conserve energy. There are no plants because of the lack of light necessary for photosynthesis. In the bathyal some of the world's largest whales feed.

The following section identifies the main group of marine species that may potentially occur within the surveyed area and their main characteristics (IUCN status, population trend, habitat, habits, feeding regime, sensitivity, etc.).

### 5.5.1.2 Offshore fauna

#### Plankton

##### Zooplankton

This section is based on the results presented in the Andaman Sea Fisheries Research and Development Center on Ecosystem-Based Fishery Management in the Bay of Bengal. A 58-day collaborative survey was conducted in 2007 by the Bay of Bengal Initiative for Multi-Sectoral Technical and Economic Cooperation (BIMSTEC) to determine the fertility of the area as a new fishery ground. The purpose of this study was to determine the composition, abundance and distribution of zooplankton in 3 areas of the Bay of Bengal (area A to the north; area B to the west; area C to the east). **The eastern area (area C) is the closest to the YWB project area.**

All samples were collected by oblique towing with a Bongo net of 330 µm mesh size. Sampling points are located on the map in figure below.

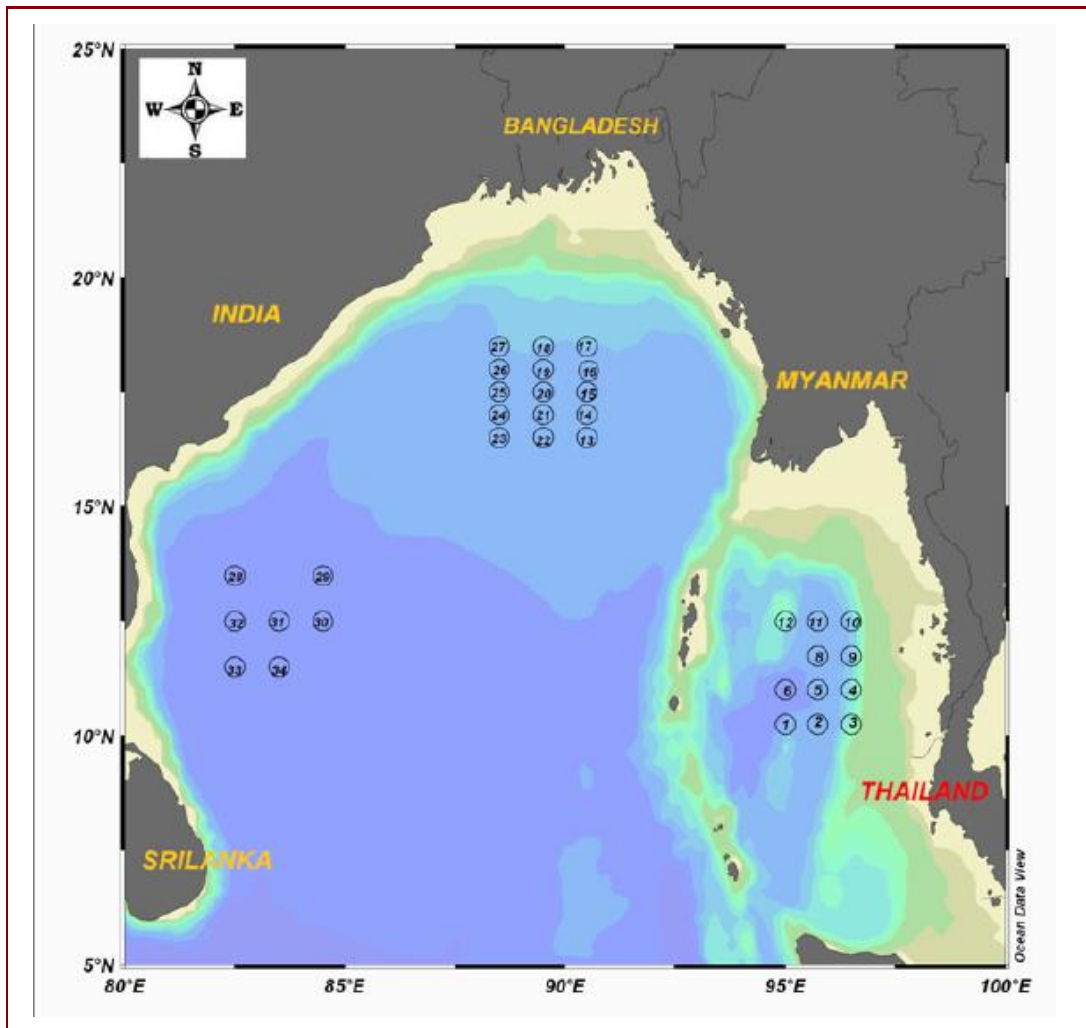


Figure 5-16 Plankton sampling program performed in the Bay of Bengal

SOURCE: BIMSTEC, 2008

Results of this field survey are compiled in APPENDIX C .

Overall, the zooplankton community consisted of 205 species and 119 genera. Copepoda was the most significant group both in term of species number and abundance. Widely distributed groups in this study were: copepods, protozoan zooplankton, arrow worms, larvaceans, cnidarians, ostracods and thaliaceans. A distribution pattern of the major constituents of the zooplankton community indicated that area A was the most productive area for zooplankton populations. Results for the sampling station located in the southern part of Myanmar waters (that is to say, the closest to the study area) indicated a rich abundance of zooplankton groups, including calanoid copepods, poecilostomatoid copepods and arrow worms, whereas the region presented a lower abundance of crab larvae, planktonic shrimps and larvaceans.

In addition, numerous species of hydromedusae occur in the region, including ubiquitous species such as *Liriope tetraphylla*, species shared with the Arabian Sea such as *Pandeopsis sutigera*, as well as deep water and even Antarctic species. Mid-ocean species were excluded due to the reduced salinity (Rao, 1979).





### Phytoplankton

Results concerning the same scientific program as for zooplankton (Cf. previous paragraph) is given in Figure 5-17 and Figure 5-18.

Complete results of this field survey are compiled in APPENDIX B .

The cell densities in the area C (the closest to the YWB block) were in the range of 171-11,178 cells/L. The maximum cell count was found in the northwestern part of the Bay.

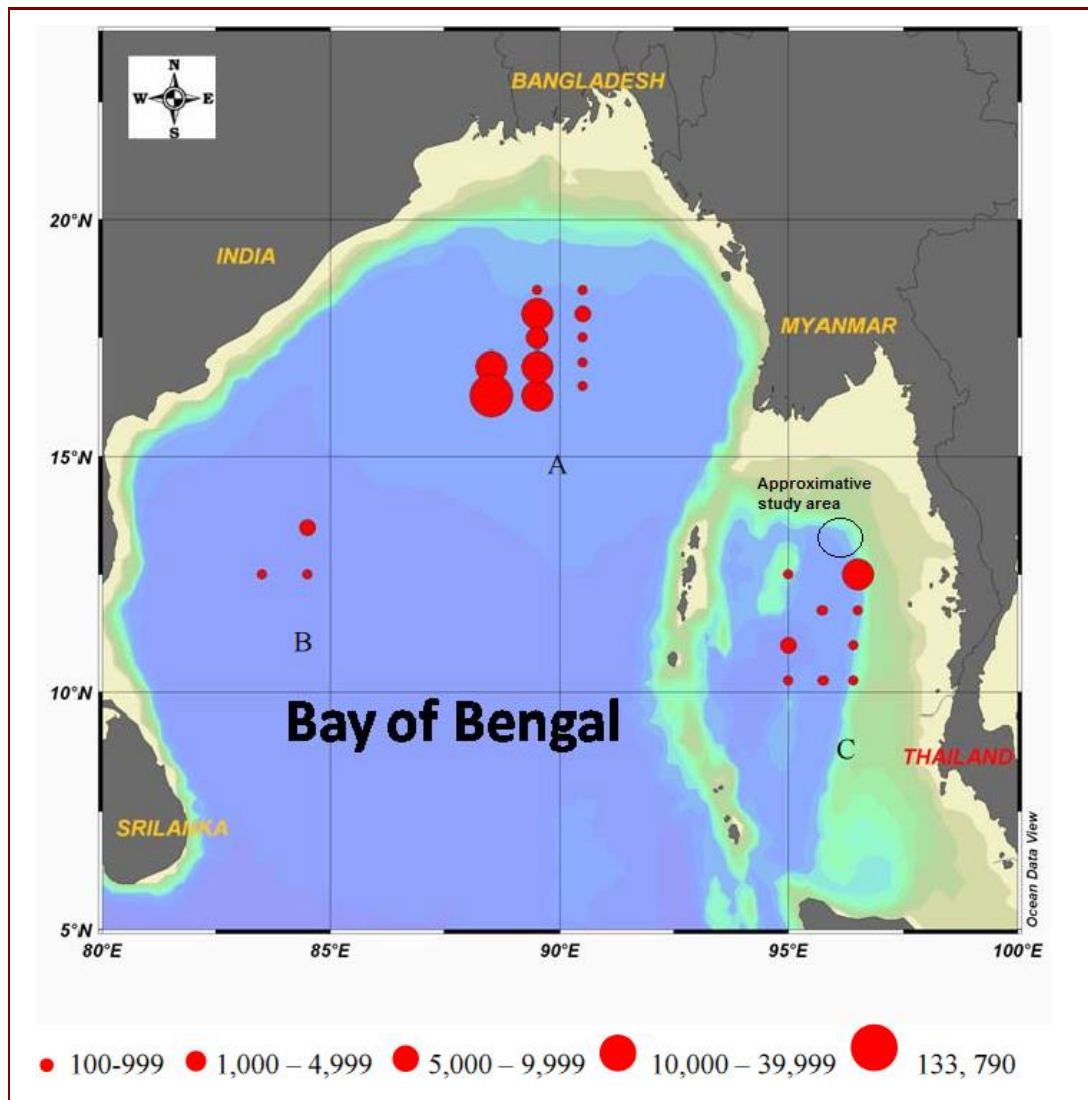


Figure 5-17 Phytoplankton density (cells/liters) in the surface layer

SOURCE: BIMSTEC, 2008

Dominant species found at the closest station of the YWB block are *Chaetoceros compressus* (12%) and associated species is *Detonula pumila* (10%), (Cf. Figure 5-18).



It has been shown during this study that the Andaman sea is very productive with high phytoplankton densities during the Northeast monsoon (November). However, Paul *et al.* (2007) collected sample during southwest monsoon and revealed that microphytoplankton were abundant in the Northern Bay.

According to this study, density and richness of phytoplankton in the surface layer at the vicinity of YWB block are important.

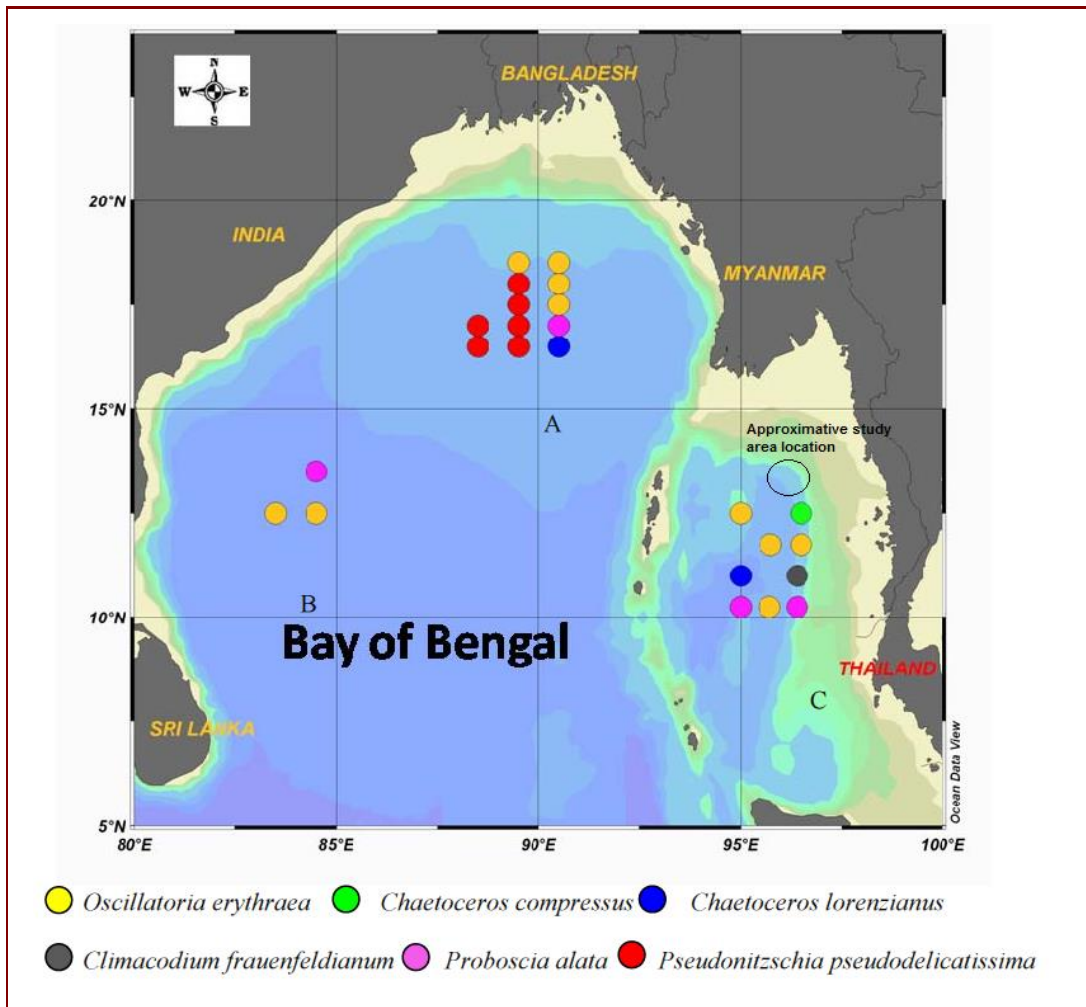


Figure 5-18 Dominant phytoplankton species in the vicinity of the project location

SOURCE: BIMSTEC, 2008

**Pelagic fish communities**

The pelagic system of the Andaman Sea and the Bay of Bengal in general is related to the considerable seasonal variations imposed by the monsoon systems. This seasonal variability, combined with reduced salinity (compared to oceanic water) due to riverine inputs, gives a distinctive character to the pelagic community.

Strong correlations are observed between temperature, phosphate concentration, primary production, copepod density and fish larvae density during both monsoons in the pelagic community of the Bay of Bengal as a whole, including the Andaman Sea (Rao, 1979).



## Offshore Seismic Campaign YWB Block

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The pelagic fish community is widespread and relatively eclectic in its distribution. A wide variety of jacks, tunnys, barracudas, flying fish, sharks and rays are included in this community that extends across the entire Indian Ocean. Some predacious species may also be associated with reefs from time to time (e.g. barracudas and certain shark species), where food fish are most abundant. This community contains some noteworthy species such as the rare whale shark (*Rhincodon typus* – vulnerable).

The pelagic fish community is represented by the following classes of vertebrates:

- Agnatha (jawless, finless fish), e.g hagfish, lamprey;
- Chondrichthyes (cartilaginous fish), e.g sharks, rays;
- Osteichthyes (bony fish), i.e. herring, cod, halibut, tuna, salmon.

The list of threatened pelagic fish species living in Myanmar waters is presented in Table 5-3 with the applicable IUCN status and population trend, when available.

A total of 37 pelagic fish species were identified by the IUCN as threatened with different levels of vulnerability:

- 3 species are critically endangered (CR), facing an extremely high risk of extinction in the wild.
- 5 species are endangered (EN), facing very high risk of extinction in the wild;
- 29 are classified as vulnerable (VU), facing high risk of extinction in the wild.

All these species may potentially be found in the YWB Block area.



Table 5-3 Pelagic fish present in Myanmar waters

Class	Order	Family	Genus	Species	Common name	IUCN status*	Population trend
CHONDRICHTHYES	RAJIFORMES	MYLIOBATIDAE	<i>Aetomylaeus</i>	<i>maculatus</i>	Mottled Eagle Ray	EN	decreasing
CHONDRICHTHYES	RAJIFORMES	MYLIOBATIDAE	<i>Aetomylaeus</i>	<i>nichofii</i>	Banded Eagle Ray	VU	decreasing
CHONDRICHTHYES	LAMNIFORMES	ALOPIIDAE	<i>Alopias</i>	<i>pelagicus</i>	Pelagic Thresher, Thresher Shark, Whiptail Shark	VU	decreasing
CHONDRICHTHYES	LAMNIFORMES	ALOPIIDAE	<i>Alopias</i>	<i>vulpinus</i>	Common Thresher Shark	VU	decreasing
CHONDRICHTHYES	RAJIFORMES	PRISTIDAE	<i>Anoxypristis</i>	<i>cuspidata</i>	Knifetooth Sawfish, Narrow Sawfish, Pointed Sawfish	CR	decreasing
CHONDRICHTHYES	CARCHARHINIFORMES	CARCHARHINIDAE	<i>Carcharhinus</i>	<i>hemiodon</i>	Pondicherry Shark	CR	unknown
ACTINOPTERYGII	PERCIFORMES	SERRANIDAE	<i>Epinephelus</i>	<i>lanceolatus</i>	Brindle Bass, Brindled Grouper, Giant Grouper, Queensland Groper	VU	decreasing
CHONDRICHTHYES	RAJIFORMES	RHINOBATIDAE	<i>Glaucostegus</i>	<i>granulatus</i>	Sharpnose Guitarfish	VU	decreasing
CHONDRICHTHYES	RAJIFORMES	RHINOBATIDAE	<i>Glaucostegus</i>	<i>typus</i>	Common Shovelnose Ray, Giant Shovelnose Ray	VU	decreasing
CHONDRICHTHYES	CARCHARHINIFORMES	CARCHARHINIDAE	<i>Glyphis</i>	<i>siamensis</i>	Irrawaddy River Shark	CR	unknown
CHONDRICHTHYES	CARCHARHINIFORMES	HEMIGALEIDAE	<i>Hemipristis</i>	<i>elongata</i>	Fossil Shark, Snaggletooth Shark	VU	decreasing
CHONDRICHTHYES	RAJIFORMES	DASYATIDAE	<i>Himantura</i>	<i>polylepis</i>		EN	decreasing
CHONDRICHTHYES	RAJIFORMES	DASYATIDAE	<i>Himantura</i>	<i>uarnacoides</i>	Bleeker's Whipray	VU	decreasing
CHONDRICHTHYES	RAJIFORMES	DASYATIDAE	<i>Himantura</i>	<i>uarnak</i>	Reticulate Whipray, Honeycomb Stingray, Leopard Stingray, Marbled Stingray	VU	decreasing
CHONDRICHTHYES	RAJIFORMES	DASYATIDAE	<i>Himantura</i>	<i>undulata</i>	Bleeker's Variegated Whipray	VU	decreasing
ACTINOPTERYGII	SYNGNATHIFORMES	SYNGNATHIDAE	<i>Hippocampus</i>	<i>trimaculatus</i>	Flat-faced Seahorse, Low-crowned Seahorse, Three-spot Seahorse	VU	decreasing
CHONDRICHTHYES	LAMNIFORMES	LAMNIDAE	<i>Isurus</i>	<i>paucus</i>	Longfin Mako	VU	decreasing
CHONDRICHTHYES	CARCHARHINIFORMES	CARCHARHINIDAE	<i>Lamiopsis</i>	<i>temmincki</i>	Broadfin Shark	EN	decreasing
ACTINOPTERYGII	PERCIFORMES	ISTIOPHORIDAE	<i>Makaira</i>	<i>nigricans</i>	Blue Marlin	VU	decreasing



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CHONDRICHTHYES	RAJIFORMES	<i>MOBULIDAE</i>	<i>Manta</i>	<i>alfredi</i>	Reef Manta Ray, Coastal Manta Ray, Inshore Manta Ray, Prince Alfred's Ray, Resident Manta Ray	VU	decreasing
CHONDRICHTHYES	RAJIFORMES	<i>MOBULIDAE</i>	<i>Manta</i>	<i>birostris</i>	Giant Manta Ray, Chevron Manta Ray, Oceanic Manta Ray, Pacific Manta Ray, Pelagic Manta Ray	VU	decreasing
CHONDRICHTHYES	CARCHARHINIFORMES	<i>CARCHARHINIDAE</i>	<i>Negaprion</i>	<i>acutidens</i>	Sharptooth Lemon Shark	VU	decreasing
CHONDRICHTHYES	RAJIFORMES	<i>RHINIDAE</i>	<i>Rhina</i>	<i>ancylostoma</i>	Bowmouth Guitarfish, Mud Skate, Shark Ray	VU	decreasing
CHONDRICHTHYES	ORECTOLOBIFORMES	<i>RHINCODONTIDAE</i>	<i>Rhincodon</i>	<i>typus</i>	Whale Shark	VU	decreasing
CHONDRICHTHYES	RAJIFORMES	<i>RHINOBATIDAE</i>	<i>Rhinobatos</i>	<i>obtusus</i>	Widenose Guitarfish	VU	decreasing
CHONDRICHTHYES	RAJIFORMES	<i>RHINOPTERIDAE</i>	<i>Rhinoptera</i>	<i>javanica</i>	Flapnose Ray, Javanese Cownose Ray	VU	unknown
CHONDRICHTHYES	CARCHARHINIFORMES	<i>SPHYRNIDAE</i>	<i>Sphyrna</i>	<i>lewini</i>	Scalloped Hammerhead	EN	unknown
CHONDRICHTHYES	CARCHARHINIFORMES	<i>SPHYRNIDAE</i>	<i>Sphyrna</i>	<i>mokarran</i>	Great Hammerhead, Hammerhead Shark, Squat-headed Hammerhead Shark	EN	decreasing
CHONDRICHTHYES	RAJIFORMES	<i>DASYATIDAE</i>	<i>Taeniurops</i>	<i>meyeni</i>	Black-blotched Stingray, Black-spotted Stingray, Blotched Fantail Ray, Fantail Stingray, Giant Reef Ray, Round Ribbontail Ray, Speckled Stingray	VU	unknown
ACTINOPTERYGII	PERCIFORMES	<i>SCOMBRIDAE</i>	<i>Thunnus</i>	<i>obesus</i>	Bigeye Tuna	VU	decreasing

SOURCE: IUCN, 2014 – VU: VULNERABLE – EN: ENDANGERED – CR: CRITICALLY ENDANGERED



**Commercially important fish**

The marine capture fishery comprises coastal or inshore fisheries and offshore or deep-sea fisheries. Table 5-4 below presents the main fish species caught in Myanmar waters.

Table 5-4 Commercially important fish in Myanmar		
Fishing gear	Location of fishermen	Common names
Trawl fisheries	Offshore/coastal	Demersal finfish (Indo-Pacific mackerel)
	Inshore/coastal/offshore	Penaeid prawns
Purse seine fishery	Offshore	Small pelagic species: small mackerels and sardine species such as <i>Rastelliger spp.</i> and <i>Sardinella spp.</i>
	Coastal	Anchovies (genus <i>Stolephorus</i> )
Driftnet and gillnet fishery	Coastal	Demersal fish species like marine catfish and jewfish
	Coastal	Shrimp like <i>Peneaus merguensis</i>
Long-lining	Offshore	Yellowfin tuna primarily others: swordfish, marlin and sharks

SOURCES: FAO & MYANMAR DEPARTMENT OF FISHERIES

Species in blue in the table are likely to be encountered within the project area.

Previous surveys for the Department of Fisheries used to determine the relative abundance and size composition of commercially important species indicated that some commercially important species inhabit Myanmar offshore waters such as the Swordfish (*Xiphiasgladius*), YellowfinTuna (*Thunnusalbacares*), Striped marlin (*Tetrapturusaudax*) and Sailfish (*Istiophorusplatypus*).

Additionally, the BigeyeThresher (*Alopiaspelagicus*), Whit-tipped shark (*Carcharhinuslongimanus*), Escolar (*Lepidocybium flavobrunneum*), Pelagic stingray (*Dasyatis*) and Snake mackerel (*Gympylussurpens*) can also be found as by-catch.

Tuna fishing grounds of Myanmar are mainly located south of the project at depth between 50-100 m.

**Marine mammals**

Two major groups of marine mammals occur in the waters off Myanmar; namely sirenians and cetaceans.

The sirenians are represented by a single species, the dugong (*Dugon dugon*) while a wide variety of small cetaceans are found.

Cetaceans are organized into two groups the odontocetes or toothed whales, and the mysticetes, or the baleen whales. To date there are 88-89 recognized species:

- **Toothed whales (odontocetes)** include all whales that have teeth such as the killer whale, sperm whale and pilot whale and all dolphins and porpoises. In total, there are 73 species.



- **Baleen whales (mysticetes)** do not have teeth but baleen plates which are made from a substance similar to keratin. These plates hang from the upper jaw and have frayed edges which the whale uses to sieve out plankton and fish after it has taken a mouthful of water.

The most notable cetaceans in Myanmar are the finless porpoise (*Neophocaena phocaenoides*) and the Irrawaddy dolphin (*Orcaella brevirostris*). The former is found in the coastal waters of south-east Asia, while the latter is also found in the Mekong, Ganga, Brahmaputra and Irrawaddy Rivers. These species are thought to be vulnerable (Northridge 1991, Groombridge 1993, Sylvestre 1993). Nevertheless, the project area depth ranging from 200m to 2000m, these species are more likely encountered in shallow water (< 200m) or freshwater.

All the species typically found in Myanmar marine waters are listed in Table 5-5 with their IUCN protection status.

A total of 29 marine mammal species have been recorded by the IUCN with different levels of vulnerability:

- 2 species are endangered (EN) facing very high risk of extinction in the wild: the Blue Whale (*Balaenoptera musculus*) and the Fin Whale (*Balaenoptera physalus*);
- 4 species are classified as vulnerable (VU) facing high risk of extinction in the wild: the Indo-Pacific Finless Porpoise (*Neophocaena phocaenoides*), the Irrawaddy Dolphin (*Orcaella brevirostris*), the Sperm Whale (*Physeter macrocephalus*) and the Dugong (*Dugong dugon*). The Dugongs are rare and are mostly found west of the Irrawaddy Delta and further north of the main coastline.
- 1 species is near threatened (NT): the Indo-pacific Hump-backed Dolphin (*Sousa chinensis*).

Amongst these species, the majority can be found in estuaries, coastal waters, shallow waters and deep waters. Most of the whales, including the Blue Whale and Sperm Whale are primarily found in offshore deep waters. However some species may also occur in shallow waters.

There is a lack of information on the distribution of marine mammal’s species in the Andaman Sea. Few studies have been performed to assess the presence of marine mammals in offshore Myanmar, including the period of migration to South-Asian waters and the location of habitats.

The present review is based on IUCN data and the results de Boer’ study (2002) on cetaceans in the Indian Ocean Sanctuary listing the main cetaceans suspected to occur in Myanmar waters.

Table 5-5 lists the main habitats and sensitivities of marine mammals living and/or regularly occurring in Myanmar waters. Some pictures of the most sensitive marine species potentially present in the study area are presented in the APPENDIX F

According to the habitats indicated by the IUCN in Table 5-5, the marine mammals’ occurring offshore of the coast of Myanmar that may be encountered during the YWB Block seismic survey are listed below (21 species identified):

Table 5-5 Marine mammals occurring in Myanmar waters

Order	Family	Genus	Species	Common names	IUCN status	Likely encountered in study area
CETARTIODACTYLA	BALAENOPTERIDAE	Balaenoptera	acutorostrata	Common Minke Whale	LC	x
CETARTIODACTYLA	BALAENOPTERIDAE	Balaenoptera	edeni	Bryde's Whale,	DD	x



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CETARTIODACTYLA	BALAENOPTERIDAE	Balaenoptera	musculus	Blue Whale	EN	x
CETARTIODACTYLA	BALAENOPTERIDAE	Balaenoptera	physalus	Fin Whale	EN	x
CETARTIODACTYLA	DELPHINIDAE	Feresa	attenuata	Pygmy Killer Whale	DD	x
CETARTIODACTYLA	DELPHINIDAE	Globicephala	macrorhynchus	Short-finned Pilot Whale	DD	x
CETARTIODACTYLA	DELPHINIDAE	Grampus	griseus	Risso's Dolphin	LC	x
CETARTIODACTYLA	ZIPHIIDAE	Indopacetus	pacificus	Indo-pacific Beaked Whale	DD	x
CETARTIODACTYLA	PHYSETERIDAE	Kogia	breviceps	Pygmy Sperm Whale	DD	x
CETARTIODACTYLA	PHYSETERIDAE	Kogia	sima	Dwarf Sperm Whale	DD	x
CETARTIODACTYLA	DELPHINIDAE	Lagenodelphis	hosei	Fraser's Dolphin	LC	x
CETARTIODACTYLA	BALAENOPTERIDAE	Megaptera	novaeangliae	Humpback Whale	LC	x
CETARTIODACTYLA	ZIPHIIDAE	Mesoplodon	densirostris	Blainville's Beaked Whale	DD	x
CETARTIODACTYLA	ZIPHIIDAE	Mesoplodon	ginkgodens	Ginkgo-toothed Beaked Whale	DD	x
CETARTIODACTYLA	PHOCOENIDAE	Neophocaena	phocaenoides	Indo-Pacific Finless Porpoise	VU	Unlikely found in water depth <200
CETARTIODACTYLA	DELPHINIDAE	Orcaella	brevirostris	Irrawaddy Dolphin	VU	Unlikely found in water depth <200
CETARTIODACTYLA	DELPHINIDAE	Orcinus	orca	Killer Whale, Orca	DD	x
CETARTIODACTYLA	DELPHINIDAE	Peponocephala	electra	Melon-headed Whale	LC	x
CETARTIODACTYLA	PHYSETERIDAE	Physeter	macrocephalus	Sperm Whale	VU	x





CETARTIODACTYLA	DELPHINIDAE	Pseudorca	crassidens	False Killer Whale	DD	x
CETARTIODACTYLA	DELPHINIDAE	Sousa	chinensis	Indo-pacific Hump-backed Dolphin	NT	Unlikely found in water depth <200
CETARTIODACTYLA	DELPHINIDAE	Stenella	attenuata	Pantropical Spotted Dolphin	LC	Unlikely found in water depth <200
CETARTIODACTYLA	DELPHINIDAE	Stenella	coeruleoalba	Striped Dolphin, Euphrosyne Dolphin	LC	Unlikely found in water depth <200
CETARTIODACTYLA	DELPHINIDAE	Stenella	longirostris	Spinner Dolphin, Long-beaked Dolphin	DD	Unlikely found in water depth <200
CETARTIODACTYLA	DELPHINIDAE	Steno	bredanensis	Rough-toothed Dolphin	LC	x
CETARTIODACTYLA	DELPHINIDAE	Tursiops	aduncus	Indo-pacific Bottlenose Dolphin	DD	Unlikely found in water depth <200
CETARTIODACTYLA	DELPHINIDAE	Tursiops	truncatus	Common Bottlenose Dolphin	LC	x
CETARTIODACTYLA	ZIPHIIDAE	Ziphius	cavirostris	Cuvier's Beaked Whale	LC	x
SIRENIA	DUGONGIDAE	Dugong	dugon	Dugong	VU	Unlikely found in water depth <200

SOURCE: IUCN RED LIST - STATUS: CRITICALLY ENDANGERED (CR), ENDANGERED (EN), VULNERABLE (VU), NEAR THREATEN (NT), LEAST CONCERN (LC), DATA DEFICIENT (DD)

**Seabirds**

Seabirds are commonly seen over the open ocean thousands of kilometers from the nearest land, but all birds must come ashore to raise their young. Many seabirds are excellent swimmers and divers, including such distantly related types of birds as grebes, loons, ducks, auks, cormorants, penguins, and diving petrels. Most of these sea birds have webbed or lobed toes that act as paddles, which they use to propel themselves underwater.

Others, including auks and penguins, use their wings to propel themselves through the water. Swimming sea birds have broad, raft like bodies that provide stability. They have dense feather coverings that hold pockets of air for warmth, but they can compress the air out of these pockets to reduce buoyancy when diving.

**Offshore Seismic Campaign YWB Block****Description of the environment – 11/14**

All the seabird species typically found in Myanmar marine waters are listed in Table 5-6 with their IUCN protection status.

A total of 20 seabird species are currently identified by the IUCN in Myanmar waters. Amongst these species, 4 species are identified as near threatened and 16 species are recorded as least concern.



## Offshore Seismic Campaign YWB Block

## Description of the environment – 10/14

Table 5-6 Seabirds IUCN threatened species in Myanmar

Order	Family	Genus	Species	Common name	IUCN status	Potential presence within the project area
PELECANIFORMES	ANHINGIDAE	Anhinga	melanogaster	African Darter, Darter, Oriental Darter	NT	common in south Myanmar/ wetlands/marine
CHARADRIIFORMES	BURHINIDAE	Esacus	giganteus	Beach Stone-curlew, Beach Thick-knee	NT	islands off peninsular / coastal
CHARADRIIFORMES	SCOLOPACIDAE	Limosa	limosa	Black-tailed Godwit	NT	marine
CORACIIFORMES	ALCEDINIDAE	Pelargopsis	amauroptera	Brown-winged Kingfisher	NT	coast/ fairly common to locally common resident in the south-west and Tenasserim
GRUIFORMES	RALLIDAE	Fulica	atra	Common Coot, Coot, Eurasian Coot, European Coot	LC	marine/coastal
CHARADRIIFORMES	SCOLOPACIDAE	Tringa	nebularia	Common Greenshank, Greenshank	LC	marine wetlands/estuaries
CHARADRIIFORMES	SCOLOPACIDAE	Actitis	hypoleucos	Common Sandpiper	LC	full migrant/coastal shores/ estuaries
CHARADRIIFORMES	SCOLOPACIDAE	Calidris	ferruginea	Curlew Sandpiper	LC	full migrant /estuaries
ANSERIFORMES	ANATIDAE	Anas	querquedula	Garganey	LC	highly migratory/coastal saltmarshes
CICONIIFORMES	ARDEIDAE	Ardea	cinerea	Gray Heron, Grey Heron	LC	fully migratory/shallow water/ coastal areas
CHARADRIIFORMES	LARIDAE	Larus	ichthyaetus	Great Black-headed Gull, Pallas's Gull	LC	fully migratory/coastal
CHARADRIIFORMES	CHARADRIIDAE	Charadrius	leschenaultii	Greater Sand Plover, Greater Sand-Plover, Large Sand Dotterel	LC	fully migratory/near the coast
ANSERIFORMES	ANATIDAE	Anser	albifrons	Greater White-fronted Goose, White-fronted Goose	LC	fully migratory/shrubby tundra on the coast
CICONIIFORMES	ARDEIDAE	Mesophoyx	intermedia	Intermediate Egret, Yellow-billed Egret	LC	marine/coastal
CHARADRIIFORMES	CHARADRIIDAE	Charadrius	alexandrinus	Kentish Plover, Snowy Plover	LC	fully migratory /predominantly coastal
CHARADRIIFORMES	SCOLOPACIDAE	Calidris	ruficollis	Red-necked Stint, Rufous-necked Stint	LC	terrestrial/marine
CHARADRIIFORMES	SCOLOPACIDAE	Arenaria	interpres	Ruddy Turnstone, Turnstone	LC	fully migratory/species is mainly coastal/ estuaries
CHARADRIIFORMES	SCOLOPACIDAE	Calidris	temminckii	Temminck's Stint	LC	full migrant/coastal inlets/avoids extremely cold conditions and exposed coasts
CHARADRIIFORMES	SCOLOPACIDAE	Xenus	cinereus	Terek Sandpiper	LC	full migrant/inhabits tropical coasts, especially open intertidal estuaries
CICONIIFORMES	CICONIIDAE	Ciconia	episcopus	Woolly-necked Stork	LC	frequents coastal mudflats or coral reefs, mangrove swamps and estuaries



**Offshore Seismic Campaign YWB Block**

**Description of the environment – 10/14**

SOURCE: IUCN RED LIST - STATUS: CRITICALLY ENDANGERED (CR), ENDANGERED (EN), VULNERABLE (VU), NEAR THREATEN (NT), LEAST CONCERN (LC), DATA DEFICIENT (DD)



**Benthic communities**

No specific field survey has been performed in YWB Block. Nevertheless, this chapter presents a study concerning benthic macroinvertebrate community structure and distribution in the Ayeyarwady continental shelf of the Andaman Sea that has been performed (Ansari, June 2012) during April and May 2002. The figure below presents the field survey location.

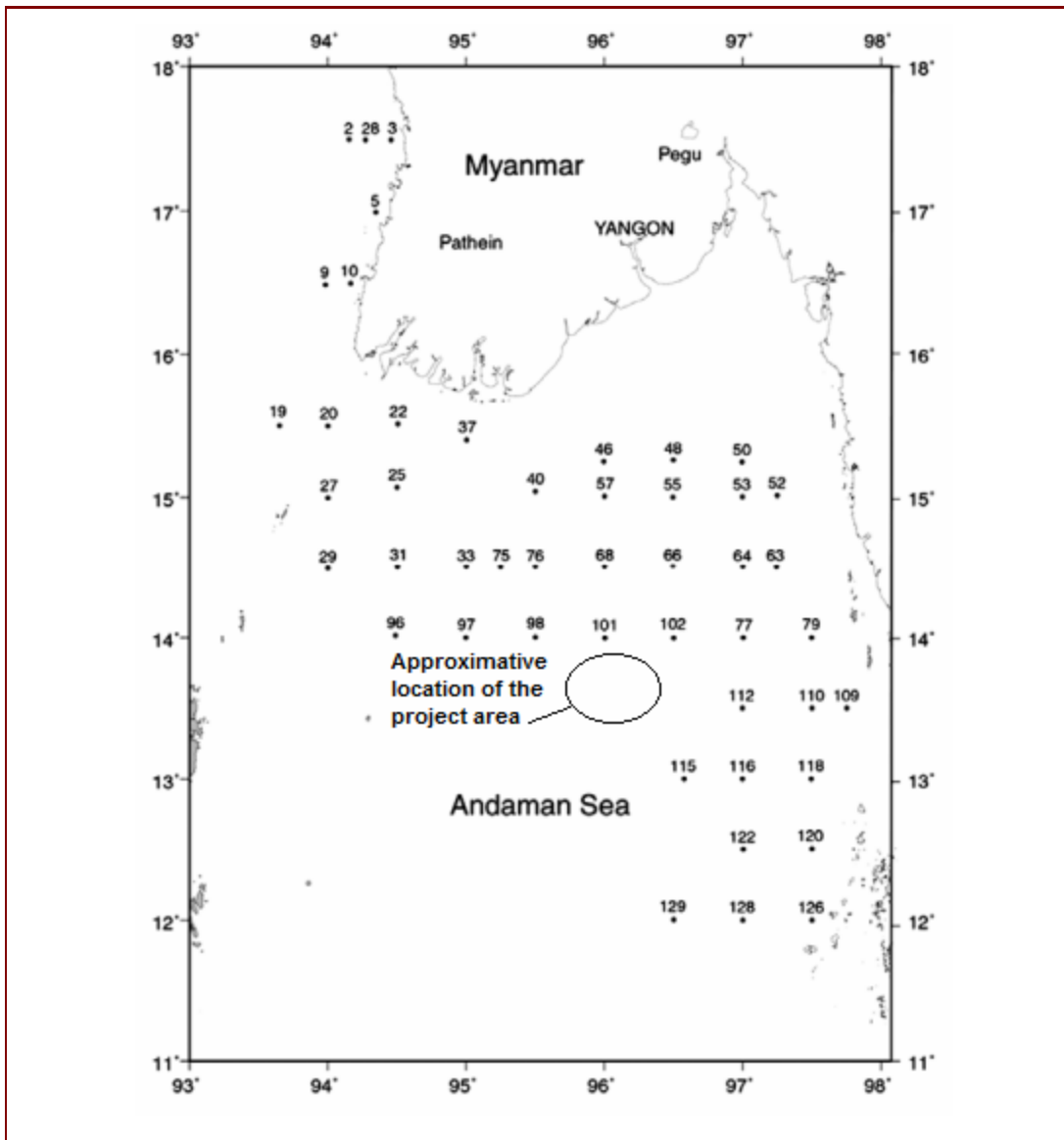


Figure 5-19 Location of stations

SOURCE: ANSARI, JUNE 2012



Faunal composition differed in different depth. Forams were abundant in the shallow region (20-50m) while the polychaete showed no preference to water depth and were recorded regularly with high prevalence from all stations. Among crustaceans the amphipods, copepods, ostracods and macruran crabs were represented at different depth. About 24 taxa were recorded from 20-50m depth while the intermediate depth of 51-100m had the maximum taxa (27) and in the deepest zone 201-1000m there were only 11 taxa recorded. Between 500m and 1000m depth (which is the maximum depth of this field survey, and at the same time the closest to the project area), Polychaeta represented 52.7%, Crustacea 27.8%, Mollusca 6.9%, Echinodermata 0% and others 12.6%. The trends in the abundance of fauna reported in this scientific survey support the hypothesis that the quantity and species diversity of macrobenthos are higher in near shore than in offshore area with exception of specialized ecosystems.

Taking into account this information, and the water depth of the YWB project area (200-2000 m), the benthic community is expected to be not very abundant and diversified.

### 5.5.2 Coastal habitats and fauna

The following paragraph describes the Myanmar's coastal environment which frames the study area, i.e., between the Ayeyarwady district and Tanintharyi but also Narcondam Island (situated at 158 km of the project area) and Mergui archipelago (situated at 250 km of the project area).

As it has been outlined previously, the project area is far away of the Myanmar coast. Even if some environmental and social sensitivities are highlighted in the following paragraph, it should be kept in mind that the project is at 250km far from the nearest Myanmar coast.

#### 5.5.2.1 Coastal habitat

The land pattern in coastal areas in Myanmar consist of mangroves, coral reefs, sea-grass beds, evergreen forest, wetlands and various types of agricultural land.

#### Mangroves

With the exception of agriculture and wetlands the ecosystems contribute to maintain biological resources which are not only significant for the conservation of biological diversity but also of direct economic significance to Myanmar. Mangroves are found in all regions. The delta formation is the most extensive of Myanmar, which is situated at the southernmost portions in the Irrawaddy Delta. The other two formations are found along the sheltered coasts in the Rakhine and **Tanintharyi region (the closest to the project area, but situated at 250km far from the project location)**. The original surface area covered of mangrove forest in Myanmar was 320,106 ha in early 1900.

The Rakhine mangroves are made up primarily of *Rhizophora mucronata*, *R. candelria*, *Sonneratia spp.*, *Kandelia rheedeeii*, *Bruguiera spp.*, *Xylocarpus granatum*, *X. moluccensis*, *Nipa fruticans*, and *Phoenix paludosa*. The Irrawaddy mangroves consist of *Rhizophora mucronata*, *R. conjugata*, *Bruguiera parviflora*, *B. gymnorhiza*, *B. cylindrica*, *Heritiera formes*, *Sonneratia apetala*, *S. griffithii*, *S. caseolaris*, *Xylocarpus granatum*, *X. molluccensis*, *Ceipops roxburghiana*, *C. mimosoides*, *Avicennia officinalis*, *Kanddelia rheedeeii*, and *Excoecaria agallocha*. Finally, the Tanintharyi mangroves contain *Rhizophora spp.*, *Sonneratia caseolaris*, *Ceriops tegal*, *Xyloxarpus granatum*, *Avicennia officinalis*, and *Bruguiera spp.*

The map in the figure below localizes the different Mangroves' location in Myanmar.



Figure 5-20 Location of mangroves in Myanmar

SOURCE: ENCYCLOPEDIA OF EARTH

The table below lists the different mangroves in Myanmar and their principal characteristics.

Table 5-7 Characteristics of Myanmar’s mangroves

	Area (km <sup>2</sup> )	Status	Condition
Rakhine	229	Nominally Protected	Threatened
Ayeyarwady	275	Nominally Protected	10.6% reserved forest, remainder threatened



Tanintharyi	224	Nominally Protected	Threatened. Rate of decrease 2.4% per annum.
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SOURCE: BAY OF BENGAL LME, TRANSBOUNDARY DIAGNOSTIC ANALYSIS VOLUME 2

**Seagrass**

Seagrasses are submerged flowering plants, found mostly along the coastline. Healthy seagrasses protect the shore, promote biodiversity, store carbon, cycle nutrients, and help support numerous industries (e.g. fishing, tourism).

Seagrass plays an important role for major Myanmar’s species in their life cycle, as for dugongs, seaturtles, etc. Due to the shallow water, seagrasses can develop with photosynthesis, providing food and protecting habitats for several species.

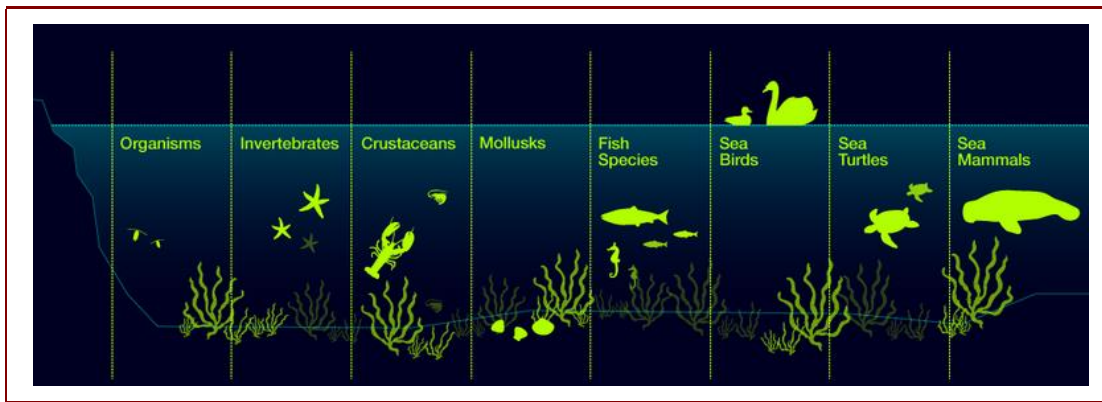


Figure 5-21 Organisms likely to be encountered in the Myanmar’s seagrasses

SOURCE: OCEAN HEALTH INDEX

There is little information on the status of seagrass resources in Myanmar. Based on the data prepared by Soe Htun in 2001, Myanmar has 9 species of seagrass belonging to 5 genera from 2 families. These are *Cymodocea rotundata*, *C. serrulata*, *Halodule pinifolia*, *H.uninervis*, *Syringodium isoetofolium*, *Enhalus acoroides*, *Halophila beccarii*, *H. decipiens*, *H. ovalis*. Among these species, *Cymodocea rotundata*, *C.serrulata* and *Enhalus acoroides* are dominant on the seagrass beds. Nevertheless, not many seagrasses are found along the Myanmar coast.





The distribution of seagrass along the Myanmar coast is presented in Table 5-8.

Seagrass (Family/Genus/Species)	Rakhine Coastal Region											Ayeyawady Delta and Gulf of Mottama ( Martaban ) Coastal Region	Tanintharyi Coastal Region			
	Sittwe	Kyaukphyu	Mazin	Negpali	Shwewar Gaun	Kywehank Gyang	Mangshwela v. Gaun	Hmauehay Gaun	Phothaug Gaun	Wethay Gaun	Chaungthar		Kyaikkhami	Mangmagan	South Moscos Island	St.Lake Island
<b>Cymodoceaceae</b>																
<i>Cymodocea rotundata</i>	-	-	-	-	+	-	-	-	+	-	-	-	-	-	-	+
<i>C. serrulata</i>	-	-	+	-	+	-	-	-	+	-	-	-	-	-	-	-
<i>Halodule pinifolia</i>	-	-	-	+	+	-	-	-	-	-	-	-	-	-	-	-
<i>H. unguis</i>	-	-	+	-	+	-	-	+	-	-	+	-	-	-	-	-
<i>Syringodium</i>	-	-	-	+	+	-	-	-	-	-	+	-	-	-	-	-
<i>isotoefolium</i>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Hydrochari-taceae</b>																
<i>Enhalus acoroides</i>	-	-	-	-	-	+	-	-	+	-	-	-	-	-	-	+
<i>Halophila beccarii</i>	x	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<i>H. decipiens</i>	-	-	-	+	-	-	-	-	+	-	-	-	-	-	-	-
<i>H. ovalis</i>	-	x	-	-	-	+	-	-	+	-	-	-	-	-	-	+
<b>TOTAL</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>3</b>	<b>2</b>	<b>3</b>	<b>1</b>	<b>3</b>	<b>2</b>	<b>2</b>	<b>-</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>3</b>

Symbols: + Present; - Absence ( no information available ); x Presence according to C. den Hartog ( 1970 )

SOURCE: NATIONAL REPORT OF MYANMAR, SUSTAINABLE MANAGEMENT OF THE BAY OF BENGAL LARGE MARINE ECOSYSTEM, DEPARTMENT OF FISHERIES OF MYANMAR, 2003

The water depth within the project area ranges from 200 to 2000m, which depress growth of seagrass, and consecutively avoid presence of some species as sea horses, dugongs, etc.

**Seaweeds**

Myanmar being a tropical country has a rich and varied seaweed flora. Although the Burmese have for many years eaten several of the seaweeds as vegetables and used them as a source of agar extraction, there is little recognition of their importance as part of the marine environment. Therefore, there is currently not much information available on the subject.

According to the National Report of Myanmar on Sustainable Management of the Bay of Bengal Large Marine Ecosystem (2003), a number of the 122 genera and 307 species of seaweeds from Myanmar have been reported by Kyi Win (1972) and Kyaw Soe and Kyi Win (1975,1977). Seaweed could be considered as a dependable natural resource if sustainably exploited (Kyaw Soe, 1970).

From visual observations and studies, the following seaweed genera have been identified for their economic potential:

- Chlorophyta: Ulva, Enteromorpha, Monostroma, Caulerpa, Codium.
- Phaeophyta: Padina, Dictyota, Spathoglossum, Chnoospora, Roseningea, Hormophysa, Turbinaria, Sargassum.
- Rhodophyta: Porphyra, Gelidiella, Halymenia, Solieria, Catenella, Hypnea, Gracilaria, Laurencia, Acanthophora.

Among these species, *Sargassum spp* and *Hypnea spp* are the most abundant in Myanmar (see Figure 5-33). The standing stock of *Sargassum* is estimated at 2,500 tons dry weight and 1,500 tons dry weight for *Hypnea*. *Sargassum* beds formed along the Tanintharyi coastal region provide good habitats, refuges and spawning grounds for commercially important fishery resources.

Due to the water depth of the project area, these species will not be found in YWB Block.



**Coral reef**

Coral reefs are one of the world's most diverse natural ecosystems and provide a wide variety of food and habitat for a great number of species such as plants, fish and other living creatures. Globally, there are about 600,000 sq.km of coral reef (0.9% are located in Myanmar); more than half of this area is distributed in the Indian Ocean.

As Myanmar is located in a tropical region, enormous varieties of coral species are dispersed across the coastal waters of the country. The nearest coral reef sites to the project area are shown in the figure below.

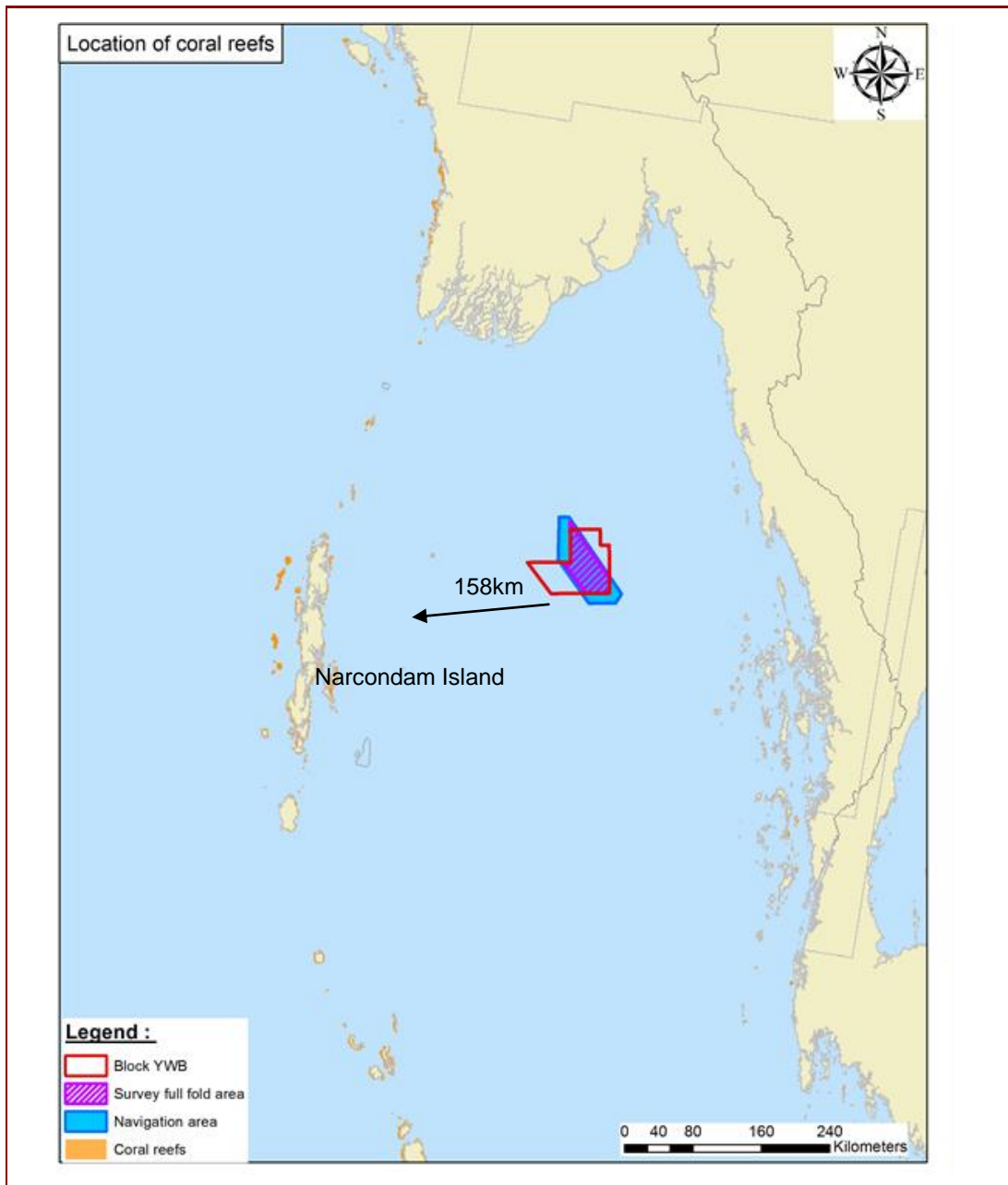




Figure 5-22 Coral reef nearest the project area

SOURCE: [HTTP://REEFGIS.REEFBASE.ORG/](http://reefgis.reefbase.org/)

A description of the coral reefs in Myanmar is given in the table below.

Table 5-9 Location of coral reef in Myanmar

	Area (km <sup>2</sup> )	% live coral cover	Condition
Mergui Archipelago	Fringing	Unknown	Blast fishing
Burma Banks	1,700 km <sup>2</sup>	Supposed to be good	Tangled nets, blast
Rakhine area	Barrier		Fishing, coastal pollution
Tanintharyi	Fringing	unknown	Poor fishing practices

SOURCE: BAY OF BENGAL LME, TRANSBOUNDARY DIAGNOSTIC ANALYSIS VOLUME 2

The nearest coral reef is located at 158 km of the project area.

5.5.2.2 Coastal fauna

Coastal birds

There are currently 55 Important Bird Areas (IBAs) recorded in Myanmar by the Birdlife International, which represent a total area of 54,364 km<sup>2</sup>. 16 are protected, 3 partially protected and 36 are unprotected.

Myanmar's IBAs cover 8% of the total land area of the country. There are several very large IBAs, reflecting the intact nature of natural habitats in some parts of the country.

According to the BirdLife association, the closest Important Bird Areas are:

- Ayeyarwaddy Delta (approx. 250km far from the project area);
- Lampi Island National Park (approx.350km of the project area).

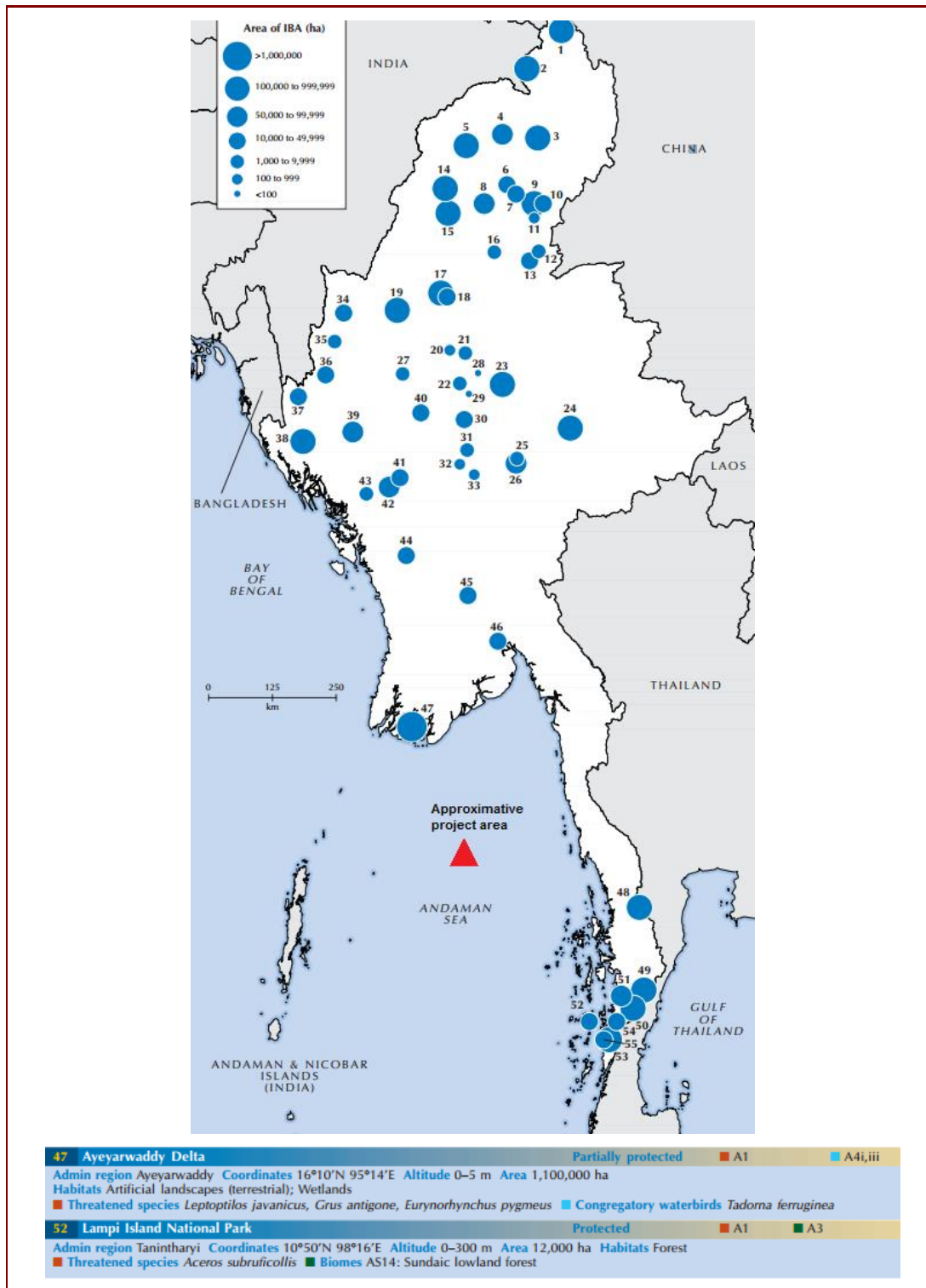


Figure 5-23 Location of closest Important Bird Areas (IBAs) of the project area



SOURCE: BIRDLIFE.ORG

**Coastal marine reptile**

A total of 16 marine reptile species have been recorded by the IUCN in Myanmar waters (see Table 5 10).

Table 5-10 Marine reptiles in the coastal waters of Myanmar

Family	Genus	Species	Common names	Red list status	Population trend
ACROCHORDIDAE	Acrochordus	granulatus	Wart Snake	LC	stable
ELAPIDAE	Astrotia	stokesii	Stokes' Sea Snake	LC	unknown
HOMALOPSIDAE	Bitia	hydroides	The Bitia	LC	unknown
HOMALOPSIDAE	Cerberus	rynchops	Asian Bockadam	LC	unknown
DERMOCHELYIDAE	Dermochelys	coriacea	Leatherback	CR	decreasing
ELAPIDAE	Enhydrina	schistosa	Beaked Sea Snake	LC	stable
CHELONIIDAE	Eretmochelys	imbricata	Hawksbill turtle	CR	decreasing
ELAPIDAE	Hydrophis	ornatus	Ornate Reef Sea Snake	LC	unknown
ELAPIDAE	Hydrophis	stricticollis	Collared Sea Snake	DD	unknown
ELAPIDAE	Lapemis	curtus	Shaw's Sea Snake	LC	unknown
CHELONIIDAE	Lepidochelys	olivacea	Olive ridley	VU	decreasing
ELAPIDAE	Pelamis	platura	Pelagic Sea Snake	LC	stable
ELAPIDAE	Thalassophina	viperina	Viperine Sea Snake	LC	unknown
CHELONIIDAE	Caretta	caretta	Loggerhead	EN	
CHELONIIDAE	Chelonia	mydas	Green turtle	EN	decreasing
CROCODYLIDAE	Crocodylus	porosus	Salt-water Crocodile	LC	

SOURCE: IUCN RED LIST, 2014 - STATUS: CRITICALLY ENDANGERED (CR), ENDANGERED (EN), VULNERABLE (VU), LEAST CONCERN (LC), DATA DEFICIENT (DD)

**Sea turtles**

Turtles present the most threatened group of marine reptiles within Myanmar's coastal areas.

**Amongst the turtle species present in Myanmar, 5 species breed regularly on Myanmar's beaches,** including the olive ridley turtle *Lepidochelys olivacea* (vulnerable), the loggerhead *Caretta caretta*



(endangered), the green turtle *Chelonia mydas* (endangered), the hawksbill turtle *Eretmochelys imbricata* (critically endangered), and leatherback Turtle *Dermochelys coriacea* (critically endangered).

Most observations of turtles are typically within 15 kilometers of mainland shores in protected, relatively shallow marine waters (22-55m). Each species of turtles live in different type of habitat:

- **Olive ridleys** will occasionally occur in open waters. The multiple habitats and geographical localities used by this species vary throughout its life cycle;
- **Loggerhead sea turtles** spend most of their lives in the open ocean and in shallow coastal waters. They rarely come ashore, with the exception of the females' brief visits to construct nests and deposit eggs. Adults and juveniles live along the continental shelf, as well as in shallow coastal estuaries;
- **Green sea turtles** move across three habitat types, depending on their life stage. They lay eggs on beaches. Mature turtles spend most of their time in shallow, coastal waters with lush seagrass beds. Adults frequent inshore bays, lagoons and shoals with lush seagrass meadows. Turtles spend most of their first five years in convergence zones within the open ocean. Upon leaving the nesting beach, it has been hypothesized for green turtles that hatchlings begin an oceanic phase (Carr 1987), perhaps floating passively in major current systems (gyres) that serve as open-ocean developmental grounds (Carr and Meylan 1980, Witham 1991). After a number of years in the oceanic zone, these turtles recruit to neritic developmental areas rich in seagrass and/or marine algae where they forage and grow until maturity (Musick and Limpus 1997). Upon attaining sexual maturity green turtles commence breeding migrations between foraging grounds and nesting areas that are undertaken every few years (Hirth 1997). Migrations are carried out by both males and females and may traverse oceanic zones, often spanning thousands of kilometers (Carr 1986, Mortimer and Portier 1989). During non-breeding periods adults reside at coastal neritic feeding areas that sometimes coincide with juvenile developmental habitats (e.g., Limpus *et al.* 1994, Seminoff *et al.* 2003).
- **Hawksbill sea turtles** are rare in Myanmar. Adult hawksbill sea turtles are primarily found in tropical coral reefs. They are usually seen resting in caves and ledges in and around these reefs throughout the day. As a highly migratory species, they inhabit a wide range of habitats, from the open ocean to lagoons and even mangrove swamps in estuaries;
- **Leatherback turtles** are also rare in Myanmar and can be found primarily in the open ocean. Its favored breeding beaches are mainland sites facing deep water and they seem to avoid those sites protected by coral reefs.

The hawksbill turtle and leatherback, which were occasionally reported by fishermen in some parts of Tanintharyi Coastal area, have totally disappeared from the Ayeyarwady Delta Coastal areas for example. All species have been abundant in the past.

The beaches of "Tha-mi-hla Kyune" (Diamonds island 15° 51' N 94° 17' E, at **319km** of the Project area), an island at the mouth of the Patheingyi River, hosts the nesting green turtle and the loggerhead turtle. But "Kaing – Thaung – Kyune" (Kaing-Thaung Island) (15° 44' N 95° 04' E, at **272km** of the project area) and "Taung-Ka-Done-Kyune"(Taung-Ka-Done Island) (15° 43' N 95° 18' E, at **262km** of the project area), two small islands, which situated at the mouths of Ayeyarwady and Bogalay Rivers, respectively host the nesting olive ridley turtle and loggerhead turtle.

Most nesting is by olive ridley turtle (70%), followed by loggerhead turtle (20%) and green turtle (10%). The location of nesting sites of turtles in Myanmar's beaches is presented in Figure 5-24.

Fisheries Department personnel walk the beaches at night during the nesting period (September-March, with a peak in January-February) and mark the location of all nests found. Nests low on the beach, which may be subjected to flooding, are transplanted to higher ground.

Figure 5-24 shows the distribution of nesting sites in the coastal areas of Myanmar. Sea turtles migration generally occurs from September to March.

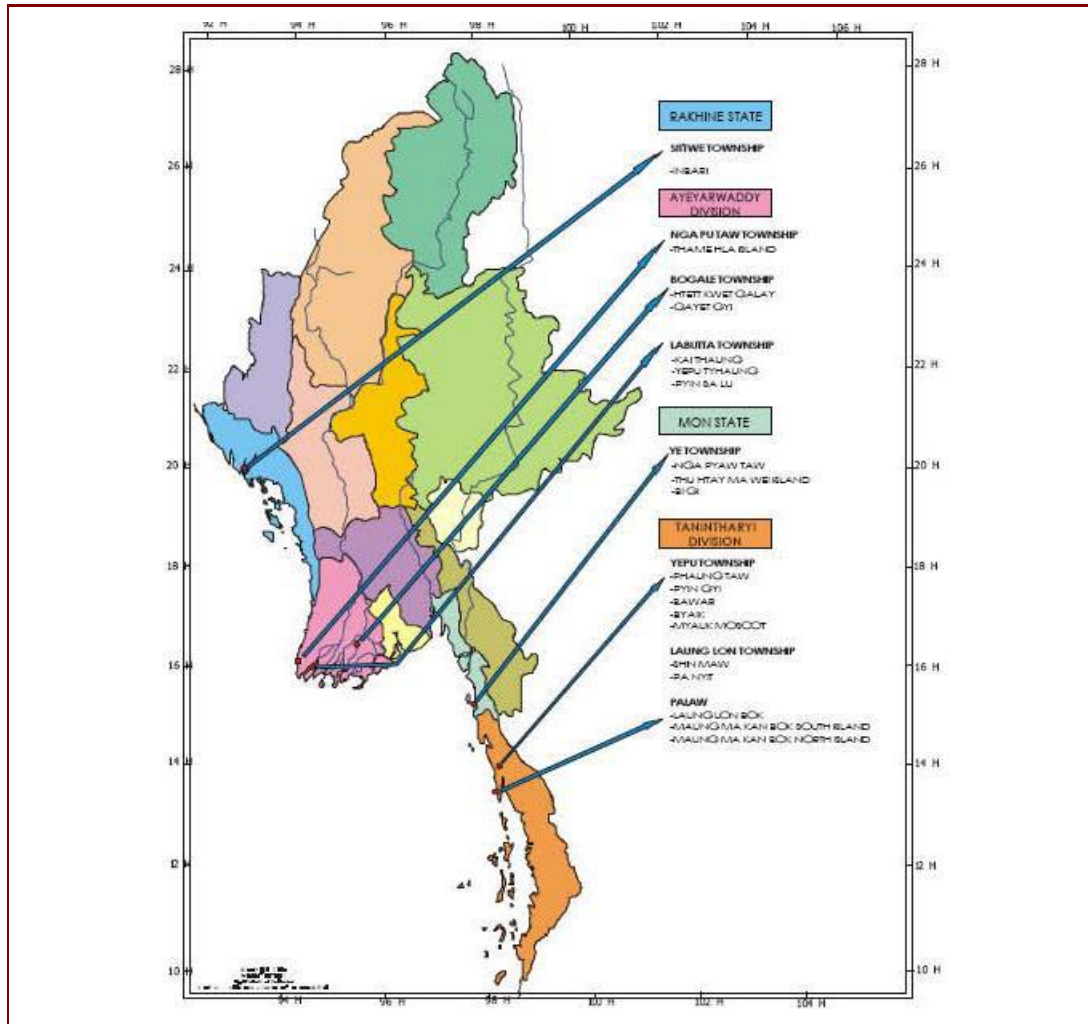


Figure 5-24 Location of turtles nesting sites on the coast of Myanmar

SOURCE: PROJECT GLOBAL, MYANMAR, BLUE OCEAN INSTITUTE

All turtle species, with the exception of the leatherback, are targeted by fishermen either for food or as a source of tortoise shell scutes. In addition, eggs are extensively collected (around 40,000 a year), which poses a threat to the continued breeding status of turtles in Myanmar.

To promote the protection and conservation of turtles on islands and sandy beaches of Myanmar, the Department of Fisheries is undertaking sea turtle hatcheries and releasing programs in the Irrawaddy Delta areas.

All sea turtles in Myanmar spend part of their life cycle in open sea. Therefore, these species can potentially be met in the YWB Block. Nevertheless, it should be noted that the nearest nesting site is situated at 158km of the study area and most observation of turtles are typically in shallow water.



### Sea snakes

Sea snakes are common in the Indo-West Pacific region. **The only species frequently encountered offshore is the yellow sea snake *Pelamis platurus* ('least concern')**. It is therefore presumed to be relatively common near the Irrawaddy delta though specific data are lacking.

All the sea snake species identified by the IUCN in Myanmar coastal waters have been identified as 'least concern'.

### Saltwater crocodile

In addition to sea turtles and snakes, the saltwater crocodile (*Crocodilus porosus*) has been recorded in swampy coastal areas throughout the region, as well as occasionally being observed in the open sea. **This species is listed by the IUCN as least concern, and is not considered significant in the context of the project.**

### Marine mammals

Some species of marine mammals could be encountered in coastal areas (shallow water, delta, rivers) of Andaman Sea, although it is unlikely to meet them within the study area. Among these marine mammals, mention may be made of:

- Irrawaddy dolphin (*Orcaella brevirostris*), IUCN: Vulnerable;
- Indopacific humpback dolphin (*Sousa chinensis*); IUCN: Near Threatened;
- Striped dolphin (*Stenella coeruleoalba*); IUCN: Least Concern;
- Indopacific bottlenose dolphin (*Tursiops aduncus*); Data Deficient.

Photographs below illustrate 2 of these species.



Irrawaddy dolphin





Dugong

Figure 5-25 Example of coastal marine mammals

Even if these species are known to be resident of the Andaman Sea, taking into account these characteristics and favorite habitats, the water depth of the YWB Block does not favor their presence.

### 5.5.3 Protected areas

The titles used in the protected area list supplied by the Forest Department are the following:

- **National Park.** Maintained for biodiversity conservation and representativeness. Firm management control. No settlement or resource harvesting allowed. Visitors permitted.
- **Marine national park.** The same as national park but in marine, island and coastal environments.
- **Wildlife sanctuary.** Species conservation. No settlement or resource harvesting allowed. Visitors permitted.
- **Bird Sanctuary.** As for wildlife sanctuary but birdlife conservation is paramount.
- **Wildlife Park.** Wild animals held in captivity and in the wild but on a fairly small range. For recreation and education. No settlement or resource harvesting allowed. Visitors encouraged.
- **Mountain Park.** Maintained to conserve landscapes, geomorphological features and sites of religious significance. No settlement allowed. Visitors permitted, including pilgrims who are allowed to harvest limited supplies of natural resources – bamboo shoots, mushrooms and edible fruits.
- **Elephant range.** A means of conserving Asian elephant. Can include villages, and may overlap with other protected areas although the only one that exists at present does not overlap. Covers a range over which elephant herds move.

The main sensitive protected marine areas located in the proximity of the Project are:

- **Lampi Island Marine National Park:** located in the Myeik Archipelago which includes Lampi Island, several smaller islands and the seas around them. Mangrove, beach & dune forests are present at the site. Coral reefs fringe the islands. Seagrass beds are present especially in the east side of the island. Lampi habitats are mostly intact and the island is also identified as an ASEAN heritage site, an Important Bird Area (IBA) and a designated Myanmar ecotourism site. Is located at **293 km** of the Project Site.



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- **Moscov Island Wildlife Sanctuary** which comprises the south, middle and north Moscov group of islands in the northern part of the Andaman Sea. Except for some rocky islands, they are covered with evergreen forest. Although it is one of the four marine protected areas, mostly the terrestrial part of the islands is protected. Is located at **200km** of the Project Site.
- **Ross Island Shark Protected Area.** There is little information concerning this protection area. It is situated at 215km of the project area.

These marine coastal sensitive areas are presented in more detail in the subsections below based on the Myanmar Protected Areas report from Istituto Oikos and banca, 2011.



Table 5-11 Characteristics of the nearest sensitive areas (within the project location)

Site Name	Province	National designation	Establish year	IUCN Category	Distance with full fold area
Lampi Island	Tanintharyi	Marine national park	1996	Ib	293 km
Meinmahla Kyun	Irrawady	Wildlife sanctuary	1993	IV	271 km
Moscov island	Tanintharyi	Wildlife sanctuary	1927	IV	200 km
Ross Island	Tanintharyi	Shark protected aera			215 km
Thamihla Kyun GS (Diamond Island)	Irrawady	Wildlife sanctuary	1970	IV	328 km
Wunbaik	Rakhine	Reserved forest	1931	Ib	625 km

SOURCE: REALISED USING DESCRIPTION OF BANCA MYANMAR PROTECTED AREA, CONTEXT, CURRENT STATUS AND CHALLENGES, 2011

According to the table below, the nearest sensitive area is located at 200km of the project area (Moscov Island).

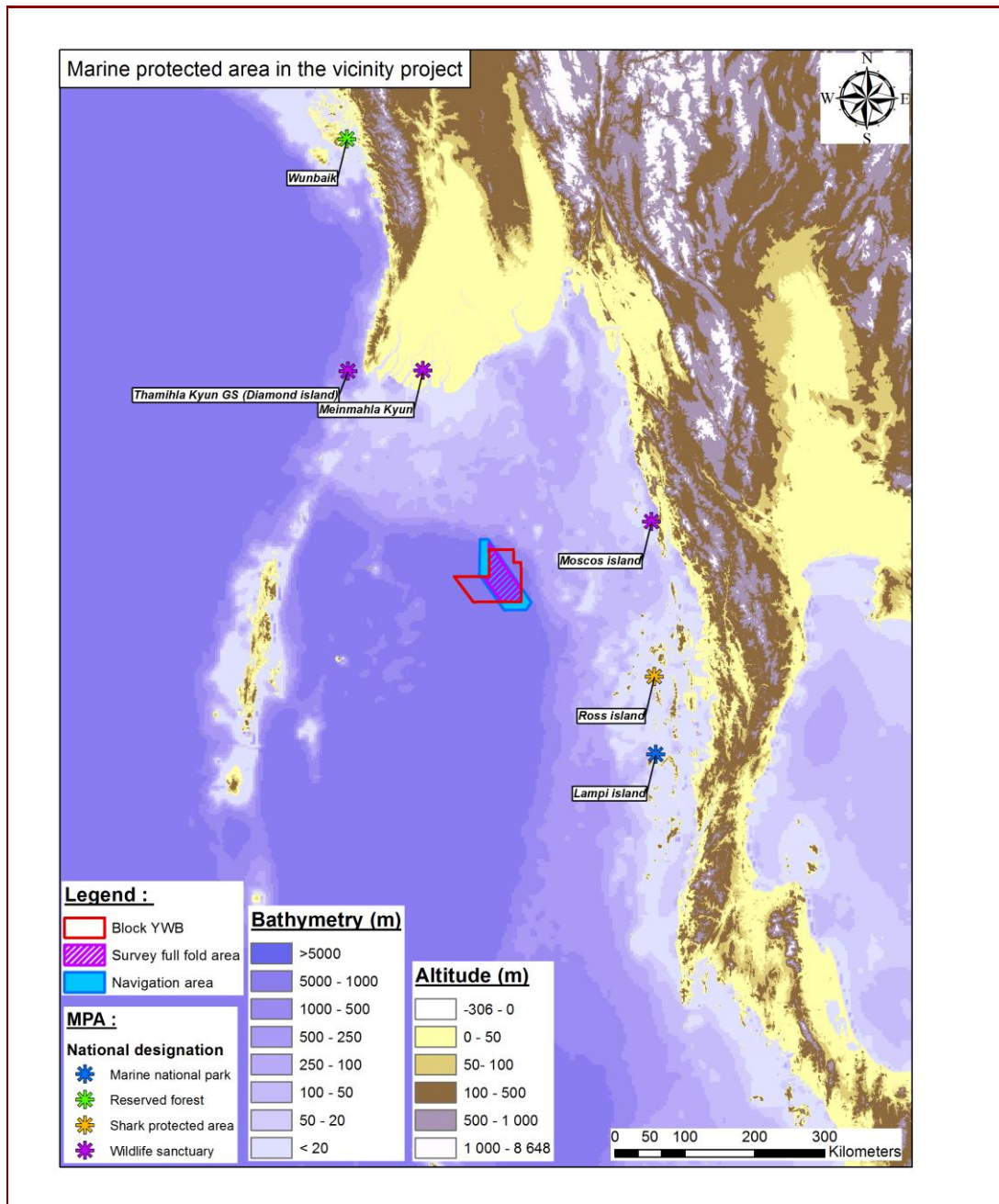
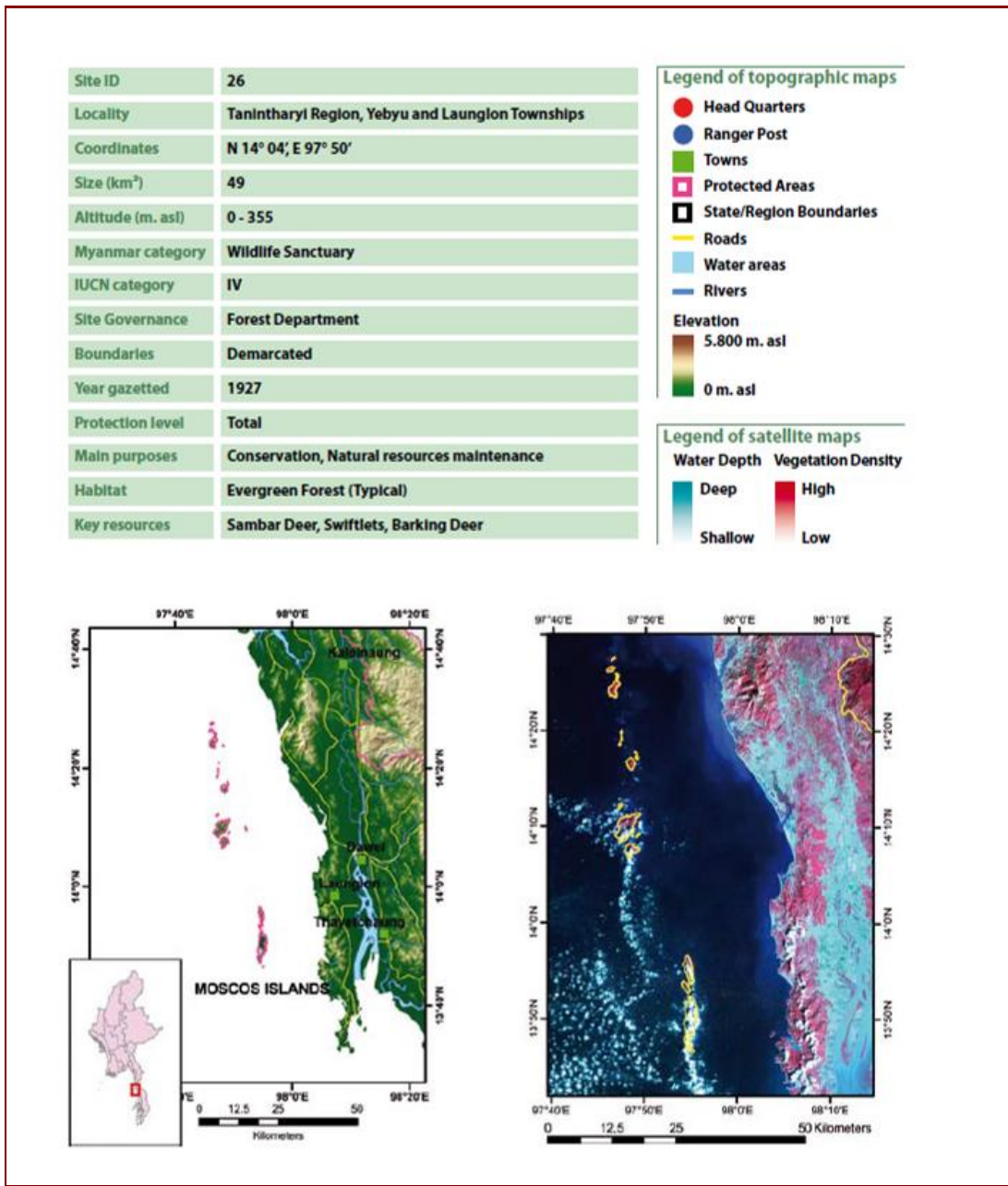


Figure 5-26 Location of the nearest sensitive area of the project area



5.5.3.1 *Moscov Island Wildlife Sanctuary*

Moscov Island Wildlife Sanctuary is situated in Dawei District, Tanintharyi Region. The sanctuary comprises the south, middle and north Moscov group of islands in the northern part of the Andaman Sea. Except for some rocky islands, they are covered with evergreen forest.





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The most common forest type (75%) is evergreen forest. Swiftlets, *Collocalia fuciphaga*, make nests on the rocky islands of the sanctuary which have a very high commercial value.

Sea turtle conservation has been conducted by the Department of Fishery at the South Moscos (Longlon boak) Island. Birdnest collection at some rocky islands of the Sanctuary is permitted to the private sector by the Forest Department. Some northern Islands are included in the Dawei deepsea port and industrial zone development project area which has been jointly implemented with Thailand. The islands of Maungma Kan (middle islands) are under the control of the Navy but fishing and harvesting of aquatic resources still represents the main threat together with the overextraction of birdnests.

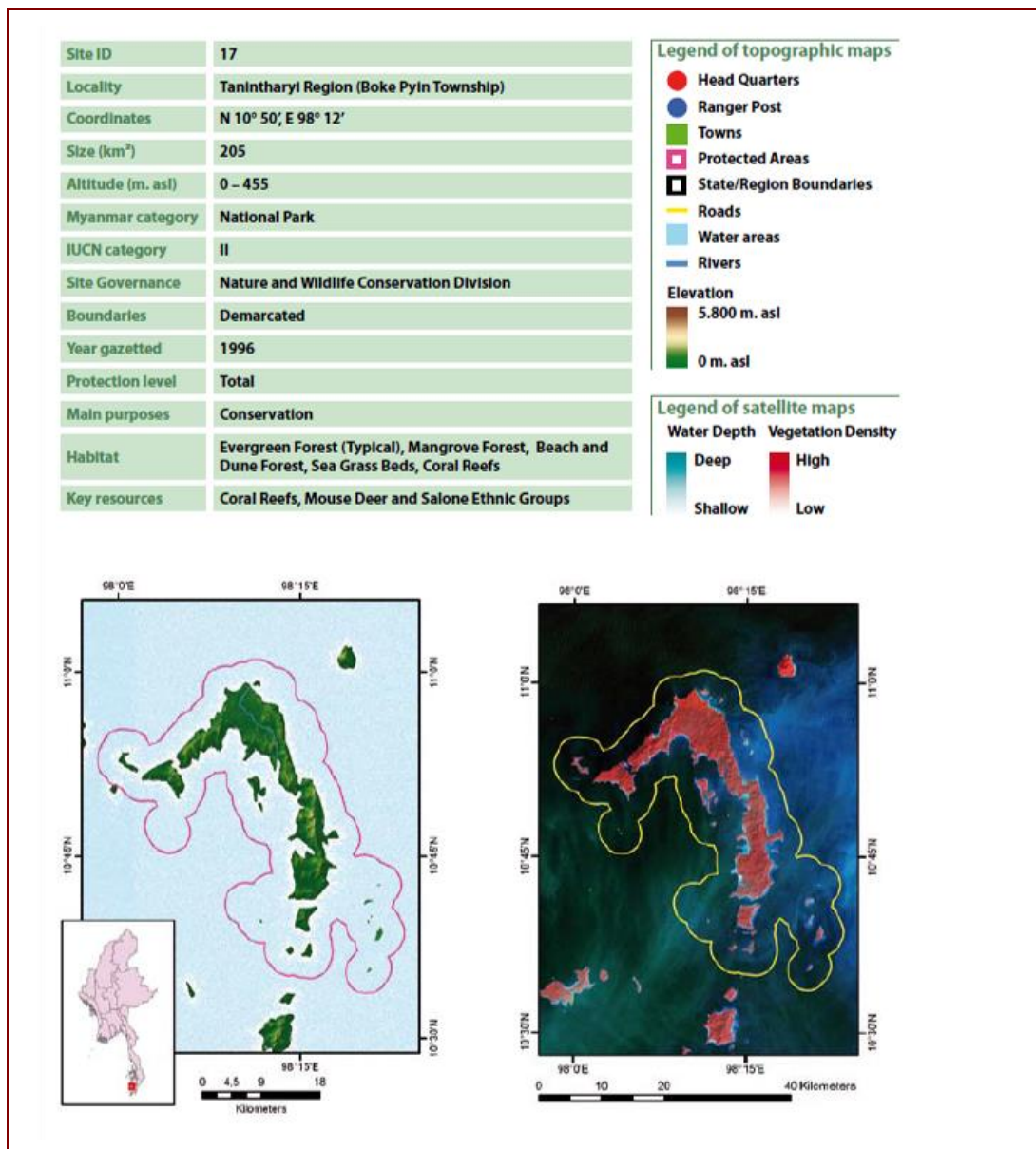


Figure 5-28 Lampi Island protected area



SOURCE: MYANMAR PROTECTED AREAS, ISTITUTO OIKOS AND BANCA, 2011

Lampi Island Marine National Park is located in Boke Pyin Township of Tanintharyi Region. The protected area was designated in 1996 to include an area extending two miles from the outer islands but there is no demarcation buoy or signal. Lampi Island is the biggest island and the core of the site. It is 205 km<sup>2</sup> and is oriented in a north-south direction, with a length of 48 km and a maximum width of about 6 km. It is generally hilly (150–270 m), presenting a rocky coast with presence of sandy beaches, bays and inlets. The sea depth between Island and the mainland is on average 12m and nowhere deeper than 24m. The protection level of the site is total. According to the notification no. 40/96, the boundaries of Lampi Island Marine National Park are as follows:

- *North boundary:* two nautical miles north from the shoreline of Two Hill Island.
- *East Boundary:* two nautical miles east from the shoreline of Pulo Gabon Island, Dolphin Islands (War Kyunn), Marble Island, Gregory Group Islands, Palo Taban Islands.
- *South Boundary:* two nautical miles south from the shoreline of Pulo Tuhan Island which is south east of Lampi Island, Palo Nalo (Bo Cho) Island, Gu Gyi (Kyun) Island, Pulo Lobiaung Island.
- *West Boundary:* two nautical miles west from the shoreline of Kanzagyi Island, Wa Ale Kyun Island, Ko Phawt Island, Pulo Tayu Island, Kular Island, Observation Island, Pulo Tu-ante Island, Pulo Lobiaung (Lin Shu) Island.

Lampi Island is covered by tropical lowland wet evergreen forest in the interior, mangrove forest along rivers and fresh-water sources, and beach and dune forest along the coast. Other important habitat types are coral reefs, seagrass, freshwater streams and swamps. The main island of Lampi has two major perennial rivers and many small seasonal streams. Fresh-water resources are abundant. The variety of habitats supports a high diversity of both terrestrial and marine resources.

The whole area is rich in coral reefs, seaweed and seagrass beds which serve as important habitats for molluscs, crustaceans, echinoderms and fishes, of which many species are of economic importance as food resources for local use and export. The seagrass meadows around Lampi Island also supports threatened species like the green turtle and the dugong that feed on seagrass, and a variety of birds that feed in the intertidal zone and sublittoral zone. Mangrove forests, found in the park in a very good conservation status, also provide an important habitat for many species of molluscs, crustaceans and fishes.

## **5.6 SOCIO-ECONOMIC ENVIRONMENT**

### **5.6.1 Project study area**

The YWB seismic campaign is located in offshore deep-sea waters off Myanmar coastline and is not expected to have significant interactions with the human environment and its economic activities, apart from offshore marine activities such as industrial fishing. Likelihoods that coastal human activities (artisanal fishing, aquaculture or tourism) are impacted by the project are also extremely limited, due to several reasons:

- The first limiting factor is the distance of the block from the coast: around 250 km from the Ayeyarwady coast in the north, and 200 km from the Thanintharyi coast in the east. The distance and water depths are both factors deterring the presence of artisanal and small-scale fishermen in the area.
- The second factor is the nature of the seismic operations, whose impacts are restricted to the location of the survey and do not extend further than a few kilometers (unlike drilling operations where accidental situations can lead to oil spills reaching the coast and impacting coastal communities).



- The last factor is the mobilization and demobilization of seismic vessels that will be done from Thailand and not from a port in Myanmar, entailing the absence of marine traffic from domestic ports by the Project that could generate impacts.

Consequently, this IEE focuses on analyzing marine economic activities (limited to commercial traffic and large-scale fishing) occurring in the Project area. However, since fish catches may be landed in coastal ports such as Patheingyi, Ahlon, Yangon, Mawlamyine, Myeik and Kawthoung, a general overview of the socio-economic conditions of coastal communities living in the four states where the ports are located (**Ayeyarwady, Yangon, Mon and Thanintharyi**) is also provided in the sections below. Bago State is not included in this study as no major port where fishermen could land their catch is located on its coast.

## 5.6.2 Coastal socio-economic environment

### 5.6.2.1 *Administrative organization and sociodemographic characteristics*

#### Administrative organization and governance

The Union of Myanmar is administratively divided into 7 States and 7 Regions. Each of them is headed by a Chief Minister who is appointed by the President, chosen among the regional and state legislature representatives. These States and Regions are divided into 63 Districts and a total of 324 Townships, further divided into wards and village tracts.

Six states have connections to the sea. Rakhine State borders the Bay of Bengal, while Yangon, Bago, Mon and Thanintharyi States border the Andaman Sea. Ayeyarwady State shares border with the two marine spaces. Figure 5-29 shows the location of these states as well as the YWB block. The closest states to the project location are **Thanintharyi, followed by Ayeyarwady, Mon and Yangon.**



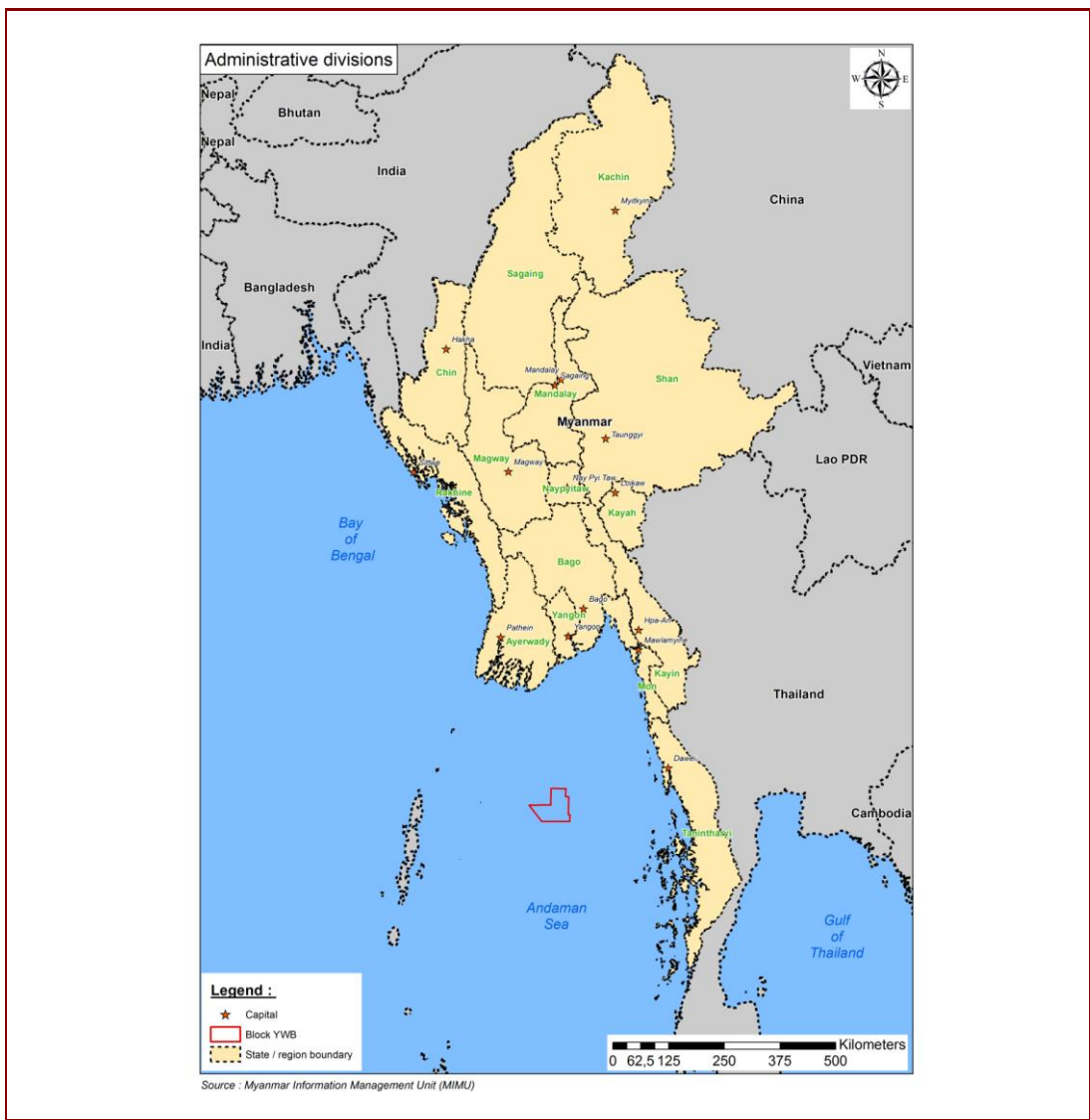


Figure 5-29 Map of Myanmar States and Regions

Prior to 2008, the government system in Myanmar was highly centralized, with limited transfer of authority to subnational governance institutions. The central government held most of the powers and responsibilities and monopolized financial resources. It controlled the states and regions, integrated in the government structure, with the main consequence that regional and local authorities enjoyed limited roles and responsibilities.

In the frame of the new Constitution of 2008, and the renewal of the country administrative and election systems, the Government of Myanmar has expressed its will to improve good governance and public services delivery, in particular through the delegation of resources and responsibilities to local governance systems that are the States, regions and the Township administrations<sup>1</sup>. The United Nations Development Programme (UNDP) has partnered with the government to support these efforts: they initiated a local

<sup>1</sup> Hamish Nixon *et al.*, *State and Region Government in Myanmar*, September 2013, 96 p.

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governance mapping that will be completed in November 2014 with the publication of reports on the “State of Local Governance” in each State that composes the Union of Myanmar. These reports will give an overview of the current local governance system in the states of Ayeyarwady, Yangon, Mon and Thanintharyi, enabling to identify important regional and local stakeholders that should be integrated in the stakeholder engagement plan.

However, until decentralization reforms are finally achieved, power is centralized at Nay Pyi Taw, and the main political and administrative authorities involved in the regulation of oil and gas activities and environment protection remain the government and its ministries.

**Demography**

The Ministry of Immigration and Population conducted the last Myanmar’s population census in March April 2014 (for the first time in 30 years<sup>2</sup>), which results are expected to be released in May 2015.

Published preliminary results show that the country total population is composed of 51.4 million people, with an annual population growth rate of 1% as of 2012. Household composition ranges from 4.1 to 5.1 members in the different states of the Union, with an average of 4.4 household members.

Demographic data for each State of the study area are presented in Table 5-12. These data indicate that Yangon and Ayeyarwaddy are the most populated states, while Thanintharyi State is the lowest. Coastal states are mostly composed of people living in rural areas, except for Yangon where 70% of the population is urban.

Table 5-12 Demographic data of the states in the study area

Ayeyarwady	6,175,123	12%	85.9%
Yangon	7,355,075	14.3%	29.9%
Mon	2,050,282	4%	72.2%
Thanintharyi	1,406,434	2.7%	74%
Total	16,986,914	33%	NA

In several coastal towns, demographic data were available and are presented in the Table 5-13. Apart from Yangon and Pyapon, coastal towns are relatively small in size, with less than 300 000 inhabitants.

<sup>2</sup> The last census was conducted in 1983.



Table 5-13 Population at the coastal towns/ports in the study area

Pathein	286,684
Pyapon	1,031,320
Yangon	5,209,541
Mawlamyine	288,120
Dawei	125,239
Myeik	284,037
Kawthoung	116,722

Census estimates also show that the population density in Myanmar is 76 persons per square kilometer. In coastal states, the most densely populated state is Yangon, followed by Ayeyarwady, Mon and Thanintharyi, the least populated state of the study area with only 32 persons per square kilometer. Figure 5-30 shows the differences of population density among the 17 states of Myanmar.

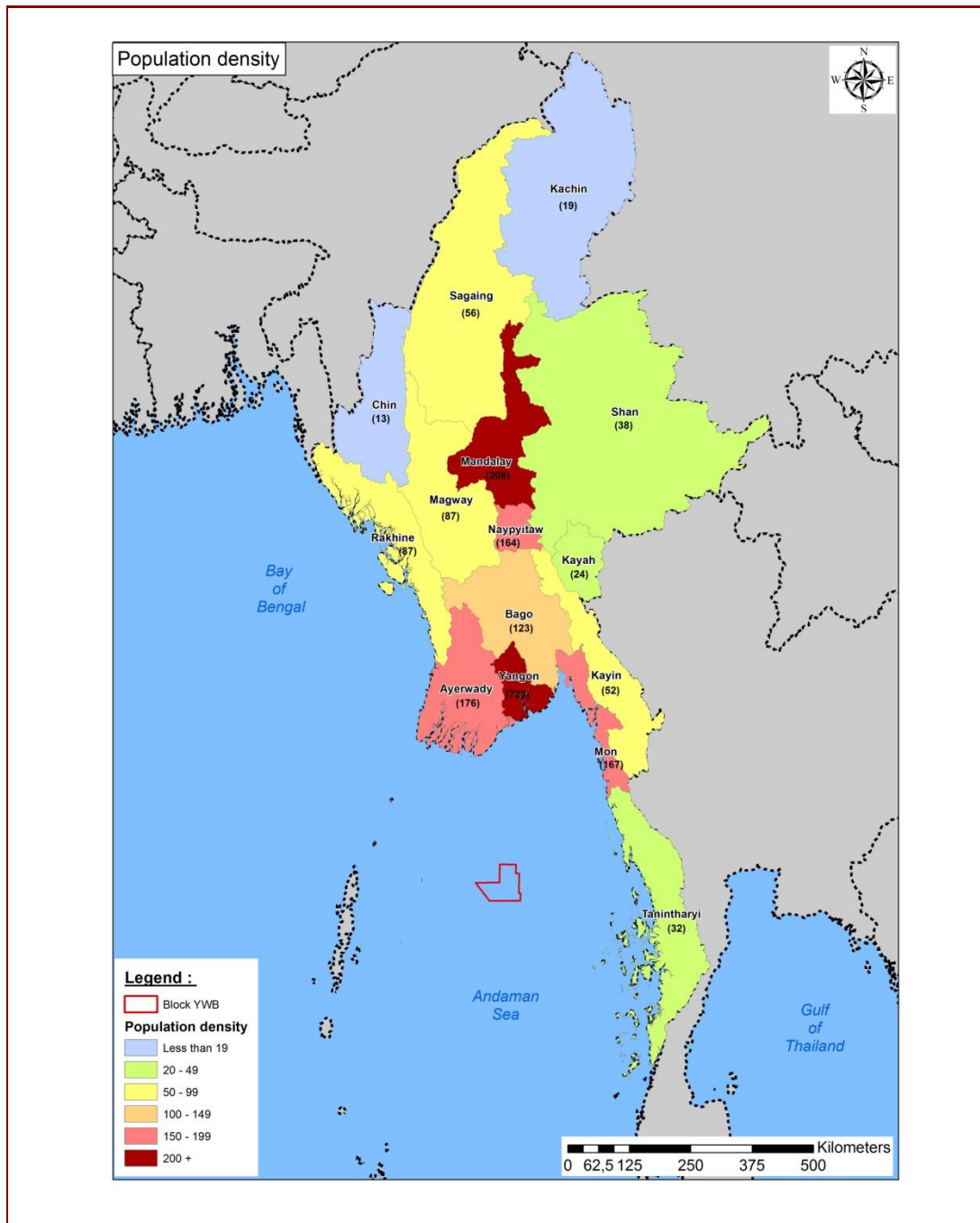


Figure 5-30 Population density by states

SOURCE: MYANMAR CENSUS 2014, MINISTRY OF IMMIGRATION AND POPULATION

**Ethnicity**



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Myanmar is an ethnically diverse nation with more than a hundred distinct ethnic groups officially recognized by the Burmese government, each having a particular history, culture and language.

At the study area, coastal states are mostly inhabited by Bamar people (Ayeyarwady, Yangon and Tanintharyi states) while Mon State is populated by the Mon ethnic group. Figure 5-31 shows in more detail the ethnic distribution in the country and coastal states.

The Bamar are the dominant ethnic group in the country. They are 29 million people and inhabit mostly the central and upper plains of the country. This people from Sino-tibetan descent migrated from Yunnan, China, and settled in central Myanmar, progressively becoming the country prevailing group.

The Mon represents 8 million people, accounting for 15.6% of the total population. They are with the Pyu ethnic group the initial inhabitants of Myanmar Ayeyarwady valley, prior to the arrival of the Bamar people. They have been long time rulers over lower Myanmar, and supposedly brought Buddhism in the country. They have cultural particularities distinguishing them from the other ethnic groups of Myanmar, in the fields of language, arts (music, dances), clothes and architecture. However, their culture tends to fade away and assimilate with the Bamar culture, except in rural areas where Mon tradition still prevails.

South-east of the Project area, on the archipelago of Mergui in Thanintharyi State, live the Moken. They are a people referred to as sea gypsies, a denomination designating nomadic people living on the sea in several parts of Southeast Asia, such as Thailand, Malaysia, Philippines and Indonesia. In Myanmar, Moken people live essentially from sea-based sources of livelihoods, inhabiting their boat which is also their house. They are mobile by nature, but were progressively settled down in coastal villages, ending their migration-based living-patterns.

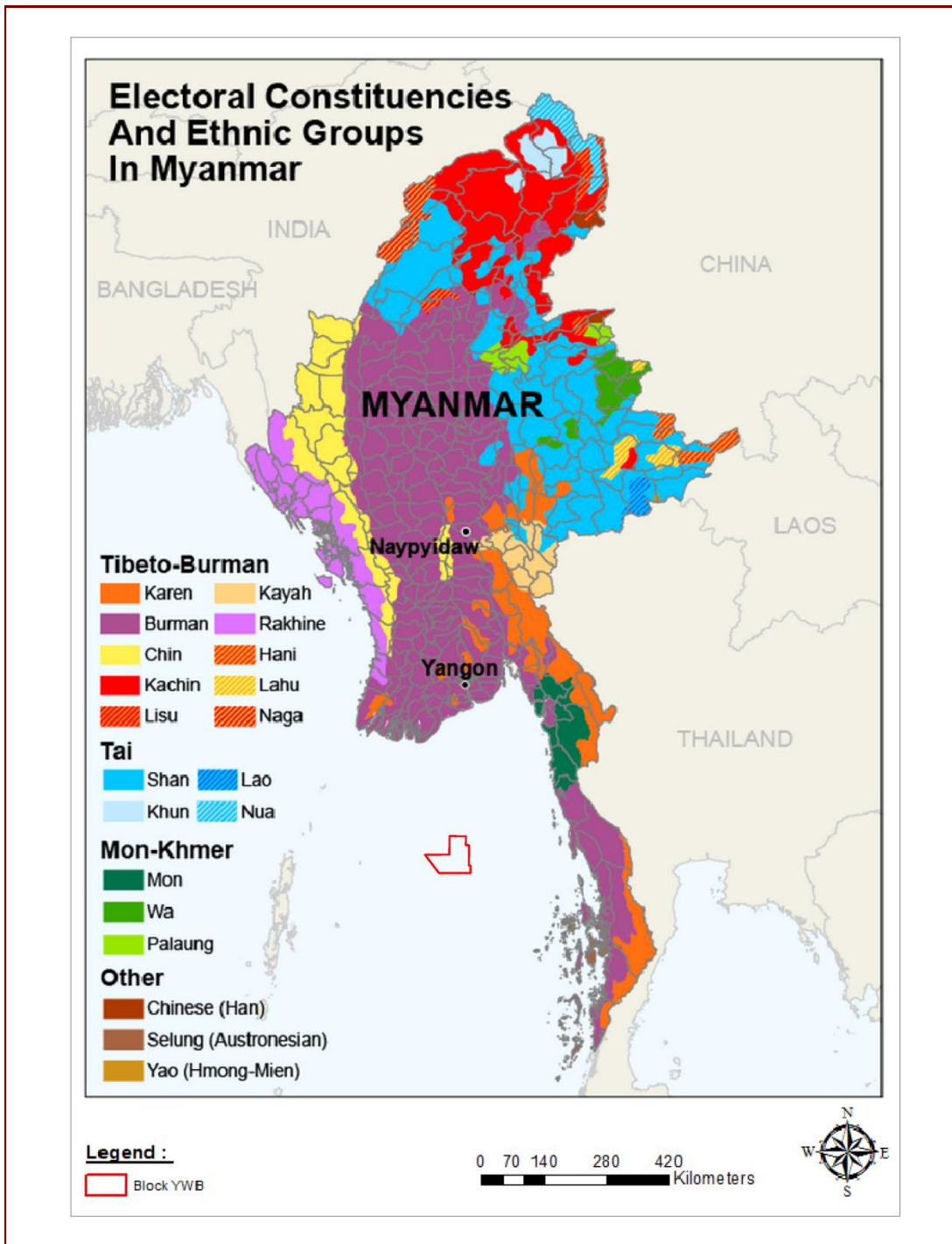


Figure 5-31 Ethnic groups distribution

SOURCE: THE NEW YORK TIMES, JUNE 30<sup>TH</sup> 2012, AVAILABLE AT [HTTP://WWW.NYTIMES.COM/IMAGEPAGES/2012/03/30/OPINION/30SELWAYMAP.HTML?REF=OPINION]



### Gender role

Myanmar used to be a matriarchal society, where women enjoyed independency, political power and rights over economic resources, despite Buddhism stereotypes on gender roles. The British rule and the subsequent establishment of a male military state relegated women's rights behind those of men, the society accepting male rights prevalence as the norm.

Today, this heritage still delineates strong social roles between the two sexes. Despite a school enrolment being almost at parity between boys and girls, urban women increasing contribution to the household revenues, and despite sharing the same legal rights as those of men, gender equality is far from being achieved in the country<sup>3</sup>. On the contrary, it varies greatly based on the living environment (urban or rural) and the ethnic group belonging. Women still have a lower adult literacy rate as percentage of men's and lower estimated incomes. Their role in the political sphere remains very limited, with only 5.7% of women holding seats at the Pyithu Hluttaw (lower house) of the national Union Parliament<sup>4</sup>.

Although their social and political roles are still unequal to those of men, the contribution of women to economic activities in the country is high, with 75% of the total female population being economically active according to the International Labour Office (ILO). Women in the country are mostly engaged in the agricultural sector, especially in rural areas.

### Religion

The main religion in Myanmar is Theravada Buddhism, practiced by around 90% of the population. Theravada Buddhism is the dominant religion for the Bamar, Shan, Arakanese and Mon ethnic groups. Other religions in the country are Islam and Christianity: Christianity is mostly present in the Kachin ethnic group, Chin and Naga; Islam is mostly practiced in Rakhine State by the Rohingya minority as well as in the south and center of the country (Yangon, Ayeyarwady, Magwe, and Mandalay). Other minorities practice their traditional religions, which is the case of the Chinese and some ethnic groups in the highland regions who practice animist believes.

Although the Constitution recognises freedom of religion, recent conflicts have opposed Buddhists and Muslims, undermining political stability in the country. Violent clashes started in 2013 in the city of Meiktila between Buddhist and Muslims groups, and led to a series of anti-Muslim riots throughout the country over the year. The support offered by a minority of the Buddhist clergy to anti-Muslim movements continued to fuel the conflicts, and recent clashes have occurred in July 2014<sup>5</sup>.

However, no religious tensions have been observed in the coastal states of the study area, as they remain concentrated in the central part of the country.

#### **5.6.2.2 Access to social services and infrastructure in the coastal areas**

The country's indicators on human development and access to basic social services have improved over the last years, but remain low according to international standards. Thus, Myanmar Human Development Index of 0.524 in 2013 ranks it 150 out of 187 countries, and is below the regional average of 0.703. Besides, 25.6% of its population lives below the national poverty line and has limited access to health, education, energy, water, or transportation infrastructures. The country also faces growing structural inequalities between states/regions, and urban/rural areas that are differently underserved in basic services and have therefore different levels of human development.

### Health and education

With a life expectancy of 65.7 years in 2012, and the highest crude mortality rate of all Asia-Pacific countries (9 per 1000 people), health indicators in Myanmar reflect years of neglect and are distressing for a country that only recently opened to international health organizations assessments and interventions.

<sup>3</sup> Than Than New, *Gendered Spaces: Women in Burmese Society*, Transformations, No. 6, February 2003.

<sup>4</sup> Global Justice Center, *The Gender Gap and Women's Political Power in Myanmar*, May 2013.

<sup>5</sup> HUME Tim, *Curfew imposed after deadly clashes between Buddhists, Muslims in Myanmar*, CNN, 6<sup>th</sup> July 2014.



There have been an improvement in access to healthcare, with 81% of the population living in walking distance from a hospital (including township hospitals, public specialized hospitals and station hospitals) or health centre (including rural health centres, sub-rural health centres, maternal and child health centres). Besides, health expenditures by the Ministry of Health have more than doubled between 2007 and 2012.

However, basic health concerns continue to undermine the country human development. Maternal and child health is a major issue, along with HIV/AIDS, malaria and tuberculosis, which causes substantial mortality and morbidity. In 2012, HIV/AIDS prevalence rate represented 0.6% of the population. Malaria incidence was assessed at 2,743 cases for 100 000 people, while tuberculosis prevalence was estimated to 489 cases for 100 000 people<sup>6</sup>.

Ongoing health issues in Myanmar are the major consequence of low government expenditures on health sector (2% of the GDP), low availability of medical care professionals and the poor condition or absence of health facilities. On average, Myanmar has only 1 physician per 2,188 people and 1 hospital bed per 1,667 people.

In the study area, Ayeyarwady and Mon states are disadvantaged compared to Yangon and Thanintahryi in terms of doctors' distribution. Besides, Nargis cyclone in 2008 considerably decreased access to healthcare in Ayeyarwady State. Six years after, despite NGOs active role in post-disaster restoration, populations living in this State remain particularly vulnerable.

Government investment in primary education is more important than in the health sector, which is displayed by high indicators in the terms of literacy rate (93% of adults are literate) and gross primary school enrollment (114%). However, gross secondary school enrollment is low as compared to other regional neighbours (50% of the children attend secondary school)<sup>7</sup>.

#### **Access to energy, water and sanitation**

According to the International Energy Agency (IEA), Myanmar is in a condition of energy poverty. Its average electrification rate was about 28% in 2012. Urban areas have a better access than rural ones. Thus, Yangon City has the highest electrification rate (72%), followed by Nay Pyi Taw (65%), Kayar (42%), and Mandalay (35%), while rural areas are poorly electrified, with an average rural electrification rate of 16%.

Myanmar enjoys abundant water resources, and access to water is good at the national level with 70% of the population having access to drinkable water source in 2010. Access to improved water sources is higher in urban areas where 94.8% of people benefit from these sources, than in rural ones with 81.1% having access to them. Regional discrepancies are also observed, and states in the study have unequal access (44.6% in Ayeyarwady, 76.7% in Yangon). In terms of improved sanitation facilities, 79% of the population has access to it, over 80% in the coastal area (including Ayeyarwady). This is the result of large investments in the sector from government and external sources, which have allowed tackling this issue efficiently.

#### **5.6.3 Marine socio-economic environment**

Myanmar economic development has accelerated over the last years, influenced by the democratization process initiated by the regime in 2010. Myanmar authorities have thus recently refurbished their laws and regulations to improve the ability of the domestic market to welcome foreign investments. They notably revised the 1988 Foreign Investment Law in 2012, to facilitate international companies' investments in the country's economy. Positive effects were felt rapidly, with an increase of foreign direct investment from USD 1.9 billion in 2012 to USD 2.7 billion in 2013, mostly in the energy, garment industry, information technologies and food and beverages. According to the World Bank, economic growth reached 6.5% in 2013, on the rise from 5.9% in 2012. The government notable efforts to improve the business environment and stakeholders' interests in its market succeeded, and numerous foreign companies are now willing to invest in the country's economy.

<sup>6</sup> World Health Organization, *Myanmar Health Profile 2012*.

<sup>7</sup> UNICEF statistics on Myanmar.





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Like for other sectors, marine economic activities represent a high potential of economic growth. Part of the Myanmar maritime space, they are regulated by the **United Nations Convention on the Law of the Sea (UNCLOS)**. This convention governs the States' rights and responsibilities related to the oceans and their resources. It establishes categories spatially demarcated such as the territorial waters, the contiguous zone and the Exclusive Economic Zone (EEZ). Each category represents rights and obligations for the signatory state: for instance, the EEZ status recognizes the right of a State to explore and exploit the ocean's resources<sup>8</sup>, but requires this State to guarantee free passage of foreign ships in its waters.

Myanmar is signatory State of this convention, and translated it in its Territorial Sea and Maritime Zones Law in 1977. The country already actively exploits its marine oil and gas resources, supported by several foreign companies. In parallel, the government sees an important potential of growth in the marine fishery sector. These sectors, along with the commercial shipping, use the same environment and their interests will probably overlap over the coming years, creating interactions and potential conflicts among them that need to be anticipated and whenever possible prevented.

### 5.6.3.1 Offshore oil and gas sector

Myanmar promising offshore oil and gas resources represent an important potential of growth for the country's economy, attracting interest from the national and international oil and gas industry. In 2012, 34% of the Foreign Direct Investments in the country were directed at oil and gas exploration and production<sup>9</sup>.

In a move to boost the increase of oil and gas activity in the country, the Ministry of Energy recently concluded the Myanmar Offshore Blocks Bidding Round 2013, attributing 20 offshore blocks to international companies<sup>10</sup>. Ten deep-water blocks and ten shallow-water blocks were attributed to oil majors and independent companies, such as Statoil, Eni, Shell, Total, ConocoPhillips, BG Group, and Woodside Energy.

YWB block was awarded to Total E&P Myanmar, and other blocks neighboring YWB were attributed to various companies, such as to Eni (block MD4). They can be localized in the Figure 5-32 and are for most of them in production:

- The Yetagun field in M12, M13 and M14 produces since 2000 and is operated by a local subsidiary of Malaysian company Petronas.
- The Zawtika, Kakonna and Gawthaka fields, located in blocks M9 and M11, started to produce in March 2014, and are operated by the Thai company Petroleum Authority of Thailand Exploration and Production International (PTTEP International).
- Several other blocks are at the stage of 2-D or 3-D seismic campaigns.

This surge in offshore exploitation of oil and gas resources causes an increase in operational activities in the Andaman Sea, which could lead to **negative cumulative impacts on the fishery sector and global marine traffic in the region.**

<sup>8</sup> UNITED NATIONS, *The United Nations Convention on the Law of the Sea (A historical perspective)*, 1998, available at [[http://www.un.org/Depts/los/convention\\_agreements/convention\\_historical\\_perspective.htm#Exclusive Economic Zone](http://www.un.org/Depts/los/convention_agreements/convention_historical_perspective.htm#Exclusive Economic Zone)]

<sup>9</sup> OECD.

<sup>10</sup> Offshore Energy Today, *Myanmar awards new offshore blocks*, March 26, 2014.

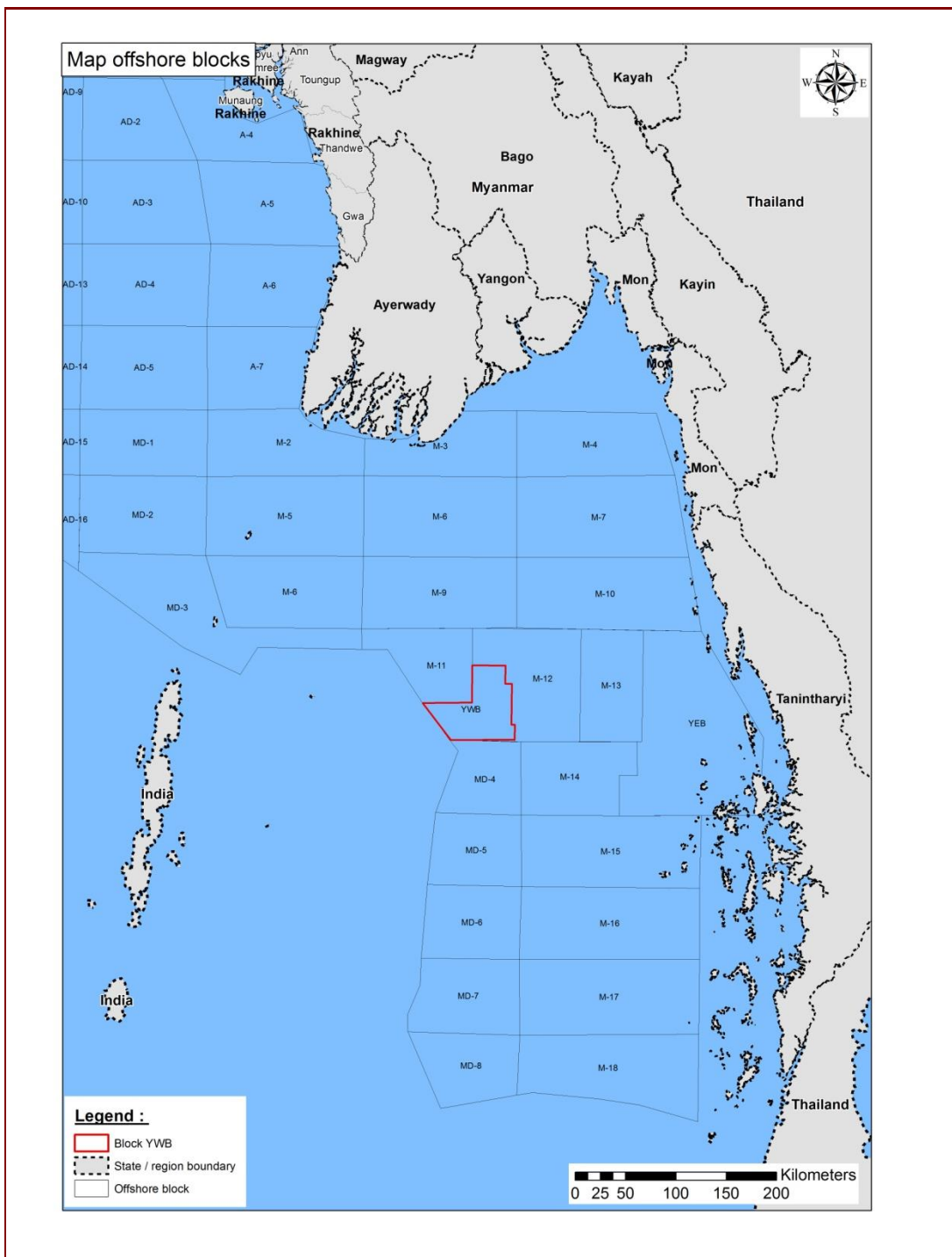


Figure 5-32 Myanmar offshore blocks and operators

SOURCE: OFFSHOREENERGYTODAY.COM



### 5.6.3.2 Marine fishery sector

Myanmar has a coastline of 2,280 kilometers, a marine water area of 486,000 square kilometers, and an inland water area of 124,280 square kilometers<sup>11</sup>. Generally, Myanmar fisheries are divided into two sub-sectors:

- **Inland fisheries**, covering freshwater fisheries and aquaculture;
- **Marine fisheries**, covering inshore and offshore fisheries.

The potential for development of fisheries in the country is considerable. This sector as a whole already represents the **fourth most important export earning sector** and generate with livestock **9.9% of the GDP**<sup>12</sup>. It employs an estimated number of **7,977,000** persons, among which 3,269,000 are active fishermen<sup>13</sup>. This represents 15% of the total country population, highlighting the evident importance of this sector for the economy. Besides, like in many Asian countries, fish and other products are important for the Myanmar people diet and for food security. In 2002, per capita consumption of fish amounted to 21.04 kg/yr and constituted 60 to 70% of the total protein intake for the population<sup>14</sup>.

The YWB seismic project area is located in the marine fishery zone, more particularly in the offshore fishery zone as displayed on Figure 5-33.

#### Laws and regulations

Myanmar fishery sector was previously regulated by the Fisheries Act of 1905. Laws were upgraded in the 1990s to support the development of this sector, encompassing new topics such as artisanal and foreign fishing. Today, six laws are the basis for inland and marine fishery organization, management and control by the different government agencies in charge of this sector. These laws are listed below:

- Law Relating to the Fishing Rights of Foreign Fishing Vessels - 1989
- Law relating to Aquaculture - 1989
- Myanmar Marine Fisheries Law - 1990
- Freshwater Fisheries Law - 1991
- Law Amending the Myanmar Marine Fisheries Law - 1993
- Law Amending the Law Relating to the Fishing Rights of Foreign Fishing Vessels - 1993

Myanmar fishery sector is managed by the Ministry of Livestock, Fisheries and Rural Development, and more particularly by the Department of Fisheries (DoF), which takes care of both inland and marine fisheries. The most important mission of the DoF is to develop and implement sectorial policies aiming at promoting sustainable fishing practices and ensuring the preservation of marine resources. The DoF develops conservation efforts, promotes research and surveys on the current condition of marine resources in partnership with intergovernmental agencies, maintains statistics on fisheries, and supervises the fishery sector through delivery of licenses to national fishing vessels.

#### Marine fishery zones

Myanmar fishery zones are organized under the Marine Fisheries Law of 1990, which classifies the fishery sector into the inshore and offshore categories. Their main characteristics are detailed below:

<sup>11</sup> Pe Myint, National Report of Myanmar on the Sustainable Management of The Bay of Bengal Large Marine Ecosystem, BOBLME, GCP/RAS/179/WBG, 2004

<sup>12</sup>According to the Institute of Marine Research figures, available at [[http://www.imr.no/forskning/utviklingssamarbeid/surveys/myanmar\\_2013/en](http://www.imr.no/forskning/utviklingssamarbeid/surveys/myanmar_2013/en)]

<sup>13</sup> Bay of Bengal Large Marine Ecosystem - BOBLME

<sup>14</sup> Pe Myint, National Report of Myanmar on the Sustainable Management of The Bay of Bengal Large Marine Ecosystem, BOBLME, GCP/RAS/179/WBG, 2004



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- **Inshore (or coastal) fishery:** covers an area up to 5 miles from the shore for the Rakhine coast, and 10 nautical miles from the shore on the Ayeyarwady and Tanintharyi coasts. Boats entering this category should not be equipped with engine having more than 12 horsepower and length of the boat is limited to 30 feet.
- **Offshore fishery:** covers the outer area of the inshore fishery zone up to the EEZ border. Boats should have more than 12HP engine, and can use bottom trawl, purse seine, surrounding nets, drift nets and long lines<sup>15</sup>.

As seen on Figure 5-33, the inshore zone is represented in deep blue and borders the coast, while the offshore zone spans from the inshore borders up to the EEZ borders, at water depths varying from 0 to over 200 meters. For administrative purposes, the Department of Fisheries has divided the offshore zone into 140 fishing grounds of 30X30 nautical miles block, using latitude and longitude lines. It has also designated 4 fishing areas, namely Rakhine (40 blocks), Ayeyarwady (44 blocks), Mon (14 blocks) and Taninthary (52 blocks). The Project area is located in the Thanintharyi offshore fishing zone. It is positioned at the edge of the EEZ, where water depths are higher than 200 meters.

<sup>15</sup> FAO, *The State of World Fisheries and Aquaculture, Opportunities and Challenges*, 2014

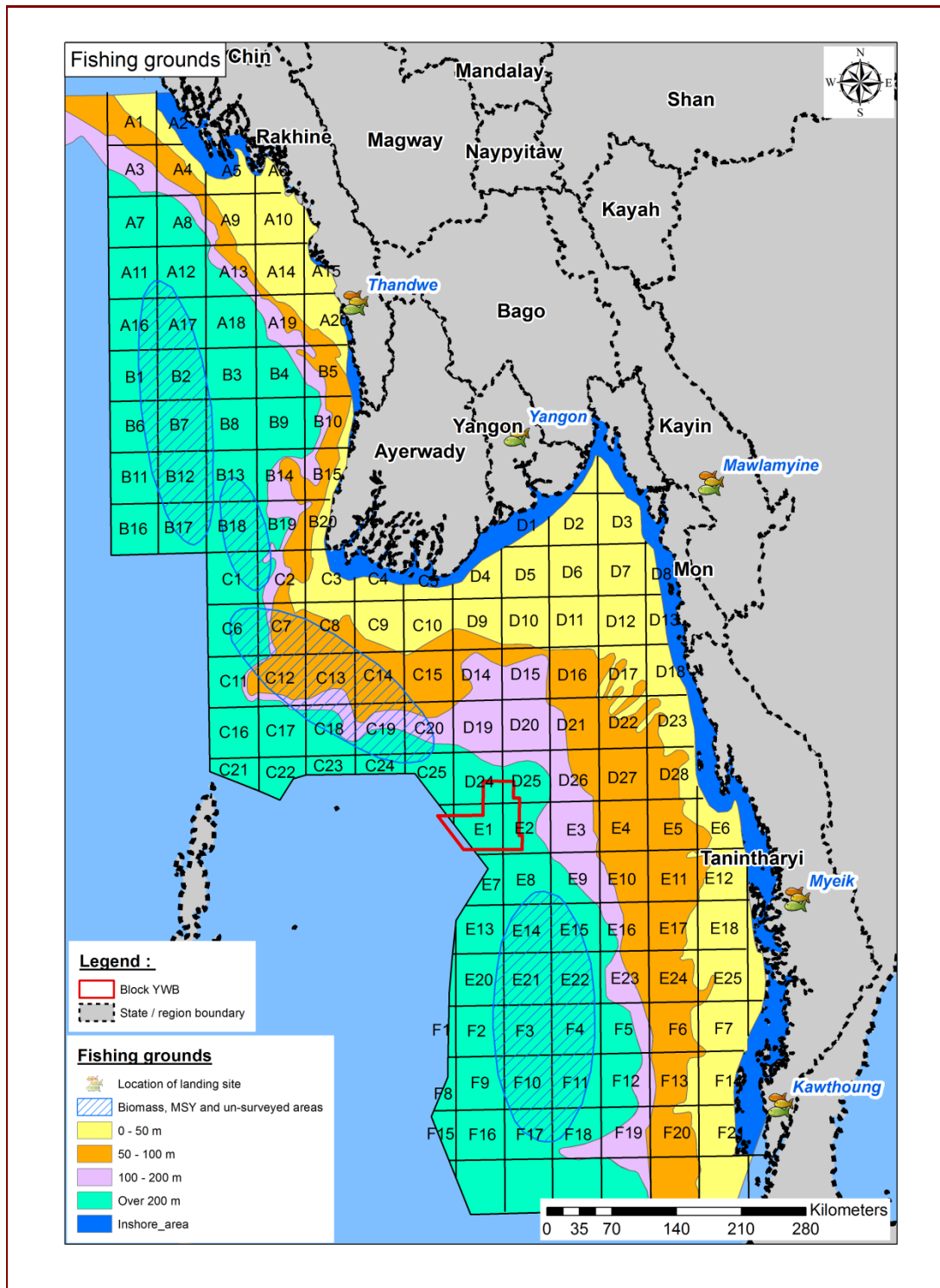


Figure 5-33 Myanmar fishing zones as defined by Marine Fisheries law



**Offshore Seismic Campaign YWB Block**

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*SOURCE: MYANMAR DEPARTMENT OF FISHERIES*



### Marine fishery licensing system

The fishing vessel licensing system in Myanmar is framed by the Marine Fisheries Law and based on the nationality of the license requester. The national license system is divided into two sub-categories based on the fishing zones:

- **Inshore fishery zone license:** the General Administration Department (GAD) is in charge of inshore fishing boats' inspection. The Department of Fisheries proceeds with the issuance of fishing licenses upon recommendation of the GAD.
- **Offshore fishery zone license:** the Department of Marine Administration (DMA) takes care of inspection and registration of the offshore fishing boats. Fishing licenses are delivered by the DoF only when DMA has issued its approval.

The Myanmar Fisheries Law encourages foreign fishing activity with the granting of fishing rights to foreign companies, but limits their activity to the EEZ. It is only under exceptional circumstances and expresses agreement of the related government agencies that foreign fishing vessels can conduct fishing activities in the country territorial waters. Foreign fishing companies can acquire licenses to operate in the Burmese waters from the Department of Fisheries, in exchange of a fee and upon approval of the Ministry of Livestock and Fisheries. In order to encourage empowerment of national fishing fleets and development of national capacities in the fishery sector, DoF will also grant licenses to the foreign companies that have formed a joint-venture with a state enterprise or a Burmese business partner.

### Marine resources: surveys and estimations

The Myanmar fishery institutions do not have recent and reliable data informing on the marine resources available in their waters, as well as the size and composition of marine catches. This lack of knowledge is due to years of isolation, a focus of the previous fishery policies on inland resources (especially aquaculture) and a lack of means (in terms of budget, human resources and competencies) from the government agencies for collecting information and building expertise.

Without this knowledge, it is difficult for the Ministry of Livestock, Fisheries and Rural Development and the Department of Fisheries to draft a sustainable fishery policy which would preserve fishery resources while allowing for the commercial fishery sector to prosper.

Myanmar is member of several inter-governmental organizations specialized in fishery at the regional scale, such as the Southeast Asian Fisheries Development Centre (SEAFDEC), the Asia-Pacific Fisheries Commission (APFIC), and the Bay of Bengal Large Marine Ecosystem Program (BOBLME). Trying to build knowledge on the state of marine resources and fisheries, these organizations conducted several surveys in Myanmar waters under the leadership of the Food and Agriculture Organization (FAO).

These surveys enabled to draw estimations on fish stocks, but their results vary. According to the Institute of Marine Resources, fish stocks range between **1.3 and 1.8 million metric ton (mmt): 1 mmt for pelagic fish, and 0.8 mmt for demersal fish, respectively**. But for the government of Myanmar, the potential maximum sustainable yield (MSY) of Myanmar waters is about 1.05 mmt per year (0.5 mmt of pelagic fish and 0.55 mmt of demersal fish)<sup>16</sup>.

Conclusions of surveys conducted in 2004 and 2007 by the SEAFDEC on Myanmar marine fishery resources revealed that even if stocks were not known yet, Myanmar was rich in some commercially important big pelagic species such as sword fish (*xiphias gladius*), deep-sea lobster and deep-sea shrimp. Other commercially important species, such as yellowfin tuna (*thunnus albacares*), striped marlin (*tetrapturus audax*) and sailfish (*istiophorus platypus*) inhabit Myanmar offshore waters<sup>17</sup>.

<sup>16</sup> Tony J Pitcher, *An Estimation of Compliance of the Fisheries of Myanmar with Article 7 (Fisheries Management) of the UN Code of Conduct for Responsible Fishing*, 2007.

<sup>17</sup> Julius Kyaw, Department of Fisheries, *Present Status of Off-shore Fishery Resources and Information on Tuna Fishery in Myanmar*, Special Meeting on Improvement of Tuna Information and Data Collection in the Southeast Asia7-9 September, 2011. Songkhla Province, Thailand



Recently, a **large marine ecosystem survey** was conducted in the frame of the EAF-Nansen project and the BOBLME<sup>18</sup>. Piloted by the Institute of Marine Research, a Norwegian organization appointed by the SEAFDEC, this study gathered a team of marine experts for a six-week field survey in November 2013, with the participation of the DoF. Due to large data the project has to process before being able to publish any report, it is unlikely that the results of the survey will be available by the end of the year, and therefore used in this study.

Waiting for these results, the data and information used by the Government to guide its decisions on fishery management are still out-of-date and inaccurate. Assertions are made by various stakeholders, such as the Myanmar Fishery Federation<sup>19</sup>, on **severe depletion of stocks and overfishing both for the offshore and inshore fisheries**<sup>20</sup>. In the frame of the preparation of this IEE, discussions with NGOs specialized in marine ecosystem protection also tend to confirm this fact, despite a clear lack of data.

### Fishing vessels and gears

Most recent estimates on the country total fishing fleet set the number of vessels at **around 30 800 in 2011**, among which half of it were non-motorized boats, and 1 600 were trawlers<sup>21</sup>.

Myanmar fishery sector is mostly developed in the artisanal, small-scale inshore fishery zone (up to 10 miles from the coast), with **27 751 inshore fishing vessels** registered by the DoF in 2012, a decrease compared to the 29 371 vessels the previous year. Among these, 55% are powered vessels, and the rest are non-motorised boats. They usually operates in waters less than 50-meter depth, due to a lack of technology, suitable fishing gears and equipment to process fisheries resources information (such as GPS or satellite devices).

The offshore fishery zone is mostly the domain of **large national companies** and **foreign fishing vessels** that have powerful boats, and concluded fishing agreements with the Ministry of Livestock, Fisheries and Rural Development.

Around 2450 national vessels were engaged in offshore fisheries in 2012. Additionally, 132 foreign vessels were reported having licenses to conduct offshore fishery<sup>22</sup>. This number is in decrease compared to 2010 when 390 foreign fishing vessels had fishing licenses.

As presented in the Table 5-14 below, fleets operating offshore (encompassing foreign fishing vessels) mainly use active fishing gears, with trawling, driftnet and purse seine being the most commonly used. Static fishing techniques, fish traps or stow nets, are also used but less commonly in the offshore fishery zone.

<sup>18</sup> The EAF-Nansen project, launched in 2006, is a multi-stakeholder project funded by the Norwegian Agency for Development Cooperation and managed by the FAO in partnership with the Institute of Marine Research (IMR). Its objective is to strengthen the knowledge base on fisheries and implement an ecosystem approach to marine fisheries in developing countries. The project conducts activities in the Bay of Bengal and in Myanmar, among other countries. More information at: [<http://www.eaf-nansen.org/nansen/organization/17910/en>]

<sup>19</sup> The Irrawady, *Burma Bans Foreign Fishing Boats from Its Waters*, April 3, 2014

<sup>20</sup> Source: UNDP, *Myanmar Agricultural Sector Review and Investment Strategy, Volume 2: Agricultural Sector Investment Strategy*, June 2004.

<sup>21</sup> BAY OF BENGAL LARGE MARINE ECOSYSTEM PROJECT (BOBLME), *Transboundary Diagnostic Analysis vol. 2*, 2012.

<sup>22</sup> According to the Institute of Marine Research figures, available at [[http://www.imr.no/forskning/utviklingsamarbeid/surveys/myanmar\\_2013/en](http://www.imr.no/forskning/utviklingsamarbeid/surveys/myanmar_2013/en)].



Table 5-14 Number of fishing vessels engaged in offshore fishery, 2013<sup>23</sup>

Type of gear	Under 24 meters length	Above 24 meters length	Total
Trawl	638	462	1100
Purse seine	157	101	258
Stow net	450	97	547
Drift net (gil net)	258	2	260
Long line	37	-	37
Squid cast net	300	-	300
Fish trap	94	2	96
Total	1934	664	2598
Total	1934	664	2598

Thailand is an important actor of the foreign fishery sector in the country, and several Thai companies have fishing rights (in 2013, 60 licensed foreign vessels were reported to belong to two Thai companies). Thai fishing in Myanmar waters is a recent activity, started in the late 1990s when the two countries concluded joint venture agreements allowing Thai fleets to operate in the Myanmar EEZ legally. Other companies from countries like Singapore, Japan, China or South Korea also have a role in Myanmar fisheries.

Myanmar law is repressive against **illegal, unreported and unregulated fishing**. It bans all fishing gears that are destructive to the environment and fisheries resources, including pair trawl, electric fishing, fishing using poisons, chemicals, explosives, purse seine net less than 3,5 inches mesh size and trawl net cod-end mesh size less than 2 inches<sup>24</sup>. Trawl use is also forbidden in the inshore fishery zone. Several institutions are involved in battling illegal fishing under the coordination of the Myanmar Navy: the Coast guards, the Department of Fisheries, the Myanmar Customs Department and the Myanmar Police Force.

However, despite these measures **illegal fishing is commonly practiced in Myanmar waters, especially by neighboring Thai vessels**, because of an inability from Thai authorities to control their fleet in their territorial waters<sup>25</sup>. Clashes have as a consequence regularly happened between Myanmar and Thailand over this issue. Violent actions in July 2014 saw the drowning of a Thai trawler caught performing illegal fishing by a Myanmar Navy patrol boat<sup>26</sup>. This series of events, coupled with nationalist pressures from fishery stakeholders, such as the Myanmar Fisheries Federation, contributed to a recent decision to ban foreign fishing taken by Myanmar.

<sup>23</sup> APFIC (Khin Maung Aye & Win Ko Ko), *Regional Expert Workshop on Topical Trawl Fishery Management*, 30 September – 4 October 2013, Phuket, Thailand.

<sup>24</sup> KYA Kyaw, Dr., SEAFDEC, *Countries profile of Myanmar Addressing the IUU Fishing in the Southeast Asian Region*, 2010

<sup>25</sup> MORGAN Gary R, STAPLES Derek J. (2006), *The History of Industrial Marine Fisheries in Southeast Asia*, Asia-Pacific Fishery Commission, FAO Regional Office for Asia and the Pacific. APFIC ad hoc publication

<sup>26</sup> CHUENNIRAN Achdataya, *Myanmar Sinks Thai Trawler*, Bangkok Post, 11 July 2014, available at [<http://www.bangkokpost.com/news/local/420067/myanmar-sinks-thai-trawler>]



Indeed, in April 2014, the Government of Myanmar decided the **suspension of fishing rights and licensing for foreign vessels until further notice**. This ban is justified by alleged fish resources depletion, due to overfishing and the use of destructive fishing gears by foreign companies whose activity has intensified over the last five years. It was welcomed by national fishing associations, since it was expected for a long time<sup>27</sup>.

#### **Fishing grounds, fish catches and exports**

Commercially interesting species identified for the offshore fisheries, as described in the section on Marine resources: surveys and estimations, are **pelagic fishes, with the tuna being in a privileged position for industrial development**. Figure 5-33 shows the tuna fishing grounds (in blue circles on the map), and indicates that the YWB block is not located in these grounds. No data are available on fishing grounds for other species.

Myanmar is an important contributor to the regional fishery sector along with India. In terms of volume, total catches for all sectors (aquaculture, inland and marine capture fisheries) amounted to 4,464,419 tons in 2012. Among these, inland waters fisheries accounted for 1,246,460 tons and aquaculture for 0,885 tons.

The marine capture fisheries represented half of the total production, with 2,332,790 tons of catches in 2012, an increase of 7.5% from the previous year, and from 121% between 2003 and 2012. However, this figure is underestimated as it doesn't take into account the production loss caused by illegal fishing, and by the landing of catches to foreign ports. Indeed, for fishing performed by foreign vessels, landing sites are usually not located in Myanmar but, according to the size of catches, to Phuket in Thailand, Penang in Malaysia or even in Japan. Foreign fleets have the modern equipment and powerful engines enabling them to easily transport their catch to international ports in a short time. These catches are therefore not reported in the country statistics.

Besides, the general composition of the marine catches landed in the country is also not known precisely<sup>28</sup>. This lack of data prevents the country to identify overfishing by species, and therefore to adopt a sustainable fishery policy.

Main landing sites and wholesale fish markets are around Yangon, at Pazuntaung Nyaungdan and Annawa, with a fish market at San Pya in Alone township. Other major landing sites are found along the coast, at Thandwe, Mawlamyine, Myeik and Kawthoung cities. Capture fish landing there is sold through an auction system.

In terms of export, the best quality catches are exported to neighboring countries. 116 fishery processing factories are registered in Myanmar, and most of them export fish to the Chinese market. Only 20 of these factories have licenses to export to EU and United States markets. A recent project from the United Nations Industrial Development Organization will help upgrade eight other factories, increasing their standards to allow exports to these high profitability markets<sup>29</sup>. However, exports to Europe and United States markets remain limited at the time being.

Global marine products exports in 2013 amounted to 376,845 tons, generating revenues of USD 650 million. Excluding shrimps, **fish products represented 70% of these exports (266,464 tons)**, and USD 380 million of revenues. During 2014 fiscal year, a decrease in fishery export was observed with only USD 550 million of revenues against the target set by the Myanmar government for marine products exports of USD 700 million. According to the Myanmar Fishery Products Processors and Exporters Association (MFPPEA), this decrease is mainly caused by a lack of competency in the fishery sector, preventing it to compete on international markets.

In regards to low quality catches, they are processed in Myanmar in the form of fish paste or fish meal for the chicken industry, or used fresh or chilled for direct consumption, with some fish being frozen or cured.

<sup>27</sup> The Irrawady, *Burma Bans Foreign Fishing Boats From Its Waters*, April 3, 2014

<sup>29</sup> ELEVEN MYANMAR, *UNIDO to Upgrade Myanmar's Fish Factories*, 11 April 2014, available at [[http://www.elevenmyanmar.com/index.php?option=com\\_content&view=article&id=5731:unido-to-upgrade-myanmar-s-fish-factories&catid=33:business&Itemid=356](http://www.elevenmyanmar.com/index.php?option=com_content&view=article&id=5731:unido-to-upgrade-myanmar-s-fish-factories&catid=33:business&Itemid=356)]



### **Interactions between the fishery sector and the project**

The Project area is located in the offshore fishery area (more specifically in the Thanintharyi fishery zone). In this area, representing around 480,000 square kilometers, fishing is performed by 2,600 licensed vessels. 2,450 are Myanmar nationals having offshore fishery permits. Others were foreign vessels that operated until a ban on foreign fishing was passed in April 2014. Fishermen in this area generally use large vessels and active fishing techniques to catch pelagic species, among them tuna. A passive fishing technique such as fixed fish traps is also practiced in the offshore fishery zone, although less commonly. Besides, illegal fishing is a real issue in the country and is frequently performed in the offshore fishery zone, mainly by Thai nationals.

Few fishing boats operating in Myanmar waters, or fish traps installed, are equipped with vessel monitoring system enabling to localize their area of activity. Therefore, it is not possible to prove with firm evidence that the Project area is popular with fishermen. However, due to the few number of vessels operating in the large offshore fishery zone, the location of the project area (200 km from the coast and in deep waters) and the recent ban on foreign fishing, interactions with fishermen are expected to be very limited during the seismic campaign, except with illegal fishing vessels.

According to the information collected during the public consultations, the YWB block is too far from the shore and the water is too deep to encounter any local fishing boats. Besides, as seen in Figure 5-33, the YWB block is out of the main long-line tuna fishing grounds, therefore it will be very unlikely to encounter any legal long-line tuna fishing boat. The only boats that could be encountered are maybe illegal Thai fishing boats.

#### **5.6.3.3 Port infrastructures and marine traffic**

### **Port infrastructures**

The Port of Yangon is the first international port of Myanmar. It has an annual traffic of more than 2,000 vessels, and 600,000 tons of goods transited there in 2013<sup>30</sup>. Following the fast pace of economic development in the country, marine traffic has increased over the last years, causing regular congestion at the port. This trend that is likely to continue in the future if additional infrastructures are not developed.

Yangon Port is placed under the management of the Myanmar Port Authority. This division of the Ministry of Transport also controls eight major ports along the coast (four on the west coast and four on the south-east coast). These ports receive international ships, but still have inadequate infrastructures and lack mechanised facilities that could increase their capacities. As shown in Figure 5-34, the main ports bordering the Project area are located in Patheingyi (Ayeyarwady State), Mawlamyine (Mon State), and in Dawei, Myeik and Kawthaung (Tanintharyi State).

Lacking the capacities and resources to develop its port infrastructures, Myanmar's government supports private companies who wish to invest in this sector. Two projects are on the way, financed by foreign enterprises.

- Located 25 km south of Yangon, the Myanmar International Terminals Thilawa (MITT) is a deep sea multi-purpose container port complementing the infrastructures of the Port of Yangon. It is operated by a private foreign company, Hutchison Port Holdings. Construction works will start in June 2015 according to the Myanmar Port Authority.
- In the coastal city of Dawei, the development of a large deep-sea port has been planned for several years. This project is managed by the Dawei SEZ Development Co, a Thai-Burma consortium in charge of developing the port and a large Special Economic Zone. This deep-sea port will benefit

<sup>30</sup> Interview with the Myanmar Port Authority

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from domestic commercial traffic, but will also profit Thailand, who has had a significant increase in its business relationships with Myanmar over the last years. India could also take the advantage of this port as an alternative sea route to Southeast Asia, reducing its dependency from the congested Strait of Malacca.

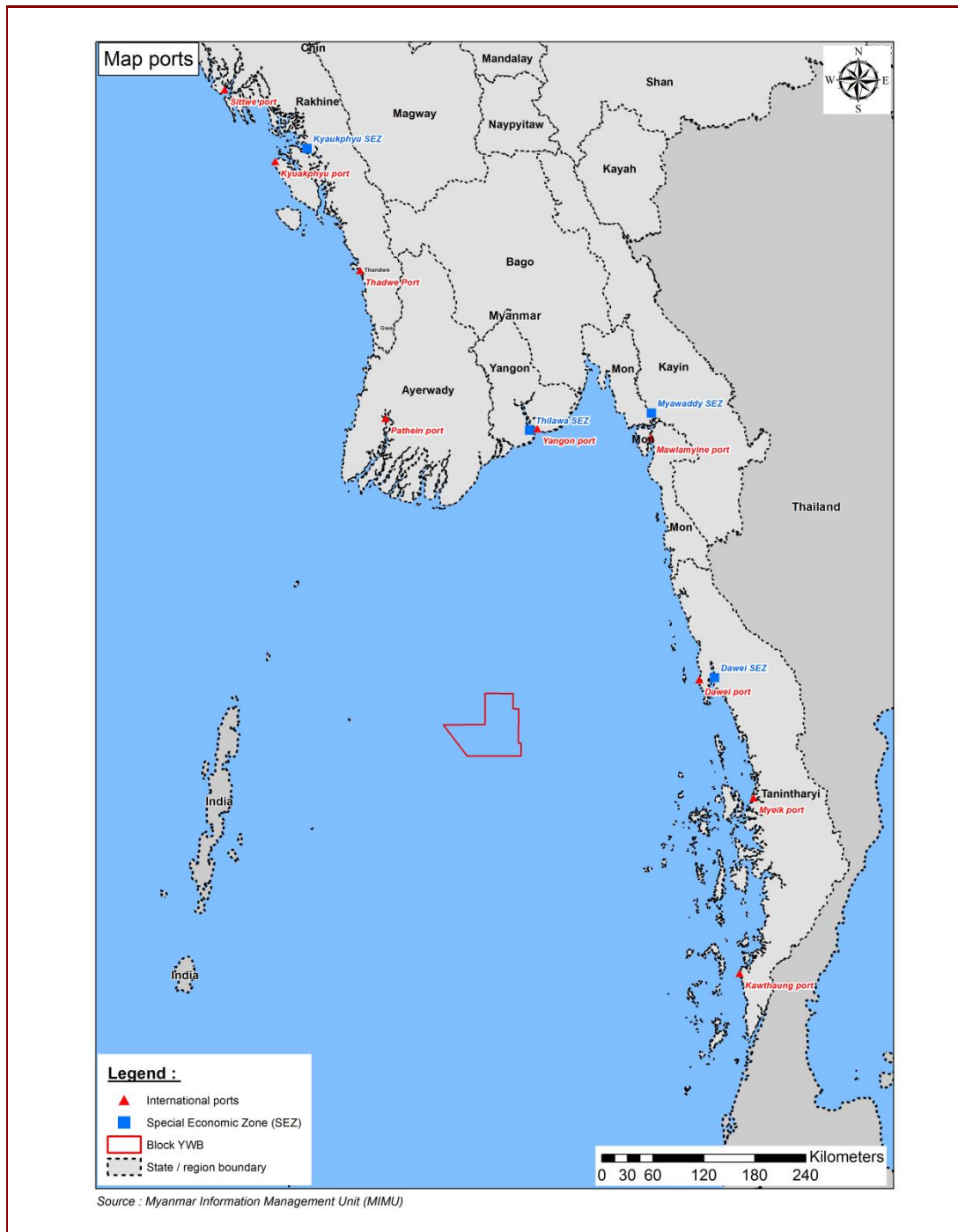


Figure 5-34 Location of ports in Myanmar

SOURCE: [HTTP://WWW.MYANMARPORTDEVELOPMENT.COM/ABOUT-THE-CONFERENCE.PHP](http://www.myanmarportdevelopment.com/about-the-conference.php)



### Marine traffic

Marine traffic off Myanmar coast is limited to domestic traffic and the passage of its EEZ by ships traveling regionally.

**At the country level**, the main shipping lane connects the port of Yangon and the port of Kawthong in the south-east coast of Thanintharyi state. There is also limited traffic between other domestic ports, such as those located in Mawlamyine or Myeik coastal cities. A lack of mechanized and modern port infrastructures and the limited economic activity that characterize Myanmar explain this low intensity in the maritime traffic.

**Regionally**, commercial shipping lanes connect Yangon port to Phuket as well as to Malaysia and Singapore via the Malacca Strait. The other regional shipping lanes crossing Myanmar waters leave from India and Bangladesh coastal ports (Paradip, Calcutta and Chittagong) to the Malacca Strait via the north of Andaman and Nicobar islands, south-west of the Project area. Traffic intensity is higher there, especially close to Phuket where shipping lanes join in approaching the Malacca Strait, one of the busiest shipping lanes in the world with an annual passage of more than 60,000 vessels.

These two main lanes, domestic and regional, can be seen on Figure 5-35. YWB Block, in red on the map, is located in-between these lanes, its east part partially overlapping with the lane connecting Yangon to ports in the south of the country and to the Malacca Strait.

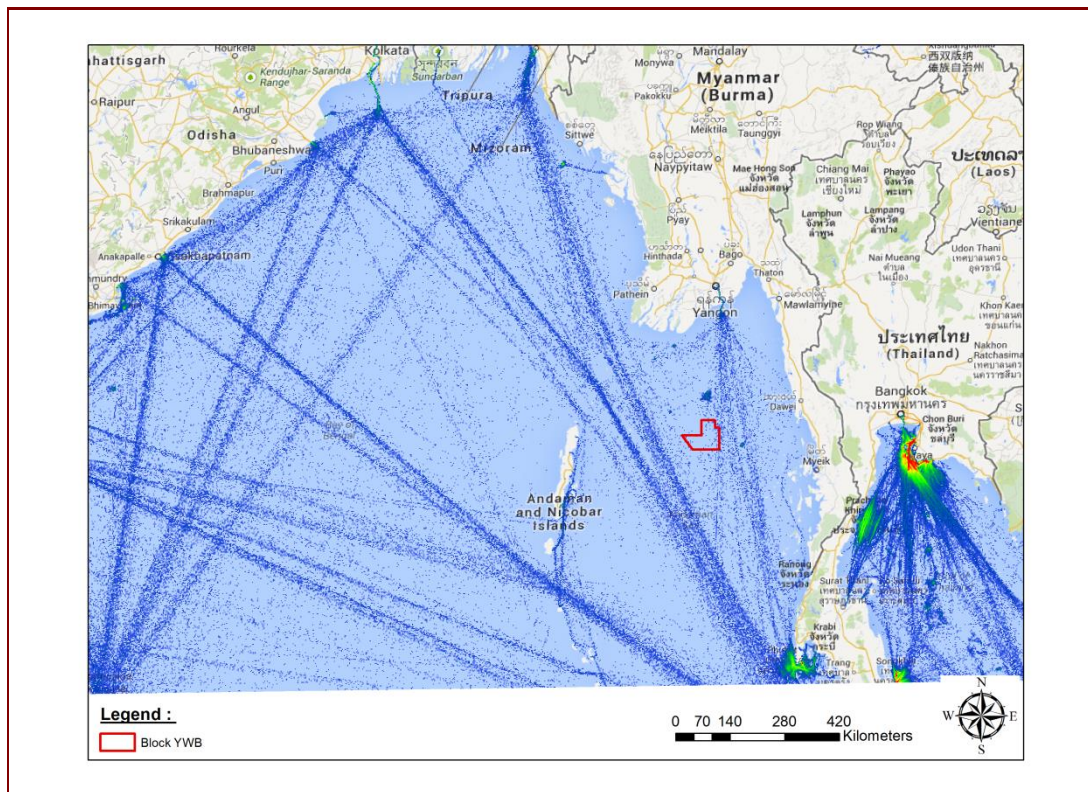


Figure 5-35 Regional maritime routes and traffic density

SOURCE: MARINETRAFFIC.COM, DATA PRESENTED IS AGGREGATION OF DATA FROM THE LAST SEMESTER OF 2013



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Besides commercial traffic, there is a strong contribution of oil and gas operations to regional marine traffic off Myanmar coasts. This well appears in Figure 5-36: each spot circled in red represents the vessel movement related to oil and gas exploitation activities conducted at various offshore fields.

Thus, the seismic campaign is likely to temporarily increase the marine traffic in the area, and operational ships may encounter commercial ships during the Project implementation.

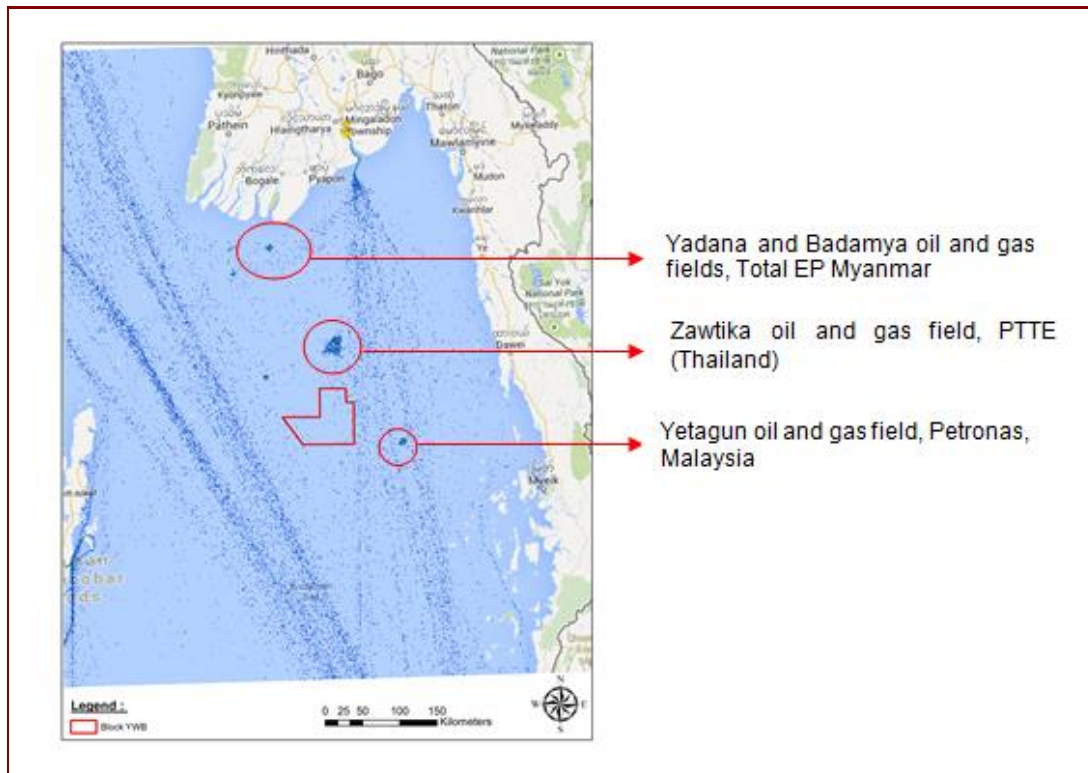


Figure 5-36 Marine traffic of oil and gas operations

SOURCE: MARINETRAFFIC.COM, CONSULTED ON 26<sup>TH</sup> AUGUST 2014

#### 5.6.4 Stakeholder identification

The marine space off Myanmar coast has long been the preferred area of oil and gas companies. However, the government wishes to diversify its economy particularly through the fishery industry development. With several port upgrades and new infrastructure developments, it also aims at increasing its commercial exchanges with foreign countries using marine transportation means. Accordingly, the use of marine space by multiple actors is bound to increase, along with pressures on the marine ecosystems and on the individuals and companies that benefit from its resources or are engaged in its protection.

Besides oil and gas operators, other actors having interests in the marine spaces of Myanmar have therefore to be identified in the frame of this Project, as they can either influence it or be impacted by it. These stakeholders are listed below, covering the public authorities, private sector, and intergovernmental and non-governmental organizations involved in the regulation, use or protection of Myanmar marine areas and their ecosystems.

A comprehensive identification of stakeholders will allow to build an efficient stakeholder engagement plan, presented in SECTION 7 of this report. This plan will indeed identify the right audience for the public



consultations that will aim at gathering stakeholders' perception on the impacts identified and the mitigation measures prepared in this report.

#### 5.6.4.1 Public authorities

Public authorities grant the authorizations and permits needed by Total E & P Myanmar to perform the seismic campaign on YWB block. They also regulate the use of marine areas between various stakeholders, from commercial vessels to fishermen.

#### Ministries

- **Ministry of Energy (MoE):** MoE is in charge of developing the oil and gas resources in the country. It provides offshore and onshore blocks through bidding rounds to national and international companies for the purpose of exploration and/or exploitation.
- **Ministry of Environment Conservation and Forestry (MOECAF):** MOECAF is in charge of developing the country environmental policy, in particular in the fields of water and marine resources conservation. It is also responsible for introducing a new environmental permitting system, which is in process of creation.
- **Ministry of Livestock, Fisheries and Rural Development (MLFRD):** MLFRD is in charge of developing, implementing and monitoring the country policies in the field of livestock, fishery and rural development, to ensure food security is achieved in the country, and prevent infectious diseases development that could endanger the national production.
  - **Department of Fisheries (DoF):** Under the MLFRD, the DoF main mission is to guarantee the preservation of fish resources in order to ensure the sustainability of the fishery sector. The DoF develops conservation efforts, promotes research and surveys on the current condition of marine resources in partnership with intergovernmental agencies, maintains statistics on fisheries, and supervises the fishery sector through the delivery of licenses to fishing vessels.
- **Ministry of Transport (MoT):** MoT is responsible for the organization of the country's transport infrastructures, from air to marine transportation.
  - **Department of Marine Administration (DMA):** DMA is placed under the management of the Ministry of Transport. It is responsible for the marine traffic safety (conformity of ships to national safety standards, improvement of rescue operations at sea) and human resources development in the maritime sector. It is in charge of the offshore fishing vessels inspection to determine if they meet safety standards, prior to the delivery of fishing licenses by the DoF.
  - **Myanma Port Authority (MPA):** MPA is a government agency under the Ministry of Transport, founded in 1989 and located in Yangon. It is responsible for the regulation and administration of 8 coastal ports, among which Yangon Port. It is also in charge of developing and improving the port infrastructures, notably through the development of Special Economic Zones and their associated ports.
- **Myanmar Navy:** Naval branch of the armed forces of Myanmar with 19,000 staff. The Myanmar Navy currently operates more than 122 vessels, and increased its activities over the last years to improve defence of its territorial waters in particular against illegal fishing.

#### Universities and research institutes

- **University of Mawlamyine (Mon State):** it is one of the few universities in the country to offer a specialized degree in marine science, from Bachelor, Master to PhDs. It also has a Marine Science Laboratory in the coastal town of Setse, about 60 km south of Mawlamyine city. 900 students are registered across the various classes available.





- **Institute of Fisheries Technology:** Public institution established in Yangon in 1983 under the management of the Department of Fisheries. It provides training to fishermen on fishing techniques, fishing gears and fish processing, among others. Training courses can vary, from basic fishing technology to fish processing supervision.

#### **Public enterprises**

**Myanmar Oil and Gas Enterprise (MOGE):** MOGE is Myanmar oil and gas state-owned enterprise. Established in 1983, it is an operator in oil and gas exploration and production as well as domestic gas transport through pipeline networks. It is also partner of joint-ventures with foreign oil and gas companies such as Total, Chevron, etc.

##### **5.6.4.2 Business associations**

- **Union of Myanmar Federation of Chambers of Commerce and Industry (UMFCCI):** UMFCCI is the largest not-for-profit business federation of the country. Its functions include human resources development and training, commercial courses, management and accounting, providing trade information and participation in international trade fairs. Almost every economic sector has its own federation under the umbrella of the UMFCCI. This is the case for the fishing industry, represented by the MFF.
- **Myanmar Fishery Federation (MFF):** Non-profit association founded in 1989 with the objective to encourage and promote fishing and fishery industries of Myanmar, through cooperation, training and knowledge sharing with other actors of the national fishery sector. The MFF counts 9 functional associations, and 13 regional fisheries associations under its umbrella. It has an important role in advocacy and opinion-making by regularly intervening in Myanmar media on issues of overfishing and depletion of the country marine resources.
- **Myanmar Marine Fisheries Association:** Member of the MFF along with 8 other associations.
- **Myanmar Fishery Products Processors and Exporters Association (MFPPEA):** Professional association in charge of supporting and promoting the processing and exporting companies in Myanmar.

##### **5.6.4.3 Oil and gas companies**

51 offshore blocks, among which 24 are located in deep water, have been awarded by the MoE to 7 companies. Directly surrounding the Project area, 3 companies have operations either at the exploration or exploitation stage. They are presented below.

- **Eni:** Italian oil and gas company, operator of the block M4 neighboring YWB block.
- **PTTEP:** Thai Oil and Gas Company, operator of the Zawtika gas field which covers several blocks neighboring YWB.
- **PCML:** Petronas Carigali Myanmar Ltd, a local branch of Petronas operating the Yetagun project neighbouring YWB block.

##### **5.6.4.4 International organizations**

**Food and Agriculture Organization (FAO):** FAO has a representation office in Myanmar since 1978, and is involved in a wide range of activities to support sectoral development, in particular fisheries, focusing mostly on aquaculture and coastal fisheries as a support to artisanal fishing communities.

##### **5.6.4.5 Intergovernmental organizations**

Intergovernmental organizations involved in the protection of marine spaces and/or the promotion of sustainable fisheries at the Southeast Asia regional level are numerous. They are particularly active in Myanmar, where the fishery sector is threatened by marine resources depletion.



- **Asia Pacific Fishery Commission (APFIC):** APFIC is a regional fishery body established by the FAO at the request of its members in 1948 during the organization of the Indo-Pacific Fisheries Council. Its Secretariat is provided and supported by the FAO. Its role is to promote full and proper utilization of living aquatic resources, by the development and management of fishing, processing and marketing activities. APFIC also aims at improving knowledge sharing, training and promotion of best practices in the fishery sector to encourage sustainable fisheries, in collaboration with other regional entities and the national fishery institutions of its members.
- **ASEAN Sectoral Working Group on Fisheries (ASWGF):** Under the leadership of the ASEAN, ASWGF is a regional working group gathering fisheries specialists during regular consultative forums which address issues such as registration of fishing vessels, census of marine resources, etc. Overall, the working group objective is to provide inputs for the creation of a common sustainable regional fishery policy among the ASEAN countries.
- **South East Asian Fisheries Development Center (SEAFDEC):** SEAFDEC mission is to develop and manage the fisheries potential of the region, supporting the rational utilization of the resources through transfer of new technologies, research and information dissemination activities. SEAFDEC comprises 11 member countries: Brunei Darussalam, Cambodia, Indonesia, Japan, Lao PDR, Malaysia, Myanmar, Philippines, Singapore, Thailand, and Vietnam. Its central office is located in Thailand. The Marine Fisheries Research Department and the Marine Fishery Resources Development and Management Department are the two bodies working on assessing Myanmar marine resources and estimating potential for development of the fishery sector. The organization has also concluded an agreement with the ASEAN leading to the creation of a Fisheries Consultative Group.
- **Bay of Bengal Large Marine Ecosystem Project (BOBLME):** BOBLME is a regional project whose objectives are to develop a coordinated policy on the management and protection of marine resources and environment in the large ecosystem of the Bay of Bengal. Its overall goal is to improve livelihoods of coastal communities depending on the BOB resources. Funded by international donors (Global Environmental Facility, Norway, Swedish International Development Agency), the project is piloted by the FAO in cooperation with respective Marine and/or Fisheries Ministries in each member country (Maldives, India, Sri Lanka, Bangladesh, Myanmar, Thailand, Indonesia and Malaysia).

#### 5.6.4.6 International Non Governmental Organizations

The presence of international NGOs in the country has increased over the last 5 years due to a political opening which allows them to open branches and lead projects in various sectors, from community development projects aiming at reducing poverty to environment conservation initiatives targeting inland and marine ecosystems.

- **Fauna & Flora International (FFI):** FFI works in Myanmar on various biodiversity and conservation projects. It currently leads a capacity-building program for marine conservation. Started in 2012, this program aims at identifying marine areas that should be protected, and support the management of already established protected areas. In partnership with **Biodiversity and Nature Conservation Association (BANCA)**, a Myanmar national NGO, and the Forestry and Fisheries Departments, activities will consist in trainings on marine survey methods, community-based fisheries and marine protected areas establishment and management. One of the pilot areas for these activities is the Myeik archipelago off Thanintharyi State.
- **WWF:** WWF has been very active in the greater Mekong region over the last decades, and has just recently opened an office in Myanmar. Its aim is to support Myanmar's development ambitions with a focus on spatial planning and biodiversity conservation in parallel with ecosystem services protection and sustainable livelihoods.
- **Wildlife Conservation Society:** International organization based in the USA, it is involved in the conservation of species with more than 500 projects in 60 countries. It started to operate in



Myanmar in 1993, becoming the first international conservation organization to initiate a long-term program in the country. Their activities range from biological surveys, wildlife species population monitoring, to aid in the establishment of protected areas.

- **Human Rights Watch:** Human Rights Watch regularly conduct human rights assessments in oil and gas projects, focusing on the pipelines connecting the offshore fields and the receiving countries than on offshore oil and gas platforms.

#### 5.6.4.7 *National Non Governmental Organizations*

The economic strategy implemented by the government has succeeded in attracting international investors interests in various business sectors. Industrial developments are significant, increasingly threatening ecosystems that were preserved by years of isolation. To face these new environmental challenges, several national NGOs have been created over the last years to preserve and protect the wide range of natural ecosystems.

- **Myanmar Environmental Rehabilitation-conservation Network (MERN):** MERN is a network of 20 local environmental NGOs created in 2009. It works for environmental rehabilitation and conservation activities in support of local communities, to help them improve their sources of livelihoods and food security. It has in particular a coastal livelihood and environmental restoration program in the state of Rakhine.
- **Biodiversity and Nature Conservation Association (BANCA):** BANCA is a national NGO registered since 2004 whose objectives are to support conservation of nature, biodiversity through advocacy, research, networking and capacity-building programs. It works with the FFI on a marine conservation project. It also worked on projects focusing on inland ecosystems with other international NGOs such as Birdlife International, the Royal Society for the Protection of Birds, Care, Arcona Cambridge, etc.
- **Marine Science Association, Myanmar (MSAM):** MSAM is a national NGO whose objectives are to take part in the sustainable development activities in Myanmar using its marine science expertise to conduct marine and coastal research, conservation and development, and to raise awareness on marine environment preservation.
- **Community Development Association (CDA):** CDA is a registered non-profit, local non-government humanitarian organization established in Myanmar. Its mandates are to implement community developmental elementary interventions with effective involvement of existing support services and technical assistance. The Community Development Association has worked on various humanitarian assistance programs and has collaborated with Total E&P Myanmar.
- **Friends of Wildlife Myanmar:** Run by a former forestry official and based in Yangon, Friends of Wildlife provides education and technical assistance to help local communities shift from slash-and-burn agriculture, hunting, and illegal logging to sustainable agriculture. It is also involved in sustainable fishery management promotion.
- **Burma Environmental Working Group (BEWG):** BEWG gather Myanmar ethnic environmental and social organizations, providing a forum for member organizations to collaborate on research, reporting, advocacy campaigns, capacity-building initiatives and policy formulation.

#### 5.6.4.8 *Media*

- **Eleven Myanmar:** Part of the Eleven Media Group funded in 2000, it has 5 weekly publications among which one is in English, and employs 250 staffs. Number of published copies is 450,000 in 2012.
- **The Myanmar Times:** This newspaper has two versions, one in Burmese and one in English. It counts more than 300 employees and has a circulation of around 25,000 copies.



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- **The Irrawaddy Magazine:** Newsmagazine founded in 1992 by Burmese exiles living in Thailand. It is unaffiliated politically but supportive of democratic movements, and publishes news in English and in Burmese.

### 5.6.5 Total E&P Myanmar CSR Programs

Total E&P Myanmar has operated the Yadana gas field since 1992, in a consortium with Chevron (28.26%), Petroleum Authority of Thailand Exploration & Production (25.5%) and Myanmar Oil and Gas Enterprise (15%).

Since 1995, it has implemented several socio-economic development programs at the onshore pipeline area, located in Thanintharyi State. In 1998, it extended its programs outside its operation areas to the national scale, focusing on public health and social welfare.

At the pipeline area, community development projects cover 33 villages. Major projects are detailed below:

- Health (eye and dental care, medical staff training, provision of material and infrastructures);
- Education (provision of infrastructures, scholarship and tuition program);
- Community development and humanitarian assistance (flood relief assistance, road safety campaigns);
- Microfinance (Village Bank Committees, capacity-building);
- Support to agricultural activities and veterinary services (rubber, cocoa and paddy farmers, financial and technical trainings for farmers, support to the creation of local farmers associations, animal vaccination campaigns, etc.);
- Infrastructures (roads, bridges, schools, health centers, water network, etc.);
- Solar energy (launching of Total corporate TATS program);
- Good stakeholder relations (communication with regional authorities, grievance mechanism).

At the national level, several programs were implemented in 2013 and are listed below:

- Orphanages;
- Blindness prevention program;
- Integrated HIV Care program;
- Support to Government doctors training;
- Supports to Associate Medicale Franco–Asiatique (AMFA);
- Supports to community in neighborhood;
- “On Job training” facilities to CVT students (Center for Vocational Training);
- Collaboration with Government Technical High School (Dawei).



## 5.7 SYNTHESIS OF ENVIRONMENTAL SENSITIVITY

### 5.7.1 Methodology to access sensitivity

The sensitivity of the receiving environment (Se) is integrated into the analysis by determining a sensitivity score. The notion of sensitivity takes into consideration numerous factors including: marine traffic frequencies, biodiversity, presence of protected or rare species, economic importance, capacity to recover and also the percentage of the ecosystem or resource affected compared with regional, national and international ecosystems and resources.

The sensitivity is scored on a scale of 1 to 4, where 1 represents low sensitivity and 4 high sensitivity. The scoring is established qualitatively. General principles for defining the sensitivity value are provided in Table 5-15.

Table 5-15 General principles for sensitivity scoring (Se)

Environmental Sensitivity (Se)	Score
Identified species/ecosystems are rare, endangered or internationally protected. Components (habitat, environment) have a high conservation issue due to the presence of permanent or regular threatened species. Local resident and stakeholders in the local socio-economic context are extremely vulnerable to changes linked to the project (no or very low resilience).	4
Identified species/ecosystems are rare or protected offshore Myanmar or along the Burmese coasts, but are common outside the country. Components (habitat, environment) have a conservation issue due to the presence of vulnerable or endangered species established in the area or occasionally using it. An important part of the local population and stakeholders in the local socio-economic environment are vulnerable to changes produced by the project: low resilience.	3
Identified species/ecosystems are not common in Burmese waters and along the coast, but are common outside the country. No particular issue of conservation for components (habitat, environment). No species considered rare, endangered or protected. Part of the population and local stakeholders has the ability to respond to changes induced by the project: moderate resilience.	2
Identified species/ecosystems are common in Burmese waters or along the coast but also outside the country. No particular conservation stake for components (habitat, environment). No species considered rare, endangered or protected. Local residents and stakeholders in the local socio-economic environment have all the capabilities and resources to meet or adapt to changes induced by the project (high resilience).	1



5.7.2 Sensitivity of the study area

Table 5-16 Synthesis of the description of the environment

	Environmental component	Sensitivity of the environmental component	Score
Offshore sediments	Sediments (quality)	Even if the project area is situated in the slope of the continental shelf, sediment deposits coming from the Ayeyarwady River are expected to be found within the project footprint. The seabed should be covered by silty clays and carbonate sands.	1
Water column	Quality (offshore)	Andaman sea exhibits strong seasonal variations due to an extremely large freshwater influx from Irrawaddy River. In the study area, the salinity of the surface water is low and the high turbidity may contribute to decrease the chlorophyll-a concentration. Maximum concentration of chlorophyll-a is obtained at 10m below the sea level.	1
	Air quality (offshore)	No scientific study has been performed on the air quality within the project area. Nevertheless, taking into account the distance between the project and the coast (approx. 250km), and due to poor anthropic activities in the vicinity (excluding marine traffic) the air quality within the YWB Block is expected to be good.	1
Offshore Fauna	Benthic community	Taking into account the water depth (200-2000m) of the project area, the abundance and richness of benthic communities within the YWB block are expected to be low.	1
	Phyto/Zooplankton	Results for the sampling station located in the southern part of Myanmar's waters indicated a rich abundance of zooplankton groups, including calanoid copepods, poecilostomatoid copepods and arrow worms, whereas the region presented a lower abundance of crab larvae, planktonic shrimps and larvaceans.	2
	Cetaceans	Among the 29 marine mammal species recorded by the IUCN with different levels of vulnerability in Andaman Sea, 21 may be encountered within the project area: <ul style="list-style-type: none"> <li>• 2 species are endangered (EN) facing very high risk of extinction in the wild: the Blue Whale (<i>Balaenoptera musculus</i>) and the Fin Whale (<i>Balaenoptera physalus</i>);</li> <li>• 1 species is classified as vulnerable (VU) facing high risk of extinction in the wild: the Sperm Whale (<i>Physeter macrocephalus</i>).</li> </ul>	4
	Fish	The pelagic system of the Andaman Sea and the Bay of Bengal in general is related to the considerable seasonal variations imposed by the monsoon systems. The pelagic fish community is widespread and relatively eclectic in its distribution. A wide variety of jacks, tunny, barracudas, flying fish, sharks and rays are included in this community that extends across the	3



		entire Indian Ocean. A total of 37 pelagic fish species were identified by the IUCN as threatened with different levels of vulnerability.	
	Turtles	Amongst the turtle species present in Myanmar, 5 species breed regularly on Myanmar's beaches, including the olive ridley turtle <i>Lepidochelys olivacea</i> (vulnerable), the loggerhead <i>Caretta caretta</i> (endangered), the green turtle <i>Chelonia mydas</i> (endangered), the hawksbill turtle <i>Eretmochelys imbricata</i> (critically endangered), and leatherback turtle <i>Dermochelys coriacea</i> (critically endangered). Most observations of turtles are typically within 15 kilometers of mainland shores in protected and relatively shallow marine waters (22-55m), i.e. away from the project area. Nevertheless, some species may be encountered as they are migratory.	4
	Birds	A total of 20 seabird species are currently identified by the IUCN in Myanmar waters. Amongst these species, 4 species are identified as near threatened and 16 species are recorded as least concern. Nevertheless, the project area is situated offshore, far from the mainland coastline, with little chance to found these species.	2
Coastal environment	Coastal ecosystem	Myanmar has more than 2,000 kilometers of coastline on the Bay of Bengal. The land pattern in coastal areas consist of mangroves, coral reefs, sea-grass beds, evergreen forest, wetlands and various types of agricultural land. The closest coral reef is situated 158km of the project area. The nearest Mangroves are at 250km from the project area as seagrass beds.	3
	Marine protected areas	There are no protected areas in the vicinity of the YWB seismic project. The closest is situated at 200km of the project area (Moscos Island, Wildlife sanctuary). The closest Important Bird Areas are Ayeyarwaddy Delta (250km far from the project area) and Lampi National Park (350km of the project area).	2
Social offshore environment	Offshore fishing	The Project is located in the offshore fishery area, which represents more than 480,000 square kilometres and is only used by 2,000 domestic licensed vessels. It is positioned in deep water, outside of tuna fishing grounds. In addition, the government of Myanmar decided in April 2014 not to renew fishing rights for 132 foreign vessels that operated in this area. Therefore, the presence of fishing activities and fishermen at the Project area will be very limited, with the possible occurrence of illegal fishing activities performed by Thai vessels.	2
	Marine traffic	The Project area is not located on major international shipping lanes. However, the eastern part of the YWB block is overlapping the domestic shipping lane, meaning that commercial ships could be encountered in the Project area.	2



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# SECTION 6. ENVIRONMENTAL AND SOCIAL IMPACT OF THE PROJECT

## 6.1 METHODOLOGY

The methodology to assess the environmental impacts is based on Total E&P’s specification GS EP ENV 120. This embodies a systematic approach derived from those of the World Bank and the Standard ISO 14001.

**The first stage** involves establishing the impact factors from the seismic operations based on the project description (see conclusion of SECTION 2), then estimating the environmental sensitivity from the description of the initial or baseline state (see § 5.7).

**The second stage** involves estimating the potential impact of each impact factor on each sensitive environmental component. This approach is, above all, qualitative and based on the opinions of experts. The results are presented in the matrix of potential impacts in § 6.3 displaying the issues in a quick, global way. The environmentally sensitive elements are shown in the matrix rows and the impact factors in the columns.

**The third stage** involves drawing up measures for reducing, and compensating for, the potential impacts, then estimating the residual impact of all the impact factors on each environmentally sensitive component. The same assessment system as that applied to the potential impacts is implemented; the impact reduction measures enable its intensity to be modified.

## 6.2 RATING POTENTIAL AND RESIDUAL IMPACTS

The impact severity (S) is rated by considering the impact intensity (I) and the sensitivity of the receiving environment (Se) based on the table below (Table 6-1).

Table 6-1 Rating impact severity

Severity $S = I \times Se$	Sensitivity of the affected environment (Se)				
		1	2	3	4
Intensity (I)	0	0	0	0	0
	1	1	2	3	4
	2	2	4	6	8
	3	3	6	9	12
	4	4	8	12	16

Positive impact
P

NO IMPACT	
NEGLIGIBLE	MODERATE
MINOR	MAJOR

The environmental sensitivity is assessed in § 5.7 .

The impact intensity (I) is estimated by an expert based on the environment presented in the table below (Table 6-2).



Table 6-2 Impact intensity

Intensity (I)	Level
<p><b>MAJOR:</b>            Long-term impact (more than 10 years), widespread and slightly reversible or irreversible.            Significant consumption of raw materials, water, energy and fuel resulting in shortages on a regional scale.            Numerous non-conformities with respect to national regulations and international standards.            Air pollution, water contamination, coastal pollution by toxic or non-biodegradable products and/or hazardous substances having a potentially negative impact on human health, including serious injury or death.            High ambient noise level affecting health.            High underwater noise level resulting in damage and injuries on protected species.            Significant production of waste, which is neither sorted nor treated.</p>	4
<p><b>MODERATE:</b>            Medium-term impacts (4 to 10 years), fairly widespread or widespread and reversible.            Significant consumption of raw materials, water, energy and fuel resulting in shortages on a regional scale.            Air pollution, water contamination, coastal pollution by toxic or slightly biodegradable products and/or hazardous substances having a chronic effect on human health after long-term exposure.            Significant production of sorted waste.            Ambient noise level slightly higher than legal threshold.            Moderate underwater noise level resulting in behavioural changes/stress on protected species.</p>	3
<p><b>MINOR:</b>            Short-term impact (less than 4 years), fairly widespread and reversible.            Significant consumption of raw materials, water, energy and fuel on a local scale with possible conflicts.            Level of air, water, and coastal pollution detectable, but below thresholds known to have an effect on human health.            Acceptable noise level below the thresholds known to have no effect on human health.            Minor impacts on protected species.            Low waste production and waste sorted and treated by specialized companies.</p>	2
<p><b>NEGLIGIBLE:</b>            Short-term impact (less than 4 years), not widespread and reversible.            Low consumption of raw materials with negligible water and energy consumption.            Waste effluents released into water, air and soil/ground at near-natural concentrations.            Noise level similar to background noise level.            No release of hazardous or special waste.            Negligible change in the ecosystems and/or landscape.            Negligible impacts on protected species.</p>	1
NILL	0

Interpretation of the impact severity can be qualitatively assessed using Table 6-3.



Table 6-3 Impact severity

Environment	Major	Moderate	Minor
Physical and natural environment	<p>Long-term (&gt;10 years) and general changes in characteristics of habitat/ecosystem and its ecological functions. The habitat/ecosystem cannot return to its original state.</p> <p>Sufficient disturbance of a biogeographical portion of a species population to cause a decrease in its abundance, distribution or size of gene pool, such that the population of the species and other associated species cannot naturally return to the original level.</p> <p>Loss or major modification of an internationally designated site, for which the key characteristics will be fundamentally changed.</p> <p>Injury or death of a species (numerous individuals) classified as “critically endangered” by the IUCN, such that its regional and/or international population is threatened.</p> <p>Incident requiring mobilization of equipment and an international response team.</p>	<p>Changes in characteristics of habitat and ecosystem or its ecological functions, which can return to their initial state within 5-10 years.</p> <p>Sufficient disturbance of a biogeographical portion of a species population to cause a decrease in its abundance, distribution or size of gene pool, such that the population of the species and other associated species will return to their original level only after several years.</p> <p>Loss or major modification of a locally designated site, for which the key characteristics will be fundamentally changed</p> <p>Injury or death of a species (one or several individuals) classified as “critically endangered” by the IUCN. The mortality rate remains negligible compared with the local population.</p> <p>Incident requiring mobilization of a national or company response resource.</p> <p>Major change in the appearance and sensitivity of a rare or unique landscape recognised locally.</p>	<p>Reduction of the integrity of a habitat and ecosystem, which will nevertheless return to its initial state in 2-5 years with minimum intervention.</p> <p>Disturbance of a biogeographical population or species individuals resulting in a decline in abundance or distribution of one or several generations, but which does not adversely affect the integrity of other associated species.</p> <p>Injury or death of a species (one or several individuals) classified as “vulnerable” by the IUCN. Mortality rate remains negligible compared with the local population.</p> <p>Incident on site requiring mobilization of equipment and a response team.</p> <p>Development will not affect the key characteristics contributing to the distinctive nature and/or value of the landscape.</p>



Environment	Major	Moderate	Minor
Human environment	<p>Major disruption to fishing activities causing a loss to fishermen revenues and affecting the whole industry, from fishing, processing up to exporting.</p> <p>Major change in maritime traffic through sensitive areas (e.g. international traffic lanes).</p>	<p>Medium and temporary disruption to fishing activities with possible loss of equipment and restriction of access to fishing grounds for fishermen.</p> <p>Increase/change in maritime traffic through sensitive areas (e.g. international traffic lanes).</p>	<p>Minor and temporary disruption to fishing activities with possibility for fishermen to use other fishing areas during the operation.</p> <p>Minor increase/change in marine traffic through sensitive areas (e.g. national traffic lanes).</p>

### 6.3 IMPACT SCREENING

The Leopold matrix hereafter gives an overview of potential impacts of the seismic project within the YWB Block, that is to say, rate of impacts before implementing proposed mitigation measures.



Matrix of potential Impacts		P0	P1	P2	P3	P4	P5	P6
<p>Severity (S) = Intensity (I) * Sensitivity (Se)</p> <p>No Impact</p> <p>1-2 : Negligible</p> <p>3-4 : Minor</p> <p>5-9 : Moderate</p> <p>&gt;9 : Major</p> <p>P: Positive</p> <p>Se : Sensitivity of the media (1 to 4)</p> <p>S : severity = Se x I</p> <p>I : Intensity of the negative impact : 0 to 4 et Positive : P</p>		Physical right-of-way	Underwater acoustic emissions	Atmospheric emissions	Discharges to the sea (liquid waste)	Generation of ambient noise and light	Generation of solid hazardous and non hazardous wastes	Accidental situation
Biota								
Offshore sediments	Sediments (quality)				2		1	2
	Physical disturbance		1					
Water column	Quality (offshore)				3		2	4
Air quality	Air quality (offshore)			3				
Offshore fauna	Bentic community		1		3		1	2
	Phyto/Zooplankton		2		2		1	2
	Cetaceans		12		4		4	8
	Fish		6		3	3	3	6
	Turtles	4	12			4	8	8
	Birds		4		4	4	4	4
Coastal environment	Coastal ecosystem						3	6
	Marine protected areas						2	4
Societal Offshore environment	Marine fishery sector	6						2
	Marine traffic	4						

Figure 6-1 Screening of potential impacts



## 6.4 SPECIFIC IMPACT ON MARINE MAMMALS, TURTLES & FISH

Potential specific impacts generated by the seismic survey are linked to:

- Underwater acoustic emissions caused by the use of airguns and, to a lesser extent, by the functioning of vessel's motors;
- Use of tail buoys propellers which may cause sea turtles mutilations.

Figure below synthesizes the main direct/indirect impacts caused by the functioning of airguns during the seismic campaign.

### 6.4.1 Underwater acoustic emissions

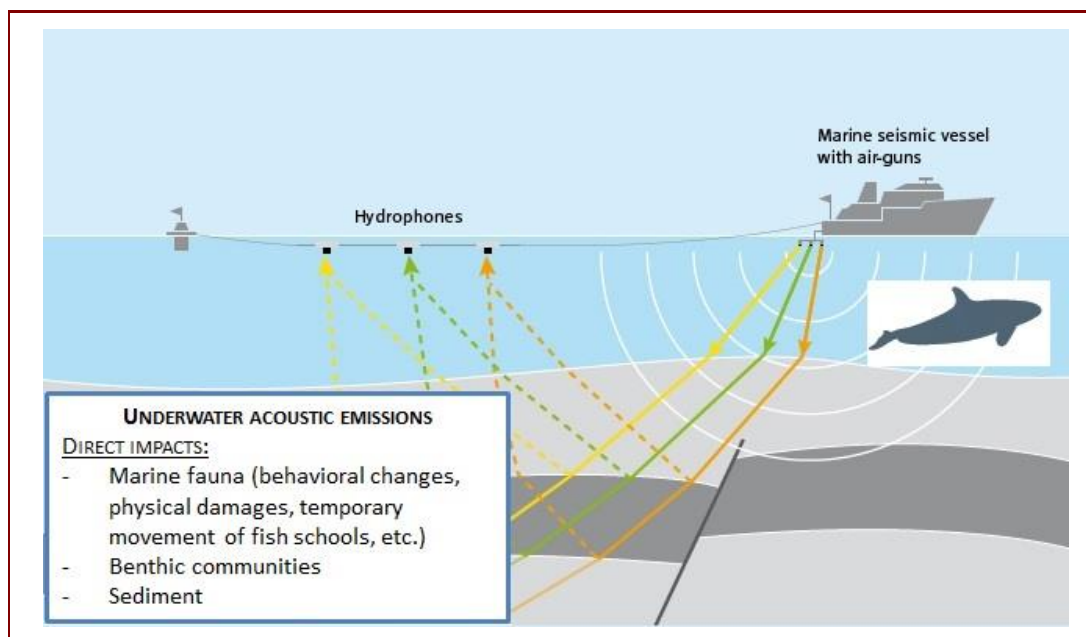


Figure 6-2 Listing of direct/indirect impacts caused by underwater acoustic emissions

The main noise sources associated with the seismic survey activities are a result of the airgun operations of the seismic vessel, which produce high energy, low frequency sound transmission to characterize the ocean's geological features and is directed towards the seabed. These sounds are often described in terms of their intensity (expressed in decibels, dB), frequency and duration (e.g., continuous or impulsive). Sound levels from seismic activities vary from project to project but usually the pressure from airguns ranges from 2000 to 5000 psi (**YWB project: 2000 psi**). In terms of decibels this can exceed 230 dB but most of this energy is centered at low frequency typically below 300 Hz. Frequencies during YWB seismic survey are expected to range from **7 to 104 Hz** at the targeted area. Because low frequency sound is transmitted over greater distances than high frequencies, seismic airguns can be heard at several kilometres away from the source sometimes as much as 200 km away. Theoretical source levels during the YWB survey are expected to be approximately **251 dB re 1  $\mu$ Pa-m-1 (RMS)**.

These elevated noise sources are considered likely to have an impact on marine mammals hearing and also on the animal's physiology and behaviour. Marine mammals produce sounds to serve predominantly social function, including reproduction and maintaining contact, but they may also play some role in spatial



orientation. Some whales, dolphins and porpoise produce specialized clicks used in biosonar (echolocation) systems for prey detection and navigation, especially in the darkness. This intense sound hits an object such as a fish and an echo is created. The animal picks up these echoes in special tissues in its lower jaw, which are then passed on to the ear. The echolocation clicks can be very intense such as the bottlenose dolphins and sperm whales echo locating up to 220 dB re 1  $\mu$ Pa.

It is worth noting however that at any point in the water column, natural sound levels will be elevated as a result of medium pressure (being 20  $\mu$ Pa for air as opposed to 1  $\mu$ Pa for water). Figure 6-3 presents the relation and extent of underwater sounds and compares them to threshold levels for the responses of marine mammals (Richardson *et al* 1995).

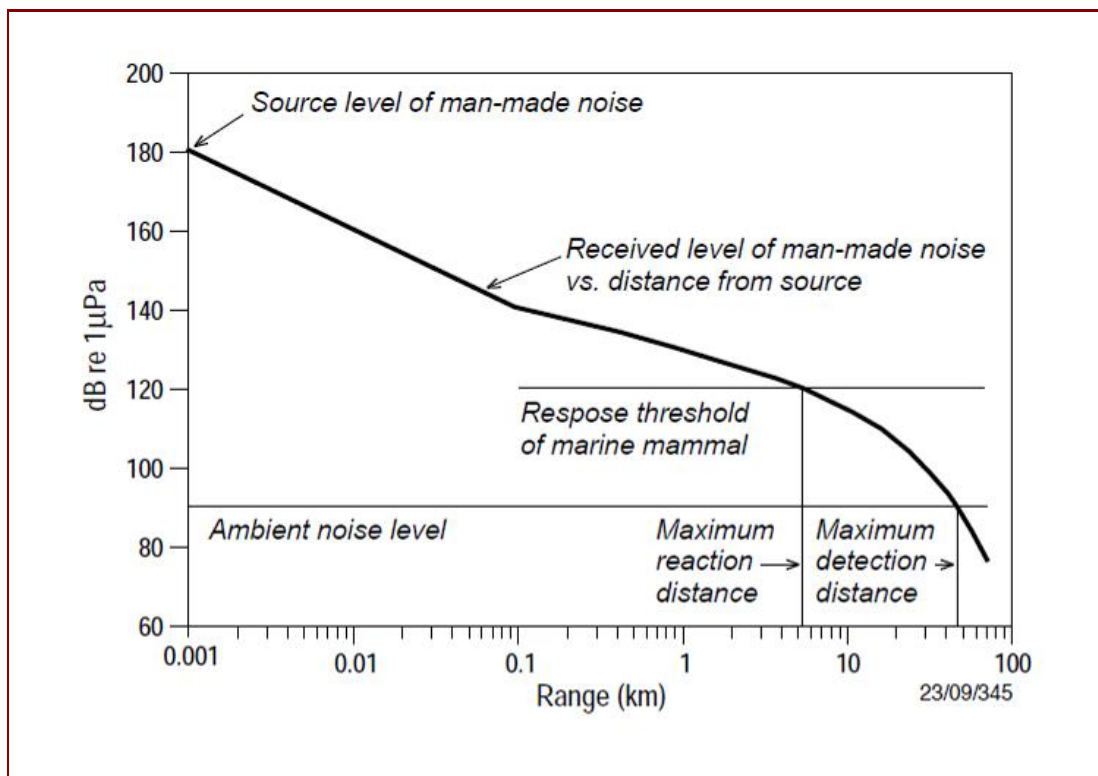


Figure 6-3 Theoretical interrelationships of the source level and marine mammal response

SOURCE: RICHARDSON ET AL. 1995

Response threshold of marine mammals are well above ambient noise level and are estimated by Richardson *et al* at 120 dB re 1  $\mu$ Pa for a distance between 5 and 6 km from the source (180 dB re 1  $\mu$ Pa-m). The detection distance from the source level created compared to sea ambient noise level is estimated between 40 and 50 km from the source. These figures may vary according to local climate conditions and bathymetry.

#### 6.4.2 Potential impact on marine fauna

As shown in § 6.4.1, the frequency range of seismic signals coincides with the audiogram of many marine species and may therefore interfere with their normal behaviour.



Disturbance of marine organisms by sound emissions can generally be classified as physical or behavioural. It has been argued that the auditory system of marine mammals is sensitive to physical damage from sound pressure. Thus, mitigation measures have been developed with the aim of preventing from auditory damage against different forms of physical impacts (e.g. tissue damage) and behavioural disturbance (interfere with orientation, communication, feeding or migrating processes).

The potential major acoustic impacts of anthropogenic noise sources on marine fauna include:

- **Death or physical injury** as a result of exposure to excessive underwater noise such as air gun fire. These incidents **are rare and are limited to an exposure range of several meters to a few hundred meters from the source**. Temporary or permanent hearing losses are the most common injuries to mammals, even at low exposure levels for particularly sensitive species. Other observed responses include increased stress levels which may result in the compromise of immune, cardiovascular or reproductive systems of the animal. Changes in diving behaviour and respiration rates have also been noted.
- **Minor to severe behavioural changes** as a result of exposure to anthropogenic noise. Noise exposure can also affect reproduction rates of marine species by causing interference with migratory routes, places of habitat, calving, reproduction and nurturing behaviour as a result of modification of routines to avoid noise exposure. These consequences are highly temporally dependent and can have significant impacts on species survival and abundance.
- **Masking of critical sounds** such as those used for navigation, communication, detection of prey, fleeing from predators and potential mates (reproduction).
- **Indirect effects**, such as changes in the abundance or behaviour of prey animals.

In consideration of these potential consequences it is necessary to determine the acoustic impacts and significance to marine fauna during the seismic acquisition survey.

Further effects of nearby airgun operations on marine species have been the subject of numerous literature studies. Available research generally indicates that among the key elements of the ecosystem, including invertebrates, fish, marine mammals and sea turtles, physiological and behavioural effects vary greatly from one species to another and depend on the exposure level, i.e. the distance from the source. The main marine species living within the project area include (see § 5.5 ):

- Phytoplankton (Cf. § 6.5.1 );
- Benthic community (Cf. § 6.5.1 );
- Pelagic fish;
- Marine reptilian (turtles);
- Marine mammals.

A brief description of the main effects on Fish, turtles, marine mammals for exposure levels are presented in the sub-sections below.

### **Fish species**

Seismic surveys can have an impact on individual fish, fish populations and fisheries, either directly through harmful physiological effects or behavioral effects. Many fish species display a general 'alarm' response of increased speed at 156 - 161 dB re 1  $\mu$ Pa rms, which is included in the disturbance avoidance range of baleen whales (see Figure 6-3).

**Fish eggs, larvae and fry:** the physiological effects will mainly affect younger stages of fish life such as eggs, larvae and fry. These are stages in fish development where the organisms have limited ability to escape from their habitual areas in the event of various influences. (DNV Energy, 2007).





**Adult fish:** For later life stages and for adult fish, the behavioral effects are considered most important. This can mean that fish are scared away from fishing banks and areas. It can thus be of indirect but significant importance for the fisheries due to reduced abundance of fish to catch and thus smaller catches. Some findings by McCauley *et al.* (2003) also indicated harmful effects on the part of adult fish. Serious injuries were proven in hearing sensor cells.

Another issue is potential disturbances that spawning fish may be exposed to in spawning areas and during concentrated spawning journeys to the spawning grounds. This can change the areas that are used for spawning, and possibly the timing of the spawning, so that spawning conditions become less favorable. This could at worst reduce the total annual reproduction.

### Potential impacts on turtles

Turtle is a highly migratory marine species which is mainly living within 15 km of the shore. Turtles often happen in Myanmar's beaches and surrounding islands such as Diamonds Island, Kaing-Thaung Island and Taung-Ka-Done Island respectively host the nesting olive ridley turtle and loggerhead turtle (see section 5.5.2.2). The nearest island is located at 262km of the project area.

Sea turtles use a wide range of broadly separated localities and habitats during their lifetimes (for review see Hirth 1997). Upon attaining sexual maturity green turtles commence breeding migrations between foraging grounds and nesting areas that are undertaken every few years (Hirth 1997). Migrations are carried out by both males and females and may traverse oceanic zones, often spanning thousands of kilometers (Carr 1986, Mortimer and Portier 1989).

There is no evidence of turtles occurring in the project area. However, due to the lack of information on the distribution of turtles in sea waters of Myanmar and their migration route, the potential impact of seismic sound on marine turtles as a precautionary approach.



Figure 6-4 Picture of a loggerhead sea turtle

Despite being listed as endangered or critically endangered by the IUCN, marine turtle species are included only in the Brazilian (IBAMA 2005) and Canadian (DFO 2005) mitigation guidelines and there has been no airgun-related research on free-ranging turtles. Controlled exposure experiments on captive turtles found an increase in swim speed and erratic behaviour indicative of avoidance, at received airgun sound levels of 166–176 dB re 1  $\mu$ Pa (rms) (O'Hara & Wilcox 1990; McCauley *et al.* 2000). Their behaviour becomes more agitated when noise levels reach 175 dB re 1  $\mu$ Pa (rms).

The limited available data on marine turtle hearing suggest highest auditory sensitivity at frequencies of 250–700 Hz, and some sensitivity to frequencies at least as low as 60 Hz (Ridgway *et al.* 1969; O'Hara & Wilcox, 1990; Moein-Bartol *et al.* 1999), overlapping with the higher frequencies produced by airguns.

While there has recently been an increased interest in sea turtles because of the endangered or threatened status of some species, relatively little is known about the sensitivity of these species to sound. As a measure of precaution, given the limited knowledge on the sensitivity of sea turtles to sound and given the endangered status of a number of sea turtles, the fisheries and Oceans Department of Canada concluded that sea turtles should have the benefit of the same mitigation measures as marine mammals.

Based on studies that have been conducted to date (DFO, 2004), it is considered unlikely that sea turtles are more sensitive to seismic operations than cetaceans or some fish. Therefore, mitigation measures designed to reduce risk or severity of exposure of cetaceans to seismic sounds may be informative about measures to reduce risk or severity of exposure of sea turtles to seismic sounds. However sea turtles are



harder to detect both visually and acoustically than are many species of cetaceans, so mitigation strategies based on sightings or acoustic detection of turtles, are expected to be less effective for turtles than for cetaceans.

#### 6.4.2.1 Potential impact on marine mammal species

The study area is likely to be frequented by many species of marine mammal, in particular cetaceans, which are highly sensitive to the acoustic waves generated during seismic campaigns. Cetaceans are particularly susceptible to alterations in the acoustic environment as their sensory response system includes not only the ear but also the lower jaw and potentially the skin.

Two major groups of marine mammals occur in the waters off Myanmar; namely sirenians (dugong) and cetaceans organized into two groups the odontocetes - toothed whales (killer whales, sperm whales, pilot whales and all dolphins and porpoises) and the mysticetes - baleen whales.

A total of 21 marine mammals species have been identified in section 5.5.2.2 to potentially occur within the survey area, including dolphins, porpoise, toothed whales and baleen whales.

#### Dolphins & porpoises

The dolphins and porpoises (odontocete cetaceans) produce sounds across some of the widest frequency bands that have been observed in animals. Their social sounds are generally in range audible to humans, from a few hundreds of Hz to several tens of kHz, but specialized clicks used in biosonar (echolocation) systems for prey detection and navigation extend well above 100 kHz (Southall *et al.* 2007).

Controlled studies on dolphins in tanks confirm that sensory reception is broadband (up to about 120-130 kHz) with a maximum sensitivity of between 5 and 100 kHz. The threshold of hearing is estimated at around 35-50 dB re 1 m Pa at 1m for small and medium-sized odontocetes.

Striped dolphin (*Stenella coeruleoalba*), adopt avoidance behaviour when noise levels reach 150 - 160 dB re 1  $\mu$ Pa rms (McCauley *et al.*, 2000).

Exposure to sufficiently high levels of noise can cause an upward shift in the threshold of hearing. These can be temporary threshold shifts (TTS) the severity of which is dependent on the level of sound and how long the exposure to this sound lasts. Bottlenose dolphins exposed to a single one second pulse of strong sound (192-201 dB) experienced mild TTS (Schlundt *et al.*, 1999) However, hearing thresholds shifts were recoverable 12 hours after the last exposure. The TTS thresholds have not been quantified for repeated sounds such as seismic pulses.

#### Whales

Little practical information is available regarding the sensory responses of whales; however empirical studies indicate an aural sensitivity centered around the low and mid frequency ranges (1-20 kHz). The hearing threshold would be higher compared to dolphins, approximately 40-70 dB re 1 m Pa at 1m relative to the much higher background noise in this spectrum range.

The large whales (mysticete cetaceans) generally produced low-frequency sounds in the tens of Hz to the several kHz band, with a few signals extending above 10 kHz (Southall *et al.* 2007).

Physical damage includes tissues damage and hemorrhaging. There is some evidence that loud levels of sound can cause direct damage to the auditory apparatus. For eg. ear damage was reported during humpback whale post-mortem examinations from two individuals dead in the vicinity of Trinity Bay, NE Newfoundland, where industrial noises underwater drilling, blasting and dredging occurred at high sound levels, mainly between 20 – 400 Hz (Lien *et al.* 1995).

Concern has been expressed for deep diving species whose diving patterns may be disrupted by high levels of sound. These animals will be on a strict energy budget during deep dives and if startled may not have enough oxygen stored to flee from the noise source. Rapid swimming to the surface caused by startling the animals may also results in bends – a condition in which gas bubbles form in the blood and tissues as a result of surfacing too fast. Gas bubbles have been found in the tissues of cetaceans, in particular the liver, from animals that stranded after exposure to military sonar.



Figure 6-5 indicates the increased sensitivity of baleen whales (or cetaceans) to underwater airgun noise levels. Considering the maximum threshold for marine mammals and the sound power level of a typical airgun (ranging from 220 to 250 dB re 1  $\mu$ Pa), it is likely that the signal will be perceived by most types of marine organisms present in the area. For this reason the impacts on cetaceans will be considered in particular for this assessment, to indicate the worst-case scenarios of potential noise impacts on marine mammals in the area.

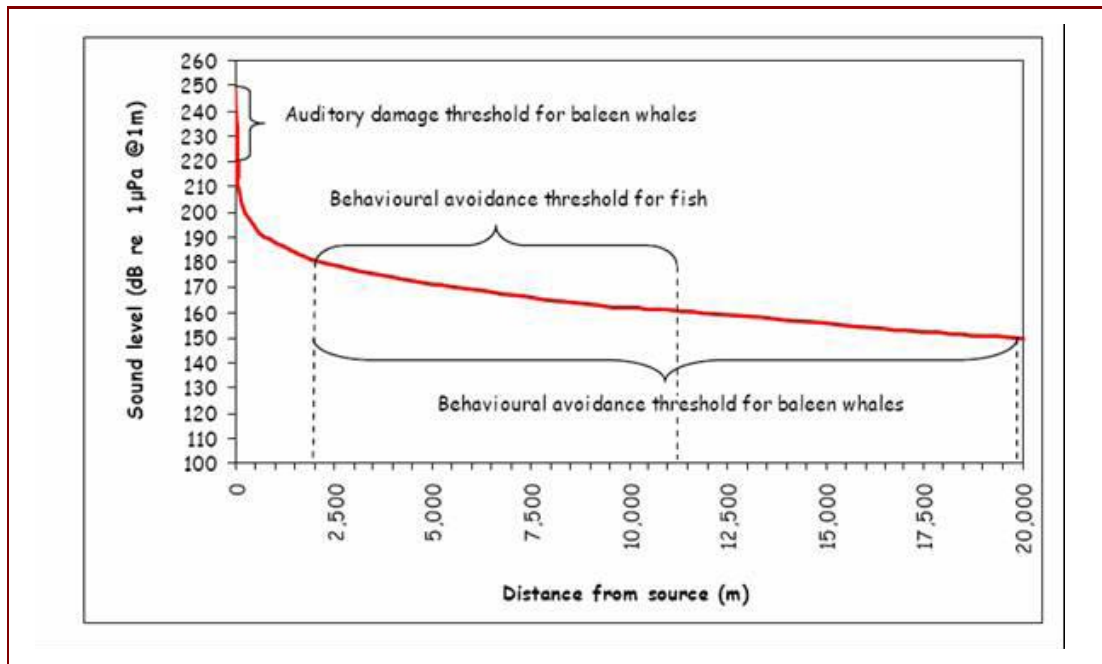


Figure 6-5 Impacts of underwater sound levels on marine mammals generated by typical airguns

#### 6.4.3 Conclusion of specific impacts on marine mammals, turtles and fish

Table below synthesises potential impacts on marine mammals, turtles and fish, mitigation measures proposed (they are detailed on SECTION 8) and assessment of residual impacts after implementing mitigation measures.



Table 6-4 Impacts and mitigations on marine mammals, turtle and fish

IMPACT PRODUCING FACTOR AND POTENTIAL IMPACT	MITIGATION MEASURES	ENVIRONMENTAL CONSEQUENCES	RESIDUAL IMPACT RANKING
<b>Impacts on adult fish</b>			
<p><b>Use of airguns</b> I = 3 Se = 3</p> <p>Seismic surveys may affect fish that possess a swim bladder and whose audible frequency range and threshold levels overlap with the frequency range of airguns.</p> <p>The potential effects at different distances from typical airgun are as follows:</p> <ul style="list-style-type: none"> <li>- Physical damage to fish hearing organs and swim bladder at very close distance (max. :20 m from the air gun);</li> <li>- Startle response at 20 – 500 m of the air gun;</li> <li>- Alarm response at distance of 500 m to 1 km</li> <li>- Subtle changes in behaviour within 10 km.</li> </ul> <p>As a general statement, fish have a good hearing at low frequencies, and are probably able to hear seismic sound sources at large distances (up to several kilometers).</p> <p>These effects of air guns will however, vary with the fish size and species.</p>	<p>Soft-start procedure will be implemented. Prior to acquiring data from each seismic line and after each break in operations, power will be built up slowly in the seismic array over a period of at least 20 minutes and no longer than 40 minutes.</p>	<p>A number of 37 threaten species are known to occur within the Andaman sea. However, the pelagic fish community is widespread and relatively eclectic in its distribution.</p> <p>Although fish are likely to be present in the vicinity of the seismic area during the airgun operations, it is considered that adult fish exhibit avoidance behaviour in response to noise. In other words, they will leave the vicinity with the approach of the seismic vessels or during the progressive slow build up of power. Therefore, adult fishes may effectively evading potential damage.</p> <p>Due to the confirmed presence of fish within the project area, including sensitive pelagic fish, the effect is therefore considered as minor to negligible.</p>	<p>I = 1 Se = 3 <b>Minor to negligible</b></p> <p>If a soft-start procedure is implemented</p>



IMPACT PRODUCING FACTOR AND POTENTIAL IMPACT	MITIGATION MEASURES	ENVIRONMENTAL CONSEQUENCES	RESIDUAL IMPACT RANKING
<b>Impacts on juvenile fish</b>			
<p style="text-align: center;"><b>Use of airguns</b> I = 3 Se = 3</p> <p>Noise emissions may cause short-term disturbance to reproduction patterns of certain fish species, which form few, concentrated breeding aggregations.</p> <p>However, in order to have a detectable effect on reproduction, the seismic survey would need to be performed close to the breeding sites over a sustained period while the fish was spawning (Swan, Neff, Young, 1994).</p>	<p>No mitigation measures identified.</p>	<p>Of the studies that report the physiological and behavioral effects of sound exposure from airguns on fish eggs and larvae, most of them confirm that sound levels greater than 230 - 240 dB peak-to-peak re 1 µPa are required to generate physical injury. Consequently, juvenile fish located at a distance of several meters from the airgun will be subjected to physical injury.</p> <p>Natural mortality rates for juvenile fish are high (5 to 15% per day for most species at this life stage), therefore it is considered that the direct mortality effects of the seismic survey would lead to neither statistically significant nor measurable impacts to fish recruitment at the population level.</p> <p>Considering the high natural rate of mortality of juvenile fish and also their widespread and relatively eclectic distribution amongst seawaters, few individuals will be affected.</p> <p>The impacts are considered to be temporary and negligible.</p>	<p style="text-align: center;">I = 1 Se = 1 <b>Negligible</b></p>



IMPACT PRODUCING FACTOR AND POTENTIAL IMPACT	MITIGATION MEASURES	ENVIRONMENTAL CONSEQUENCES	RESIDUAL IMPACT RANKING
<b>Impacts on marine mammals (cetaceans)</b>			
<p style="text-align: center;"><b>Use of airguns</b> I = 3 Se = 4</p> <p>3D seismic surveys may have detectable effects on marine mammals though mortality is unlikely.</p> <p>Information on breeding, calving and migratory routes of cetaceans in the offshore region of Myanmar is limited.</p> <p>Generally, marine mammals are sensitive to high frequency components of the seismic shots, low frequencies are not audible.</p> <p>The possible effects of seismic sound exposure on marine mammals are:</p> <ul style="list-style-type: none"> <li>- Displacement from feeding grounds, resting areas, breeding areas and/or migratory diversion.</li> <li>- Change in dive and respiratory patterns causing interference with feeding and incurring increased energetic needs.</li> <li>- Changes in social behaviour.</li> <li>- Change of vocalization patterns - Reduced communication capabilities.</li> </ul> <p>Moreover, high energy of low frequencies could result in a loss of hearing sensitivity which could be temporary or permanent, depending on the species and the sound intensity.</p>	<ul style="list-style-type: none"> <li>- Establishment of a shutdown zone: ⇒ <b>radius of 2,800 m</b> for low frequency cetaceans (i.e adult length &gt; 8 m) ⇒ <b>radius of 800 m</b> for medium/high frequency cetacean (i.e adult length &lt; 8 m)</li> <li>- During the pre-shooting watch, the seismic survey will be stopped if a marine mammal is observed within this area. If a marine mammal enters the zone after operations have started, no mitigation actions are recommended by the JNCC.</li> <li>- Establishment of an observation zone (<b>radius of 3 km</b>) from the center of the seismic source array. Within this area, continuous visual monitoring will be undertaken by a qualified Marine Mammal Observer (MMO) on each of the seismic survey vessels, including continuous monitoring during a period of at least 60 minutes prior to airgun start-up (applicable to deep waters).</li> <li>- 1 observer on each of the 2 support vessels in addition to the MMO on seismic vessel. The observers will be members of the crew of each vessel trained by the MMO before the beginning of the survey. The observers will be in charge of reporting any marine mammals approaching the area (see Figure 8-2).</li> <li>- Establishment of a delay period of 20 minutes before the start-up (including soft start) after the last sighting of a marine mammal within the shutdown zone. Passive Acoustic Monitoring (PAM) will complement visual observation during night time and low visibility operations.</li> <li>- Implementation of a soft-start procedure. Prior to acquiring data on each seismic line and after each break in operations, power will be built up slowly in the seismic array over a period of at least 20 minutes (no longer than 40 minutes).</li> </ul>	<p>YWB block zone is not known to be a feeding or a breeding/nursery area. However, a number of cetaceans may occur within the project location during the programmed seismic activities.</p> <p>The presence of a minimum of one MMO onboard each source vessels and the soft-start procedure should ensure that any cetaceans in the vicinity can leave the area before the beginning of the survey. If working shifts are more than 12 hours, a second MMO will be required onboard seismic vessel.</p> <p>These measures are absolutely necessary.</p>	<p>I=1 Se = 4 <b>Minor</b></p> <p>A MMO on-board the seismic vessel and a procedure to monitor cetacean presence is to be implemented</p>



IMPACT PRODUCING FACTOR AND POTENTIAL IMPACT	MITIGATION MEASURES	ENVIRONMENTAL CONSEQUENCES	RESIDUAL IMPACT RANKING
<b>Impacts on sea turtles</b>			
<p style="text-align: center;"><b>Use of airguns</b> I = 3 Se = 4</p> <p>Behavioural changes in response to underwater noise has been reported for some sea turtles, however, no conclusive evidence of pathological pattern is identified.</p> <p>High noise level may cause:</p> <ul style="list-style-type: none"> <li>- Disturbance to mating and nesting behaviour;</li> <li>- Disturbance to turtles mechanism for orientation (navigation) and affecting migration pattern;</li> <li>- Increased swimming speed;</li> <li>- Increased activity;</li> <li>- Change in swimming direction;</li> <li>- Avoidance.</li> </ul> <p>Possible physical mutilation of sea turtles with tail buoy propellers or streamers.</p>	<ul style="list-style-type: none"> <li>- The towed seismic propellers will be equipped with guards to prevent catching turtles.</li> <li>- Regular cleaning of streamers to prevent turtles being attracted to the food sources that become attached to the streamers.</li> <li>- Establishment of a shutdown zone (<b>800 m</b>) which is the same as for medium/high frequency marine mammals.</li> <li>- Ramp up approach (soft start) to enable turtles to escape the area of greatest impact.</li> <li>- See also controls and mitigations for the cetaceans above.</li> </ul>	<p>Sea turtles migrate to and from Myanmar beaches during the nesting season which is from September to March (with a peak in January-February). Typical behavior of turtles observed in the world is an arrival on beaches in September – November, lay hutches for a few weeks (3 to 4) and return to sea. The hatching occurs approximately 2 months later and can occur at the latest in May.</p> <p>The seismic activities will be carried offshore the coast/islands of Myanmar (&gt;228 km) from January to April (i.e mainly during the nesting season).</p> <p>From literature and local information, there is no evidence of turtles occurring within the project area throughout the year.</p> <p>The operation is not anticipated to have a detectable effect on the turtle migration as the vessel will be operating for a short duration at any one point in the sea.</p> <p>The presence of the MMO onboard the vessel and the soft start procedures should reduce the risk for sea turtles of being affected by the activities. The impact on marine turtles is therefore considered as minor.</p>	<p style="text-align: center;">I=1 Se = 4 <b>Minor</b></p> <p>A MMO on-board the seismic vessel and a procedure to monitor sea turtles presence will be implemented</p>



IMPACT PRODUCING FACTOR AND POTENTIAL IMPACT	MITIGATION MEASURES	ENVIRONMENTAL CONSEQUENCES	RESIDUAL IMPACT RANKING
<b>Impacts on marine fauna in general (included marine mammals, turtles and fish)</b>			
<p><b>Accidental releases (Hydrocarbon spill)</b> I = 2 Se = 3-4 <b>Moderate</b></p> <p>Oil spill occurring during a collision with another boat or during refueling. depending on the amount of oil spilled, the impact could have disastrous consequences on habitats of interest on the coastal area and its surroundings.</p>	<p>Modern navigation equipment to indicate the approach of other vessels complete by surroundings surveillance by chase boats; Prohibiting access to the work area from other vessels; Vessels must be sufficiently lit at night with all the appropriate devices; The seismic survey vessel will include a Shipboard Oil Pollution Emergency Plan (SOPEP) as required under MARPOL; Training teams to ensure proper response to spills. On-board antipollution equipment will be used.</p>	<p>Given the low probability of an oil spill and the mean amount of fuel that could be spilled, this impact can be considered as minor.</p>	<p>I=1 Se = 4 <b>Minor</b></p>

**6.4.4 Additional information**

The probability of a collision is low. In the case of collision, the volume of oil discharges into the sea will be limited to the volume on the two boats involved in the collision (fuel capacity for 1 vessel: 6,500 m<sup>3</sup>) as **seismic exploration does not include extraction of hydrocarbons** from the sub ground ((contrary to certain types of drilling).

The United-States BSEE statistician (Bureau of Safety and Environmental Enforcement) provides general statistics and summaries of OCS (Outer Continental Shelf) incidents reported by year. Some case of spillage and collisions were recorded by BSEE between 2007 and 2012 in the Gulf of Mexico Region and the Pacific Region.

Table 6-5 OCS collisions/spillage (2007-2012)

Type	2007	2008	2009	2010	2011	2012
<b>Number of spills &gt; 50 Barrels</b>						
Crude Oil & Natural Gas Condensate	1	19	4	2	1	1
Synthetic-Based Fluids	2	2	4	2	2	3
Chemicals, e.g., Zinc, Bromide, Glycol, Methanol	1	12	3	1	0	4
<b>Total for the year</b>	<b>4</b>	<b>33</b>	<b>11</b>	<b>5</b>	<b>3</b>	<b>8</b>
<b>Collisions</b>						
<b>Total for the year</b>	<b>21</b>	<b>22</b>	<b>29</b>	<b>8</b>	<b>14</b>	<b>7</b>





SOURCE: BSEE DATABASE AS OF JANUARY 2013

The various fluid spillages are specific to exploration or production drilling activities and cannot be bound to seismic operation. Between 2007 and 2012, 28 hydrocarbons spillages were recorded by BSEE. They are all recorded during drilling or production activities. **No spills were recorded during seismic activities. Collisions represent less than 2 % of the totality of incident.** The main types of incidents reported are injuries (47%) and varied incident (26%).

## 6.5 OTHERS ENVIRONMENTAL AND SOCIAL IMPACTS

### 6.5.1 Potential impact of airguns on benthic community, plankton and seabirds

Recent scientific investigations have found that different marine species have different acoustic response thresholds to nearby airgun activities. The sound exposure levels for of some plankton like fish larvae are summarized in Table 6-6.

Table 6-6 Observed pathological effects associated with seismic noise

Species	Source	Level (dB at 1 m re 1 µpa)	Distance From Source (m)	Exposure Level (dB re 1 µpa)	Observed Effect	Reference
<b>Fish and plankton</b>						
Cod (adults)	Single air guns and arrays, 1,000-20,000 cm <sup>3</sup>	220-240 (estimated)	0.5	226-246	Haemorrhaging and eye damage	Kosheleva, 1992
			1.0	220-240	No harmful effects	
Cod (adults)	Electrically generated signal in laboratory conditions	Not stated	Not stated	192-198	Transient shunning, no subsequent mortalities	Hastlings, 1990
Cod (larvae 5 days)	Single air gun	250	1	250	Delamination of the retina	Matishov, 1992
Snapper (adult)	Single air gun	220	<15 m at closest	Not stated	Damage to otoliths	McCauley <i>et al.</i> , 2003
Cod (larvae 2-110 days)	Single air gun	222	1	222	No injuries detected	Dalen and Knulsen, 1987
			10	202	No injuries detected	
Fish eggs Anchovy	Single air gun	230 (estimated)	1	230	7.8% of eggs injured relative to control	Kostyvchenko, 1973
			10	210	No injuries detected	
Fish eggs Red Mullet			1	230	No injuries detected	
			10	210	No injuries detected	



Species	Source	Level (dB at 1 m re 1 µpa)	Distance From Source (m)	Exposure Level (dB re 1 µpa)	Observed Effect	Reference
Rainbow trout	Single air gun	229	150	160	No injuries detected	Thomson, 2002
Not reported	Single air gun	230	0.6 to 1.5	234	Lethal effect	Falk and Lawrence, 1973
			1 to 2	226	10 to 20% showed signs of swim bladder damage	
Dungeness Crab	Seven air gun array	244 (estimated)	1	233.5	No significant difference in survival rate relative to controls	Pearson <i>et al.</i> , 1994
			3	230.9		
			10	222.5		
Snow Crab	Seven air gun array		50	197 to 225	No significant difference between exposed and unexposed crab	Christian <i>et al.</i> , 2003
<b>Benthic species</b>						
Mussel	Single air gun	223 (estimated)	0.5	229	No detectable effect, all three groups continued to function normally after airgun exposure. Monitoring over next 30 days revealed no adverse effects.	Kosheleva, 1992
Periwinkles						
Crabs						
Sea Urchin	Single air gun	223 (estimated)	2	217	15% of spines fell off	Malishov, 1992

Based on current information, the following impacts have been assessed for plankton, benthic community and pelagic fish.

**Benthic organism**

**Benthic organisms** that could be potentially affected by the project live permanently in the substrate bottom of the seabed (i.e located **between 200 m and 2000 m depth**). From available literature, little research has been conducted on the effect of seismic surveys on zooplankton and other small organisms (DNV, 2007). However, according to Table 6-6, no effects were detected after airgun exposure (Kosheleva, 1992). Only physiological effects were detected on sea urchins with loss of 15% of spines (Malishov, 1992).

In the USA, Pearson *et al.* (1994) conducted experiments with air guns (seven guns with a total chamber volume of 13.8 liters) on early life stages of Dungeness crabs. A survival reduction of less than 10% was observed for the larvae at any specific stage, i.e. at the stage for the second ecdysis. There were no other effects. Christian *et al.* (2003) conducted similar experiments on snow crabs. Their egg development stages exhibited definite developmental differences between the control groups and the test groups for eggs exposed at a distance of 2 m from a single, small air gun of 0.7 liter. Both the test and control groups were examined over a 12-week incubation period in the laboratory. Other than this, there was no indication of immediate or delayed mortality or other effects (DNV, 2007).

**Plankton**

The available data on the effects of seismic noise on plankton species are quite variable and may differ from a species to another. Some research studies on the potential effects on plankton organisms from the extensive seismic activity in the North Sea, have shown no significant harmful effects for experiments with



Gammarus locusta and shellfish at distances of 0.5 m and greater from a single air gun with a chamber volume of 3 liters (DNV, 2007).

Other results from Thomson *et al.* (2000) research studies shown the exposure to acoustic sources located within 5 metres lead to the deaths of entire populations of phytoplankton and zooplankton, the principle food source for baleen whales.

**6.5.2 Conclusion of underwater noise’s impacts on other marine fauna**

The table below summarizes the potential impacts on benthic communities, planktons and seabirds. Mitigation measures are proposed and the residual impacts are after mitigation measures implementation.

Table 6-7 Impacts and mitigations from underwater noise on other marine fauna			
IMPACT PRODUCING FACTOR AND POTENTIAL IMPACT	MITIGATION MEASURES	ENVIRONMENTAL CONSEQUENCES	RESIDUAL IMPACT RANKING
<b>Impacts on benthic communities</b>			
<p><b>Use of airguns</b> I = 1 Se = 1</p> <p>Re-suspension of superficial sediment is a known effect caused by bubble pulse train effect.</p>	<p>No mitigation measures identified.</p>	<p>As a general statement, significant disturbance to the seabed or impacts to the associated benthic community from airgun operations are observed in water depth &lt; 50 m (Fisheries and Ocean Canada, 2004).</p> <p>Benthic community of YWB study area is poorly known but it is expected to be impoverished.</p>	<p>I=1 Se = 1 <b>Negligible</b></p>
<p><b>Use of airguns</b> I = 1 Se = 1</p> <p>Physical and behavioral disturbance of seismic sound.</p>	<p>No mitigation measures identified.</p>	<p>Data are generally insufficient to evaluate the potential damage to eggs and larvae of fish and shellfish (or other planktonic organisms) that might be caused by seismic sound under field operating conditions.</p> <p>However, as the organism located in the sediment will be at a minimum distance of 35-50 meters to the source, no detectible effect are expected for the plankton, eggs, larvae of fish and invertebrates. The impact on benthic communities should be negligible.</p>	<p>I=1 Se = 1 <b>Negligible</b></p>
<b>Impacts on Plankton communities</b>			



<p><b>Use of airguns</b> I = 1 Se = 2</p> <p>Within the water column, at close range (2m – 5 m) of a firing airgun, mortality may be observed for plankton (including juvenile fish) unable to flee from the sound source. Most frequent and serious injuries would be limited to 5 m of the airgun source. (Fisheries and oceans Canada, 2004).</p>	<p>No mitigation measures identified.</p>	<p>Little research has been conducted regarding potential effects of seismic surveys on plankton organisms.</p> <p>Even if the Andaman sea has a rich abundance of zooplankton groups, including calanoid copepods and poecilostomatoid copepods and arrow worms, natural mortality rates are high and natural annual fluctuations in population densities is large (due to oceanographic and climatic variations).</p> <p>Direct mortality from airgun fire is not expected to cause a measurable effect as the study area is in open water.</p>	<p>I=1 Se =1 <b>Negligible</b></p>
<p><b>Impacts on seabirds</b></p>			
<p><b>Use of airguns</b> I = 2 Se = 2</p> <p>Seismic sound would only cause potential impacts if birds are diving in close proximity to the airguns i.e. less than 5 meters (Swan, Neff, Young, 1994).</p> <p>Even if it's unlikely, birds could plunge into seawater near the airguns if a bench of fish is close to the surface.</p>	<p>Avoid attracting birds near the boat by removing all food from the vessel during seismic shoots.</p>	<p>It is expected that due to the distance of the survey from the coast (228 km, Narcondam island) the presence of large number of birds is unlikely.</p> <p>Acoustic damage to birds could be experienced if a bird was diving in close proximity to the airgun array (i.e. within 5 m of the array). It is not considered likely that birds will be in the water close to the airgun array once it is operating.</p> <p>In addition, as the array is towed directly behind the survey vessel there will be a bird free corridor. The vessel may disturb the birds present within the project area (e.g present/living on platforms, boats, migrating, etc.). Although the birds may avoid the area as the array passes, they should be already beyond any harmful range (Macduff-Duncan &amp; Davies, 1995).</p> <p>Harmful effects on birds are expected to be limited or unlikely, therefore the impact will be negligible.</p>	<p>I=1 S = 2 <b>Negligible</b></p>

**6.5.3 Others environmental impacts**

Potential others environmental impacts generated by the seismic survey are linked to:

- Atmospheric emissions caused by the combustion of fuel (chase/supply boats and seismic vessel);



- Discharge of liquid wastes (bilge water, sanitary and domestic water);
- Generation of solid wastes (food wastes, hazardous and non hazardous wastes);
- Ambient noise and light;
- Accidental releases (not in normal operations).

The figure below list the potential impacts expected without environmental management measures put in place.

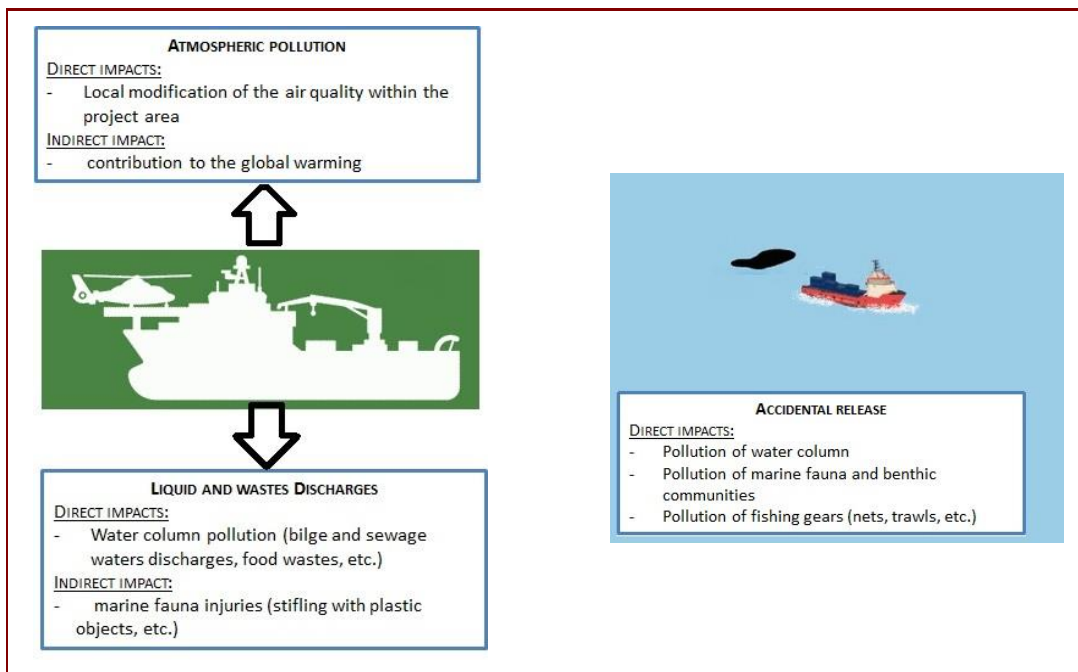


Figure 6-6 Listing of directs/indirect impacts cause by discharges into the environment

Table below synthetizes other environmental impacts identified and associated mitigation measures proposed concerning the YWB seismic project of Total E&P Myanmar.

Table 6-8 Impacts and mitigations concerning others environmental impacts excluding the impacts of airguns

IMPACT PRODUCING FACTOR AND POTENTIAL IMPACT	MITIGATION MEASURES	ENVIRONMENTAL CONSEQUENCES	RESIDUAL IMPACT RANKING
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Atmospheric Emissions			
<p><b>Main vessels diesel combustion, On-board incineration, Helicopter jet-fuel combustion</b></p> <p>I = 3 Se = 1 <b>Minor</b></p>	<ul style="list-style-type: none"> <li>- Using low sulphur fuel which meets the maximum IMO sulphur content cap of 3,5%;</li> <li>- Use the best available technology to reduce the duration of the campaign;</li> <li>- Perform regular maintenance of engines and power generation;</li> <li>- Record and monitor diesel consumption in order to prevent excessive consumption.</li> </ul>	<p>It is considered that atmospheric emissions from the seismic survey will be localized and temporary; atmospheric impacts will be reversible, with emissions rapidly dispersing upon release and therefore that the impact is negligible.</p>	<p>I=1 Se=1 <b>Negligible</b></p>
Discharge to sea			
<p><b>Discharge of:</b></p> <ul style="list-style-type: none"> <li>-liquid sanitary and domestic Waste</li> <li>-bilge and deck waters</li> </ul> <p>I = 3 Se = 1 <b>Minor</b></p> <p>Discharged from vessels during the entire seismic survey.</p> <p>Pollution of water column; Attraction of animals.</p> <p>The estimated amounts of sanitary wastes (including black and grey waters) are estimated at 2,331 m<sup>3</sup> for the duration of the survey.</p> <p>Estimation of bilge water: 160m<sup>3</sup></p>	<p>All effluents of seismic vessel and chase/supply boats guard are clearly identified, collected and treated before discharges. The treatment has to be done in compliance with MARPOL.</p> <p>Bilge and deck water have to be collected through away an oily separator to achieve MARPOL specification: hydrocarbons in water discharges cannot exceed 15 ppm.</p>	<p>The seismic survey duration is relatively short (90 days) and it is anticipated that the sanitary and domestic waste discharge will be of small quantity.</p> <p>The impact of sanitary and domestic wastes discharged shall be localized, temporary and readily diluted in the ocean and is considered to be negligible.</p> <p>Residual oil contained in the effluent shall rapidly be diluted in the ocean and the impact on water quality shall be negligible.</p>	<p>I=1 Se=1 <b>Negligible</b></p> <p>When &lt;15ppm hydrocarbons present in discharged wastewaters</p>
<p><b>Chemical product spillage coming from streamers damages</b></p> <p>I = 3 Se = 1 <b>Minor</b></p> <p>Pollution of water column Intoxication for animals</p> <p>When there is a collision between seismic boat and another boat or an animal, the streamer could be damaged. If cables contain fluid, it may be lost in the sea.</p> <p>Estimated quantity:</p>	<ul style="list-style-type: none"> <li>- Choice of seismic cable (PGS RDH Solid) which contain no fluid to avoid any leakage;</li> <li>- Continuous assessment program to assure the good working condition of the equipment;</li> <li>- The presence of seismic cable will be materialized by buoys equipped with radar, night lighting and GPS;</li> <li>- Navigation equipment for signaling the approach of other vessels;</li> <li>- Training teams to ensure proper response to spills.</li> <li>- Seismic survey has no specific needs of chemicals; therefore, no huge quantity of chemicals is expected to be used onboard.</li> </ul>	<p>Assuming that the fluid is slightly toxic and not bio accumulative, it is likely that the spill will biodegrade gradually and will not cause measurable effect on the marine environment.</p>	<p>I=1 Se = 1 <b>Negligible</b></p>



10m <sup>3</sup>			
<b>Generation of ambient noise and light</b>			
<p><b>Generation of ambient noise and light</b> I = 3 Se = 1 <b>Minor</b></p> <p>(Biological/human disturbance)</p> <p>If the boat lighting is directed toward water, it could attract wildlife close to the seismic vessel.</p> <p>Light is compulsory for security reasons</p>	<p>- Adequate lighting management: only lighting necessary for mark-up, navigation and crew safety will be used. Night-time inspections will be carried out by staff to avoid unnecessary lighting;</p>	<p>The project area is situated far away from the coast (approx. 250 km)</p> <p>The seismic survey will last approx. 90 days (relatively short duration).</p>	<p>I=1 Se = 1 <b>Negligible</b></p>
<b>Generation of solid hazardous and non hazardous wastes</b>			
<p><b>Discharge of food wastes</b> I = 3 Se = 1 <b>Minor</b></p> <p>Discharges from main (seismic vessel) and ancillary vessels.</p> <p>Presence of personnel will lead to food waste production, which if they are thrown overboard, will attract school of fish in surface near the boat. Fish presences involve predator presences, birds.</p>	<p>- Food waste will be crushed on ships before being discharged. They will be quickly dispersed and will provide a source of food for fish. There will be no visual trace to the surface of water. They have to be crushed in compliance with MARPOL: crushed &lt; 25mm and discharged more than 3 miles away.</p>	<p>Milled and ground food waste that is discharged into the sea will be rapidly dispersed and will provide a food source for fish. There will be no visual traces on the sea surface.</p>	<p>I=1 Se = 1 <b>Negligible</b></p>
<p><b>Generation of hazardous and non-hazardous wastes</b> I = 3 Se = 1-3 <b>Minor to moderate</b></p> <p>YWB will generate small quantities of wastes (Non-hazardous waste: packaging waste, wooden pallets, etc.; Hazardous waste: little quantity of medical waste, waste from maintenance)</p> <p>Estimation : Hazardous wastes:9t Non hazardous wastes:</p>	<p>- The waste will be collected, sorted and disposed in accordance with the Waste Management Plan applicable during the seismic campaign.</p> <p>- The crew will be trained to use waste management procedure.</p> <p>- No solid waste will deliberately be released at sea. Combustible waste and non-hazardous waste can be incinerated on board. The other waste will be stored in appropriate container and brought onshore to be supported by an approved company.</p> <p>- An Environmental Monitoring Plan and a Waste Management Plan will be implemented</p> <p>- The incineration procedures shall comply with national and international standards and equipment will be the subject of regular maintenance. A follow-up report regarding the incineration of</p>	<p>If well implemented, mitigation measures will remove the impact.</p>	<p>I=1 Se = 1 <b>Negligible</b></p>



379t Domestic wastes: 42m <sup>3</sup>	wastes will be submitted to Total E&P Myanmar.		
<b>Accidental releases</b>			
<p><b>Accidental releases (Hydrocarbon spill)</b> I = 2 Se = 3-4 <b>Moderate</b></p> <p>Oil spill occurring during a collision with another boat. Depending on the amount of oil spilled, the impact could have disastrous consequences on habitats of interest on the coastal area and its surroundings.</p>	<p>Modern navigation equipment to indicate the approach of other vessels complete by surroundings surveillance by chase boats; Prohibiting access to the work area from other vessels; Vessels must be sufficiently lit at night with all the appropriate devices; The seismic survey vessel will include a Shipboard Oil Pollution Emergency Plan (SOPEP) as required under MARPOL; Training teams to ensure proper response to spills. On-board antipollution equipment</p>	<p>Given the low probability of an oil spill and the mean amount of fuel that could be spilled, this impact can be considered as minor.</p>	<p>I=1 Se = 4 <b>Minor</b></p>

6.5.4 Other socio-economic impacts

Figure below synthetizes the main direct/indirect impacts caused by the physical presence of seismic equipment and vessels during the YWB seismic campaign.

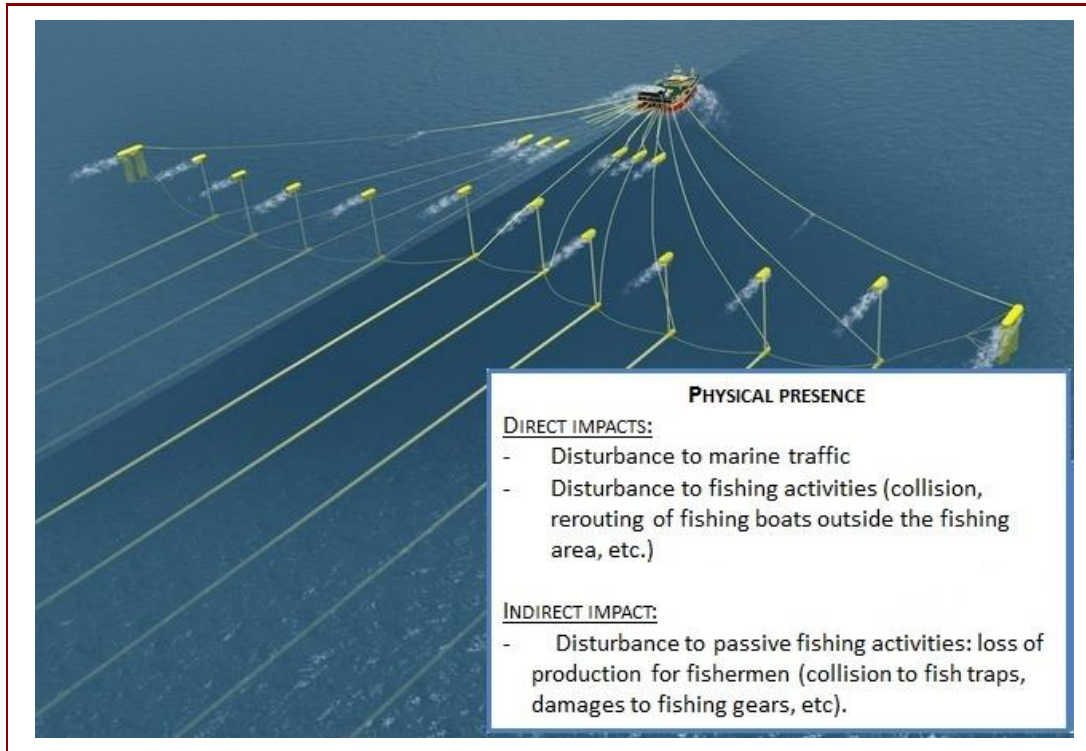


Figure 6-7 Example of direct and indirect impacts caused by the physical presence of the seismic equipment





As shown in the analysis of sensitive components, the only two social components that will be impacted by the project are the commercial marine traffic and the offshore fishery sector.

#### 6.5.4.1 Disturbances to marine traffic

During a seismic campaign, disturbances to commercial marine traffic are caused by the physical presence and movements of the survey vessel and the chase/supply boats. Indeed, their presence can cause collision with all kind of ships, including commercial ships. Unlike for fixed facilities, there are no requirements for a formal safety zone to be established around seismic vessels.

Aside from applying the general maritime rules (in order to avoid collision between vessels), navigation restrictions will have to be implemented. Ships have to be excluded from the operational area of the seismic in order to limit the risks. This exclusion may cause a rerouting of the vessels that usually cross the seismic survey area to reach their port of destination.

#### 6.5.4.2 Disturbance to fishing activities

As detailed in the part 6.4 , seismic operations can have direct impacts on fish populations evolving at the seismic location during the shooting. The underwater acoustic sound produced by airguns can affect fish and fish banks behaviors, causing them to either move down to the sea bottom or leave the seismic location temporarily. However, several studies proved that this impact's effect on socio-economic activities cannot be assessed. A report, produced for the Norwegian Oil Industry Association in 2003 and gathering results of seismic impacts assessments on fish and fisheries concludes that although behavioral changes of fish are confirmed by scientific studies, there are no effects of seismic surveys on long term catch rates or on the size of fish stocks in general<sup>31</sup>, as opposed to fishermen's general belief that seismic activities interfere with fisheries in a negative way. A more recent study commissioned by the Norwegian Petroleum Directorate and conducted by the Institute of Marine Research in 2009, confirmed that the sound produced by a 3D seismic survey performed in the Nordland temporarily influenced either positively or negatively the fish catches based on the type of species (it was observed that there were increases in fish catches for some endemic species, and reductions of catches for others<sup>32</sup>.)

Another impact of seismic surveys on fisheries is the general disturbance to fishing activities induced by the physical presence of boats and cables, which can cause a loss of equipment or production for fishermen as described below:

- Seismic vessels can collide with fishing boats;
- Active fishing gears in the form of nets stretching behind fishing boats can be damaged by the seismic vessels or the streamers;
- Passive fishing gears in the form of static fish traps or fish aggregating devices installed in the sea and marked by a buoy (or even unmarked) can also be damaged by vessel movements.

For safety reasons, and to avoid loss of seismic material during the survey performance, fishermen must be kept out from the operational area. This can cause a perturbation of their daily activities and a possible loss of production if they cannot perform fishing in another area.

The table below synthetises the potential socio-economic impacts, the mitigation measures proposed and the assessment of residual impacts after implementation of the mitigation measures.

<sup>31</sup> GAUSLAND Ingebret, *Seismic Surveys Impacts on Fish and Fisheries, Report for Norwegian Oil Industry Association*, March 2003.

<sup>32</sup> [http://www.imr.no/forskning/prosjekter/seismikk\\_gav\\_bade\\_okte\\_og\\_reduserte\\_fiskefangster/en](http://www.imr.no/forskning/prosjekter/seismikk_gav_bade_okte_og_reduserte_fiskefangster/en).



Table 6-9 Socioeconomic impacts of YWB Seismic Project

IMPACT PRODUCING FACTOR AND POTENTIAL IMPACT	MITIGATION MEASURES	SOCIAL CONSEQUENCES	RESIDUAL IMPACT RANKING
<b>Disturbance to marine traffic</b>			
<p><b>Physical presence</b></p> <p><b>Disturbance to marine traffic</b></p> <p>I = 2</p> <p>Se =2</p> <p><b>Minor</b></p>	<p><b>Prior to the start of the seismic survey:</b></p> <p>Information to the contractor's employees during HSE or Project inductions about the risks related to the presence of fishermen or commercial ships at the Project area and the mitigation measures planned;</p> <p>Communication and coordination with Myanmar marine authorities (Myanma Port Authority (MPA), Department of Marine Administration (DMA), Myanmar Navy and coastguards) about the seismic location, schedule and duration;</p> <p>Issuance of a notice to mariners about the seismic operation by marine authorities;</p> <p>Equipment of the seismic vessels with loud speakers to communicate with boats not equipped with radio.</p> <p><b>During the seismic survey:</b></p> <p>Speed limit respect;</p> <p>Permanent exhibition of internationally recognized symbols during daytime and emission of light signal at night-time;</p> <p>Weekly communication with marine authorities on operations (date, position, issues, etc.);</p> <p>Chase vessels to monitor the presence of ships using radar or visual observation, and establish radio or loud speaker communication with the ship operator to inform him about the operation area and the need to reroute their boat;</p> <p>If the ship pursues its approach and do not respond to calls, chase vessels should make physical approach to inform the captain.</p>	<p>No social consequences are expected from navigation restrictions that will affect fishing vessels and other ships.</p>	<p><b>Negligible</b></p>
<b>Disturbance to fishing activity</b>			
<p><b>Physical presence</b></p> <p><b>Disturbance to fishing</b></p>	<p><b>Prior to the start of the seismic survey:</b></p>	<p>No social consequences are expected from the exclusion of fishermen boats from the</p>	<p><b>Negligible</b></p>



<p><b>activities</b> I = 3 Se = 2 <b>Moderate</b></p>	<p>Communication and coordination with marine authorities and main fishery stakeholders (DoF and MFF) about the seismic location, schedule and duration;</p> <p>Preparation of flyers on the seismic campaign in Burmese and Thai language;</p> <p>Recruitment of a Fisheries Liaison Officer able to speak Burmese and Thai languages that will participate to the survey and handle encounters with both Burmese and Thai fishermen, solving any issues that could arise between these fishermen and the project;</p> <p>A scouting survey enabling to assess the fishery activity at the seismic area (localize fishermen and interview them to determine their origin, spot and count the fish traps installed in the area) should be conducted before the seismic starts even if there is a low probability of encounters with fishermen and of the presence of fish traps.</p> <p><b>During the seismic survey:</b></p> <p>Chase vessels to monitor the presence of ships using radar or visual observation, and establish radio or loud speaker communication with the ship operator to inform him about the operation area and the need to reroute their boat;</p> <p>If the ship pursues its approach and do not respond to calls, chase vessels should make physical approach to inform the captain.</p>	<p>seismic survey area.</p>	
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It is very unlikely that the project will create any jobs opportunity, especially in the Tanintharyi Region; this social impact is therefore neutral.

## 6.6 ECOSYSTEM EVALUATION

Myanmar fishery sector annually produces 4.464 million tons of seafood products, stemming from inland and marine fisheries and generates USD 650 million revenues in export for the country. Among these 4.464 million tons, half (2.332 million tons) is produced by the marine fisheries in an area of 480,000 square kilometers.

However, due to the Project's far distance from the coast, its location in deepwater, its short campaign duration (3 months), added to the fact that it is not located on major tuna fishing grounds and that foreign fishing boats have been banned from the country's waters since April 2014, it is extremely unlikely that the seismic survey has a decrease effect on the fish catches. Therefore, the operation will not impact the ecosystem in a way that would decrease its capacity to provide resources and revenues to individuals.



## **6.7 CUMULATIVE IMPACT ASSESSMENT**

### **6.7.1 General issues**

The environmental impacts associated with a project may be accumulated or intensified when considered in the context of existing operations in the area. The cumulative impacts on different environmental indicators may vary depending on the scale, intensity, and proximity of multiple operations, as well as the interactions of environmental ecosystems affected.

This assessment allows the consideration of deviations from baseline environmental conditions as a result of simultaneous marine activities, current and future, and accounts for the seeming insignificance of a single activity which may trigger, aggravate or in some other way worsen the impacts of a project when considered in collaboration with another.

The evaluation of cumulative impacts associated with seismic activities is extremely complex due to the transient nature of airgun fire, the continual displacement of the source and other diverse operational and environmental interactions.

In order to account for the complexity of cumulative impacts, this assessment was conducted in four phases:

- Identification of environmental components which may be significantly adversely affected by the project;
- Identification of projects or activities (mainly future) which may have a negative impact on the environmental components identified above;
- Determination of the sensitivity of environmental components to cumulative impacts (be they over a short- or long-term period) when considered in parallel with other projects or activities identified in the area;
- Determination of significance of cumulative impacts on the environmental components after consideration of proposed mitigation measures.

The potential impacts associated with the marine YWB seismic survey are considered in the context of other existing marine operations in the potentially affected area in the sections below.

### **6.7.2 Potential environmental impacts associated with seismic activities**

The impact assessment indicated that the environmental components susceptible to be the most affected by the YWB seismic activities are the:

- Acoustic environment and response mechanisms of marine mammals;
- And in least extension, socio-economic environment as a result of disturbance to fishing and shipping traffic routes.

The cumulative effects on marine mammals and fishing/shipping traffic routes may appear if they are affected by other actions at the same time and in the same area.

It is possible that an operator from a nearby block shoot its seismic survey simultaneously with Total E&P Myanmar YWB Seismic project.

Environmental cumulative impacts are anticipated due to the significant increase of anthropogenic underwater sound generated by both seismic surveys which could have an impact on the marine fauna in general.

The logarithmic definition of the decibel scale implies that an increase of 10 times in the scale of sound pressure expressed in Pascal corresponds to a 20dB increase in the pressure level.



Table 6-10 Increase of sound pressure level (SPL) corresponding to an increase of pressure

Increase of sound pressure	Increase of sound pressure level
1 x	+ 0dB
2 x	+ 6 dB
10 x	+ 20 dB
100 x	+ 40 dB
1000 x	+ 60 dB
10000 x	+ 80 dB

SOURCE: LABORATORY OF APPLIED BIOACOUSTICS (LAB) BEST PRACTICES IN MANAGEMENT, ASSESSMENT AND CONTROL OF UNDERWATER NOISE POLLUTION, 30 JUNE, 2009

In the case that two nearby projects would occur at the same time, mitigation measures should be reviewed.

The other solution already studied by Total E&P Myanmar could be to merge its seismic programs with one of its neighbors in order to avoid cumulative impacts. The advantages of this solution are:

- Avoid cumulative impacts of anthropogenic underwater sound generated by both of the projects;
- Share the cost of mobilisation of the seismic vessel and seismic equipment;
- Avoid possible interference of received signals coming from the different airguns used for the two seismic campaigns.

The main issue lies in the organisation of this campaign for the two companies. Nevertheless, even if the footprint of the project is enlarged (which induces a longer duration of seismic acquisition), the cumulative impact is reduced since the airguns will not work at the same time, i.e. the acoustic impact will not be increased but will just last longer.

**As a conclusion, this option should be preferred if technical conditions allow it.**

### 6.7.3 Neighboring blocks seismic surveys schedule

As several offshore blocks have recently been awarded and as most of their operators are going through an exploration stage in 2015-2016, the biggest concern for cumulative impacts would be the occurrence of another seismic survey on the adjacent blocks.



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The adjacent blocks are as follows:

- Block M11: PTTEP – a seismic survey is planned for early 2016 and will be performed with the same acquisition vessel as TEPM, just before the seismic survey on YWB. Therefore there won't be any cumulative impacts because of this project as these surveys don't occur at the same time.
- Block M12: Petronas – Yetagun - no seismic survey planned at the time of the TEPM's seismic campaign.
- Block MD-4: ENI – no seismic survey planned in 2016

According to this schedule there won't be any other seismic survey on the neighboring blocks at the same time. The fact that the same vessel is used for the seismic acquisition on different blocks enables to limit the cumulative impacts of these surveys in the Myanmar waters.

It is noticeable that coordination meetings are organized between O&G actors on a regular basis, at both Managerial and HSE levels.



## **6.8 2D SEISMIC SURVEY ALTERNATIVE**

The original project is about a 3D seismic survey but TEPM could change and implement a 2D seismic survey instead. The 2D survey would use only source and one streamer, and it would cover less kilometres (and last only one month), therefore it is assumed that the environmental & social impacts of the 2D seismic campaign would be lighter than those from the 3D one. So, if the 2D alternative is chosen, the mitigation measures described for the 3D survey will be enough to cover efficiently these impacts.



# SECTION 7. PUBLIC CONSULTATION & DISCLOSURE

## 7.1 INITIAL STAKEHOLDER ENGAGEMENT

In the frame of the preparation of this IEE report, ARTELIA has organized several meetings with stakeholders at an early stage of the report preparation (September 2014) with the active support of Total E&P Myanmar, MOGE and MOECAF.

The objectives of these meetings were to collect up-to-date and precise information on the current socio-economic conditions at the Project area, with a focus on marine areas, their natural ecosystems (fauna and flora) as well as economic activities relying on marine space such as fishery and commercial shipping. The meetings organized are detailed in the Table 7-1 below. They provided insightful information, and gave Total the opportunity to introduce the project to authorities other than the MOECAF and the MoE. They also gave indications on the stakeholder’s perceptions of the Project, their recommendations on who to invite to public consultations and on which mitigation measures to implement in order limit the impacts of the seismic campaign on the human environment.

Table 7-1 Initial engagement with stakeholders

Name of stakeholder	Reasons for consulting	Date and place of meeting	Information collected
Department of Marine Administration (DMA)	DoF handles the fishery sector at the national scale. This sector could potentially be impacted by the seismic campaign.	24/09/2014 Yangon	Role and responsibilities of DMA in vessel licensing and safety controls.
Myanma Port Authority (MPA)	DMA is in charge of the general marine traffic safety, and should be invited to bring their expertise on potential interactions between the seismic vessels and commercial ships, and provide support to consolidate the mitigation measures proposed in this IEE.	24/09/2014 Yangon	Information on marine traffic at the regional scale and potential interactions with the Project area.
Department of Fisheries	The project being located in the Thanintharyi fishing zone, representatives of the regional branch of MFF in this State should be invited to attend.	25/09/2014 Nayi Pyi Taw	Information on the current state of marine resources.





Fauna and Flora International Myanmar	FFI Myanmar is an international NGO that has a program of research on marine biodiversity in the State of Thanintharyi. They have been met during the data collection process and their opinion on the Project is important as they have the network, influence and power to affect Total operations.	26/09/2014 Yangon	Information on the coastal protected areas and the potential fauna that could be evolving at the Project area.
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The Myanmar Fisheries Federation (MFF) has also been contacted at a National level in order to be involved in the consultation process, but they replied by phone that it was only necessary to meet them at a local level.

## 7.2 PUBLIC CONSULTATIONS

Following initial stakeholder engagement that was done in September for information collection purposes, Total E&P Myanmar organized two rounds of public consultation:

- In Myeik, on December 16<sup>th</sup>, 2014
- In Dawei, on January 27<sup>th</sup>, 2015

Public consultations were necessary to present the Project to the various stakeholders, and discuss with them about the main results of the IEE, in particular the impacts identified and the mitigation measures that will be implemented. They enable to collect their opinions and views on the Project and its impacts, and to adapt project design to reduce identified impacts.

A first meeting with the Chief Minister of the Tanintharyi Division enabled to validate the initial stakeholders' mapping. The first Public Consultation has been organised with inviting the potential fishing groups, communities, business men and officials, according to this validation.

During the first Public Consultation it has been advised to meet another fishing community in Dawei, so a second consultation has been organised with representatives from the District Fishery Federation and from fishing companies operating in the area.

According to these consultations, the YWB is too far from the shore to encounter any local fishing boats in its vicinity. There could be illegal fishing boats from Thailand but it wasn't possible to involve them in the consultation process; some specific mitigation measures are planned to deal with the illegal fishing boats (Thai-speaking Fishing Liaison Officer, etc.).



### 7.2.1 Public consultation in Myeik

In Myeik, a public meeting was organized on the 16<sup>th</sup> of December 2014. It gathered 15 participants, composed of local authorities, representatives of NGO local branches and the MFF at the district level, and owners of fishing companies. The detailed list of participants is provided in Table 7-3.

Table 7-2 List of participants to the public consultation in Myeik, December 16<sup>th</sup>, 2014

N.	Name	Organisation	Position
1	U Kyaw Shein	Htoo Htoo Toe Company	Manager
2	U Htun Naung Oo	Township Administration	Township Staff Officer
3	U Htay Lwin	Myanmar Marine Fisheries (NGO)	Not specified
4	U Ye Naing	Myanmar Marine Fisheries (NGO)	Not specified
5	U Aung Din	Myanmar Marine Fisheries (NGO)	Not specified
6	U Wai Lin	Myanmar Marine Fisheries (NGO)	Not specified
7	U Htay Laing	Myanmar Shrimp Association (NGO)	Second Secretary
8	U Than Tun Oo	Myanmar Shrimp Association (NGO)	First Vice President
9	U Myo Aung	Myanmar Shrimp Association (NGO)	Second Vice President
10	U Min Min Tun	Myanmar Shrimp Association (NGO)	First Secretary
11	U Win Thein	Ngwe Pinle Company	General Manager
12	U Myint Shwe	Myeik District Fisheries Department	Assistant Director
13	U Thaug Myint	Myanmar Fisheries Federation (NGO)	Not specified
14	U Maung Yu	Myanmar Fisheries Federation (NGO)	Not specified
15	U Nyunt Shwe	MOGE	MOGE Representative

During this meeting, a PowerPoint presentation was used as the basis of discussion. This document described the project characteristics and summarized the major potential impacts identified and the mitigation measures that will be implemented.

Total E&P Myanmar explained to participants that the meeting was part of a stakeholder engagement plan that aims at discussing the project's impacts with potentially affected stakeholders. Participants expressed their satisfaction at being informed. They were concerned with the follow-up of the meeting, and asked if they were to be engaged further.

Participants didn't expressed issues with the project, but they advised that for the next meetings, the company send meeting arrangements well in advance to get a better participation.



Figure 7-1 Picture of the public consultation in Myeik

**7.2.2 Public consultation in Dawei**

In Dawei, a public meeting was organized on the 27<sup>th</sup> of January, 2015. As listed in the table below, this meeting gathered around 10 participants, from the District Fishery Federation and from fishing companies operating in the area.

Table 7-3 List of participants to the public consultation in Dawei, January 27<sup>th</sup>, 2014

N.	Name	Organisation	Position
1	U San Maung	Division/District Fishery Federation	Vice- Chairman
2	U Thaung Myint	Division/District Fishery Federation	Auditor (1)
3	U Tin Myint	Division/District Fishery Federation	Assistant Secretary
4	U Tun Aung Kyaw	Division/District Fisheries Federation	Committee member
5	U Kyaw Thet	District Fishery Federation	Chairman
6	U Win Maung	Pan Tin Inn	Head of Pan Tin Inn Administration Committee
7	U Tar Htoo	Pan Tin Inn	Business men
8	Ne Win	Pan Tin Inn	Business men
9	Soe Myint	Pan Tin Inn	Business men
10	Pe Lwin	District Fishery Federation	member

**Offshore Seismic Campaign YWB Block****Mitigation measures for the Project – 11/14**

Like for the meeting in Myeik, a PowerPoint presentation was used. During the discussions, participants expressed several concerns, the main one related to the impacts of the seismic campaign on the adult fish, juveniles and breeding grounds.



Figure 7-2 Pictures of the public consultation in Dawei

Expressed concerns:

1. Resources (Fish stock) / Marine Mammals

Baseline

- Is there an important source / density of fish in this area?

→ According to the 2013 BOBLME study the biomass has been decreasing in Myanmar offshore waters during the last 30 years. Precise data are not available on YWB block.

Physical damage to fishes:

- Is there a risk of death / Injury for the fishes?

→ The residual impact on the fish is minor to negligible, no risk of death/injury

Disturbance in the breeding areas

- Is the survey going to damage to the Sea bed?

→ At this distance, the impact on the seabed is negligible.

- What are the impacts on fish's reproduction?

→ There is no evidence of disturbance to reproduction patterns (no measurable effect).

- Is there a risk that fishes will permanently leave the area?

→ The fish will temporarily avoid the zone of seismic acquisition and will come back.

- How long will it take to recover a normal environment?

→ Studies show that the short time period to attain normal fish catch rates following a survey, within the surveyed area, varies with season, locality, duration of shooting, etc.

→ Minor / no impact is expected in the YWB block.

## 2. Impact on Fishing activity

- What will be the duration of exclusion?

→ This exclusion area is motivated by HSE concerns for the fishermen and is aiming at avoiding collision and/or damage to fishing gears

The duration of the full survey should be less than 3 months. Only the "active" portion of the survey will be restricted for fishing. Any particular area (active portion of the survey) should not be restricted for more than a few weeks.

- Area of Exclusion

- What is the size of the exclusion area?

→ The size of the restricted area should be about 500 km<sup>2</sup> but will vary depending on the survey size.



## SECTION 8. MITIGATION MEASURES FOR THE PROJECT

This section gives details on mitigation measures associated with potential underwater acoustic impacts of the YWB project on marine mammals, turtles and fish.

**Mitigation measures associated with others environmental and social potential impacts of the project have already been developed on § 6.5 .**

At the end of this section, a commitment register will detail roles and responsibilities for each of the mitigation measures proposed in this IEE.

### 8.1 SPECIFIC MITIGATION MEASURES FOR MARINE MAMMALS, TURTLES AND FISHES

#### 8.1.1.1 Mitigation actions and procedures

The methods recommended by the IAGC (International Association of Geophysical Organisation) and the JNCC (Joint Nature Conservation Committee, UK) to minimize the disturbance of marine mammals during the course of marine seismic activities will be implemented. The protocol will be adapted to account for the zones of exclusion determined necessary for the project (being 2,800 m and 800 m, cf. below). Marine mammal observers will accompany the vessel during the life of operations to ensure compliance with the intervention protocols.

A brief description of how the shutdown distances have been calculated and mitigation measures to be implemented are presented in § 8.1.1.2 . Additional mitigation measures to ensure the protection of marine mammals, fish and turtles are described on §8.1.1.3 .

#### 8.1.1.2 Temporary and permanent threshold criteria for cetaceans- calculation of shutdown areas

##### National Marine Fisheries Services Criteria

The National Marine Fisheries Services (NMFS) proposes the adoption of physiological change thresholds by the definition of TTS ('Temporary Threshold Shift') and PTS ('Permanent Threshold Shift') criteria.

The TTS and PTS respectively define the upper exposure limit for the temporary and permanent loss of hearing ability.

These thresholds do not take into account either the exposure duration, which is implicitly considered as very short, or the level of loss, implicitly regarded as disability.

The NMFS proposes the adoption of thresholds for marine mammals based on a conservative approach using the results of TTS studies of many marine mammal species. This value defines the spatial limit (or exclusion zone) of seismic activities in order to comply with the following values:

- 180 dB re  $\mu\text{Pa}$  (RMS) for cetaceans;
- 190 dB re  $\mu\text{Pa}$  (RMS) for pinnipeds (not expected in the study area).

The NMFS also provides a criteria of "behavioural discomfort" of 160 dB re  $\mu\text{Pa}$  (RMS) for all cetaceans species.

The exclusion zone is then determined by the characteristics of the source and depth of the zone.

##### Marine Animal Noise Exposure Criteria



A more recent and highly regarded study was conducted by Southall *et al.* (2007), which proposed criteria for injury for marine mammal groups and sound types. Noise exposure criteria for auditory injury have been based in the publication on exposures inducing PTS-onset i.e 40 dB-TTS.

Definition:

**PTS-onset** is an irreversible elevation of the hearing threshold (i.e loss in sensitivity) at a specific frequency. PTS is presumed to be likely if the threshold is over 40 dB above TTS. Noise-induced PTS represents tissue injury, whereas TTS does not.

**TTS-onset** has been defined as being a temporary elevation of a hearing threshold by 6 dB (Southall *and al.*). TTS involves reduced hearing sensitivity following exposure, it results primarily from the fatigue (as opposed to loss) of cochlear hair cells and is by definition reversible.

Criteria for injury were derived from measured or assumed TTS-onset thresholds for each marine mammal group plus TTS growth rate estimates. Available data for 2 mid-frequency cetacean species are used as a basis for estimating PTS-onset thresholds for all cetaceans.

The PTS-onset thresholds are used to define exclusion zones. This study was developed on the basis of an extensive industry related bibliography and considers many factors in the development of criteria:

- **The designation of sound response frequency weightings** depending on mammal type, being mammals sensitive to low, mid and high frequency acoustic sources. These mammal types have been designated with a specific weighting curve to represent their sonar response, ie to modify the sonar signal to represent the noise level perceived by the mammal. For instance, mammals with sensitivity to high frequency sounds (eg dolphins and porpoises) will be much less affected by low frequency airgun emissions than baleen whales, which are sensitive to low frequency sounds.

As such, each mammal type (of low, mid and high frequency sensitivities) has been designated with a specific weighting curve to represent sonar response, being Mlf, Mmf and Mhf respectively (refer to Figure 8-1). Thus, in the frequency range of 7 – 104 Hz of airgun firing, weightings of -2 and -15 dB respectively were adopted for mammals sensitive to low and middle frequencies in order to modify the emitted sound level to represent the perceived noise levels for marine mammals sensitive to low and middle frequencies.

- **Signal type, being single pulse, multi pulse and continuous.** The seismic survey will be characterized by multiple pulses sound of 20 ms duration repeated every 10-15 seconds.
- **Indicator types, being the SPLo-p and the SEL.** The sound energy level describes the energy sum of each signal pulse. For a doubling in pulse (i.e. doubling of energy) the SEL doubles by 3 dB. The strictest indicator will be used to define the exclusion zone. For the calculation of the SEL, an exposure duration of **120 minutes** has been adopted, a conservative assumption of the time required for the acoustic source to move away from the static cetacean or vice versa. Assuming a streamer vessel speed of **9 km/h**, this would signify a significant reduction in received sound levels over the period calculated.

The sound response frequency weightings for different mammal species are shown in Figure 8-1 and values of PTS-onset are presented as an extract in Table 8-1).

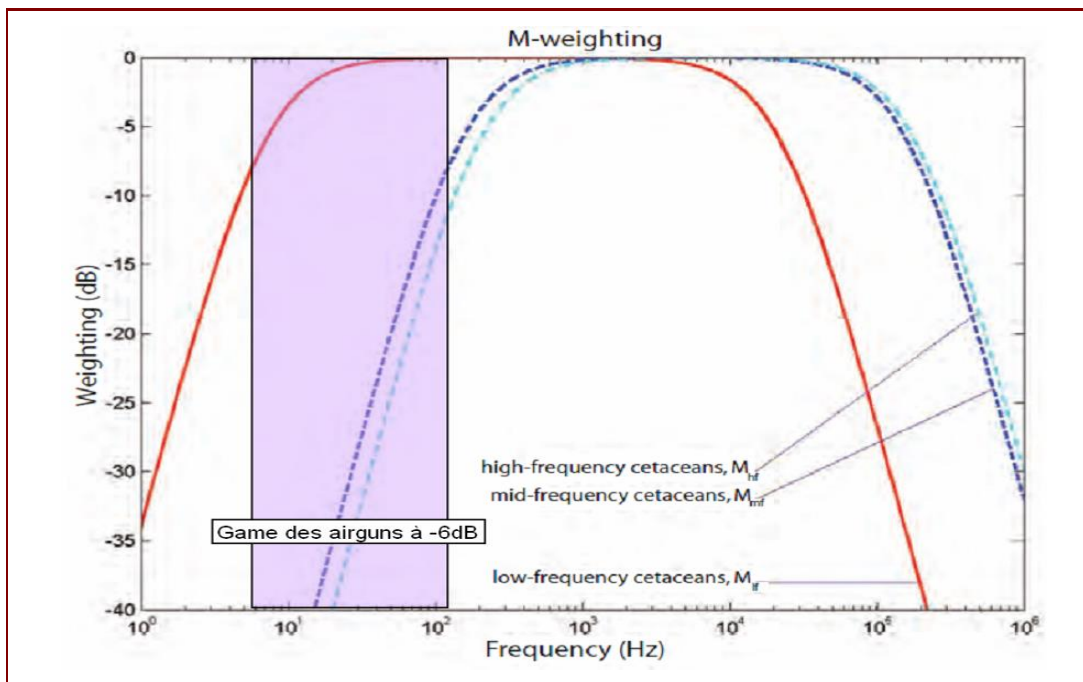


Figure 8-1 M-Weighting curve (Southall *et al.*, 2007)

Table 8-1 Proposed injury criteria (Southall *et al.*, 2007)





**Table 3.** Proposed injury criteria for individual marine mammals exposed to “discrete” noise events (either single or multiple exposures within a 24-h period; see Chapter 2)

Marine mammal group	Sound type		
	Single pulses	Multiple pulses	Nonpulses
<b>Low-frequency cetaceans</b>	Cell 1	Cell 2	Cell 3
Sound pressure level	230 dB re: 1 µPa (peak) (flat)	230 dB re: 1 µPa (peak) (flat)	230 dB re: 1 µPa (peak) (flat)
Sound exposure level	198 dB re: 1 µPa <sup>2</sup> -s (M <sub>ref</sub> )	198 dB re: 1 µPa <sup>2</sup> -s (M <sub>ref</sub> )	215 dB re: 1 µPa <sup>2</sup> -s (M <sub>ref</sub> )
<b>Mid-frequency cetaceans</b>	Cell 4	Cell 5	Cell 6
Sound pressure level	230 dB re: 1 µPa (peak) (flat)	230 dB re: 1 µPa (peak) (flat)	230 dB re: 1 µPa (peak) (flat)
Sound exposure level	198 dB re: 1 µPa <sup>2</sup> -s (M <sub>ref</sub> )	198 dB re: 1 µPa <sup>2</sup> -s (M <sub>ref</sub> )	215 dB re: 1 µPa <sup>2</sup> -s (M <sub>ref</sub> )
<b>High-frequency cetaceans</b>	Cell 7	Cell 8	Cell 9
Sound pressure level	230 dB re: 1 µPa (peak) (flat)	230 dB re: 1 µPa (peak) (flat)	230 dB re: 1 µPa (peak) (flat)
Sound exposure level	198 dB re: 1 µPa <sup>2</sup> -s (M <sub>ref</sub> )	198 dB re: 1 µPa <sup>2</sup> -s (M <sub>ref</sub> )	215 dB re: 1 µPa <sup>2</sup> -s (M <sub>ref</sub> )
<b>Pinnipeds (in water)</b>	Cell 10	Cell 11	Cell 12
Sound pressure level	218 dB re: 1 µPa (peak) (flat)	218 dB re: 1 µPa (peak) (flat)	218 dB re: 1 µPa (peak) (flat)
Sound exposure level	186 dB re: 1 µPa <sup>2</sup> -s (M <sub>ref</sub> )	186 dB re: 1 µPa <sup>2</sup> -s (M <sub>ref</sub> )	203 dB re: 1 µPa <sup>2</sup> -s (M <sub>ref</sub> )
<b>Pinnipeds (in air)</b>	Cell 13	Cell 14	Cell 15
Sound pressure level	149 dB re: 20 µPa (peak) (flat)	149 dB re: 20 µPa (peak) (flat)	149 dB re: 20 µPa (peak) (flat)
Sound exposure level	144 dB re: (20 µPa) <sup>2</sup> -s (M <sub>ref</sub> )	144 dB re: (20 µPa) <sup>2</sup> -s (M <sub>ref</sub> )	144.5 dB re: (20 µPa) <sup>2</sup> -s (M <sub>ref</sub> )

**Note:** All criteria in the “Sound pressure level” lines are based on the peak pressure known or assumed to elicit TTS-onset, plus 6 dB. Criteria in the “Sound exposure level” lines are based on the SEL eliciting TTS-onset plus (1) 15 dB for any type of marine mammal exposed to single or multiple pulses, (2) 20 dB for cetaceans or pinnipeds in water exposed to nonpulses, or (3) 13.5 dB for pinnipeds in air exposed to nonpulses. See text for details and derivation.

**Project specific criteria**

Taking into account injury criteria, transmission loss and directivity of the sound source the calculated distances to TTS and PTS thresholds for cetaceans are provided in the Table 8-2. These calculations have been performed assuming appropriate transmission loss corresponding to n=20.

The determination of protection zones corresponding to the threshold of discomfort 180 dB µPa-1m (SPL-RMS) defined by the US authorities (NMFS) for marine mammals gives very different protection perimeters for epipelagic species, mesopelagic and bathypelagic.

Table 8-2 Calculated distances to TTS and PTS thresholds for cetaceans

Distances R in m corresponding to different criteria for acoustic source considered						
For seismic source of airgun 254 dB 0-P µPa -1m						
Criteria			In the vertical to the bottom, in axis of maximum transmission	Take into account of directivity according to reference (3) for seismic source of 257 dB 0-P µPa-1m at different depth		
			Att=N LogR N adjusted to 20 by reference (3)	Epipelagic 0-200m	Mesopelagic 200-1000 m	Bathypelagic more than 1000 m
NMFS (1)	TTS for cetacean	180 dB re 1 µPa (SPL rms)	Profondeur max 2000	600	1,350	1,700
	Airgun behavioural disturbance criterion for cetaceans	160 dB re 1 µPa (SPL rms)	Profondeur max 2000	1,750	4,500	6,600



Southall criteria for cetacean (2)	TTS - multi pulse sources	224 dB re 1 $\mu$ Pa (SPL o-p)	< 100	< 100	< 100	< 100
	PTS - multi pulse sources	230 dB re 1 $\mu$ Pa (SPL o-p)	< 100	< 100	< 100	< 100
	TTS - multi pulse sources (weighted Mlf approx -2dB)	183 dB re 1 $\mu$ Pa <sup>2</sup> (SEL multiples impulsions)	Profondeur max 2000	900	2,100	<b>2,800</b>
	PTS - multi pulse sources (weighted Mlf approx -2dB)	198 dB re 1 $\mu$ Pa <sup>2</sup> (SEL multiples impulsions)	0	350	650	650
	TTS - multi pulse sources (weighted Mmf approx -15dB)	183 dB re 1 $\mu$ Pa <sup>2</sup> (SEL multiples impulsions)	0	400	800	<b>800</b>
	PTS - multi pulse sources (weighted Mmf approx -15dB)	198 dB re 1 $\mu$ Pa <sup>2</sup> (SEL multiples impulsions)	350	< 100	< 100	< 100

Considered results for the definition of radius exclusion area.

Table notes:

- (1) NMFS (US National Marine Fisheries Service), February 2006. "Small takes on marine mammals incidental to specified activities: low energy seismic survey on the Louisville Ridge, Southwest Pacific Ocean". Federal registers vol. 71. Perry, S.L. Demaster, D.P. & Silber, G.K. 1999. *The Humpback Whale. Marine Fisheries Review.* 6191: 24-37.
  - (2) Marine Mammal Noise Exposure Criteria: Initial Scientific Recommendations, Supported through Joint Sponsorship by the European Association for Aquatic Mammals, the Alliance of Marine Mammal Parks and Aquariums, and the International Marine Animal Trainer's Association, Southall et al, 2007
  - (3) Risks analysis of marine mammals with the used of acoustic methods in oceanography, IFREMER, 2007.
- SPL Sound Pressure Level, SEL Sound Exposure Level calculated using reference (2)  
Mlf weighting for low frequency sensitive cetacean (-2 dB) et Mmf/hf weighting for medium and high frequency sensitive cetacean (-15 dB) see reference (2)  
PTS Permanent Threshold Shift, TTS Temporary Threshold Shift

For the purposes of this assessment, the thresholds defined by Southall *et al* (2007) have been adopted as they consider the specific characteristic and behavioural responses of the different species of cetaceans. Thus the thresholds developed provide stricter and more conservative limits concerning behavioural responses and not permanent or fatality levels.

The results determined in Table 8-2 indicate the distance to exposure to noise levels representing the PTS (the level of physical disturbance) for multipulse sources.

From calculation, the adopted shut-down zone (or exclusion zone/mitigation zone) for marine mammals are as follow:

- **2,800 m for cetaceans sensitive to low frequencies** (e.g whales species), which are likely to be animals with a size greater than 8 meters in length (up to 33 m);
- **800 m for cetaceans sensitive to middle/high frequency** (e.g dolphins, porpoises and some whales species), which are likely to be animals with size ranging from 1 to 8 meters in length. The only exception is the Sperm Whale measuring between 16 – 20 m in length.

Table 8-3 presents the proposed shut-down zones (or mitigation zones) for marine mammal species supposed to occur within the project area according to their frequency range sensitivity, IUCN status and average size of adult individuals. Further descriptions of marine mammals are presented in SECTION 5.

The observation zone of the MMO during the 3D seismic acquisition survey will be approximately of 3000 metres. Adoption of this observation zone will allow a buffer time for the observation team before their eventual encroachment of the exclusion zone limit, permitting determination of species, identification of applicable exclusion zone and subsequent responses from the seismic source crews.

The maintenance of the observation zone will be undertaken by the deployment of at least two support vessels being located 3-4 km ahead the seismic vessel with radio communication capabilities will supplement the visual monitoring of MMOs.



Figure 8-2 illustrates the proposed shut-down and observations zones proposed for the project to mitigate the impact on marine mammals and also on marine traffic.

Table 8-3 Proposed exclusion for marine mammals occurring in Myanmar waters

Common names	Genus	Species	IUCN status	Freq. sensitivity (Low, Medium, High)	Proposed shut-down zone (m)	Adult size in length (m)	Potentially present within the project area
Common Minke Whale	Balaenoptera	acutorostrata	LC	LFC	2,800 m	7 – 10	x
Bryde's Whale	Balaenoptera	edeni	DD	LFC	2,800 m	12 - 15	x
Blue Whale	Balaenoptera	musculus	EN	LFC	2,800 m	27 - 33	x
Fin Whale	Balaenoptera	physalus	EN	LFC	2,800 m	19 - 26	x
Risso's Dolphin	Grampus	griseus	LC	MFC	800 m	< 4	x
Indo-pacific Beaked Whale	Indopacetus	pacificus	DD	MFC	800 m	7 - 8	X
Humpback Whale	Megaptera	novaeangliae	LC	LFC	2,800 m	12 - 16	x migratoire
Blainville's Beaked Whale	Mesoplodon	densirostris	DD	MFC	800 m	4 - 5	x
Indo-Pacific Finless Porpoise	Neophocaena	phocaenoides	VU	MFC	800 m	< 2	x
Sperm Whale, Cachelot	Physeter	macrocephalus	VU	MFC	800 m	16 - 20	x
False Killer Whale	Pseudorca	crassidens	DD	MFC	800 m	< 5	x



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Pantropical Spotted Dolphin	Stenella	attenuata	LC	MFC	800 m	< 3	x
Rough-toothed Dolphin	Steno	bredanensis	LC	MFC	800 m	< 3	x
Common Bottlenose Dolphin	Tursiops	truncatus	LC	MFC	800 m	< 4	x

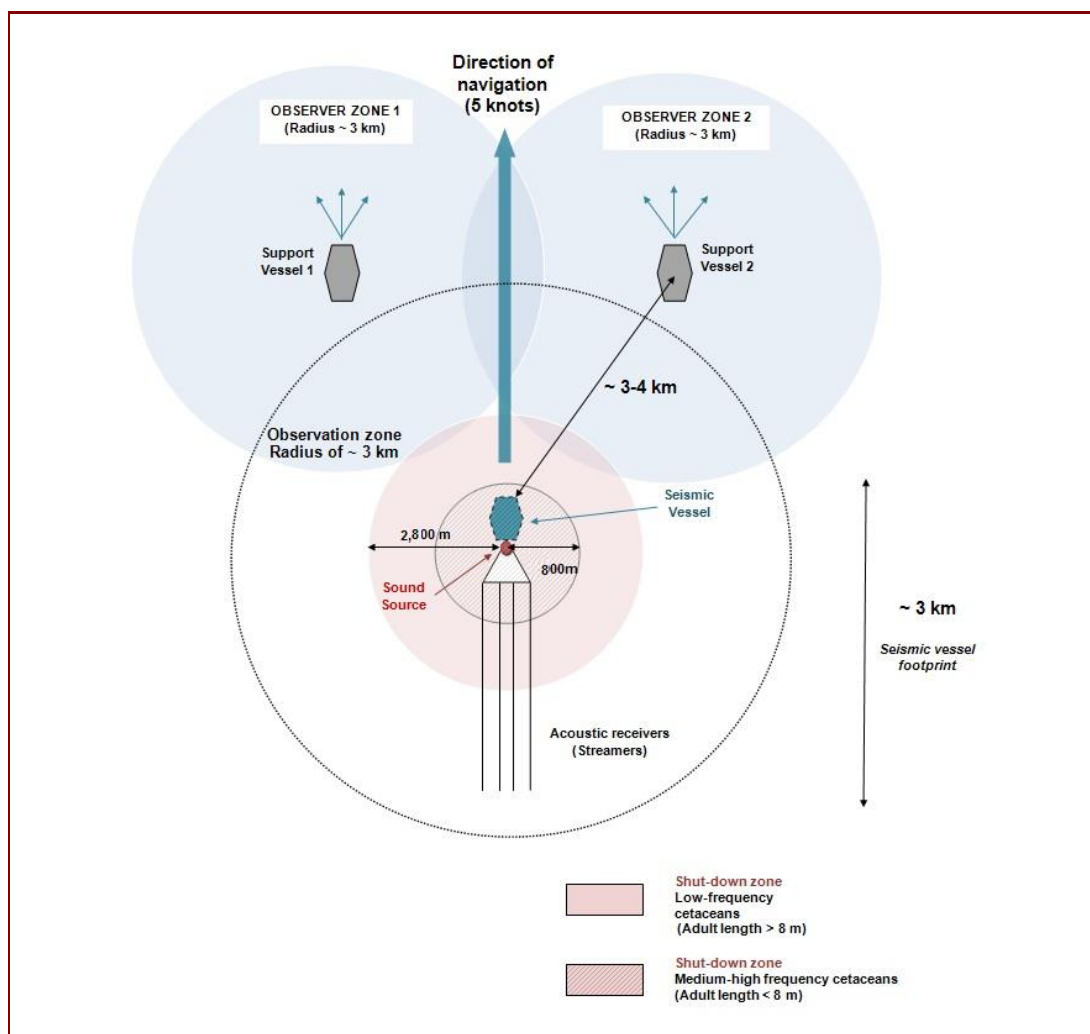


Figure 8-2 Diagram of proposed shut-down and observation zones for YWB Block seismic survey



### **Pre-shooting search**

The pre-shooting search should normally be conducted over a period of 60 minutes before commencement of any use of the airguns (the YWB Block is situated in deepwater >200m depth). The MMO should make a visual assessment to determine if any marine mammals are within the mitigation/exclusion zone (i.e 800 metres or 2,800m of the centre of the airgun array).

If PAM is used in conjunction with visual monitoring, the PAM operator should ensure the system is deployed and being monitored for vocalisations during each designated pre-shooting period.

### **Delay if marine mammals are detected within the mitigation zone**

Mitigation actions are taken by either the MMO or the PAM in the following cases scenario:

- If marine mammals are detected by the MMO within the mitigation zone of the center of the airgun array during the pre-shooting search, the soft-start of the seismic sources should be delayed until their passage, or the transit of the vessel, results in the marine mammals being more than 800 or 2,800 meters away from the source.

In both cases, there should be a 20 minute delay from the time of the last sighting within the mitigation zone of the source to the commencement of the soft-start, in order to determine whether the animals have left the area.

If PAM is used, it is the responsibility of the PAM operatives to assess any acoustic detection and determine if there are likely to be marine mammals within the mitigation zone. If the PAM operatives consider marine mammals are present within that range then the start of the operation should be delayed as outlined above.

If marine mammals are detected within the mitigation zone whilst the airguns are firing, either during the soft-start procedure or whilst at full power, there is no requirement to stop firing the airguns.

### **Soft start procedure (ramp-ups)**

The soft-start is defined as the time that airguns commence shooting till the time that full operational power is obtained. Soft-start procedures are based on precautionary practice of starting the sound source at low levels and then building these levels up gradually. The goal of a soft start is to give sufficient warning to marine mammals in the vicinity of the survey area of the increasing noise in their environment. The idea is to provide these animals with adequate time to move away from the survey area before the sound sources achieve the full energy output.

Soft starts involve using the equipment that produces the sound source during operations. Soft start procedures are carried out during seismic surveys by first firing the smallest volume airgun and then adding successively larger volume airguns to the firing pattern with each step. It is recommended that soft starts for seismic surveys **should last at least 20 minutes and last no longer than 40 minutes**.

A soft-start procedure is required every time the airguns are used or if there is any break in airgun activity day or night exceeding 10 minutes.

Soft start procedures on seismic surveys vessels are often automated on-board vessels to ensure consistency. An example of this is shown below.

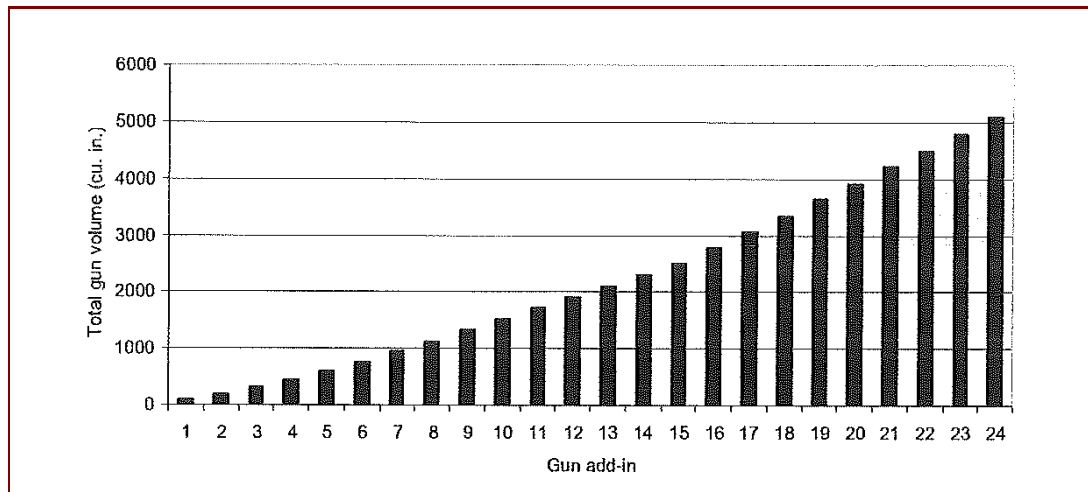


Figure 8-3 Soft start

JNCC guideline (2010) provides clarification when a soft-start is required during airgun testing. Airgun testing may be required before a survey commences, or to test damaged or misfiring guns following repair, or to trial new arrays. Individual airguns, or the whole array may need testing, and the airguns may be tested at varying power levels. The following guidance is provided to clarify when a soft-start is required:

- If the intention is to test all airguns at full power then a 20 minute soft-start is required.
- If the intention is to test a single airgun on low power then a soft-start is not required.
- If the intention is to test a single airgun, or a number of guns on high power, the airgun or airguns should be fired at lower power first, and the power then increased to the level of the required test; this should be carried out over a time period proportional to the number of guns being tested and ideally not exceed 20 minutes in duration.

MMOs should maintain a watch as outlined in the pre-shooting search guidance (see below the visual observation guidance) before any instances of gun testing.

JNCC guideline provides the operators with the following recommendations (JNCC, 2010):

- To minimise additional noise in the marine environment, a soft-start (from commencement of soft-start to commencement of the line) should not be significantly longer than 20 minutes (for example, soft-starts greater than 40 minutes are considered to be excessive, and an explanation should be provided within the MMO report).
- Where possible, soft-starts should be planned so that they commence within daylight hours.
- Once the soft-start has been performed and the airguns are at full power the survey line should start immediately. Operators should avoid unnecessary firing at full power before commencement of the line.
- If, for any reason, firing of the airguns has stopped and not restarted for at least 10 minutes, then a pre-shooting search and 20 minute soft-start should be carried out (the requirement for a pre-shooting search only applies if there was no MMO on duty and observing at this time, and if the break in firing occurred during the hours of daylight). After any unplanned break in firing for less than 10 minutes the MMO should make a visual assessment for marine mammals (not a pre-shooting search) within 500 metres of the centre of the airgun array. If a marine mammal is



detected whilst the airguns are not firing the MMO should advise to delay commencement, as per the pre-shooting search, delay and soft start instructions above. If no marine mammals are present then they can advise to commence firing the airguns.

- When time-sharing, where two or more vessels are operating in adjacent areas and take turns to shoot to avoid causing seismic interference with each other, the soft-start and delay procedures for each vessel should be communicated to, and applied on, all the vessels involved in the surveying.

### **Line change**

Seismic data is usually collected along predetermined survey lines. Line change is the term used to describe the activity of turning the vessel at the end of one line prior to commencement of the next line. Depending upon the type of seismic survey being undertaken, the time for a line change can vary. Line changes are not necessary for all types of seismic surveys, for example, in certain regional surveys where there is a significant distance between the lines, and for VSP operations.

The guidance relating to line change depends upon the airgun volume. The YWB seismic survey will be performed using airguns with an individual source volume of ~ 3600 cubic inches. Therefore the applicable guidance from JNCC corresponding to the source volume is described below:

If the line change time is expected to be greater than 20 minutes, airgun firing should be terminated at the end of the line and a full 20 minute soft-start undertaken before the next line.

A pre-shooting search should also be undertaken during the scheduled line change, and the soft-start delayed if marine mammals are seen within the exclusion zone.

Depending upon the duration of the line turns and the nature of seismic survey it may be necessary to vary the soft-start procedures. If this recommended protocol cannot be achieved using the above methods, the operator should propose alternative methods.

### **Undershoot operations**

During an undershoot operation, one vessel is employed to tow the seismic source and a second vessel used to tow the hydrophone array, although the main vessel will still tow the hydrophone array.

The MMO may be too far away from the airguns to effectively monitor the mitigation zone, and it is therefore recommended to place the MMO on the source vessel. If this is not possible, for example for logistical reasons, or the health and safety implications of transferring personnel from one vessel to another, the application should explain that the recommended procedure cannot be followed in the application for the survey.

In all cases, the pre-shooting search and soft-start procedures should still be followed prior to undertaking an undershoot operation.

#### **8.1.1.3 Marine mammal survey techniques**

The marine mammal observer (MMO) must first be able to detect marine mammals. This is done by visual and passive acoustic monitoring.

### **Visual monitoring**

At least 60 minutes prior to any use of seismic sources, the operator and observers will attentively perform a visual check from a high and adapted vantage point, to check the presence marine mammals within the observation zone.

Visual monitoring involves sighting marine mammals as they break the surface of the water or pass by very close underneath the surface. There are several reasons why a marine mammal will come to the surface, which are to breathe air, roll backs and tail flukes, feed, socialize, rest and to increase speed of travelling (porpoising).

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During the visual monitoring, the MMO will be looking for various cues in order to determine the presence of marine mammals in the area depending on their behavior at the surface. Sighting cues can also help in the identification of animals to the group level and in some cases to the species level.

A local marine mammal expert will be involved in this surveillance and observation process. The expert will be independent and selected for his/her regional marine knowledge, if possible. At the end of the campaign all parties will submit a summary report of all sightings.

The observation zone comprises a radius of 3 km approximately, and a qualified MMO will undertake continuous visual monitoring within this area, including continuous monitoring over a period of at least 60 minutes prior to the airgun start-up.

The maintenance of the observation zone will be undertaken by the deployment of at least two support vessels being located 3-4 km ahead the seismic vessel with radio communication capabilities. They will supplement the visual monitoring of MMOs (see Figure 8-4).



Figure 8-4 Example of observation of marine mammals

MMOs use different ways to determine range at sea, as for example binoculars with reticules, or making a range stick (see Figure 8-5). Both of these methods use the horizon and calculations of observer height above sea level to determine range to the animal. These methods are fairly accurate as long as is not too much swell and that the horizon is visible.



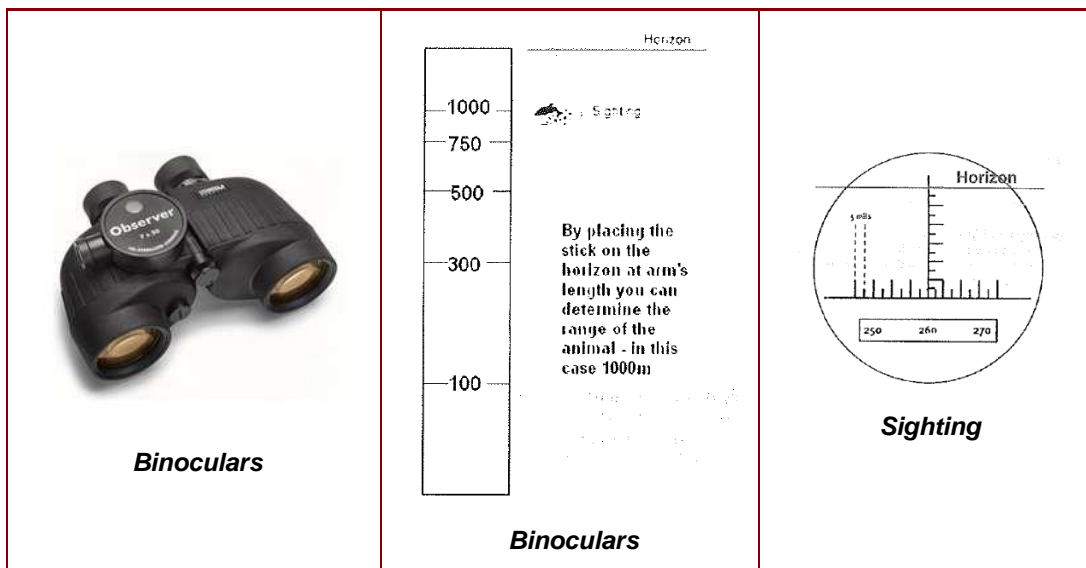


Figure 8-5 Example of observation techniques of marine mammals

SOURCE: JNCC

**Passive acoustic monitoring**

Visual observation is an ineffective mitigation tool during periods of darkness or poor visibility (such as fog), or during periods when the sea state is not conducive to visual mitigation, as it will not be possible to detect marine mammals in the vicinity of airgun sources. Under such conditions, PAM is considered to be the only currently available mitigation technique that can be used to detect marine mammals.

Passive acoustic monitoring may be a requirement for surveys that are conducted in particularly sensitive areas or for certain species, such as sperm whales. It is also helpful in detecting harbor porpoises within the 500 metres mitigation zone, although the systems have their limitations and can only be used to detect vocalising species of marine mammals.

Submerge cetacean are much more risk than those on the surface. According the JNCC, this makes it particularly important to use a hydrophone whenever possible to detect vocally active animals that may be invisible from the surface. The PAM system is therefore ideally used in conjunction with visual monitoring, to provide effective 24 hours mitigation for cetaceans.

- **PAM equipment – frequency range**

PAM systems consist of hydrophones that are deployed into the water column, and the detected sounds are processed using specialized software. The equipment involves towing long lengths of cable (200-300 m) which ensures that the hydrophones elements are away from the engines. This system usually contains filters to eliminate some of the engine noise.

Current equipment for electronic detection of cetaceans can detect 5 to 8 times more cetaceans than previously (Gillespie & Chappell, 1998). Electronic detection systems passively record all frequencies up to 140 kHz (the waves used for seismic reach 200 kHz). Software will be used to assess frequencies anomalies corresponding to the potential presence of sound emitting marine mammals.

- **Conditions of use of PAM and limitations**

The advantages that PAM has over visual monitoring are:

- Acoustic detection of cetaceans is less affected by weather conditions;



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- Acoustic detection range for many species superior than visual detection;
- Acoustic detection can be made of animals below the surface (as long as they are vocalizing) and therefore increases the likelihood of detecting deep diving species,
- Acoustic monitoring can be conducted 24 hrs a day;
- A complete and permanent record can be made of acoustic monitoring cues.

However, PAM does have the following limitations:

- Current PAM systems do not give accurate estimates of range. The accuracy can be estimated at +/-300 meters which means animals detected and calculated to be within 500 meters from the source could, in reality, be  $500 + 300 = 800$  meters, but their detection would still lead to a delay in the soft-start. Although, at present it is not possible to express the range accuracy of most PAM systems in numerical terms.
- PAM systems do not have a reliable range determination facility or can only calculate the range for some species. For e.g baleen whales vocalize at frequencies which are very difficult to detect using current PAM systems, whereas harbor porpoise utilize high frequencies (130 kHz) and thus has a very short acoustic detection range;

In such cases, the detection of a confirmed cetacean vocalization should still be used to initiate postponement of the soft-start if the PAM operator is able to make a judgment about the range of the animals from the airgun source, because of their experience gained in differentiating between distant and close vocalizations. In the absence of PAM systems capable of range determination, this expert judgment will constitute the basis for deciding whether an area is free from cetaceans prior to the soft-start.

- Animals do not vocalize all of the time and may pass by undetected;
- Interference with other maritime anthropogenic sound, such as boat nearby, engines, etc...

This last example serves to illustrate that it is in the operator's best interests to use the most accurate system available and to check with the PAM provider if the frequency range covered are adapted to local marine mammals.

### **Requirements for MMOs and PAMs**

The YWB blocks are located off the coast of Myanmar in the northern part of the Andaman Sea. The seismic campaign will be conducted within area located between latitudes 14°N and 15°N, and longitudes 95°E and 97°E. The amount of daylight is more than 12 hours a day between March (13<sup>th</sup>) and the end of September.

Considering JNCC guidelines and the sensitivity of potential marine mammals that may occur within the project area:

- One MMO should be appointed for the purpose of monitoring the implementation of the guidelines and undertaking visual observations to detect marine mammals during periods of seismic activity;
- A second MMO should be appointed for the mitigation actions in the following cases:
  - if the survey is carried out during the period of mid-March to end of September, being the migration period for sea turtles;
  - if the observation time required is more than 12 hours working-shift.
- One PAM system should be used to supplement visual observations.



## **8.2 COMMITMENT REGISTER WITH RESPONSIBILITIES**

The impact mitigation measures regarding the seismic survey activities have been outlined in SECTION 8 and in paragraph 6.5 and are listed in the commitment register below.



Table 8-4 Commitment register

Impacts	Mitigation measures	Type / Responsibility	Cost
Impact on marine mammals, sea turtles and fish	Implementation of marine mammals' observation monitoring by <b>qualified specialists</b> , maintaining an observation zone of 3 km and a mitigation/ exclusion zone of 2,800 m for all marine mammals (reduced to 800 m if the species are identified as being of low frequency sensitivity).	Polarcus via EMP	24, 000 USD ( 1 MMO, 1 PAM experts, daily rate 400 USD for one month)
	Observation zone will be enforced by the deployment of a series of <b>marine vessels</b> with radio communication capabilities. It is recommended that observers could be embarked on the support vessels to complement the visual monitoring of the main MMO. This is especially relevant during undershooting operations.	Polarcus via EMP	180, 000 USD (1 Supply vessel, daily rate 6000 for one month)
	Pre-shooting visual monitoring by MMOs on the vessel for at least 60 minutes prior to airgun firing to check for marine mammal presence in exclusion zone. This should be undertaken between line changes.	Polarcus via EMP	No specific cost allocated
	Implementation of soft start procedures, over a period of at least 20 minutes to allow marine mammals the time to leave the survey area including a mitigation air gun. This should be undertaken between line changes in the event the change time exceeds 20 minutes.	Polarcus via EMP	No specific cost allocated
	Prior to the commencement of seismic firing, if marine mammals are identified in the exclusion zone, the soft start procedure should be delayed until all mammals have left the exclusion zone. The soft start procedure should be initiated 20 minutes after the last sighting of the animal in the exclusion zone.	Polarcus via EMP	No specific cost allocated
	Passive acoustic monitoring to be implemented if sensitive areas or species are identified in the survey area, involving deployment of electronic detection equipment to detect presence of sensitive species or during night or poor visibility.	Polarcus via EMP	No specific cost allocated
Physical disturbance and physical presence	Solid streamer fitted with depth monitoring and control devices.	Polarcus	4,000,000 USD
	Best Available Technology to optimize duration of activities.	Polarcus	Already integrated in conception phase
	Regular continuous monitoring of survey area during daylight hours by a MMO will reduce collision risk between vessels and marine organisms.	Polarcus	No specific cost allocated



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Atmospheric emissions	On-going maintenance program to ensure equipment is in good working order.	Polarcus	Already integrated in Polarcus prerequisite
	Best available technologies to increase efficiency of combustion and incineration processes (Using low sulphur fuel which meets the maximum IMO sulphur cap of 3.5%).	Polarcus	159,000 USD ( 20 cu.mtrs/day Diesel consumption – 265 USD for 1 cu.mtrs)
Discharge to sea	Perform regular monitoring.	Polarcus	4000 USD for HSE audit ( 1 Auditor, 2 days rate including travel expenses)
	All vessels shall comply with MARPOL: bilge water segregated and treated to less than 15 mg/l prior to sea discharge.	Polarcus	Integrated in the Polarcus prerequisite
	Suitable sewage treatment units shall comply with Myanmar discharge limits and MARPOL.	Polarcus	Integrated in the Polarcus prerequisite
	Bilge water segregated and treated prior to sea discharge.	Polarcus	Integrated in the Polarcus prerequisite
	Survey ships equipped with sanitary wastewater treatment units.	Polarcus	Integrated in the Polarcus prerequisite
	Food waste milled and ground to a size of <25 mm in diameter prior to discharge.	Polarcus	Integrated in the Polarcus prerequisite
	Implementation of maintenance and monitoring program for the performance of sewage water treatment units.	Polarcus	Integrated in the Polarcus prerequisite
Hazardous and non hazardous wastes	Perform a Waste Management Plan, including appropriate reporting mechanisms for the treatment of wastes.	Polarcus	5000 USD for 2 times port's waste disposal charges (includ. Port call, clearance, offloading etc...)
	The incineration procedures shall comply with national and international standards and equipment will be the subject of regular maintenance. A follow-up report regarding the incineration of wastes will be submitted to Total E&P Myanmar.	Polarcus	Integrated in the Polarcus prerequisite and monitoring Integrated in HSE management of Total E&P Myanmar
	The crew will be trained to use waste management procedures.	Polarcus	Integrated in HSE management of Polarcus
	No solid waste will deliberately be released at sea. Combustible waste and non-hazardous waste can be incinerated on board. The other waste will be stored in appropriate containers and brought onshore to be supported by an approved company.	Polarcus / Total E&P Myanmar for onshore treatment	5000 USD for 2 times port's waste disposal charges (includ. Port call, clearance, offloading etc...)
Accidental releases	Shipboard Oil Pollution Emergency Plans (SOPEP).	Polarcus	-
	Crew trained in oil spill response procedures.	Polarcus	Integrated in HSE management of Total E&P Myanmar
	On-board antipollution equipment.	Polarcus	Integrated in the Polarcus prerequisite
	Modern navigation equipment to indicate the approach of other vessels complete by surroundings surveillance by chase boats.	Polarcus	Integrated in the Polarcus prerequisite
	Vessels must be sufficiently visible at night with all the appropriate devices.	Polarcus	Integrated in the Polarcus prerequisite
	Training of personnel.	Polarcus	Integrated in HSE management of Total E&P Myanmar



## Offshore Seismic Campaign YWB Block

## Mitigation measures for the Project – 11/14

Socioeconomic	Information to the contractor's employees during HSE or Project Inductions about the risks related to the presence of fishermen or commercial ships at the Project area and the mitigation measures planned.	Total E&P Myanmar/Polcarcus via ESMP	No specific cost allocated
	Communication and coordination with Myanmar marine authorities (MPA, DMA, Myanmar Navy and coastguards) and main fishery stakeholders (DoF and MFF) about the seismic location, schedule and duration (before, during and after seismic).	Polcarcus	No specific cost allocated
	Preparation of flyers on the seismic campaign in Burmese and Thai language.	Total E&P Myanmar via ESMP	No specific cost allocated
	<b>Recruitment of a Fisheries Liaison Officer</b> able to speak Burmese and Thai that will participate to the survey and handle encounters with Thai fishermen, solving any issues that could arise between these fishermen and the project.	Polcarcus	10,500 USD ( daily rate 350 USD for a month)
	Issuance of a notice to mariners about the seismic operation with the assistance of marine authorities.	Total E&P Myanmar/Polcarcus	No specific cost allocated
	Equipment of the seismic vessels with loud speakers to communicate with boats not equipped with radio.	Polcarcus	Integrated in HSE management of Polcarcus
	A scouting survey enabling to assess the fishery activity at the seismic area (localize fishermen and interview them to determine their origin, spot and count the fish traps installed in the area) should be conducted before the seismic starts even if there is a low probability of encounters with fishermen and of the presence of fish traps	Total E&P Myanmar/Polcarcus via ESMP	120,000 USD( 1 chase boat, daily rate 4000 USD for a month)
	Permanent exhibition of internationally recognized symbols during daytime and emission of light signal at night-time.	Polcarcus	No specific cost allocated
	Weekly communication with marine authorities on operations (date, position, issues, etc.).	Polcarcus	No specific cost allocated
	Use of chase vessels to monitor the presence of ships using radar or visual observation and keep them out of the seismic area.	Polcarcus	No specific cost allocated



## SECTION 9. CONCLUSION

The Total E&P Myanmar Project consists of a 3D seismic acquisition with a full fold area of 2,200km<sup>2</sup> in the YWB Block, situated at approx. 250km of the Myanmar coastline. The project is planned to begin on January 2015 and will last up to 3 months.

The principle of seismic acquisition is to use compressed air to generate underwater waves which reflect more or less quickly depending on the geological strata encountered beneath the sea. Processing of the reflected waves allows identifying potential sources of hydrocarbons in the subsoil. Total E&P Myanmar will use two seismic sources with an operating air pressure of 2,000psi (The total volume of source arrays anticipated reaches 3,600 cubic inches) towed by the seismic vessel. Twelve 6km-length solid streamers will be also towed in order to record reflected acoustic signals. The anticipated source pressure level (SPL, 0-P) will be 254 dB 1μPa.m<sup>-1</sup>.

The seismic vessel will be supported by two chase boats to identify any possible embarrassment to the seismic survey (as floating objects, for example). One supply vessel will be used for logistical needs.

GHG generated by the Project has been estimated at 13,403 tons eqCO<sub>2</sub>. Volumes of domestic and sanitary wastewater were estimated at 2,331m<sup>3</sup>. Deck and bilge waters were estimated at 170m<sup>3</sup> for the total duration of the Project, domestic waste at 42m<sup>3</sup> and hazardous and non hazardous waste at 390 tons.

During the forecasting period of the Project (January to April), average temperature should be between 25-28°C and very little precipitation is anticipated as it will be the Northeast Monsoon's period. Predominant wind direction in YWB should be North/North-East from November to April.

The project area is located in the continental slope at approx. 20km from the Martaban Canyon and 150km of the Alcock rise seamount. The nearest coastline is the Narcondam Island (258km).

The surface water is characterised by low salinity due to large freshwater influx from the Irrawaddy River which has also an impact on the local turbidity and chlorophyll-a concentration. No Environmental Baseline Survey has been performed in YWB block; nevertheless, the sediment quality is expected to be thick silty clays due to the large amount of sediment inputs of Irrawaddy River and the geomorphological context of the study area.

Richness of zooplankton in the study area is important with copepods, arrow worms, crab larvae, etc. According to the bibliographic research performed for this IEE, 34 pelagic fish species were identified by the IUCN as threatened (3 CR / 5 EN / 26 VU). All these species may potentially be found in the Project Area. Among the 29 marine mammals identified by IUCN in Myanmar's waters, 21 may be encountered in YWB Block (2 EN / 1 VU). 20 seabird species have been identified in Myanmar's waters. Even if most observation of sea turtles occurs in shallow waters, as the 5 main species are migrant they may be encountered in YWB Block.

Myanmar coastal environment has also been described in this IEE although the project area is located at approximately 250km off the Myanmar coastline.

It will therefore have limited relations with the human environment. The only socio-economic activities that may interact with the seismic operations are commercial marine traffic and large-scale fishery. However, interactions will be limited due to the low marine traffic density on the shipping lane that partially crosses the seismic area. Similarly, interactions with fishermen are expected to be low due to the small number of fishermen authorized to fish in the offshore fishery area (2,000, against 30,000 in the inshore fishery area), the location of the block, out of identified fishing grounds, and a recent ban on foreign fishing in Myanmar waters that further reduce the likelihood to encounter fishermen (apart from illegal fishermen).

The description of the environment has shown that cetaceans, fish and turtles are the most sensitive components of the project's environment.



### Offshore Seismic Campaign YWB Block

### Mitigation measures for the Project – 11/14

The main potential impacts of the project identified in this IEE are underwater noise generated by airguns on marine mammals and turtles. Other potential impacts identified are atmospheric emissions, generation of waste, liquid discharges into the sea, potential interaction with fishing activity and marine traffic. Impacts of the project on the human environment are expected to be of minor importance.

Mitigation measures are nevertheless planned in order to limit disturbances of marine users, in particular to decrease the risks of vessel collisions and damages to fishing gears. These measures range from information of various marine stakeholders on the project, to preparation of flyers to be distributed to fishermen encountered at sea during the operation and the preparation of the contractor's team to handle vessels approaching the seismic location.

Mitigation measures have been proposed in accordance with specific standards, as JNCC guidelines for cetacean's protection and MARPOL convention for the management of liquid discharges and waste.

Additional measures have been proposed in order to ensure the avoidance of impacts on marine mammals, taking into account their sensitivity to low and medium frequencies sound.

Finally, frameworks of the several Environmental and Social Management Plan have been proposed for the management and monitoring of proposed measures. A commitment register gives the role and responsibilities for the implementation of all the mitigation measures proposed in this IEE.

The impacts have been assessed and mitigation measures have allowed reducing, compensating or removing these potential impacts to make them acceptable.





# SECTION 10. ENVIRONMENTAL AND SOCIAL MANAGEMENT PLAN

## 10.1 INTRODUCTION

An Environmental and Social Management Plan (ESMP) and its specific procedures will be prepared by Total E&P Myanmar and all relevant contractors for the seismic activities.

This section briefly describes the Environmental and Social Management Plan (ESMP) relevant to the Total E&P Myanmar seismic acquisition project that will be prepared by Total E&P Myanmar and the seismic contractor, in accordance with the Environmental Management System and relevant (Health, Safety and Environment (HSE) specifications. It also incorporates the principles outlined in the General Specifications **GS-EP-ENV 001** (Environmental Requirements for Project Design and E&P Activities), **GS-EP-ENV-120** (Environmental Impact Assessment of E&P activities), and **GS-EP-SDV 102** (Social Impact Assessment) issued by the Exploration & Production branch of TOTAL group.

In compliance with these guidelines, this ESMP will include the following Management Plan:

- Waste Management Plan;
- Oil Spill Contingency Plan (SOPEP);
- Environmental Monitoring Program;
- Training Program;
- Environmental Audit Program;
- Social Management Plan, if relevant.

## 10.2 ROLES AND RESPONSIBILITIES

Environmental management will be supervised on board by a Company man representing Total E&P Myanmar. He will act as the RSES (Responsible Safety and Environment on Site) and will be nominated by TEPM General Manager.

RSES works in collaboration with the HSE coordinator within the contracted company which carries out the campaign. He directly report to TEPM Seismic Operation Superintendent and to Geosciences Manager for all aspects of HSE.

### 10.2.1 RSES

His role consists in:

- Ensuring the implementation of risk reduction and control of impacts procedures;
- Checking compatibility and simultaneous activities coordination;
- Imposing additional risk reduction measure more complete if the situation requires it;
- Supporting emergency situations control.



### 10.2.2 **Geophysical contractor role and responsibilities**

The ESMP for the exploration campaign will be prepared by the seismic contractor, in accordance with the requirements of Myanmar's regulation and TEPM.

Particular attention falls on the following aspects:

- Contractor selection in compliance with project standards;
- Communication on the ESMP key points;
- Sharing of information;
- Specific training;
- Method to assess and monitor environmental and social performance during operations.

The seismic contractor will designate an **HSE coordinator** within his teams. He/she will be in charge of all the environmental and social aspect; he/she will work in collaboration with the RSES. He/she will also be in charge of daily HSE organization and the implementation of the daily reporting to TEPM.

## **10.3 WASTE MANAGEMENT PLAN**

The objectives of the Waste Management Plan (WMP) are to provide a framework to ensure that the disposal of produced waste is performed under conditions that prevent significant impacts on the environment. WMP will include the list of produced waste, the classification, sorting and recording system until final disposal in compliance with local and international regulation.

The WMP will provide rules to collect, sort, classify, store and transport waste to a suitable disposal area. The main objectives of the WMP are to:

- Minimize the generation of waste material by judicious use of raw materials and reuse or recycling of materials, when feasible;
- Treat or dispose waste with a minimum impact on the surrounding environment, and;
- Enhance awareness of the staff on-site about proper waste management procedures.

The WMP prepared by geophysical contractor will be approved by Total E&P Myanmar. Particular attention falls on the respect of national regulation and MARPOL (Appendix A).

The Garbage Management Plan is requested by the MARPOL Convention.

**The Garbage Management Plan from Polarcus, the seismic survey operator chosen by TEPM, is presented in APPENDIX I . This Garbage Management Plan states:**

***“In following this procedure we ensure our vessel follows the requirements of MARPOL 73/78 and amendments and ‘Our Commitment to the Environment’ to prevent:***

- ***Mishandling of shipboard garbage which could,***
  - o cause unsanitary conditions,***
  - o spread disease***
  - o attract rodent / insect infestation***
- ***Mishandling of shipboard garbage which could cause further damage / burden the ecosystem and environment in which we live.***
- ***Breach of the MARPOL Regulations, potentially threatening the company Explore Green agenda and Polarcus reputation.***

***Polarcus accepts the responsibility for tracking our generated waste through to its final destination.”***



10.3.1 **WMP key points**

10.3.1.1 **Waste classification**

Waste is classified into two main groups according to danger criteria: hazardous waste and non-hazardous waste. Non-hazardous waste are household waste and similar from industrial activity. Hazardous waste are waste creating nuisance due to flammability, reactivity, corrosiveness, toxicity to humans and the environment, and requiring careful and controlled disposal.

**Note that seismic survey does not generate specific waste: generated waste (hazardous and non-hazardous) is similar to those of a merchant ship of similar size.**

Table 10-1 Waste streams to be encountered during Total E&P Myanmar operations

Non-hazardous wastes	Hazardous wastes
Scrap metal, wood, paper, cardboard, etc. (combustible and incombustible). General packaging materials. Domestic waste.	Chemical residues, paints, spent oils. Contaminated packaging materials. Special maintenance waste, batteries, filters and other. Medical waste, etc. Combustion residues. Cartridges from photocopiers or printers, etc.

10.3.1.2 **Waste identification, quantification and monitoring**

An inventory of generated waste shall be kept updated. In order to obtain an effective waste monitoring, the following actions shall be carried out:

- Label waste containers;
- Estimate the tonnage of each waste collected into each type of containers;
- Control subcontractor in charge of waste handling, transport and disposal. Thus, the final destination of each category of waste will be verified and validated by Total E&P Myanmar.

Registers will be used to record and track all waste generated by the vessel. Waste transfer and treatment will be monitored until final disposal.

As per MARPOL Convention, the vessel will maintain a garbage record book onboard.

The boat should be equipped with a scale to weight the waste in order to ensure a proper reporting to TEPM.

10.3.1.3 **Waste collection**

All waste must be collected and disposed of in appropriate bins / skips / containers. The waste collection principles include:

- Incompatible / inter-reacting products should not be mixed (e.g. spent oils and waste paints) under any circumstances.
- Personnel will be trained in waste sorting and collection (adapted to position and to waste generated).

In line with Total E&P Myanmar standards the following typical waste segregation scheme will be implemented:

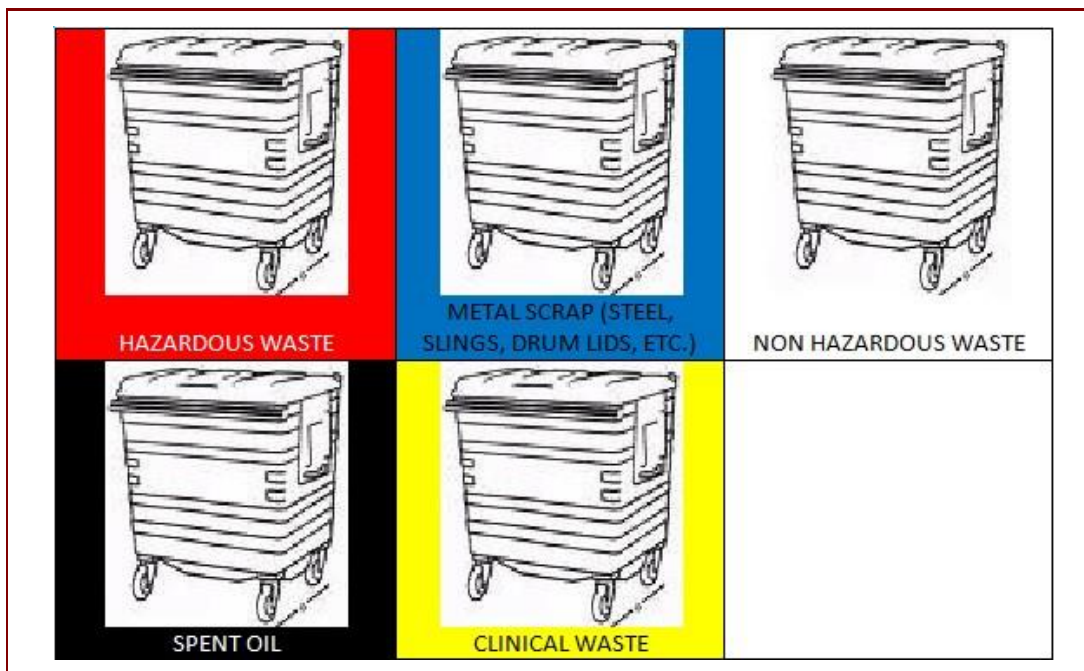


Figure 10-1 Waste segregation scheme

SOURCE: Total E&amp;P MYANMAR

#### 10.3.1.4 Waste treatment and disposal

If relevant, means of transportation must be adapted to the transported waste type. All hazardous waste transfer must comply with Myanmar's regulation or IMO if not existing. To ensure traceability of waste treatment, a monitoring register will be established by the operator, describing the types of waste, quantity, storage location and the treatment sector used.

A report will be submitted to the RSES, according to the required frequency (weekly). It will contain waste produced types and quantities, disposal method for each type of waste and costs. In accordance with regulation 9 of annex V of the International Convention of Pollution from ships, 1973, as modified by the Protocol of 1978 (MARPOL) a record is to be kept of each discharge operation or completed incineration. This includes discharges to the sea, to reception facilities or to other ships.

#### 10.3.2 Wastewater

Wastewater produced by living quarters must be treated before discharges to sea. Vessel in operation has treatment equipment onboard and is operating according to MARPOL, Annex IV - Regulations for the Prevention of Pollution by Sewage from Ships. The WMP will include a procedure which explains wastewater treatment process:

- Identification discharge points and associated environmental issues;
- Defining discharges objectives in terms of quantity and quality (in accordance with national/international regulations and Total Exploration & Production standards);
- Defining responsibility and resources for measures, recording and discharges reporting for all facilities in operation;



- Defining material and procedures used for discharges treatment, in order to respect predefined threshold values.

#### **10.4 OIL SPILL CONTINGENCY PLAN (SOPEP)**

The contractor will submit to Total E&P Myanmar a plan for approval which includes procedures for the control of oil and chemicals spills.

For vessels over 400 tons, the plan already exists under the name **SOPEP: Ship Offshore Pollution Emergency Plan**, in accordance with MARPOL. All Oil Spill Prevention measures that are listed in existing procedures are to be followed at any time to avoid Oil Spills. Particular attention is to be paid to the Oil Spill prevention measures mentioned in the procedures for refueling at sea. If an oil spill does occur, the approved SOPEP plan is to be followed and the reporting of any oil spill, regardless of quantity, is to be communicated to Total E&P Myanmar.

Minor spills will be managed by cleaning instructions and absorbent products utilization to contain any accidental spillage (oil / chemicals).

**The Oil Spill Procedure from Polarcus, the seismic survey operator chosen by TEPM, is presented in APPENDIX J .**

#### **10.5 ENVIRONMENTAL MONITORING PROGRAM**

The main concerns of this EMoP are the acoustic impacts of seismic activities on marine fauna, and the implementation of adequate monitoring procedures to minimize potential risks by the implementation of a marine mammal observation program.

##### **10.5.1 Guiding principles**

The main goals of the EMoP are as follows:

- Manage and minimise the acoustic impacts to marine fauna via the implementation of appropriate management and monitoring procedures;
- Check the overall effectiveness of design and operational procedures in protecting the environment;
- Comply with regulations, standards, compliance programmes;
- Detect sudden environmental changes;
- Measure physical disturbance and subsequent recovery following the end of operations;
- Assess impact and recovery following accidents and incidents;
- Confirm that environmental equipment and procedures are effective and suitable; and
- Compare observed impacts with those predicted in the IEE.

##### **10.5.2 Marine mammals observation**

A Marine Mammal Observer (MMO) program will be implemented according to best practice in the oil and gas industry during survey operations. One qualified Marine Mammal Observer (MMO) will be located on-board (one in the survey vessel and two others observers trained will be in the support vessels upstream the seismic vessel) and will monitor the presence of marine life within the following shutdown zones:

- 2,800 m for cetaceans sensitive to low frequencies (e.g. whales species), which are likely to be animals with a length greater than 8 meters (up to 33 m);



- 800 m for cetaceans sensitive to middle/high frequency (e.g. dolphins and some whales species), which are likely to be animals with length ranging from 1 to 8 meters.

It must be noted that the radius may be adapted if tests will be done in order to measure the sound pressure level.

The monitoring procedure set up by Total E&P Myanmar will be developed on the basis of the recommendations of the Joint Nature Conservation Committee (JNCC and taking into account specific technical and biological research on the subject used in this IEE.

An environmental monitoring register will list all observations of marine fauna (fish, turtles, birds, etc.) while the observation sessions on board. It will complement the logbook of marine mammal observers.

#### 10.5.2.1 MMO capabilities

The MMO should be suitably qualified with:

- Specific knowledge of identification of main species of marine mammals, sharks, marine birds and marine turtles;
- Knowledge of good practices for MMO surveys in oil and gas activities;
- General knowledge of marine mammal behaviour;
- General knowledge of marine mammal and turtle sensitivity to sound;
- General knowledge of the Environmental Management System of Total E&P Myanmar and other HSE procedures.

The implementation of this MMO program as a sustainable development strategy may present an opportunity to develop new proficiencies and capacities to transfer this knowledge from Total E&P Myanmar to local government agencies.

In this capacity, the observation reports of marine mammals may be sent to the associations and the scientific community which might be interested.

#### 10.5.2.2 Procedures

**The observers** - The observers must be able to carry out the task of detecting, tracking and identifying marine organisms. However, it is preferable that other workers involved in the survey and present on board each source vessel have some understanding of the process in order to support the implementation and the coordination of the observation procedure.

The observer is to be located on an observational platform (the height depends on the vessel) and should be in direct communication with the MMO, party chief and if necessary client representative of the seismic survey.

**The observation** - The activities of the MMO should occur throughout the day, from the departure of the vessels, so that the MMOs gain experience and increase identification capacities.

Equipment for marine mammal observation should include marine binoculars, camera, laptop and identification keys of marine mammals.

Before the start of seismic survey activities, the MMO/observers must observe the horizon within a radius of approximately 3 km (observation zone) of the source vessels to ensure the absence of marine mammals within a radius of 2,800 m (shutdown zone); this zone may be reduced to 800 meters if the MMO can identify that the species in question is sensitive to middle and high frequencies. If a marine mammal is sighted in the shutdown zone before the start of the shooting, the observer must delay the seismic activity until the marine mammal has left the shutdown zone. The observation will continue until the marine mammal is outside the established zone perimeter.

Due to the potential severity of impacts on marine mammals, it is critical to incorporate the following components into seismic survey activities:

- Use of "soft-start" procedures especially during bad weather or during night;



- The constant watch for marine mammals before the start of activities;
- The delay between seismic observation and shooting in the event that marine mammals are located in the vicinity of the vessel;
- Use of vessel staff as supplementary marine mammal observers to support MMO (surveillance and communication purposes only).

The table below summarizes waiting time and specific mitigation measures proposed for Total E&P Myanmar seismic campaign.

**Table 10-2 Synthesis of mitigation measures for underwater noise generated during seismic survey**

Mitigation measures	Seismic campaign recommendation
Marine mammal monitoring requirement	<u>Day</u> : observer(s) required; Passive Acoustic Monitoring – PAM may be recommended;(1) <u>Night</u> : Passive Acoustic Monitoring – PAM (1)
Duration of pre-operations monitoring period	60 minutes (1)
Shutdown zone (or « mitigation zone » or « exclusion zone »)	<ul style="list-style-type: none"> <li>• 2,800 m (2) for low-frequency sensitive cetaceans (size 8 to 33 m);</li> <li>• 800 m (2) for medium/high frequency sensitive cetaceans (size 1 to 8 m).</li> </ul>
Length of soft start	At least 20 minutes and no longer than 40 minutes (1)
Delay of soft start after last detection of marine mammal in mitigation zone	30 minutes (1)

Sources:

- (1) *Good practice recommended by English governmental organization - Joint Nature Conservation Committee' (JNCC);*
- (2) *Radius calculated from criteria developed by Southall work: Marine Mammal Noise Exposure Criteria: Initial Scientific Recommendations, Supported through Joint Sponsorship by the European Association for Aquatic Mammals, the Alliance of Marine Mammal Parks and Aquariums, and the International Marine Animal Trainer's Association, Southall et al, 2007.*

**10.5.2.3 Reports**

Daily reports should be completed in accordance with JNCC (2010) guidelines.

A marine mammal observation monitoring form should be available to the MMO for inclusion in an observational report. The observations regarding marine mammals, sea turtles and birds are required by the JNCC (2010) include:

- Location (latitude and longitude), distance from vessel;
- Identification and determination of species, sex and size;
- The behaviour of animals for each consecutive observation;
- Meteorological data (weather conditions, state of the sea, winds) and coverage should be recorded during each period.



The monitoring form will generally comprise tables such as those presented below. The contractor shall take into account any survey-related recommendations made during the monitoring. All observations of marine mammals and/or turtles shall be recorded in a log book and appropriate action taken in compliance with the specific instructions defined by Total E&P Myanmar.

Table 10-3 MMO Monitoring Form: Location and effort

Date	MMO Initial	Observation time	Vessel Position (Lat/Long)	Wind (Direction & Speed)	Wave height (m)	Visibility
		Start				
		End				

Table 10-4 MMO Monitoring Form: Record of operations

Date	Initials MMO	Start MM observation	End MM observation	Duration of Marine Mammal Watch	Shoot Duration while Marine mammals visible	Start/End Position	Sea state G = glassy S = slight C = choppy	Swell O=low (<2 m) M=medium (2 - 4 m) L=large (> 4 m)	Visibility P=poor (< 1 km) M=moderate (1-5 Km) G=good (> 5 km)

Table 10-5 MMO Monitoring Form: Record of sighting

Date:		Time (GMT):	
How did this sighting occur? While you were keeping a continuous watch for marine mammals. Spotted incidentally by you or someone else. Other (please specify) :			
Ship:		Observer:	
Ship's position (latitude and longitude):		Water depth (meters):	
Species:		Certainty of identification <i>Definite / probable / possible</i>	
Total number:		Number of adults: Number of juveniles:	
Description (include features such as overall size; shape of head; color and pattern; size, shape and position of dorsal fin; height, direction and shape of blow hole):		Photograph or video taken Yes / No	
		Direction of travel of animals in relation to ship (draw arrow):	
Behaviour:		Direction of travel of mammals (compass points):	
Activity of ship:	Air-gun Yes / No	Closest distance of mammals from vessel (meters):	
Video, photographic and sound file reference:			

Following the survey, the Marine Mammal Observer report will be provided to MOECAF by TEPM.





**10.5.2.4 Support vessels to supplement MMOs**

The observation zone of the MMO during the 3D seismic acquisition survey will be approximately of 3 km. Adoption of this observation zone will allow a buffer time for the observation team before their possible encroachment of the exclusion zone limit, permitting determination of species, identification of applicable exclusion zone and subsequent responses from the seismic source crews.

The maintenance of the observation zone will be undertaken by the deployment of at least two support vessels being located ~4 km ahead the seismic vessel with radio communication capabilities will supplement the visual monitoring of MMO.

Figure 8-2 illustrates the proposed shut-down and observations zones proposed for the project to mitigate the impact on marine mammals and also on marine traffic.

Prior the beginning of the seismic survey, a crew member of each support vessel will be chosen to be trained by the principal MMO in order to understand the mitigations actions. The training session will explain the main marine mammal sensitivity to seismic sound and will define the reporting procedure if a marine mammal is sighted within the observation zone of the support vessel observer.

**10.5.3 Effluent discharge monitoring**

All liquid effluents shall be identified, collected, treated and monitored. Monitoring devices will be installed on all liquid effluent lines. For an offshore seismic operation, typical liquid effluents mainly include wastewater.

Table below shows an example of a typical monitoring program applicable to an offshore seismic survey project regarding effluent discharge at sea during seismic activities, as well as the frequency of monitoring.

Table 10-6 Seismic survey discharge monitoring program

Discharge	Monitoring requirement	
	Parameter / effluent characteristics	Monitoring frequency
Deck Drainage	Volume	Once per hour when discharging
	THC	Once a day during discharge
Sanitary Sewage	Distance to the coast	At each discharges
	Approbation of the onboard treatment plant	Before the beginning of the seismic campaign
Spill	Volume	Once per hour when discharging

**10.5.4 Atmospheric emission monitoring**

Atmospheric emissions for an exploration project consists of gaseous emissions related to seismic and support vessels. GHG emissions regarding to the seismic project include:

- Carbon dioxide (CO<sub>2</sub>);
- Nitrous oxide (N<sub>2</sub>O).

These gases shall be monitored and reported in accordance with relevant Total E&P Myanmar and national/International standards.

Other gaseous emissions (not GHG) include:

- Nitrogen oxides (NO<sub>x</sub>);



- Sulphur oxides (SO<sub>x</sub>); and
- Volatile Organic Compounds (VOCs).

In order to minimize the emission of these gases into the atmosphere, Total E&P Myanmar shall adopt the following strategies:

- Choice of recent ships, equipped with powerful engines.
- Selection of utility fuels with the lowest possible sulphur content.

## **10.6 TRAINING PROGRAM**

Conscientious planning and good project design are not sufficient to ensure right environmental and social management if the staff on board does not apply environmental good practices. A training program for staff about respect of the various environmental procedures will be prepared by the contractor in charge of the seismic campaign and will be submitted to Total E&P for approval. It will be consistent with the significant environmental aspects and impacts associated with the proposed exploration activities. The training will take place on board, with the assistance of HSE supervisor.

The training sessions may take the form of toolbox and will broach the following topics:

- Environmental policy;
- Waste management procedures;
- Discharges (air and liquids) management;
- Dangerous chemicals management, including SOPEP implementation in case of accidental spillage;
- Regulatory and socio-economic aspect management;
- Identification and treatment of non-compliance, etc.

## **10.7 ENVIRONMENTAL AUDIT PROGRAM**

An Environmental audit program will be written in compliance with Total E&P Myanmar and will include:

- Identification of deviations from regulatory standards and contractual requirements identified;
- Evaluation of the various plans implementation efficiency, devices and mitigation measures identified in this study;

The Total E&P Myanmar Supervisor, with the seismic contractor HSE coordinator, will be responsible for the implementation of internal environmental audits on environmental good practices. He will be responsible for preparing environmental reports to the authorities, if any.

Audits will be provided during the various exploration phases:

- Before starting operation, an HSE marine seismic operation specialist mandated by Total E&P Myanmar will carry out an audit on the contracted vessels. This audit enables the specialist to review environmental procedure at the beginning of the project. The HSE specialist will be accompanied by the HSE coordinator and the quality controller (Total E&P Myanmar) in charge of the technical supervision of the seismic acquisition.
- Regular audits (monthly for example) will be conducted by the HSE supervisor to ensure that the monitoring, control and intervention on board are in agreement with the recommendations of the ESMP.

Total E&P will inform the MOECA if an incident is observed.



## **10.8 SOCIAL MANAGEMENT PLAN**

### **10.8.1 Stakeholder engagement plan**

Total E&P Myanmar shall implement the stakeholder engagement plan prepared in SECTION 7 of this document. The purpose of this plan is to create an open and transparent dialogue and minimize any adverse impact of the project on these stakeholders. Total E&P Myanmar shall develop further this plan, integrating other stakeholders that the company may identify during the project implementation.

The specific objectives and actions this plan shall follow are to:

- Establish appropriate communication channels with authorities (MoE, MOECAP, DoF, MPA, DMA, etc.) and other stakeholders relevant to the Project (such as those having commercial or environmental interests in marine areas) prior to the beginning of the operation;
- Maintain a positive relationship based on dialogue and transparency with all these stakeholders;
- Prior to the beginning of the seismic campaign, inform them about the exact seismic location, schedule and duration through e-mails, phone calls or personal meetings;
- Inform them during the seismic campaign and once it is completed about major socio-economic issues encountered, if any;
- Develop a grievance mechanism based on Total E&P corporate policies (and especially GM\_EP\_SDV\_202);
- Record, report and solve grievances made by stakeholders related to any impact or incident occurring during the performance of the operations;
- Offer to share valuable information acquired during the seismic (such as results of bathymetry surveys) with stakeholders who might have an interest in having them (NGOs involved in marine ecosystem protection, fishermen, government agency, etc.);

For the purpose of guaranteeing smooth operations and minimizing issues that could rise from encounter with fishermen or commercial ships at the Project location, additional measures are recommended to be implemented by Total E&P Myanmar and the Contractor:

- Total E&P Myanmar shall prepare the Job Description of the Fisheries Liaison Officer and request he provides Total E&P Myanmar with regular (daily or weekly) reports;
- Total E&P Myanmar shall prepare leaflets in Burmese and Thai languages explaining the seismic and its main hazards for fishermen, and the contractor shall distribute it to all fishermen encountered in the area during the Project implementation;
- Total E&P Myanmar shall inform the contractor's employees during HSE or Project Inductions about the risks related to the presence of fishermen or commercial ships at the Project area and the mitigation measures planned to limit these risks;
- The Contractor shall recruit a Fisheries Liaison Officer speaking Burmese and Thai language. This Officer should stay on board during the operation in order to handle any issue that may arise with fishermen in the area, whether they are from Burmese or Thai nationality;

### **10.8.2 Marine traffic safety plan**

Safety of marine users that may be affected by Total E&P Myanmar seismic operations shall be guaranteed by the application at all times of international marine safety standards established by the International Maritime Organization (IMO) and in particular the **International Convention for the Safety of Life at Sea (SOLAS)**. Total corporate marine safety policy shall also be applied by the seismic contractor.

Additional measures should be implemented by the contractor to limit the risks of vessel collisions, covering:

- Speed limit respect;
- Permanent exhibition of internationally recognized symbols during daytime and emission of light signal at night-time;



- Equipment of the seismic vessels with loud speakers to communicate with boats not equipped with radio;
- Weekly communication with marine authorities on operations (date, position, issues, etc.);
- Defined procedures to be implemented in case of an accident where another marine user is affected (fishermen, cargoes, tankers, etc.).

## 10.9 EMERGENCY RESPONSE: CYCLONE AND TSUNAMI RESPONSE MEASURES

**Tropical cyclones have the potential to cause damage to survey equipment, present a risk to the safety** and health of survey personnel and have the potential to cause spills of hazardous materials into the environment from damaged vessels. The YWB Block 3D seismic survey is scheduled to commence in March 2016, with an expected duration of about 1 month. Therefore, the survey is supposed to be out of the cyclone season in this area (starting in May).

Polarcus has developed and implemented an “Extreme Weather Procedure” for all seismic surveys utilising its vessels. This procedure will be applied during the YWB Block seismic survey. During the survey, the procedure will be implemented in the event of an approaching cyclone. If a cyclone looks to be forming within the region of the survey, support and chase vessels will depart from the YWB Block location for safer waters. Depending on the situation, the survey vessel may also retrieve the towed seismic equipment (airgun arrays, vanes, streamers, tail buoys etc.) and in a worst case scenario proceed to the nearest port away from the expected track of the approaching cyclone.

The Polarcus’ “Extreme Weather Procedure” is available in APPENDIX K

**Tsunamis do not represent a threat to vessels in offshore deep waters well away from inshore, shallow water, coastal areas and shorelines.** In the deep ocean, destructive tsunamis can be small—often only a few feet or less in height—and cannot be seen nor can they be felt by ships. Tsunami wave energy extends from the surface to the seabed in the deepest waters, but, as the tsunami reaches shallower coastal waters, wave height can increase rapidly. As the tsunami reaches the coastline, the wave energy is compressed into a much shorter distance creating destructive, live-threatening waves.



## **SECTION 11. RESPONSIBLE PERSONS & COSTS FOR ESMP IMPLEMENTATION**

The commitment register developed in Table 8-4 list the comprehensive measures proposed in this IEE and give the responsible for each measure to be monitored. When possible, an estimation of the cost/measure has been given.



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# SECTION 13. APPENDICES



Offshore Seismic Campaign YWB Block

Appendices – 11/14

**APPENDIX A** Policy, legal and administrative Framework

## LEGAL FRAMEWORK

### Environmental Institutions and Strategies

The National Environmental Conservation Committee (NECC) was reformed in April 2011, as the central organisation for the national environmental management in Myanmar. The Ministry of Environmental Conservation and Forestry (MOECAF) was upgraded in place of Ministry of Forestry in September 2011 as the focal and coordinating agency for the overall environmental management. The Environmental Conservation Department under the MOECAF (Cf. figure below) is no set up to effective implementation of environmental conservation and management in Myanmar.

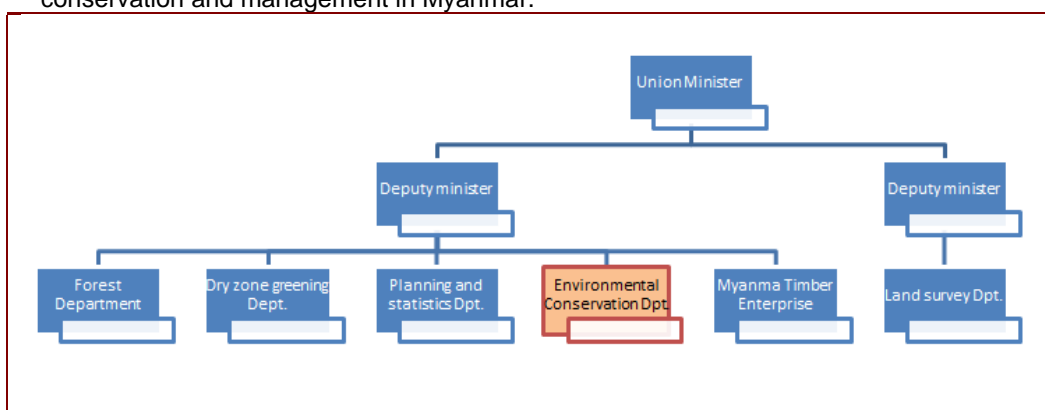


Figure 1 Organisational structure of MOECAF

### ENVIRONMENTAL CONSERVATION DEPARTMENT

The Environmental Conservation Department, one of the six departments under the Ministry of Environmental Conservation and Forestry is responsible for implementing National Environmental Policy, strategy, framework, planning and action plan for the integration of environmental consideration into in the national sustainable development process. ECD also manages natural resources conservation and sustainable utilization, the pollution control on water, air and land for the sustainable environment. It cooperates with other government organizations, civil society, private sectors and international organizations concerning with environmental management.

The main ECD ongoing actions are the following:

- To develop legislation related to regulations, guidelines and procedures;
- Coordinate for environmental conservation activities;
- To develop plans of climate change mitigation and adaptation, of combat to desertification and ozone layer protection;
- To do national report in relation with international agreements.

### Myanmar environmental legislation affecting the project

The laws which currently exist in Myanmar are generally broad and not specific. Detailed implementing legislation does not exist to deal with specific issues such as waste management, land use and biodiversity protection. Myanmar has no specific laws to govern air and water pollution. However, there are a number of discrete laws relating to environmental management in the Union of Myanmar.

## THE ENVIRONMENTAL CONSERVATION LAW (30TH MARCH, 2012)

The objective of this Law is to implement the Myanmar National Environmental Policy, enabling to lay down the basic principles and to give guidance for systematic integration of environmental conservation matters in the sustainable development process.

An Environmental Conservation Committee is formed. It requires the Ministry to cooperate and give technical advice for environmental conservation to the department managing natural resources. The committee and the ministry can take “necessary measures relating to an environmental emergency”.

The 7th article confers the following powers and functions on the Ministry:

- To set environmental quality standards
- To prescribe emission standards
- To formulate EIA and SIA systems
- To ensure the polluter pays principle
- To issue rules, regulations and by-laws as may be necessary with the approval of the Union Government

The purpose is to assess if a project to be undertaken causes a significant impact on the environment. The ministry may, with the approval of the Union Government, require obtaining the prior authorization to carry projects which may damage the environmental quality.

**On the basis of this law, EIA rules have been issued in draft by the Myanmar administration.**

## THE LAW AMENDING THE PROTECTION AND PRESERVATION OF CULTURAL HERITAGE REGIONS LAW (2009)

This amendment is concerned with revising fine for failure to abide by this law.

## THE STATE SUPPLEMENTARY APPROPRIATION LAW (2009)

This law is concerned with sanctioned allotment and administration of supplementary expenditures and taking of loans for the respective persons mentioned in this law.

## NATIONAL SUSTAINABLE DEVELOPMENT STRATEGY (2009)

This law is concerned with the sustainable management of natural resources, integrated economic development, and sustainable social development.

## THE LAW AMENDING THE PORTS ACT (2008)

11. Sub-section 2 of section 21 of the Ports Act shall be substituted as follows:

“(2) Any person who by himself or another so casts or throws any ballast or rubbish or any such other thing or so discharges any oil or water mixed with oil, or the master of any vessel from which the same is so cast, thrown or discharged, shall be punishable with fine not exceeding fifty thousand kyats, and shall pay any reasonable expenses which may be incurred in removing the same.”

## THE LAW AMENDING THE TERRITORIAL SEA AND MARITIME ZONE LAW (2008)

After clause 3 of the annex to the Territorial Sea and Maritime Zone Law, clause 4 and clause 5 have been inserted with new Coordinates which has no impact on the offshore installations of TEPM (and mostly confined to areas adjacent to Bangladesh).

## CONSERVATION OF WATER RESOURCES AND RIVERS LAW (2006)

Section 6 outlines prohibitions for the following activities:

- “No person shall anchor the vessels where vessels are prohibited from anchoring in the rivers and creeks.
- No person shall dispose of engine oil, chemical, poisonous material and other materials which may cause environmental damage, or dispose of explosives from the bank or from a vessel which is plying, vessel which has berthed, anchored, stranded or sunk.
- No one shall dispose of any substance into the river-creek that may cause damage to waterway or change of watercourse from the bank or vessel.”

The aims of this Law are as follows:

- to conserve and protect the water resources and river systems for beneficial utilization by the public
- to smooth and enhance safety of waterways navigation along rivers and creeks
- to contribute to the development of State economy through improving water resources and river systems
- to protect environmental impact.

The empowerment of this Law is provided to the Ministry of Transport for controlling navigation of vessels in the rivers and creeks as well as communicating with local and foreign government and organizations for conservation of water resources, rivers and creeks. Also, to carry out conservation works for water resources, rivers and creeks, in accordance with the relevant international conventions, regional agreements and bilateral agreements for environmental conservation.

### **RULES ON PROTECTION OF WILDLIFE, AND PROTECTED AREA CONSERVATION LAW (2003)**

This law has been created to establish a procedural framework for the 1994 Protection of Flora and Fauna, and Protected Area Conservation Law.

### **MYANMAR AGENDA 21 (1997)**

The Myanmar Agenda 21 encompasses a broad range of sectors and issues. Building on the National Environment Policy, the agenda takes into consideration the programme guidelines found in the global Agenda 21 and is aimed at strengthening and promoting systematic environmental management in the country. Most importantly, the Myanmar Agenda 21 makes recommendations for the drafting and promulgation of a framework law which can further promote the integration of environmental and developmental concerns in the decision-making processes of the country.

The Myanmar Agenda 21 contains guidelines to address the following issues:

- increasing energy and material efficiency in production processes;
- reducing wastes from production and promoting recycling;
- promoting use of new and renewable sources of energy;
- using environmentally sound technologies for sustainable production;
- reducing wasteful consumption;
- increasing awareness for sustainable consumption.

### **NATIONAL ENVIRONMENT POLICY (1994)**

The National Environment Policy is reproduced below:

“To establish sound environment policies, utilisation of water, land, forests, mineral, marine resources and other natural resources in order to conserve the environment and prevent its degradation, the Government of the Union of Myanmar hereby adopts the following policy: The wealth of the nation is its people, its cultural heritage, its environment and its natural resources. The objective of Myanmar’s environmental policy is aimed at achieving

harmony and balance between these through the integration of environmental considerations into the development process to enhance the quality of the life of all its citizens. Every nation has the sovereign right to utilise its natural resources in accordance with its environmental policies; but great care must be taken not to exceed its jurisdiction or infringe upon the interests of other nations. It is the responsibility of the State and every citizen to preserve its natural resources in the interests of present and future generations. Environmental protection should always be the primary objective in seeking development.”

### **THE PROTECTION OF WILDLIFE AND CONSERVATION OF NATURAL AREAS LAW (1994)**

Government policy for wildlife protection, conservation of natural areas, protection and conservation of wildlife ecosystems and migratory birds, protect endangered species and their natural habitats. Chapter 11 outlines the penalties “*causing water and air pollution, causing damage to a water-course or putting poison in the water in a natural area*”, and “*possessing or disposing of pollutants or mineral pollutants in a natural area*”.

### **UNION OF MYANMAR MARINE FISHERIES LAW (25 APRIL 1990, AMENDED 1993)**

This law places restrictions on marine fisheries, including punishments for non-compliances. The relevance of this law to the offshore component of the Yadana project is that it places restriction on pollution: “*No person shall dispose of living aquatic creatures or any material into the Myanmar Marine Fisheries Waters to cause pollution of water or to harass fishes and other marine organisms.*”

### **TERRITORIAL SEA AND MARITIME ZONES LAW (1977)**

The Union of Myanmar has exclusive jurisdiction for the construction, maintenance or operation of offshore terminals and exclusive jurisdiction to preserve and protect the marine environment, and to prevent and control marine pollution.

### **PUBLIC HEALTH LAW (1972)**

Section 9 of this law empowers the Government to carry out measures relating to environmental health, such as garbage disposal, use of water for drinking and other purposes, radioactivity, protection of air from pollution, sanitation works and food and drug safety. However, detailed provisions do not exist to ensure more effective and comprehensive regulation of these areas.

### **PENAL CODE (1 MAY 1961, AND EXTENDED TO PUBLIC HEALTH LAW IN 1972)**

The penal code is mainly concerned with public health; it is considered an offence to “voluntarily corrupt or foul the water of any public spring or reservoir so as to render it less fit for the purpose for which it is ordinarily used”, or to pollute the atmosphere arising from smoke, fumes, noxious odours, dust particles, noise and radioactive substances.

The only control of water pollution in the country is through guidelines issued in June 1994 by the Myanmar Investment Commission. These guidelines require that new projects, from both foreign and private investments, have waste water treatment plants or systems.

### **THE PETROLEUM ACT (1934)**

The Petroleum act is concerned with regulation of the production, storage and transport of oil so as not to cause pollution and fire.

### **THE OILFIELDS ACT (1918)**

This act provides clarification on activities within the oil and gas industry, and provides the Government with the power to define and alter limits of any notified oilfield. In addition, the Government may make rules for regulating all matters connected with many operations



related to the extraction of oil and/or gas. The Act also provides guidance and issues such as preventing oil and gas wastes, reporting of fires, accidents and other occurrences and regulating the collection and disposal of both oil and gas.

### **Environmental and Social Impact Assessment Legislation**

The objective of this Law is to implement the Myanmar National Environmental Policy, enabling to lay down the basic principles and to give guidance for systematic integration of environmental conservation matters in the sustainable development process.

An Environmental Conservation Committee is formed. It requires the Ministry to cooperate and give technical advice for environmental conservation to the department managing natural resources. The committee and the ministry can take “necessary measures relating to an environmental emergency”.

The 7th article confers the following powers and functions on the Ministry:

- To set environmental quality standards
- To prescribe emission standards
- To formulate EIA and SIA systems
- To ensure the polluter pays principle
- To issue rules, regulations and by-laws as may be necessary with the approval of the Union Government

The Environmental Conservation Law lays down the basic principles and guidance for systematic integration of environmental conservation matters in the sustainable development process. The purpose is to assess if a project to be undertaken causes a significant impact on the environment. The ministry may, with the approval of the Union Government, require to obtain the prior authorization to carry projects which may damage the environmental quality.

On the basis of this law, EIA rules are actually under construction by the Myanmar administration.

## **INTERNATIONAL AGREEMENTS AND CONVENTIONS**

The Union of Myanmar is signatory to the following international conventions and protocols:

- Asia Least Cost Greenhouse gas Abatement Strategy (ALGAS), 1998
- Convention on Climate Change, 1992.
- International Convention on the Prevention of Pollution from Ships, 1973 as modified by the protocol of 1978 (MARPOL 73/78) with the exceptions of Annex III (packaged substances), Annex IV (sewage) and Annex V (garbage).
- Montreal Protocol on Substances that Deplete the Ozone Layer, 1989.
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- London Amendment to the Montreal Protocol, London 1990.
- United Nations Convention on Law of the Sea, 1986.
- Convention on Biological Diversity, Rio de Janeiro, 1992.

The domain of action of these conventions is summarised in **Appendix C**.

The Myanmar government has not signed Annexes IV and V of the MARPOL convention which relate to garbage and sewage disposal. However these guidelines have been adopted as a standard for pollution control and are in line with international policy, which has already been adopted into TOTAL and TEPM design and operational procedures.

## **INTERNATIONAL ENVIRONMENTAL GUIDELINES AND STANDARD**

An increasing volume of environmental guidelines and principles has been issued by relevant international organisations over the last two decades. More recently, one trend of international law development is the increasing use of cross reference to complement working guidelines and standards developed by competent organisations. For instance, the reference to "generally accepted international standards" in the Law of the Sea Convention, and "guidelines and standards adopted by the competent international organisation" in the Barcelona Offshore Exploration Protocol.

### **International organizations**

#### **WORLD BANK AND IFC**

Founded in 1944, the World Bank Group is one of the world's largest sources of development assistance. The Bank is now working in more than 100 developing economies, bringing a mix of finance and ideas to improve living standards and eliminate the worst forms of poverty. For each of its clients, the Bank works with government agencies, non-governmental organisations, and the private sector to formulate assistance strategies.

The methodology of impact evaluation used in this report is inspired from the methodology developed by the World Bank.

IFC (International Finance Corporation) is the private sector arm of the World Bank Group. IFC has 182 member countries (including Myanmar since December 1956).

#### **UNITED NATIONS ENVIRONMENT PROGRAMME (UNEP)**

An early example of the international guidelines on petroleum environmental regulation is the UNEP environmental law guidelines and principles on Offshore Mining and Drilling issued in 1982. The principal provisions of this document are summarised in the following points:

- State should take preventive measures against, limit and reduce pollution and other adverse effect on the environment resulting from offshore exploration and exploitation of hydrocarbons and other minerals by adopting regulations and through international co-operations. National laws and regulations should not be less effective than international rules and standards.
- The granting of an authorisation should be preceded by an environmental assessment. Authorisations should be refused if there are clear indications that significant adverse effects caused by such operations could not be avoided.
- States have the responsibility to ensure that activities within their jurisdiction do not cause damage to the environment of other states or areas beyond the limits of national jurisdiction.
- States should ensure that safety measures, contingency planning and implementation measures are undertaken for offshore operations; and appropriate measures are adopted for determining environmental liability and compensation for damages resulting from offshore operations.

#### **INTERNATIONAL ASSOCIATION OF OIL AND GAS PRODUCERS (OGP)**

The OGP is the worldwide association of Oil and Gas Companies involved in exploration and production. The members include private and state-owned oil and gas companies, national associations and petroleum institutes. OGP represent their members before international regulatory bodies, and has observer status as a non-governmental

organisation, with global and regional regulatory bodies that have an interest in marine environment protection.

TOTAL is a member of OGP.

### **INTERNATIONAL PETROLEUM INDUSTRY ENVIRONMENTAL CONSERVATION ASSOCIATION (IPIECA)**

IPIECA is a voluntary non-profit organization whose membership includes both petroleum companies and associations at the national, regional or international levels. It addresses global environmental and social issues related to the petroleum industry: oil spill preparedness and response, global climate change, biodiversity, social responsibility, fuel quality and vehicle emissions, and human health. IPIECA also helps members identify new global issues and assesses their potential impact on the oil industry. The Association represents the views of its members in public forums and provides an interface between the petroleum industry and the United Nations Agencies. IPIECA's goals are to promote good practices and industry consensus through arranging international workshops, publishing authoritative reports, providing a channel of communication with the UN, providing a forum for open dialogue, facilitating stakeholder engagement, promoting partnerships.

TOTAL is a member of IPIECA.

### **INTERNATIONAL MARITIME ORGANIZATION (IMO)**

The purposes of the Organization is "to provide machinery for cooperation among Governments in the field of governmental regulation and practices relating to technical matters of all kinds affecting shipping engaged in international trade; to encourage and facilitate the general adoption of the highest practicable standards in matters concerning maritime safety, efficiency of navigation and prevention and control of marine pollution from ships". The Organization is also empowered to deal with administrative and legal matters related to these purposes.

The IMO developed and introduced international conventions dealing with safety at sea and prevention of marine pollution. One of the most important of all these measures was the International Convention for the Prevention of Pollution from Ships, 1973, as modified by the Protocol of 1978 relating thereto (MARPOL 73/78).

IMO also developed a number of other legal conventions and around 40 conventions and protocols have been adopted by the Organization and most of them have been amended on several occasions to ensure that they are kept up to date with changes taking place in world shipping. IMO has introduced measures to improve the way legislation is implemented, by assisting flag States (the countries whose flag a ship flies) and by encouraging the establishment of regional port State control systems. When ships go to foreign ports they can be inspected to ensure that they meet IMO standards.

#### **International standards and guidelines**

The ESIA will adopt standards and guidelines from:

- World Health Organization (WHO) standards and guidelines;
- the IFC Environmental Health and Safety Guidelines (2007) and IFC Environmental Health and Safety Guidelines – Offshore Oil and Gas Developments (2007);
- MARPOL and PARCOM (1986) (activities carried out at sea).

These environmental standards are described hereafter.

The EHS Guidelines for Offshore Oil and Gas Development (IFC, 2007) include information relevant to seismic exploration, exploratory and production drilling, development and production activities, offshore pipeline operations, offshore transportation, tanker loading and unloading, ancillary and support operations, and decommissioning. The guidelines also address potential onshore impacts that may result from offshore oil and gas activities.

Potential environmental issues associated with offshore oil and gas development projects include the following:

- Air emissions
- Wastewater discharges
- Solid and liquid waste management
- Noise generation
- Spills.

## AIR EMISSIONS

### IFC Guidelines

Significant (>100,000 tons CO<sub>2</sub> equivalent per year) greenhouse gas (GHG) emissions from all facilities and offshore support activities should be quantified annually.

All reasonable attempts should be made to maximize energy efficiency and design facilities for lowest energy use.

During equipment selection, air emission specifications should be considered.

Measures consistent with the Global Gas Flaring and Venting Reduction Voluntary Standard (part of the World Bank Group's Global Gas Flaring Reduction Public-Private Partnership (GGFR program) should be adopted when considering venting and flaring options for offshore activities.

Continuous venting of associated gas is not considered current good practice and should be avoided. The associated gas stream should be routed to an efficient flare system, although continuous flaring of gas should be avoided if alternatives are available. If flaring is necessary, continuous improvement of flaring through implementation of best practices and new technologies should be demonstrated.

During well testing, flaring of produced hydrocarbons should be avoided, especially in environmentally sensitive areas. Feasible alternatives should be evaluated for the recovery of these test fluids. If flaring is the only option available for the disposal of test fluids, only the minimum volume of hydrocarbons required for the test should be flowed and well test durations should be reduced to the extent practical. An efficient test flare burner head equipped with an appropriate combustion enhancement system should be selected.

Methods for controlling and reducing fugitive emissions should be considered and implemented in the design, operation, and maintenance of offshore facilities. Leak detection and repair programs should be implemented.

### WHO Air Quality Guidelines

Table -1 presents the WHO Ambient Air Quality guidelines.

Table -1 WHO Ambient Air Quality guidelines		
Pollutant	Averaging Period	Guideline value (µg/m <sup>3</sup> )
Sulfur dioxide (SO <sub>2</sub> )	24-hour	125 (Interim target-1) 50 (Interim target-2)
	10 minutes	20 (guideline) 500 (guideline)
Nitrogen dioxide (NO <sub>2</sub> )	1 year	40 (guideline)
	1 hour	200 (guideline)
Particulate Matter PM <sub>10</sub>	1 year	70 (Interim target-1) 50 (Interim target-2) 30 (Interim target-3) 20 (guideline)

Table -1 WHO Ambient Air Quality guidelines		
Pollutant	Averaging Period	Guideline value ( $\mu\text{g}/\text{m}^3$ )
	24 hour	150 (Interim target-1) 100 (Interim target-2) 75 (Interim target-3) 50 (guideline)
Particulate Matter PM2.5	1 year	35 (Interim target-1) 25 (Interim target-2) 15 (Interim target-3) 10 (guideline)
	24 hour	75 (Interim target-1) 50 (Interim target-2) 37.5 (Interim target-3) 25 (guideline)
Ozone	8 hour daily maximum	160 (Interim target-1) 100 (guideline)

SOURCE: WORLD HEALTH ORGANIZATION (WHO). AIR QUALITY GUIDELINES GLOBAL UPDATE, 2005. PM 24-HOUR VALUE IS THE 99TH PERCENTILE. INTERIM TARGETS ARE PROVIDED IN RECOGNITION OF THE NEED FOR A STAGED APPROACH TO ACHIEVING THE RECOMMENDED GUIDELINES.

The IFC guidelines (2007) outline emission guidelines for small combustion facilities with a capacity of between 3 and 50 Megawatt thermal (MWth), such as those to be used for electricity generation and combustion on the jack-up or vessels. These guidelines are presented in Table 2.

Table 2 Small Combustion Facilities Emissions Guidelines (3MWth – 50MWth) – (in $\text{mg}/\text{Nm}^3$ or as indicated)				
Combustion Technology /Fuel	Particulate Matter (PM)	Sulfur Dioxide (SO <sub>2</sub> )	Nitrogen Oxides (Nox)	Dry Gas, Excess O <sub>2</sub> Content (%)
<b>Engine</b>				
Gas	N/A	N/A	200 (Spark Ignition) 400 (Dual Fuel) 1,600 (Compression Ignition)	15
Liquid	50 or up to 100 if justified by project specific considerations (e.g. Economic feasibility of using lower ash content fuel, or adding secondary treatment to meet 50, and available environmental capacity of the site)	percent Sulfur or up to 3.0 percent Sulfur if justified by project specific considerations (e.g. Economic feasibility of using lower S content fuel, or adding secondary treatment to meet levels of using 1.5 percent Sulfur, and available environmental capacity of the site)	If bore size diameter [mm] < 400: 1,460 (or up to 1,600 if justified to maintain high energy efficiency.) If bore size diameter [mm] > or = 400: 1,850	15
<b>Turbine</b>				
Natural Gas =3MWth to < 15MWth	N/A	N/A	42 ppm (Electric generation) 100 ppm (Mechanical drive)	15
Natural Gas =15MWth	N/A	N/A		15

Table 2 Small Combustion Facilities Emissions Guidelines (3MWth – 50MWth) – (in mg/Nm<sup>3</sup> or as indicated)

Combustion Technology /Fuel	Particulate Matter (PM)	Sulfur Dioxide (SO <sub>2</sub> )	Nitrogen Oxides (Nox)	Dry Gas, Excess O <sub>2</sub> Content (%)
to < 50MWth				
Fuels other than Natural Gas =3MWth to < 15MWth	N/A	0.5 percent Sulfur or lower percent Sulfur (e.g. 0.2 percent Sulfur) if commercially available without significant excess fuel cost	96 ppm (Electric generation) 150 ppm (Mechanical drive)	15
Fuels other than Natural Gas =15MWth to < 50MWth	N/A	0.5% S or lower % S (0.2%S) if commercially available without significant excess fuel cost	74 ppm	15
<b>Boiler</b>				
Gas	N/A	N/A	320	3
Liquid	50 or up to 150 if justified by environmental assessment	2000	460	3
Solid	50 or up to 150 if justified by environmental assessment	2000	650	6
SOURCE: IFC ENVIRONMENTAL HEALTH AND SAFETY GUIDELINES (2007)				
NOTES: -N/A/ - NO EMISSIONS GUIDELINE; HIGHER PERFORMANCE LEVELS THAN THESE IN THE TABLE SHOULD BE APPLICABLE TO FACILITIES LOCATED IN URBAN / INDUSTRIAL AREAS WITH DEGRADED AIRSHEDS OR CLOSE TO ECOLOGICALLY SENSITIVE AREAS WHERE MORE STRINGENT EMISSIONS CONTROLS MAY BE NEEDED.; MWTH IS HEAT INPUT ON HHV BASIS; SOLID FUELS INCLUDE BIOMASS; NM3 IS AT ONE ATMOSPHERE PRESSURE, 0°C.; MWTH CATEGORY IS TO APPLY TO THE ENTIRE FACILITY CONSISTING OF MULTIPLE UNITS THAT ARE REASONABLY CONSIDERED TO BE EMITTED FROM A COMMON STACK EXCEPT FOR NOX AND PM LIMITS FOR TURBINES AND BOILERS. GUIDELINES VALUES APPLY TO FACILITIES OPERATING MORE THAN 500 HOURS PER YEAR WITH AN ANNUAL CAPACITY UTILIZATION FACTOR OF MORE THAN 30 PERCENT.				

## WASTEWATER DISCHARGES

### IFC Guidelines

Antifoulant chemical dosing to prevent marine fouling of offshore **facility cooling water** systems should be carefully considered. Available alternatives should be evaluated. The cooling water discharge depth should be selected to maximize mixing and cooling of the thermal plume to ensure that the temperature is within 3 degrees Celsius of ambient seawater temperature at the edge of the defined mixing zone or within 100 meters of the discharge point.

Waste waters routinely generated at offshore facilities are listed below, along with appropriate treatment measures:

- **Sewage:** Grey and black water from showers, toilets, and kitchen facilities should be treated in an appropriate on-site marine sanitary treatment unit in compliance with MARPOL 73/78 requirements.
- **Food waste:** Organic (food) waste from the kitchen should, at a minimum, be macerated to acceptable levels and discharged to sea, in compliance with MARPOL 73/78 requirements.
- **Storage displacement water:** Water pumped into and out of storage during loading and off-loading operations should be contained and treated before discharge.
- **Bilge waters:** Bilge waters from machinery spaces in offshore facilities and support vessels should be routed to the facility closed drainage system, or contained and treated before discharge to meet the guidelines provided in Table 3. If treatment to this standard is not possible, these waters should be contained and shipped to shore for disposal.
- **Deck drainage water:** Drainage water should be routed to separate drainage systems on offshore facilities. All process areas should be banded to ensure drainage water flows into the closed drainage system. Contaminated drainage waters should be treated before discharge to meet the guidelines provided in Table 3.

The effluent guidelines are primarily applicable to discharges in off-shore locations (e.g. greater than 12 nautical miles from shore).

Table 3 Effluent levels from Offshore Oil and Gas Development	
Parameter	Guideline
<b>Cooling Water</b>	The effluent should result in a temperature increase of no more than 3° C at edge of the zone where initial mixing and dilution take place. Where the zone is not defined, use 100 m from point of discharge.
<b>Desalination Brine</b>	Mix with other discharge waste streams if feasible. <sup>b</sup>
<b>Sewage</b>	Compliance with MARPOL 73/78 <sup>b</sup>
<b>Food waste</b>	Compliance with MARPOL 73/78 <sup>b</sup>
<b>Storage displacement water</b>	Compliance with MARPOL 73/78 <sup>b</sup>
<b>Bilge water</b>	Compliance with MARPOL 73/78 <sup>b</sup>
<b>Deck Drainage (non-hazardous and hazardous drains)</b>	Compliance with MARPOL 73/78 <sup>b</sup>

SOURCE: IFC

NOTES: A: 96-HR LC-50: CONCENTRATION IN PARTS PER MILLION (PPM) OR PERCENT OF THE SUSPENDED PARTICULATE PHASE (SPP) FROM SAMPLE THAT IS LETHAL TO 50 % OF THE TEST ORGANISM EXPOSED TO THAT CONCENTRATION FOR A CONTINUOUS PERIOD OF 96 HOURS.

B: IN NEARSHORE WATERS, CAREFULLY SELECT DISCHARGE LOCATION BASED ON ENVIRONMENTAL SENSITIVITIES AND ASSIMILATIVE CAPACITY OF RECEIVING WATERS

According to IFC, stormwater should be separated from process and sanitary wastewater streams in order to reduce the volume of wastewater to be treated prior to discharge. Surface runoff from process areas or potential sources of contamination should be prevented. Oil water separators and grease traps should be installed and maintained as appropriate at refueling facilities, workshops, parking areas, fuel storage and containment areas.

Recommended **sanitary wastewater** management strategies include (source: IFC Guidelines):

- Segregation of wastewater streams to ensure compatibility with selected treatment option (e.g. septic system which can only accept domestic sewage);
- Segregation and pretreatment of oil and grease containing effluents (e.g. use of a grease trap) prior to discharge into sewer systems.

Indicative sanitary sewage discharge values are shown in Table -4.

Table -4 Indicative Values for Treated Sanitary Sewage Discharges		
Pollutant	Unit	Guideline value
pH	pH	6 – 9
BOD	mg/l	30
COD	mg/l	125
Total nitrogen	mg/l	10
Total phosphorus	mg/l	2
Oil and grease	mg/l	10
Total suspended solids	mg/l	50
Total coliform bacteria	MPN <sup>1</sup> / 100 ml	400

SOURCE: IFC

NOTE 1: MPN = MOST PROBABLE NUMBER



## MARPOL

Any discharge into the sea of oil or oily mixtures from ships is prohibited except if the conditions outlined in Table -5 are satisfied.

Table -5 Summary of MARPOL Requirements	
Weight	Main requirements
<p>≥4000 gross tonnage &lt; 4000 gross tonnage</p>	<ul style="list-style-type: none"> <li>the ship is proceeding en route;</li> <li>the oily mixture is processed through an oil filtering equipment meeting the requirements of above table;</li> <li>the oil content of the effluent without dilution does not exceed 15 ppm</li> <li>the oily mixture does not originate from cargo pump room bilges on oil tankers; and</li> <li>the oily mixture, in case of oil tankers, is not mixed with oil cargo residues</li> </ul>

SOURCE: REVISED ANNEX I OF MARPOL 73/78 (2004)

Under regulation 16 the requirements in Table -6 must be followed for the storage of oil and water.

Table -6 Summary of MARPOL Requirements for Segregation of oil and water ballast and carriage of oil in forepeak tanks	
Weight	Main requirements
<p>≥4000 gross tonnage, after 31 December 1979 (excluding oil tankers)</p>	<ul style="list-style-type: none"> <li>no ballast water shall be carried in any oil fuel tank</li> <li>if unavoidable, ballast water shall be discharged to reception facilities or into the sea in compliance with above table and equipment specifications in table preceding</li> </ul>
<p>Oil tankers delivered after 31 December 1979, ≥150 gross tonnage</p>	<p>No ballast water shall be carried in any oil fuel tank</p>

In accordance with Regulation 17 “every oil tanker of 150 gross tonnage and above and every ship of 400 gross tonnage and above other than an oil tanker shall be provided with an Oil Record Book” which will be updated with information regarding:

- ballasting or cleaning of oil fuel tanks;
- discharge of dirty ballast or cleaning water from oil fuel tanks;
- collection and disposal of oil residues (sludge and other oil residues);
- discharge overboard or disposal otherwise of bilge water which has accumulated in machinery spaces;
- bunkering of fuel or bulk lubricating oil;
- discharge of oils or oily mixtures;
- accidents or exceptional discharges of oil;
- any failure of filtering equipment.

A summary of the sewage discharge conditions outlined in MARPOL Annex IV is presented in Table -7.

Table -7 Summary of Annex IV MARPOL Requirements for Sewage

Vessel/Voyage type/Area	Sub-Category	Discharge Conditions
Vessels on international voyages	Comminuted and disinfected sewage using an approved system	> 3 nautical miles from nearest land.
Vessels on international voyages	Sewage stored in holding tanks (untreated and treated sewage)	<ul style="list-style-type: none"> <li>&gt;12 nautical miles from nearest land; and</li> <li>discharged at a moderate rate; and</li> <li>ship proceeding en route at a speed of at least 4 knots.</li> </ul>
Vessels on international voyages	Treated sewage effluent discharged through an IMO approved sewage treatment plant (STP) Also integrated system where the STP includes grey water input and food processing input.	<ul style="list-style-type: none"> <li>Effluent not to produce visible floating solids nor cause discolouration of the surrounding water.</li> <li>When within port limits, check with port authority as permission may be required</li> <li>All vessels should ensure that the STP is operating at optimum performance</li> </ul>

SOURCE: MARPOL 73/78

## SOLID AND LIQUID WASTE MANAGEMENT

### IFC Guidelines

The waste materials should be segregated offshore into non- hazardous and hazardous wastes at a minimum, and shipped to shore for re-use, recycling, or disposal.

### MARPOL Guidelines

Garbage related to the project will be disposed of in accordance with Annex V of MARPOL (1978), as summarised in Table -8.

Table -8 Summary of Annex V MARPOL Requirements for Garbage

Garbage type	All vessels except platforms and associated vessels		Offshore platforms and associated vessels
	Outside Special Areas <sup>1</sup>	In Special Areas <sup>2</sup>	
Plastics- including synthetic ropes, fishing nets, and plastic bags	Disposal prohibited	Disposal prohibited	Disposal prohibited
Floating dunnage, lining and package materials	Disposal prohibited < 25 miles from nearest land	Disposal prohibited	Disposal prohibited
Paper, rags, glass, metal and similar refuse	Disposal prohibited < 12 miles from nearest land	Disposal prohibited	Disposal prohibited
Paper, rags, glass, etc., comminuted or ground <sup>3</sup>	Disposal prohibited <3 mi from nearest land	Disposal prohibited	Disposal prohibited
Food waste not comminuted or ground	Disposal prohibited <12 mi from nearest land	Disposal prohibited <12 miles from nearest land	Disposal prohibited
Food waste comminuted or ground	Disposal prohibited <3 mi from nearest land	Disposal prohibited <12 miles from nearest land	Disposal prohibited
Mixed refuse	Varies by component <sup>4</sup>	Varies by component <sup>4</sup>	Varies by component <sup>4</sup>

Table -8 Summary of Annex V MARPOL Requirements for Garbage

Garbage type	All vessels except platforms and associated vessels		Offshore platforms and associated vessels
	Outside Special Areas <sup>1</sup>	In Special Areas <sup>2</sup>	
SOURCE: MARPOL 73/78			
NOTES:			
1: INCLUDES ALL FIXED OR FLOATING PLATFORMS ENGAGED IN EXPLORATION OR EXPLOITATION AND ASSOCIATED OFFSHORE PROCESSING OF SEABED MINERAL RESOURCES, AND ALL VESSELS ALONGSIDE OR WITHIN 500 M (1/3 MILE) OF SUCH PLATFORMS.			
2: THE ANTARCTIC AREA, MEDITERRANEAN, BALTIC, RED AND BLACK SEAS, AND PERSIAN GULF, CARRIBBEAN SEA.			
3: MUST BE ABLE TO PASS THROUGH A SCREEN WITH A MESH SIZE NO LARGER THAN 25 MM.			
4: WHEN SUBSTANCES HAVING DIFFERENT DISPOSAL OR DISCHARGE REQUIREMENTS ARE MIXED, THE MORE STRINGENT APPLIES			

## SPILLS

### Emergency Preparedness and Response Plan

The main requirement is to develop a spill prevention and control plan as part of the Emergency Preparedness and Response Plan (IFC Guidelines).

The IFC guidelines state that the company must have the funds available to implement the spill control plan, including equipment, budget and insurance (IFC, 2000).

This plan should include details of response procedures in case of emergencies such as spills and leaks, including:

- Inspection program implementation to ensure infrastructure integrity
- Preparation of standard operating procedures for appropriate containers and transfer operations
- Hazardous material location
- Documentation of specific PPE needs and operator training
- Documentation of availability of spill response equipment and lists of external resources
- Description of response activities including notification procedures (internal and external)
- Decision process for severity and action assessment.

The results of this plan will be reported annually and the plan updated regularly in response to the outcomes reported. An Emergency Preparedness and Response Plan have been developed by TEPM on-site.

### MARPOL

MARPOL requires the development of a Shipboard oil pollution emergency plan for every oil tanker of 150 gross tonnage and above and all other ships of 400 gross tonnage and above. The plan shall be developed based on the Guidelines for the development of shipboard oil pollution emergency plans adopted by the Organization by resolution MEPC.54(32) as amended by resolution MEPC.86(44). This plan shall contain the following:

- the procedure to be followed by the master or other persons having charge of the ship to report an oil pollution incident, as required in article 8 and Protocol I of the Convention, based on the guidelines developed by the General Principles for Ship Reporting Systems and Ship Reporting Requirements;
- the list of authorities or persons to be contacted in the event of an oil pollution incident;
- a detailed description of the action to be taken immediately by persons on board to reduce or control the discharge of oil following the incident; and
- the procedures and point of contact on the ship for co-ordinating shipboard action with national and local authorities in combating the pollution.

## UNCLOS

The United Nations Convention on the Law of the Sea, 1982 (UNCLOS), superseded the 1958 Geneva Convention and Article 60 (3) permits the partial removal of structures provided that IMO criteria are met. This first came into force in 1994 and was ratified by Myanmar in 1982.

## **THE LONDON (DUMPING) CONVENTION, 1972**

The 1972 London Convention (and the subsequent 1996 Protocol) made the provision of generic guidance for any wastes that can be dumped at sea. New guidelines were adopted in 2000, to specify different classes of waste, including platforms and other man-made waste.



Offshore Seismic Campaign YWB Block

Appendices – 11/14

**APPENDIX B** JNCC Guidelines



## **JNCC guidelines for minimising the risk of injury and disturbance to marine mammals from seismic surveys**

August 2010

To find out more about seismic surveys visit <http://www.jncc.gov.uk/page-1534>  
To learn more about JNCC visit <http://www.jncc.gov.uk/page=1729>

## **JNCC guidelines for minimising the risk of injury and disturbance to marine mammals from seismic surveys**

August 2010

### **Introduction**

The guidelines have been written for activities on the United Kingdom Continental Shelf (UKCS) and are aimed at reducing the risk of injury to negligible levels and can also potentially reduce the risk of disturbance from seismic surveys to marine mammals including seals, whales, dolphins and porpoises. Whilst there are no objections to these guidelines being used elsewhere JNCC would encourage all operators to determine if any special or local circumstances pertain, as we would not wish these guidelines to be used where a local management tool has already been adopted (for instance in the Gulf of Mexico OCS Region). In this context, JNCC notes that other protected fauna, for example turtles, will occur in waters where these guidelines may be used, and would suggest that, whilst the appropriate mitigation may require further investigation, the soft-start procedures for marine mammals would also be appropriate for marine turtles and basking sharks<sup>i</sup>.

The guidelines require the use of trained Marine Mammal Observers (MMOs) whose role is to advise on the use of the guidelines and to conduct pre-shooting searches for marine mammals before commencement of any seismic activity. A further duty is to ensure that the JNCC reporting forms are completed for inclusion in the MMO report. In addition to the visual mitigation provided by MMOs, if seismic surveys are planned to start during hours of darkness or low visibility it is considered best practice to deploy Passive Acoustic Monitoring (PAM).

The 2010 version of the JNCC seismic guidelines reflects amendments (2007 and 2009 amendments) to the Conservation (Natural Habitats &c.) Regulations 1994 (Habitat Regulations, HR) for England and Wales<sup>ii</sup> and the Offshore Marine Conservation (Natural Habitats, &c.) Regulations 2007 (Offshore Marine Regulations, OMR, as amended in 2009 and 2010). Both regulations have revised the definition of deliberate disturbance of 'European Protected Species' (EPS), which now excludes

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<sup>i</sup> Basking sharks are protected from intentional capture or disturbance in British waters (up to 12 miles offshore) under a 1998 listing on the Wildlife and Countryside Act (1981), Schedule 5.

<sup>ii</sup> In 2010 a consolidated version of the regulations came into force: The Conservation of Habitats and Species Regulations 2010.

trivial disturbance from the offence. Both regulations now also include the offence of deliberate injury. European Protected Species include cetaceans and turtles.

It has been recognised that sound generated from seismic sources has the potential to cause injury and possibly also disturbance to marine mammals. Seismic surveys have therefore the potential to cause a deliberate injury offence as defined under regulations 41(1)(a) and 39(1)(a) and a deliberate disturbance offence as in 41(1)(b) and 39(1)(b) of the HR and OMR, respectively. The JNCC seismic guidelines reflect best practice for operators to follow during the planning, operational and reporting stages. **It is considered that compliance with the recommendations in these guidelines will reduce the risk of injury to EPS to negligible levels.**

**Please note that the mitigation measures recommended in the existing guidelines are more relevant to the prevention of injury rather than disturbance as defined in regulations 41(2) and 39(1A), of the HR and OMR, respectively. The onus should be on the entity responsible for the activity to assess whether a disturbance offence is likely to occur. Guidance on how to carry out such risk assessment is provided in the JNCC, NE and CCW document ‘The protection of marine European Protected Species from injury and disturbance’.**

In relation to oil and gas seismic surveys in the UKCS, it is a requirement of the consent issued under regulation 4 of the Petroleum Activities (Conservation of Habitats) Regulations 2001 (& 2007 Amendments) by the Department for Energy Climate Change (DECC), that the JNCC Seismic Guidelines must be followed, and the elements of the guidelines that are relevant to a particular survey are incorporated into the legally-binding condition of consent. It should be noted that it is the responsibility of the company issued consent by DECC<sup>iii</sup>, referred to in these guidelines as the ‘applicant’, to ensure that these guidelines are followed, and it is recommended that a copy of the JNCC guidelines are available onboard all vessels undertaking seismic activities in UK waters. Where relevant, when the survey is completed a MMO report must be submitted to the JNCC.

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<sup>iii</sup> Department of Energy and Climate Change was formerly known as Department for Business and Regulatory Reform (BERR)



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## Terminology

**Marine European Protected Species:** These are marine species in Annex IV(a) of the Habitats Directive that occur naturally in the waters of the United Kingdom. These consist of several species of cetaceans (whales, dolphins and porpoises), turtles, and the Atlantic Sturgeon.

**Marine Mammal Observer (MMO):** Individual responsible for conducting visual watches for marine mammals. For some seismic surveys it may be requested that observers are trained, dedicated and / or experienced. The MMO may also be a PAM operative if trained.

- **Trained MMO:** Has been on a JNCC recognised course
- **Dedicated MMO:** Trained observer whose role on board is to conduct visual watches for marine mammals (although it could double up as a PAM operative)
- **Experienced MMO:** Trained observer with 3 years of field experience observing for marine mammals, and practical experience of implementing the JNCC guidelines
- **PAM Operative:** Person experienced in the use of PAM software and hardware and marine mammal acoustics

**Mitigation Zone:** The area where a Marine Mammal Observer keeps watch for marine mammals (and delays the start of activity should any marine mammals be detected).

**Passive Acoustic Monitoring (PAM):** Software system that utilises hydrophones to detect the vocalisations of marine mammals.

**Seismic Survey:** Any survey that uses airguns, including 2D/3D/4D and OBC (On-Bottom Cabling) surveys and any similar techniques that use airguns. Surveys using multibeam systems and sub-bottom profiling equipment such as boomers, pingers etc are not considered in these guidelines. However, the guidelines can be adapted and applied to the operation of such systems if considered appropriate.

**Shot Point Interval (SPI):** Interval between firing of the airgun or airguns.

**Site Survey:** Seismic survey of a limited area proposed for drilling, infrastructure emplacement etc (typically with source size of 180 cubic inches or less).

**Soft-Start:** Turning on the airguns at low power and gradually and systematically increasing the output until full power is achieved (usually over a period of 20 minutes). The appropriate soft-start method is dependant upon the type of seismic survey and is discussed in section 3.

**United Kingdom Waters:** Parts of the sea in or adjacent to the United Kingdom from the low water mark up to the limits of the United Kingdom Continental Shelf.

**Vertical Seismic Profiling (VSP) or Borehole Seismic:** Seismic survey undertaken 'down hole' in connection with well operations (typically with a source size of 500 cubic inches).

## Section 1 – Assessing and minimising the risk of injury

### 1.1 The Planning Stage

When a seismic survey is being planned, the applicant should consider the following recommendations and best practice advice:

- Determine what marine mammal species are likely to be present in the survey area and assess if there are any seasonal considerations that need to be taken into account, for example periods of migration, breeding, calving or pupping. For UKCS activities the [‘Atlas of cetacean distribution in north-west European waters’](#) (Reid *et al.* 2003) is a useful starting point.
- Consult the latest relevant regulatory guidance notes; in the UK, DECC issues guidance notes for oil and gas seismic activities.
- As part of the environmental impact assessment, assess the likelihood of injuring or disturbing a European Protected Species. In the UK, it will be necessary to assess the likelihood of committing an offence as defined in the HR and in the OMR.
- Consult the JNCC, NE and CCW guidance on ‘The protection of marine European Protected Species from injury and disturbance’ to assist in the environmental impact assessment. To obtain a copy of the latest draft version of the guidance please contact JNCC.

The operator should whenever possible implement the following best practice measures:

- If marine mammals are likely to be in the area, only commence seismic activities during the hours of daylight when visual mitigation using Marine Mammal Observers (MMOs) is possible.
- Only commence seismic activities during the hours of darkness, or low visibility, or during periods when the sea state is not conducive to visual mitigation, if a Passive Acoustic Monitoring (PAM) system is in use to detect marine mammals likely to be in the area, noting the limitations of available PAM technology (seismic surveys that commence during periods of darkness, or low visibility, or during periods when the observation conditions are not conducive to visual mitigation, could pose a risk of committing an injury offence).
- Plan surveys so that the timing will reduce the likelihood of encounters with marine mammals. For example, this might be an important consideration in certain areas/times, e.g. during seal pupping periods near Special Areas of Conservation for common seals or grey seals.
- Provide trained MMOs to implement the JNCC guidelines.
- Use the lowest practicable power levels to achieve the geophysical objectives of the survey.
- Seek methods to reduce and/or baffle unnecessary high frequency noise produced by the airguns (this would also be relevant for other acoustic energy sources).

## **Section 2 - Marine Mammal Observers**

### **2.1. Role of an MMO**

The primary role of an MMO is to act as an observer for marine mammals and to recommend a delay in the commencement of seismic activity should any marine mammals be detected. In addition, a MMO should be able to advise the crew on the procedures set out in the JNCC guidelines and to provide advice to ensure that the survey programme is undertaken in accordance with the guidelines. Before the survey commences it is important to attend any pre-mobilisation meetings to discuss the working arrangements that will be in place, and to request a copy of the survey consent issued by DECC (if applicable). An MMO may also work closely with Passive Acoustic Monitoring operatives. As the MMO role in relation to the vessel and survey operations is purely advisory, it is important to be aware of the command hierarchy and communication channels that will be in place, and determine who the main MMO / PAM operative contacts should be.

In a typical vessel based seismic survey, the MMO / PAM operative may pass advice to the party chief and client's representative through the navigators or seismic observers, and it is important to establish what the working arrangements are, as this may vary from one survey to the other. The MMOs should consider themselves as part of the crew and respect the chain of command that is in place.

MMOs should make certain that their efforts are concentrated on the pre-shooting search before the soft-start. These guidelines cannot be interpreted to imply that MMOs should keep a watch during all daylight hours, but JNCC would encourage all MMOs to manage their time to ensure that they are available to carry out a watch to the best of their ability during the crucial time - the 30 minutes before commencement of the firing of the seismic source (or 60 minutes if surveying where deep diving marine mammals are likely to be present). Whilst JNCC appreciates the efforts of MMOs to collect data at other times, this should be managed to ensure that those observations are not detrimental to the ability to undertake a watch prior to a soft-start. Where two MMOs are onboard a seismic vessel, JNCC would encourage collaboration to ensure that cetacean monitoring is always undertaken during all daylight hours.

### **2.2. Training requirements for MMOs**

A prerequisite for an MMO to be classified as a 'trained MMO' is that they must have received formal training on a JNCC recognised course. (Further information on MMO course providers is available at: <http://www.incc.gov.uk/page-4703>)

### **2.3. MMO equipment and reporting forms**

MMOs should be equipped with binoculars, a copy of the JNCC guidelines and the 'Marine Mammal Recording Form' which is an Excel spreadsheet and has embedded worksheets named: 'Cover Page', 'Operations', 'Effort' and 'Sightings'. A Word document named 'Deckforms' is also available, and MMOs may prefer to use this when observing before transferring the details to the Excel spreadsheets.

The ability to determine range is a key skill for MMOs to have, and a useful tool to perform this function is a range finding stick.

All MMO forms, including a guide to completing the forms, and instructions on how to make and use a range finding stick are available on the JNCC website.

#### 2.4. Reporting requirements – the MMO report

A report, the 'MMO report', should be sent to the JNCC after the survey has been completed. It is the responsibility of the consent holder to ensure that the MMO report is sent to JNCC. Ideally the MMO report should be sent via e-mail to seismic@jncc.gov.uk, or it can be posted to the address on the front page of these guidelines. Reports should include completed JNCC marine mammal recording forms and contain details of the following:

- The seismic survey reference number provided to the applicant by DECC.
- Date and location of survey.
- Total number and volume of the airguns used.
- Nature of airgun array discharge frequency (in Hz), intensity (in dB re. 1 $\mu$ Pa or bar metres) and firing interval (seconds), and / or details of any other acoustic energy used.
- Number and types of vessels involved in the survey.
- A record of all occasions when the airguns were used.
- A record of the watches made for marine mammals, including details of any sightings and the seismic activity during the watches.
- Details of any problems encountered during the seismic survey including instances of non-compliance with the JNCC guidelines.

If there are instances of non-compliance with the JNCC guidelines that constitute a breach of the survey consent conditions, JNCC will copy the report, and their comments on the potential breach to DECC. It is therefore essential that MMO reports are completed as soon as possible after the survey has been completed.

### **Section 3 – Guidance before and during seismic activity**

All observations should be undertaken from the source vessel (where the airguns are being deployed from), unless alternative arrangements have been agreed with DECC. The MMO should be positioned on a high platform with a clear unobstructed view of the horizon, and communication channels between the MMO and the crew should be in place before commencement of the pre-shooting search (this may require portable VHF radios). The MMO should be aware of the timings of the proposed operations, so that there is adequate time to conduct the pre-shooting search. Figure 1 illustrates a typical seismic survey with decision making pathways in the event a marine mammal is detected.

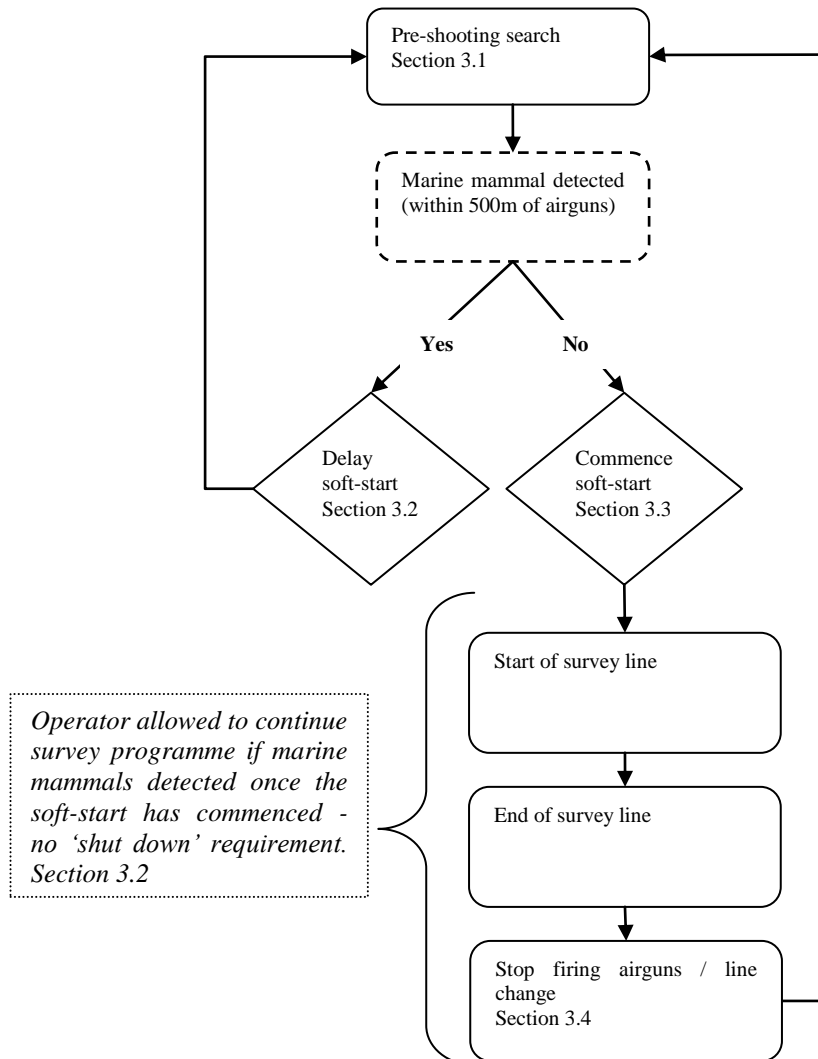


Figure 1. Flowchart illustrating the decision making pathway of a Marine Mammal Observer during a seismic survey.

### 3.1 Pre-shooting search

The pre-shooting search should normally be conducted over a period of 30 minutes before commencement of any use of the airguns. The MMO should make a visual assessment to determine if any marine mammals are within 500 metres of the centre of the airgun array.

In deep waters (>200m) the pre-shooting search should extend to 60 minutes as deep diving species (e.g. sperm whale and beaked whale) are known to dive for longer than 30 minutes. A longer search time in such areas is likely to lead to a greater detection and tracking of deep diving marine mammals.

To facilitate more effective timing of proposed operations when surveying in deeper waters, the searches for marine mammals can commence before the end of the survey line (whilst the airguns are still firing); this condition may be necessary for surveys which have relatively fast line turn times. If any marine mammals are

detected whilst the airguns are still firing, then no action is required other than for the MMO to monitor and track any marine mammals. The commencement of the soft-start for any subsequent survey lines should be delayed for at least 20 minutes if marine mammals are detected when the airguns have ceased firing.

If PAM is used in conjunction with visual monitoring the PAM operatives should ensure the system is deployed and being monitored for vocalisations during each designated pre-shooting period.

### 3.2 Delay if marine mammals are detected within the mitigation zone (500 metres)

If marine mammals are detected within 500 metres of the centre of the airgun array during the pre-shooting search, the soft-start of the seismic sources should be delayed until their passage, or the transit of the vessel, results in the marine mammals being more than 500 metres away from the source. In both cases, there should be a 20 minute delay from the time of the last sighting within 500 metres of the source to the commencement of the soft-start, in order to determine whether the animals have left the area. If PAM is used it is the responsibility of the PAM operatives to assess any acoustic detections and determine if there are likely to be marine mammals within 500 metres of the source. If the PAM operatives consider marine mammals are present within that range then the start of the operation should be delayed as outlined above.

If marine mammals are detected within 500 metres of the centre of the airgun array whilst the airguns are firing, either during the soft-start procedure or whilst at full power, there is no requirement to stop firing the airguns.

In situations where seal(s) are congregating around a drilling or production platform that is within the survey area, it is recommended that the soft-start should commence at a location at least 500 metres from the platform.

### 3.3 The soft-start

The soft-start is defined as the time that airguns commence shooting till the time that full operational power is obtained. Power should be built up slowly from a low energy start-up (e.g. starting with the smallest airgun in the array and gradually adding in others) over at least 20 minutes to give adequate time for marine mammals to leave the area. This build up of power should occur in uniform stages to provide a constant increase in output. There should be a soft-start every time the airguns are used, the only exceptions being for certain types of airgun testing (section 3.3.2), and the use of a 'mini-airgun' (single gun volume less than 10 cubic inches), these are used on site-surveys (section 3.3.1). The duration of the pre-shooting search (at least 30 minutes) and the soft-start procedure (at least 20 minutes) should be factored into the survey design.

General advice to follow for soft-starts:

- To minimise additional noise in the marine environment, a soft-start (from commencement of soft-start to commencement of the line) should not be significantly longer than 20 minutes (for example, soft-starts greater than 40

minutes are considered to be excessive, and an explanation should be provided within the MMO report).

- Where possible, soft-starts should be planned so that they commence within daylight hours.
- Once the soft-start has been performed and the airguns are at full power the survey line should start immediately. Operators should avoid unnecessary firing at full power before commencement of the line.
- If, for any reason, firing of the airguns has stopped and not restarted for at least 10 minutes, then a pre-shooting search and 20 minute soft-start should be carried out (the requirement for a pre-shooting search only applies if there was no MMO on duty and observing at this time, and if the break in firing occurred during the hours of daylight). After any unplanned break in firing for less than 10 minutes the MMO should make a visual assessment for marine mammals (not a pre-shooting search) within 500 metres of the centre of the airgun array. If a marine mammal is detected whilst the airguns are not firing the MMO should advise to delay commencement, as per the pre-shooting search, delay and soft start instructions above. If no marine mammals are present then they can advise to commence firing the airguns.
- When time-sharing, where two or more vessels are operating in adjacent areas and take turns to shoot to avoid causing seismic interference with each other, the soft-start and delay procedures for each vessel should be communicated to, and applied on, all the vessels involved in the surveying.

### 3.3.1 Soft-start requirements for site survey or Vertical Seismic Profiling (VSP)

Surveys should be planned so that, whenever possible, the soft-start procedures for site surveys and Vertical Seismic Profiles (VSP's) commence during daylight hours. Whilst it is appreciated that high resolution site surveys / VSP operations may produce lower acoustic output than 2D or 3D surveys it is still considered desirable to undertake a soft-start to allow for marine mammals to move away from the seismic source.

For ultra high resolution site surveys that only use a 'mini-airgun' (single airgun with a volume of less than 10 cubic inches) there is no requirement to perform a soft-start, however, a pre-shooting search should still be conducted before its use.

For site surveys and VSPs, a number of options are available to effect a soft-start.

- The standard method, where power is built up slowly from a low energy start-up (e.g. starting with the smallest airgun in the array and gradually adding in others) over at least 20 minutes to give adequate time for marine mammals to leave the vicinity.
- As the relationship between acoustic output and pressure of the air contained in the airgun is close to linear and most site surveys / VSP operations use only a small number of airguns and a soft-start can be achieved by slowly increasing the air pressure in 500 psi steps. From our understanding, the minimum air pressure which the airgun array can be set to will vary, as this is dependent on the make and model of the airgun being used. The time from initial airgun start up to full power should be at least 20 minutes.



- Over a minimum time period of 20 minutes the airguns should be fired at an increasing frequency (by decreasing the Shot Point Interval (SPI)) until the desired firing frequency is reached.

### 3.3.2 Soft-starts and airgun testing

Airgun tests may be required before a survey commences, or to test damaged or misfiring guns following repair, or to trial new arrays. Individual airguns, or the whole array may need testing, and the airguns may be tested at varying power levels. The following guidance is provided to clarify when a soft-start is required:

- If the intention is to test all airguns at full power then a 20 minute soft-start is required.
- If the intention is to test a single airgun on low power then a soft-start is not required.
- If the intention is to test a single airgun, or a number of guns on high power, the airgun or airguns should be fired at lower power first, and the power then increased to the level of the required test; this should be carried out over a time period proportional to the number of guns being tested and ideally not exceed 20 minutes in duration.

MMOs should maintain a watch as outlined in the pre-shooting search guidance (section 3.1) before any instances of gun testing.

### 3.4 Line Change

Seismic data is usually collected along predetermined survey lines. Line change is the term used to describe the activity of turning the vessel at the end of one line prior to commencement of the next line. Depending upon the type of seismic survey being undertaken, the time for a line change can vary. Line changes are not necessary for all types of seismic surveys, for example, in certain regional surveys where there is a significant distance between the lines, and for VSP operations.

The guidance relating to line change depends upon the airgun volume.

#### 3.4.1 Seismic surveys with an airgun volume of 500 cubic inches or more

- If the line change time is expected to be greater than 20 minutes, airgun firing should be terminated at the end of the line and a full 20 minute soft-start undertaken before the next line. A pre-shooting search should also be undertaken during the scheduled line change, and the soft-start delayed if marine mammals are seen within 500 metres of the centre of the airgun array.

#### 3.4.2 Seismic surveys with an airgun volume of 180 cubic inches or less (site surveys)

- If the line change time is expected to be greater than 40 minutes, airgun firing should be terminated at the end of the line and a full 20 minute soft-start undertaken before the next line. The pre-shooting search should also be

undertaken during the scheduled line change, and the soft-start delayed if marine mammals are seen within 500 metres of the centre of the airgun array.

- If the line change time is expected to be less than 40 minutes, airgun firing can continue during the turn, but the Shot Point Interval (SPI) should be increased (longer duration between shots). Ideally, the SPI should not exceed 5 minutes during the turn.

Depending upon the duration of the line turns and the nature of seismic survey it may be necessary to vary the soft-start procedures. If an applicant determines that an effective line change can not be achieved using the above methods please contact JNCC at the earliest possible opportunity to discuss the proposed alternative, and include the details of the agreed procedure and the consultation with the JNCC in the application for survey consent.

### 3.5 Undershoot operations

During an undershoot operation, one vessel is employed to tow the seismic source and a second vessel used to tow the hydrophone array, although the main vessel will still tow the hydrophone array. This procedure is used to facilitate shooting under platforms or other obstructions. The MMO may be too far away from the airguns to effectively monitor the mitigation zone, and it is therefore recommended to place the MMO on the source vessel. If this is not possible, for example for logistical reasons, or the health and safety implications of transferring personnel from one vessel to another, the application should explain that the recommended procedure cannot be followed in the application for the survey consent, or the application for a variation of that consent. Irrespective of the MMO location agreed with DECC, the pre-shooting search and soft-start procedures should still be followed prior to undertaking an undershoot operation.

## **Section 4 - Acoustic Monitoring**

Visual observation is an ineffective mitigation tool during periods of darkness or poor visibility (such as fog), or during periods when the sea state is not conducive to visual mitigation, as it will not be possible to detect marine mammals in the vicinity of airgun sources. Under such conditions, PAM is considered to be the only currently available mitigation technique that can be used to detect marine mammals. Current PAM systems can be particularly helpful in detecting harbour porpoises within the 500 metre mitigation zone, although the systems have their limitations and can only be used to detect vocalising species of marine mammals.

PAM systems consist of hydrophones that are deployed into the water column, and the detected sounds are processed using specialised software. PAM operatives are needed to set up and deploy the equipment and to interpret the detected sounds.

### 4.1 Use of PAM as a mitigation tool

PAM can provide a useful supplement to visual observations undertaken by MMOs and JNCC may recommend that it is used as a mitigation tool when commenting on applications for survey consents. However, in many cases it is not as accurate as

visual observation for determining range, and this will mean that the mitigation zone will reflect the range accuracy of the system. For example, if the range accuracy of a system is estimated at +/-300 metres, animals detected and calculated to be within 500 metres from the source could, in reality, be  $500 + 300 = 800$  metres, but their detection would still lead to a delay in the soft-start. Although, at present it is not possible to express the range accuracy of most PAM systems in numerical terms, this example serves to illustrate that it is in the operator's best interests to use the most accurate system available, and for the PAM operative to factor in a realistic estimate of the range accuracy.

Some PAM systems do not have a reliable range determination facility or can only calculate the range for some species. In such cases, the detection of a confirmed cetacean vocalisation should still be used to initiate postponement of the soft-start if the PAM operator is able to make a judgement about the range of the animals from the airgun source, because of their experience gained in differentiating between distant and close vocalisations. In the absence of PAM systems capable of range determination, this expert judgement will constitute the basis for deciding whether an area is free from cetaceans prior to the soft-start.

In all cases where PAM is employed, a brief description of the system and an explanation of how the applicant intends to deploy PAM to greatest effect should be included in the application for survey consent.

In the last few years, software that processes and analyses cetacean sounds has been developed. An example of this is PAMGuard, an open source software that has been developed as part of the International Association of Oil and Gas Producers Joint Industry Project (JIP). JNCC recognises that PAMGuard is currently in a transition period between use as a research tool and widespread adoption as a monitoring technique. Moreover, JNCC recognises the need to balance proactive implementation of PAM with the need to further develop its capability, for example to include species recognition and baleen whale detection, and therefore encourages users of these systems to actively contribute to their development and refinement.

## **Section 5 – Requirements for MMOs and PAM**

Any survey application or consultation received by JNCC will be considered on a case-by-case basis, and the mitigation measures advised to DECC will reflect the particulars of the survey and the importance of the survey area for marine mammals. The following paragraphs are provided as a guide to the advice applicants are likely to receive following submission of an application with JNCC.

For areas that are currently considered particularly important for marine mammals, for example in the UK this includes areas West of Scotland, the Moray Firth and Cardigan Bay, JNCC may recommend that:

- The MMOs should be experienced MMOs, and that PAM should be used.
- The PAM system should be used to supplement visual observations, or as the main mitigation tool if the seismic survey activity commences during periods of

darkness or poor visibility, or during periods when the sea state is not conducive to visual mitigation.

JNCC will advise that two marine mammal observers should be used when daylight hours exceed approximately 12 hours per day (between 1<sup>st</sup> April and 1<sup>st</sup> October north of 57° latitude), or the survey is in an area considered particularly important for marine mammals.

When a non-dedicated MMO is recommended by JNCC (e.g. for VSPs and certain site-surveys), and the recommendation is incorporated into the conditions of the survey consent, a member of the rig's or vessels crew can perform the duties providing the crew member is a trained MMO.

When a dedicated MMO is recommended and this is a condition of the survey consent, the MMO should be employed solely for the purpose of monitoring the implementation of the guidelines and undertaking visual observations to detect marine mammals during periods of seismic activity.

When two dedicated MMOs are requested and this is a condition of the survey consent, both should be employed solely for the purposes of monitoring the implementation of the guidelines and undertaking visual observations, and the use of a crew member with other responsibilities as the second observer is not considered to be an adequate substitute for a dedicated MMO, or to be in compliance with the conditions of the survey consent.

## **Section 6 - Background Information**

These guidelines were originally prepared by a Working Group convened by the Department of the Environment, and were developed from a draft prepared by the Sea Mammal Research Unit (SMRU). The guidelines have subsequently been reviewed three times by the Joint Nature Conservation Committee, following consultation with interested parties.

### **6.1. Existing protection to cetaceans**

Section 9 of the Wildlife and Countryside Act 1981 (CRoW amended) prohibits the intentional or reckless killing, injuring or disturbance of any cetacean. The UK is also a signatory to the Agreement on the Conservation of Small Cetaceans of the Baltic and North Seas (ASCOBANS) and has applied its provisions in all UK waters. Amongst other actions required to conserve and manage populations of small cetaceans, ASCOBANS requires range states to "work towards...the prevention of ...disturbance, especially of an acoustic nature".

Reflecting the requirements of the Convention on the Conservation of European Wildlife and Habitats (the Bern Convention) and Article 12 of the EC Habitats and Species Directive (92/43/EEC), the UK has the following legislation in place:

- The Conservation of Habitats and Species Regulations 2010
- The Conservation (Natural Habitats, &c.) Regulations 1995 (Northern Ireland) (and 2009 amendments)

- The Conservation (Natural Habitats, &c.) Amendment (No. 2) Regulations 2008 (Scotland) (and 2009 amendments)
- The Offshore Petroleum Activities (Conservation of Habitats) Regulations 2001 (and 2007 amendments),
- The Offshore Marine Conservation (Natural Habitats, &c.) Regulations 2007 (and 2009 and 2010 amendments) (beyond 12 nautical miles UKCS)

## **Section 7 – References and contacts**

Further information on DECC's survey consent procedure can be found at: <http://www.oq.decc.gov.uk/>.

A copy of these guidelines, the standard forms (electronic and hard copy) and further background information is available from the above address, or can be found on the JNCC website at: <http://www.jncc.gov.uk/page-1534>

Reid, J.B., Evans, P.G.H., & Northridge, S.P. (2003). '[Atlas of cetacean distribution in north-west European waters](http://www.jncc.gov.uk/page-2713)' (Online). <http://www.jncc.gov.uk/page-2713>

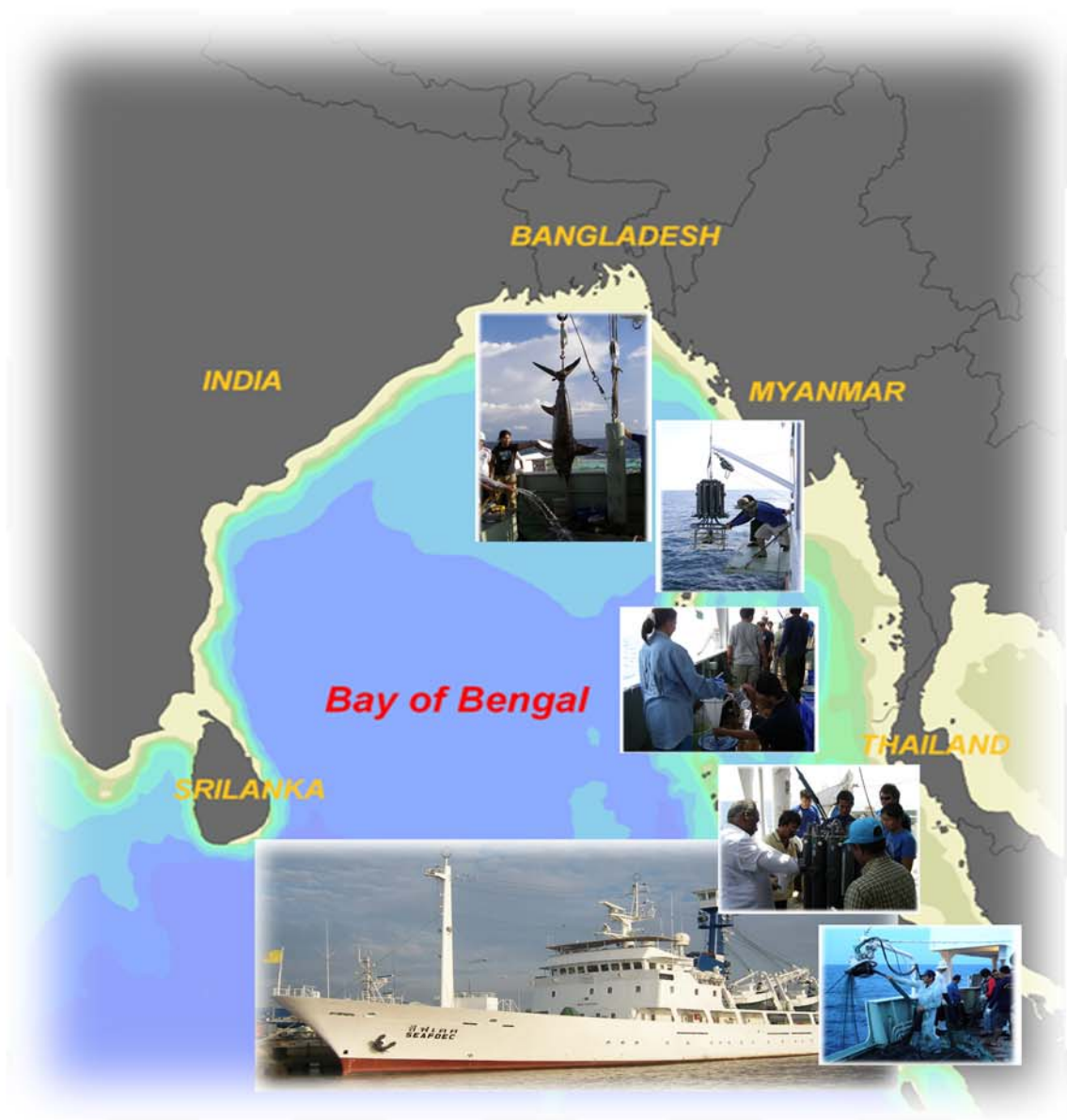
If you have any comments or questions relating to these guidelines, or suggestions on how they may be improved, please email [seismic@jncc.gov.uk](mailto:seismic@jncc.gov.uk)



**APPENDIX C** The Ecosystem-Based Management Fishery in the Bay of Bengal, 2008



## The Ecosystem – Based Fishery Management in the Bay of Bengal



**The Bay of Bengal Initiative for Multi-Sectoral Technical  
and Economic Cooperation (BIMSTEC)**

**Department of Fisheries, (DOF)  
Ministry of Agriculture and Cooperatives, Thailand**

**Southeast Asian Fisheries Development Center (SEAFDEC)  
Training Department, Thailand**

# **The Ecosystem-Based Management Fishery in the Bay of Bengal**



Department of Fisheries, (DOF)  
Ministry of Agriculture and Cooperatives, Thailand  
September, 2008



# **The Ecosystem-Based Fishery Management in the Bay of Bengal**

## **Executive Summary**

### **1. Introduction**

The Ecosystem-Based Fishery Management in the Bay of Bengal is a collaborative fishery research project conducted by members of the Multi-Sectoral Technical and Economic Cooperation (BIMSTEC). The BIMSTEC is an international economic cooperation of a group of countries comprising Bangladesh, India, Sri Lanka, Thailand, Myanmar, Bhutan and Nepal. The economic cooperation initiative was initially formulated Bangladesh, India, Sri Lanka and Thailand in their 6 June 1997 Agreement recognized as the “Bangladesh, India, Sri Lanka and Thailand Economic Cooperation” or BIST-EC. Myanmar attended the inaugural June Meeting as an observer and joined the organization as a full member at a Special Ministerial Meeting held in Bangkok on 22 December 1997, upon which the name of the grouping was changed to BIMST-EC. Nepal was granted observer status by the second Ministerial Meeting in Dhaka in December 1998. Subsequently, full membership has been granted to Nepal and Bhutan in 2004. In the first Summit on 31 July 2004, leaders of the group agreed that the name of the grouping should be known as BIMSTEC or the Bay of Bengal Initiative for Multi-Sectoral Technical and Economic Cooperation.

BIMSTEC has thirteen priority sectors cover all areas of cooperation. Six priority sectors of cooperation were identified at the 2<sup>nd</sup> Ministerial Meeting in Dhaka on 19 November 1998. They include the followings:

1. Trade and Investment, led by Bangladesh
2. Transport and Communication, led by India
3. Energy, led by Myanmar
4. Tourism, led by India
5. Technology, led by Sri Lanka
6. Fisheries, led by Thailand

The BIMSTEC member countries recognize the role played by the fisheries sector in food supply and food security for their peoples. The natural resource rents provided by the Bay of Bengal and other inland and coastal bodies of water should be properly managed. In the past decades, the overexploitation of the fishery resources and the overcapacity of fishing fleets are the results of rapid fishing technology development, the ever increasing demands for fish as dictated by population growth and export economic policies, and the open access management of the fisheries. A new and effective management is therefore needed to bail the sub-region out of this economic and technical dilemma.

Around the world, fishery managers are increasingly recognizing ecosystems as natural capital assets. Scientific understanding of ecosystem production functions is improving rapidly but remains a limiting factor in incorporating natural capital into decisions, via systems of national accounting and other mechanisms. It is clear that formal sharing of experience, and defining of priorities for future work, could greatly accelerate the rate of innovation and uptake of new approaches.

The Bay of Bengal is a large marine ecosystem where coastal countries have been fishing. Its geographical and hydrological characteristics support plenitude of a variety of fish and shrimps. Sardines, anchovies, and mackerels are commonly caught whilst yellowfin tuna, bigeye tuna, skipjack tuna and swordfish, other large and precious pelagic fish known in the world market are harvested here. The Bay of Bengal is thus known for the

source of employment in fishing and income enjoyed by a large number of people, as well as their countries in terms of foreign currency earning.

Three projects in the fisheries sectors have been approved by the 6<sup>th</sup> Ministerial Meeting in 2004. These are: 1) Ecosystem-based fisheries management in the Bay of Bengal (proposed by Thailand); 2) Impact of offshore oil and gas drilling on the marine fishery resources in the Bay of Bengal (proposed by Bangladesh); and 3) marine fish stock assessment, management and development of new fisheries in the Bay of Bengal (proposed by Bangladesh). Further discussion was made on these three projects during the BIMSTEC Technical Meeting in 2005. For the first project, the Technical Meeting suggested that a focus should be made on the straddling and highly migratory fish stocks and the survey of deep sea areas beyond the EEZ.

## 2. The Overall Objectives

The overall objectives of this project are as follows:

- 1) To understand the physical and chemical oceanographic and hydrological conditions of the Bay of Bengal.
- 2) To investigate the biological data of economic fish in terms of species, abundance, distribution, maturity size, feeding etc.
- 3) To assess the potential of fishery resources in the Bay of Bengal.
- 4) To strengthen capability in research work and knowledge exchange by training on the job on board the Thai research vessel.
- 5) To improve understanding and collaboration among researchers of the member countries during on board surveys.

## 3. The Project Output

It is expected that the obtained scientific data and information from all sub-projects will be highly beneficial for States bordering the Bay of Bengal to eventually draft the policy on sustainable utilization of fishery resources and achieve the effective fisheries management in the Bay of Bengal.

## 4. The Findings

The project spent a total of 58 days (from 25 October to 21 December 2007) in the survey, using a SEAFDEC research vessel, in the following maritime areas:

**Area A** (latitude 16°N -19°N, longitude 88°E -91°E)

**Area B** (latitude 09°N -14°N, longitude 82°E -85°E)

**Area C** (latitude 09°N -13°N, longitude 95°E -97°E)

Three types of fishing methods were used during the whole period of the surveys: pelagic long line, drift gill net and automatic squid jigging.

The results of the studies are summarized as follow:

### 4.1 The Oceanographic and Hydrobiological Conditions

#### a) The Oceanographic Condition

The oceanographic survey found the western side (area B) of the Bay with higher salinity than the north (area A) and the eastern (area C) boundaries. The water circulation in the Bay, as exhibited by the surface salinity in three spatial areas, was density-driven. Two core cold eddies were observed in the north area of the Bay. The large volume of

freshwater discharge by the major rivers plays an important role in inducing lower salinity and higher temperature of the mixed layer (between 14 and 49 m) in the western and eastern areas of the Bay. Hypoxia (where dissolved oxygen was <0.5 ml/l) was found 200 m and deeper in the northern side of the Bay. Surface water shallower than 400 m was occupied by three water masses: Bay of Bengal water (salinity 32-34 psu), Andaman Sea water (salinity 31-33 psu), and Indian Central water (salinity more than 35 psu). The Indian central water occupied all deepest layers of all survey areas.

Distribution of nutrients: nitrite + nitrate, silicate and phosphate were found to correlate positively with depth at all sampling stations. The concentrations of nutrients in the mixed layer depth were low and undetectable in several sampling stations but distinctly high at western station (station 23) of the north of the Bay where chlorophyll-a concentration was also high. In the thermocline layer, a strong nutricline concentration was noticed to be rapidly increasing with depth. Until about 200-250 m the nutrient values were nearly constant or slightly changed. The differentiated pattern of depth profiles of both total phosphorus and total alkalinity together with the relationship between total alkalinity and total phosphorus indicate that sea water characteristic in the enclosed Andaman Sea is different from the entire Bay of Bengal.

Spatial distribution of chlorophyll-a displayed a pattern similar to that of salinity. Most of the low latitude stations exhibited somewhat higher chlorophyll-a concentrations than in those of high latitude stations.

#### **b) Hydrobiological Conditions**

A total of 135 phytoplankton species identified belong to the groups of cyanobacteria, diatom, dinoflagellates and silicoflagellates. The northern side of the Bay was inhabited by the highest phytoplankton densities due to the blooms of *Pseudo-nitzschia pseudodelicatissima* in the western part (station 23) of this area.

Similar to phytoplankton, a high concentration of zooplankton was found in the northern area of the Bay. The zooplankton community consisted of 205 species. Copepod was the most prevalent group both in terms of the number of species and biomass. Thirteen families of cephalopod paralarvae were found during the survey period. Family Ommastrephidae was widely distributed in the Bay.

Of the fifty-two families of fish larvae identified, those belong to Family Photichthyidae were the most abundant. The majority of these fishes belong to the inshore reef-fish and oceanic fish groups. In overall, the east of the Bay or the Andaman Sea harbours the richest ichthyodiversity and the highest biomass of fish larvae compared to other study areas.

#### **4.2 The Fishery Resources**

From the fishery surveys with 3 types of fishing gear; drift gill net (DGN), pelagic longline (PLL) and automatic squid jigging machine (ASJ), DGN and PLL were satisfactorily effective in catching pelagic fishes and were ideally appropriate tools for sustainable exploitation of the pelagic fishery resources in the Bay of Bengal. It was low catch per unit of effort (CPUE) from ASJ. The overall CPUEs from each type of fishing gear operated in the entire survey areas were DGN 0.84 no/hr (1.27 kg/hr), PLL 1.23 no/100 hooks (27.96 kg/100 hooks) and ASJ 0.19 no/line/hr (0.03 kg/line/hr)

In all fishing areas and with all types of fishing gear, the sum total of five most abundant species captured by number were in the following order: skipjack tuna (*Katsuwonus pelamis*, 22.94%), swordfish (*Xiphias gladius*, 12.94%), silky shark (*Carcharhinus falsiformis*, 8.82%), frigate tuna (*Auxis thazard*, 8.24%) and bigeye thresher shark (*Alopias supersiliosus*, 6.47%).

In terms of weight, the swordfish (34.82%) ranked first of the top-five species followed by bigeye thresher shark (33.88%), silky shark (8.21%) black marlin (*Makaira indica* 4.23%) and yellowfin tuna (*Thunnus albacares*, 3.98%), respectively.

Considering the fishing areas where fish were found in great abundance, the top-five pelagic species were mostly found in area A and C. It can be said that area A is a fertile fishing ground for DGN fishery targeting at tunas particularly skipjack tuna whereas area C is a good fishing ground for billfish fishing with PLL. Although DGN and PLL were operated successfully, their lower CPUEs were achieved when comparing to that of commercial fishing vessels. This could attribute to seasonal variation as the survey period may not fall into a high fishing season. Moreover, the fishing operations were not as intensive as those exerted by commercial fishing vessels.

It was found that the sizes of the fishes captured by these types of fishing gear were mostly sexually mature. The mean total lengths of skipjack tuna, frigate tuna, dolphinfish, swordfish, bigeye thresher shark and silky shark were 41.46, 35.14, 72.94, 211.00, 271.00 and 111.33 cm, respectively. Sex ratios of these species, except that of skipjack tuna, were approximately 1:1. The schools of skipjack tuna were male dominant. Although there were high percentages of sexually mature individuals of both sexes during the survey period, it still insufficient to determine the spawning ground and spawning season. It was considered that the survey duration was rather short, approximately 2 months, and so the acquired biological data concerned with reproductive cycle were insufficient to clarify such items.

Regarding the squids caught by automatic squid jigging machine, the total catches were represented by only one species of Ommastrephidae, purpleback flying squid (*Sthenoteuthis oualaniensis*) which was noticeable more concentrated in area C than in areas A and B. The mean length of the cephalopod was 104 mm for males and 169 mm for females. Sex ratio was 1 male to 4.57 females. At present purpleback flying squid is not regarded as a target species in commercial fishery because of its gristle and low quality for human consumption.

## 5. Heavy Metal Contamination

The Bay of Bengal's purpleback squid (*Sthenoteuthis oualaniensis*) contained mercury (Hg), lead (Pb) and Zinc (Zn) concentrations in both edible parts and visceral mass were within the safety limits. The mean copper (Cu) concentration in visceral (but not edible) tissues of the squid from every station was higher than the safety limit. The mean cadmium (Cd) concentrations in both edible part and visceral mass of the squid from every station are higher than those of the proposed safety limit. This concluded that Hg, Pb, Zn and Cu concentration in the edible body part of the purpleback squid from the Bay of Bengal are lower than safety limit except Cd. At the same time the study of Hg concentration in fish tissues caught from the same area were also carried out. Most fish analyzed still had Hg concentration in the tissue within the EU and CODEX limit of 0.5µg/g, particularly when fish size not exceeding approximately 15 kg in weight or 150 cm in length. The Hg burden in the tissue of both bigeye thresher shark and swordfish reported in this study were the highest. Swordfish which weighed more than 40 kg accumulated very high Hg content in their flesh exceeding 1 µg./g wet weight which was over the upper limit of the CODEX and EU guideline levels.

## 6. Acknowledgements

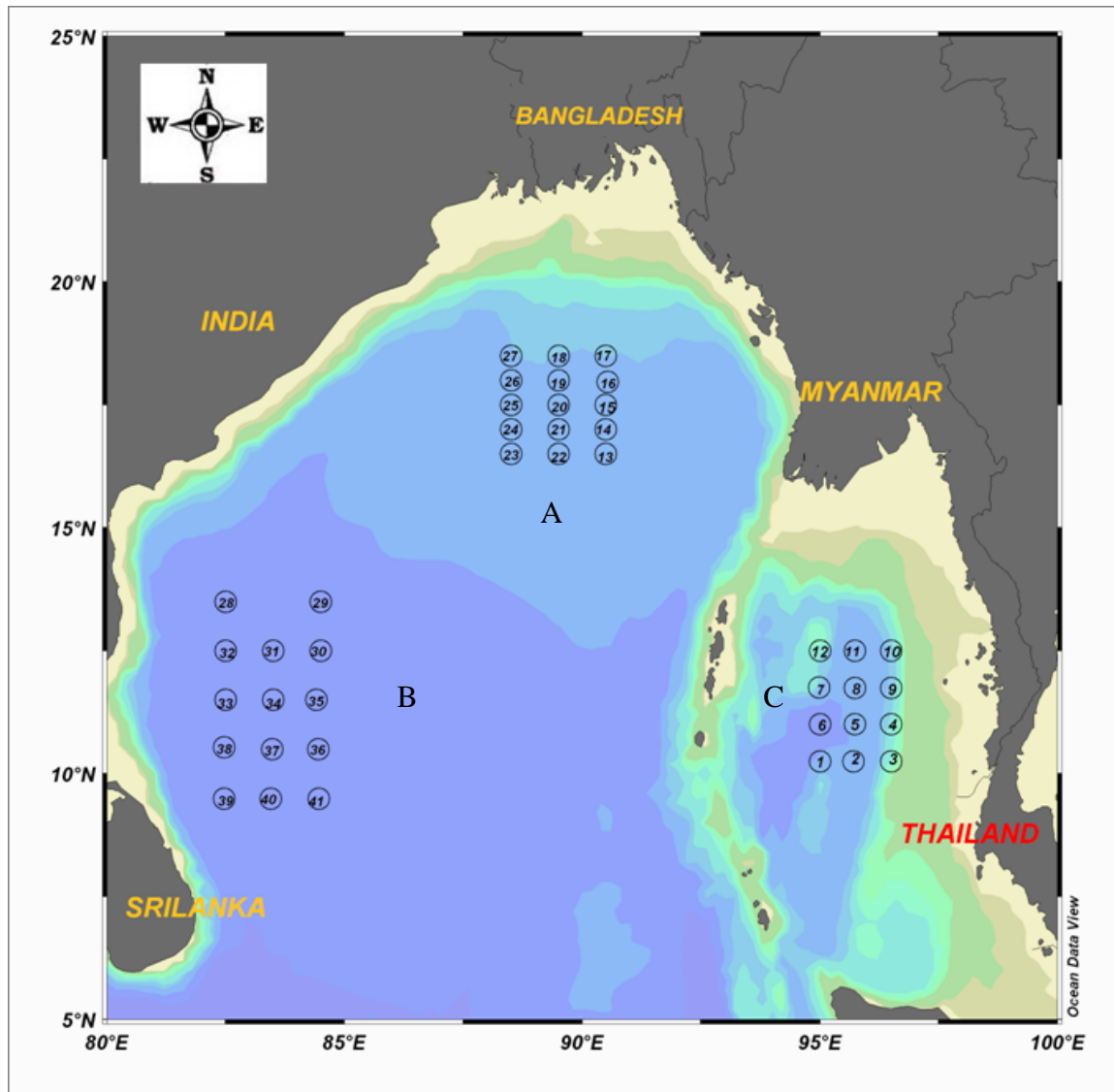
We are grateful to the Ministry of Foreign Affairs who provided the financial support to the survey. The Southeast Asian Fisheries Development Center (SEAFDEC) is generous in providing its research facilities and research vessels for all surveys. Thanks to all researchers from member countries and all crew to help in data collection and services. Finally the documentation edits with fruitful and wider vision by the members of the Technical Committee are great.



**Figure 1** Fisheries Research Vessel M.V. SEAFDEC.

### Specification

Length over all	65.02 m
Length between perpendiculars	57.00 m
Breadth, molded	12.00 m
Depth to super structure deck, molded	7.10 m
Draft, molded	4.658 m
Service speed at 4.50m draft	14.3 knots
Maximum sea trial speed	16.64 knots
Deadweight	744.42 t
Classification	NK, NS, MNS, Fisheries Training and Research Vessel
Official sign	HSHE
Flag	Kingdom of Thailand
Port of registry	Bangkok, Thailand
Gross tonnage	1178 t
Net tonnage	354 t
Fish hold capacity	145.38 m <sup>3</sup>
Tank capacity fuel oil	428.96 m <sup>3</sup>
Delivery	10 <sup>th</sup> Feb. 1993
Builder	Miho Shipyard Co., Ltd.



**Figure 2** Map showing the survey stations.

**Survey Areas**

The survey area A, B and C

**Area A** (latitude 16°N -19°N, longitude 88°E -91°E)

**Area B** (latitude 09°N -14°N, longitude 82°E -85°E)

**Area C** (latitude 09°N -13°N, longitude 95°E -97°E)

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## **Oceanographic Condition of the Bay of Bengal during November-December 2007**

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### **Abstract**

Three sub areas of the Bay of Bengal: northern, eastern and western parts were studied for oceanographic condition. Vertical profiles of temperature, salinity were retrieved from CTD cast while dissolved oxygen and pH were measured from water sample collected at the standard depth. Two core-cold eddies were observed in the north of the Bay. Huge fresh water discharge from main rivers in the Bay plays an important role to shallowness of mixed layer depth of 14-49 m depth and resulting low saline and high temperature water in the north and the east of the Bay. Dissolved oxygen in the east was higher than in the north. The oxygen minimum zone (<0.5 m/l) was also observed at depth greater than 200 m in the north of the Bay. Surface water shallower than 400 m was occupied by three water masses: the Bay of Bengal water (salinity 32-34 psu), the Andaman Sea water (salinity 31-33 psu) and the Indian Central water (temperature 10-15°C, salinity more than 35 psu). The Indian Central water occupied all deepest layer of all survey areas.

**Key Words:** Bay of Bengal, oceanographic condition

### **Introduction**

The study on oceanographic condition of the Bay of Bengal was conducted with the aim to support the Ecosystem-Based Fishery Management in the Bay of Bengal which is a collaborative survey project of the BIMSTEC (Bay of Bengal Initiative for Multi-Sectoral Technical and Economic Cooperation) member countries; Bangladesh, India, Myanmar, Sri Lanka, Nepal and Thailand. The survey was initiated by Thailand, leading country for fishery sector, to observe and collect scientific data concerning to fishery and oceanographic aspects in the Bay of Bengal.

The Bay of Bengal situates in the eastern part of the north Indian Ocean. It is land locked in the North, there is the Andaman and Nicobar Island that separate the Andaman Sea to the East from the Indian Ocean. The shape of the Bay is resemble to a triangle which bordered by member countries of BIMSTEC. There are many large river including the



Ganges, Brahmaputra, Irrawaddy, Godavari, Mahanadi, Krishna and Kaveri emptying freshwater into the Bay.

The Bay of Bengal is influenced by a semi-annually reversing monsoonal wind system. During winter monsoon (November-February), the winds are weak (~5 m/s) and from the Northeast. These trade winds bring cool and dry continental air to the Bay of Bengal. In contrast, during the summer monsoon the strong (~10 m/s) southwest winds bring humid maritime air into the Bay of Bengal. The unique feature of the Bay of Bengal is the large seasonal freshwater pulse, which makes the waters of the upper layers less saline and highly stratified (Narvekar and Prasanna Kumar, 2006).

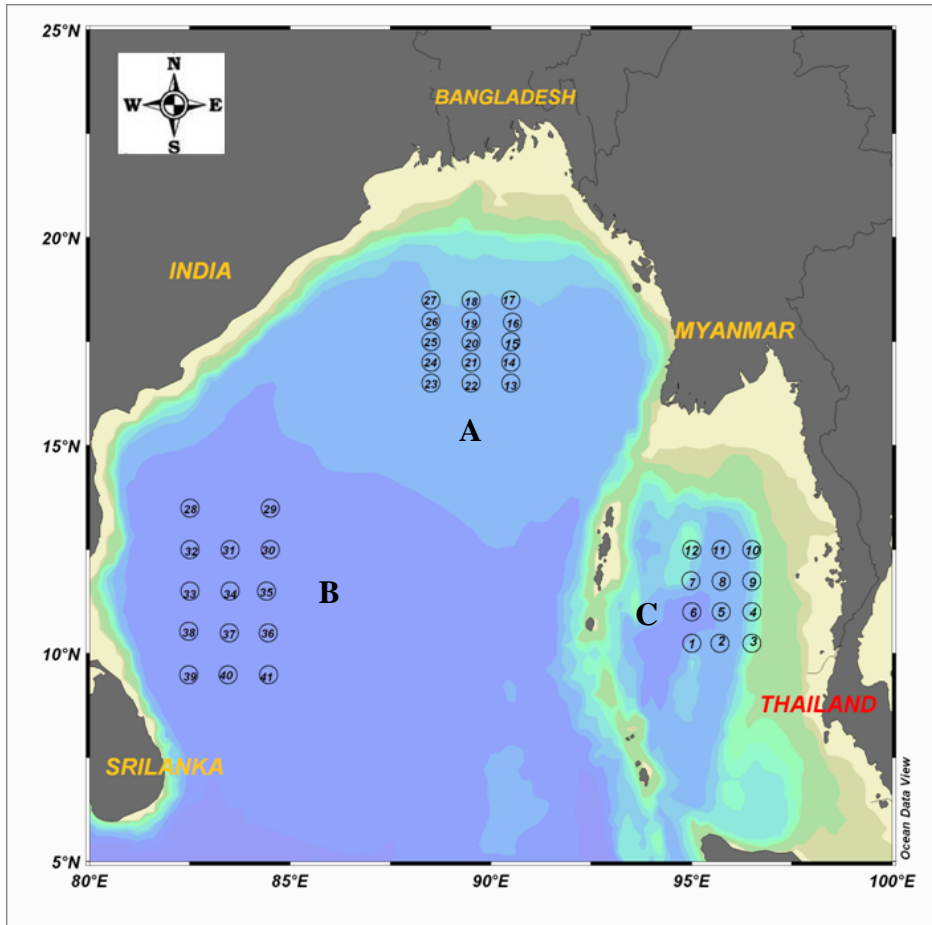
## Materials and Methods

Oceanographic condition of the Bay of Bengal was studied as a part of Ecosystem-Based Fishery Management in the Bay of Bengal. The surveys were planned to collect data from three areas: area A (latitude 16°N-19°N, longitude 88°E-91°E) in the north of the Bay of Bengal, area B (latitude 09°N-14°N, longitude 82°E-85°E) in the western part of the Bay of Bengal and area C (latitude 10°N-12°N, longitude 95°E-97°E) in the Andaman sea (Fig. 1). Due to the influence of cyclone SIDR during the survey period, station 33 to 41 were canceled because of safety reason (Fig. 2). Total survey period was 58 days, which was from 25 October to 21 December 2007.

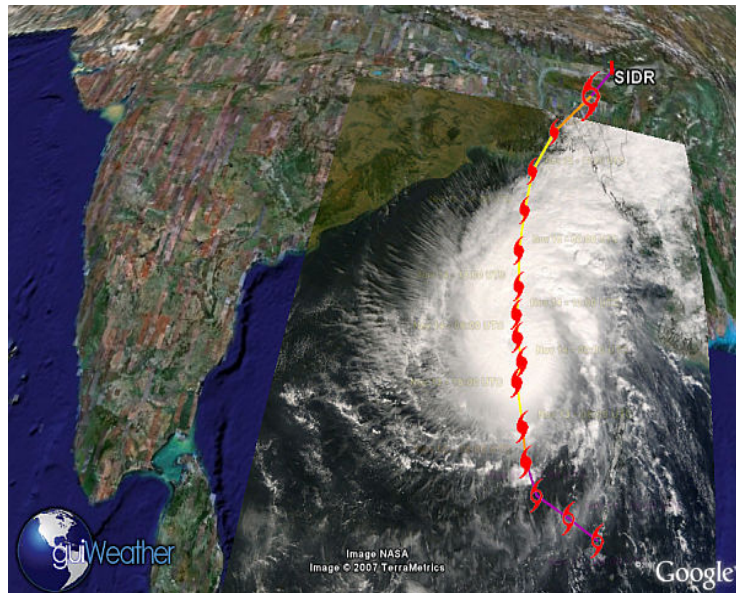
Data were collected using Falmouth Integrated CTD instrument attached with twelve 2.5 liter Niskin bottles onboard M.V.SEAFFDEC. Temperature and salinity were recorded continuously from the surface to the depth of 400 m, which is the maximum length of M.V.SEAFFDEC CTD system. The recorded data were then averaged to every one meter depth.

During up cast of CTD operation, water samples were taken at standard depths from surface to 400 m depth. Water samples were then immediately taken for dissolved oxygen determination and pH measurement. Dissolved oxygen was determined by Whinkle titration procedure while pH was measure using Fisher Accumet 1002 pH meter. Please note that dissolved oxygen and pH data were analyzed only in area A and C, because of few data were available. Data were analyzed using Ocean Data View software (Schlitzer, 2005).

The mixed layer depth (MLD), the depth at which the sigma-t value exceeds surface value by 0.2 is defined following Narvekar and Kumar, 2006.



**Figure 1** Map showing the survey stations.



**Figure 2** Tropical Cyclone SIDR which formed on November 11, 2007 and dissipated on November 16, 2007 (source: [http://www.earthblog.com/blog/archives/2007/11/tropical\\_cyclone\\_sidr.html](http://www.earthblog.com/blog/archives/2007/11/tropical_cyclone_sidr.html)).

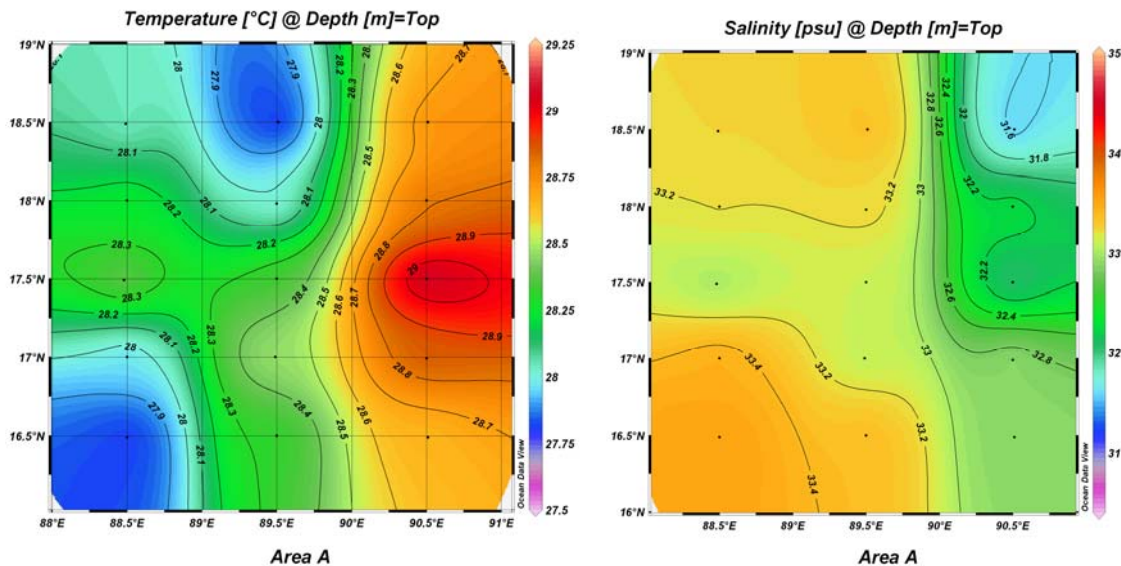
## Results

### Area A

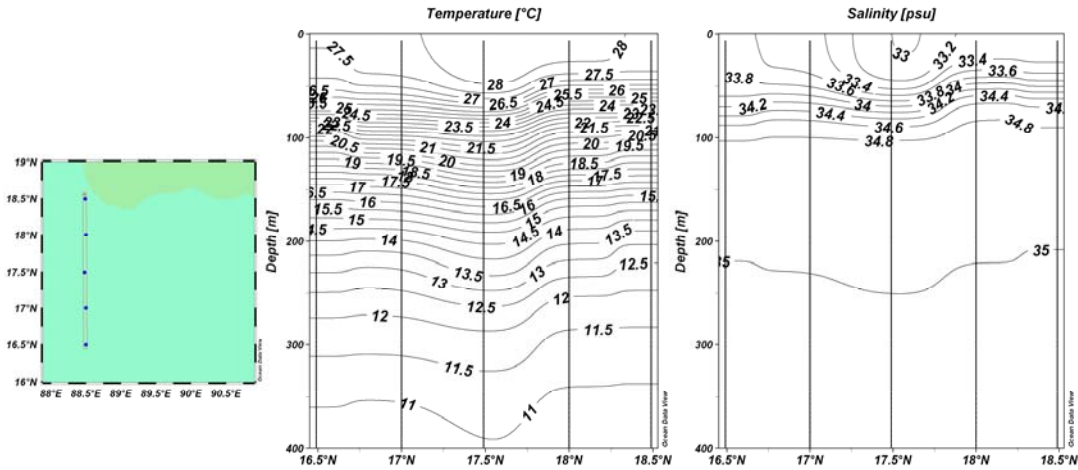
Sea surface temperature (SST) and sea surface salinity (SSS) of area A were between 27.8 to 29.7 °C and 31.5-33.6 psu, respectively. The higher SST was observed in the eastern part of area A which coincides with the area of low salinity.

There were two cold core eddied with high salinity observed at the surface layer of area A. One of which was located in the Southwest (along of longitude 88° 30'E) where the 27.5 °C isotherm shoaled from 60 m at latitude 17° 30'N to 20 m at latitude 16° 30'N (Fig. 4). The other cold core was observed in the North where 27.5 °C isotherm shoaled from 50 meters at latitude 18°N to 30 m at latitude 18° 30'N in the section plots along of longitude 89° 30'E (Fig. 5).

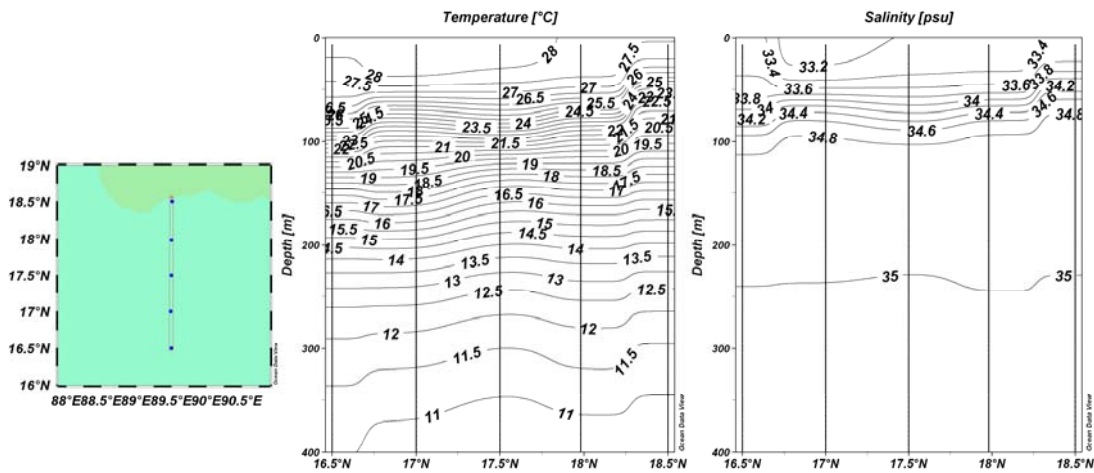
The average MLD, of area A was 31.3 m depth. The shallowest MLD (19 m) was observed in the areas that occupied by cold-core and high saline water.



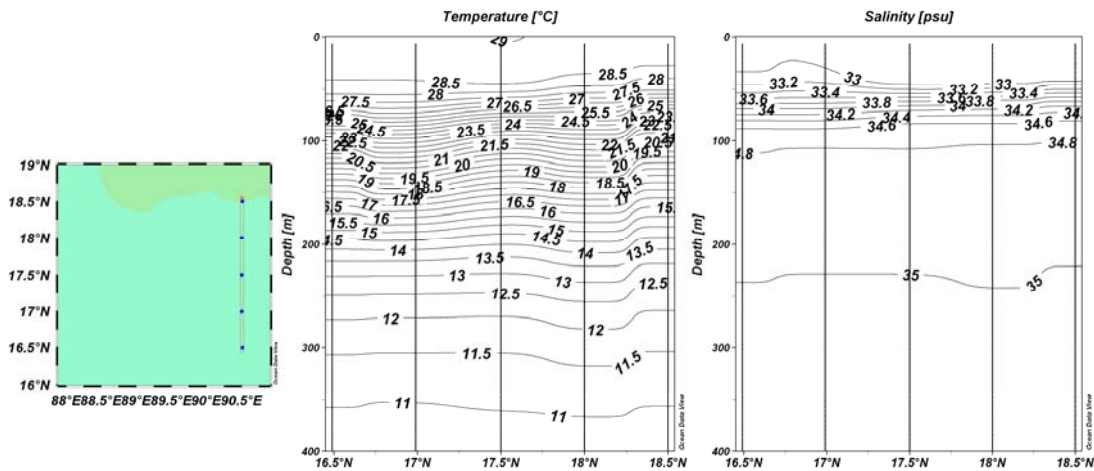
**Figure 3** Horizontal plots of temperature (°C) and salinity (psu) at surface layer of area A. (Dots indicate data location)



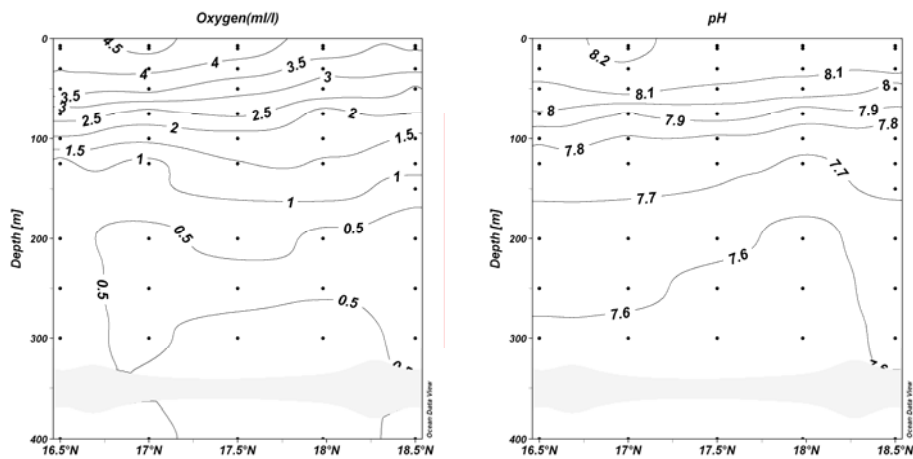
**Figure 4** Section plots of temperature (°C) and salinity (psu) of survey stations along longitude 88° 30'E of area A (stations 23-27).



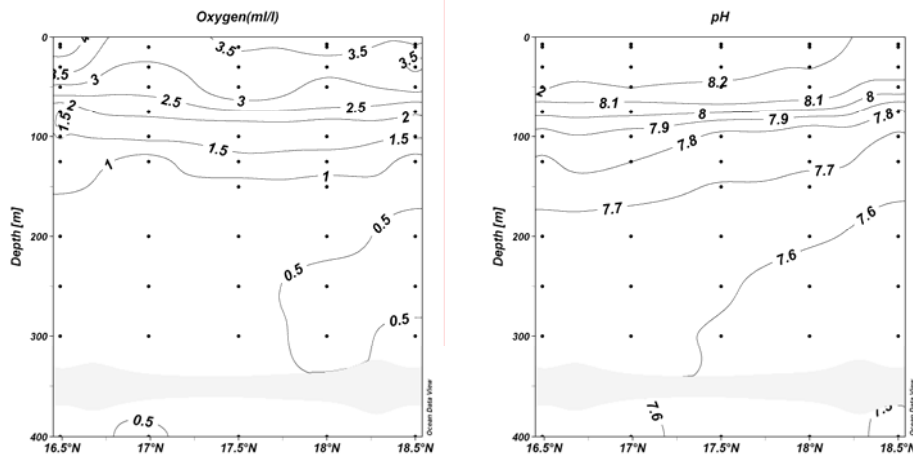
**Figure 5** Section plots of temperature (°C) and salinity (psu) of survey stations along longitude 89° 30'E of area A (station 18-22).



**Figure 6** Section plots of temperature (°C) and salinity (psu) of survey station along longitude 90° 30'E of area A (station 13-17).



**Figure 7** Section plots of oxygen (ml/l) and pH of survey stations along longitude  $89^{\circ} 30'E$  of area A (station 18-22). (Dots indicate data location)



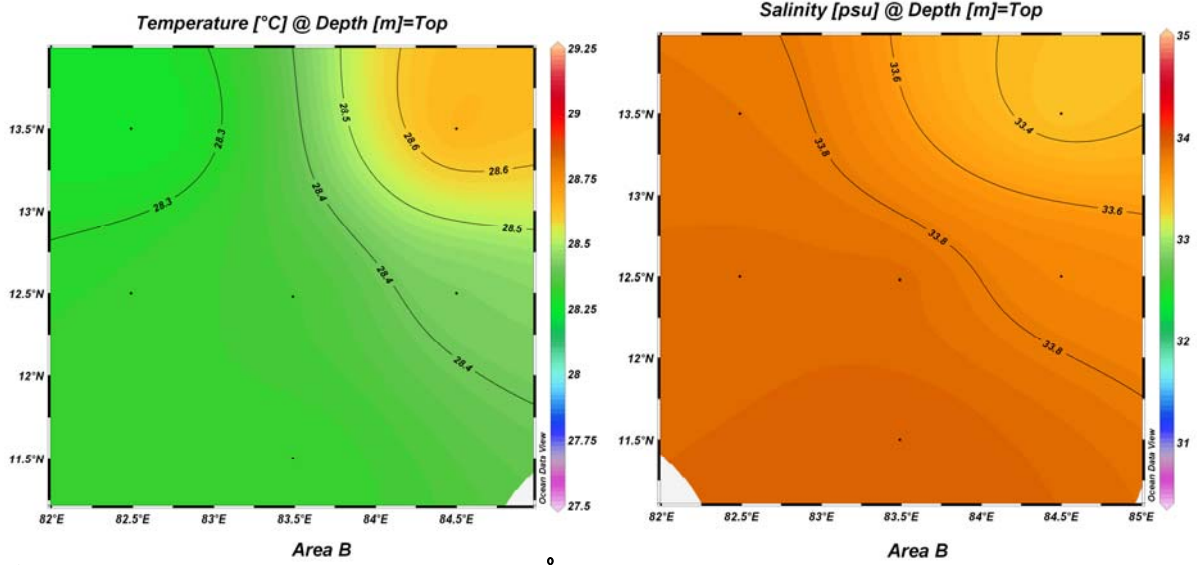
**Figure 8** Section plots of oxygen (ml/l) and pH of survey stations along longitude  $90^{\circ} 30'E$  of area A (station 13-17). (Dots indicate data location)

Dissolved oxygen concentration of surface water of area A was between 3.94-5.02 ml/l. The changing of dissolved oxygen and pH by depth was observed in surface layer shallower than 150 m, ranges from about 4 to 5 ml/l and 8.2-8.3 to 1 ml/l and 7.7, respectively. Dissolved oxygen and pH were homogeneously below 150 m depth. The tongue like of water mass, whose dissolved oxygen is less than 0.5 ml/l and pH less than 7.6 was observed at depth below 200 m in the north of area A (Fig. 7 and 8).

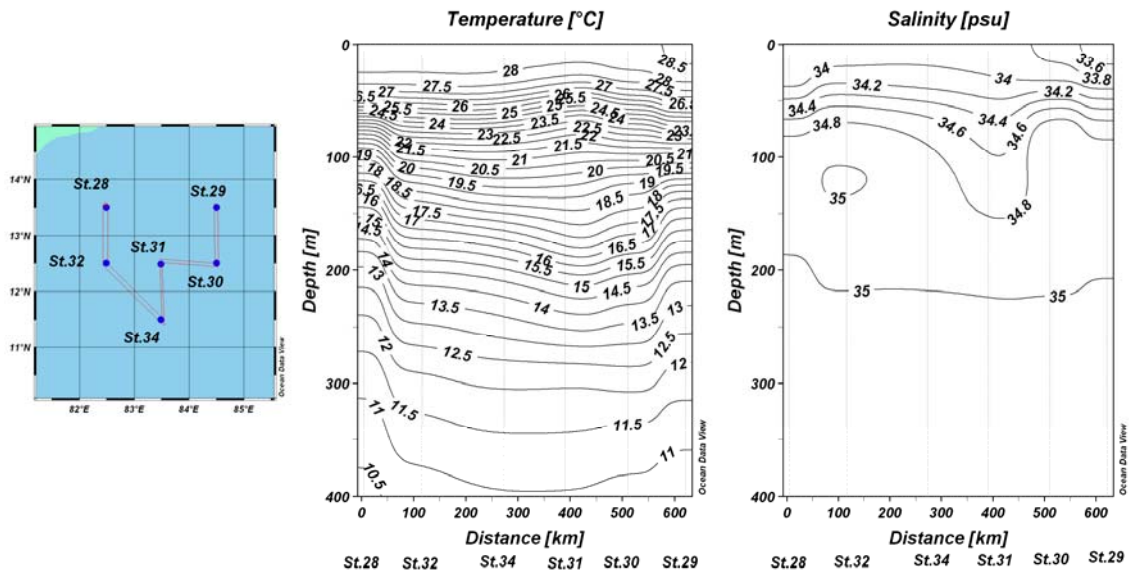
### Area B

SST and SSS patterns of area B are quite homogeneous. The SST ranges between  $28.3-28.7^{\circ}C$  while SSS ranges between 33.3-34 psu (Fig. 9).

Section plots in Fig.10 show that high salinity gradient occurred only at the upper 100 m depth. There was a strange pattern of salinity at the station 31 where 34.8 psu isohaline was observed at 150 m depth while the other stations were at about 80 m depth.



**Figure 9** Horizontal plots of temperature ( $^{\circ}\text{C}$ ) and salinity (psu) at surface of area B. (Dots indicate data location)



**Figure 10** Section plots of temperature ( $^{\circ}\text{C}$ ) and salinity (psu) of all stations in area B. (Dots indicate data location)

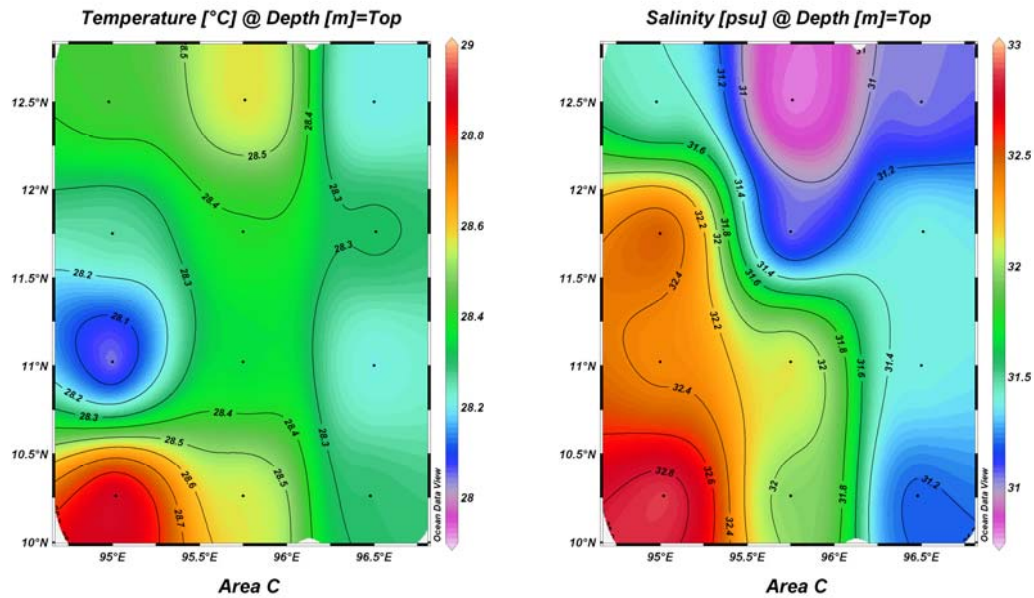
The average mix layer depth of area B was 37.8 m depth. The shallowest MLD was observed in the east side of area B.

Due to the bad weather condition during the survey period of area B, water samples from just a few stations could be collected to determine dissolved oxygen and pH. Therefore, the analyses of these two parameters were not possible.

### Area C

The surface salinity of area C, ranges 30.78-32.9 psu, was lower than the others. Lower saline water was observed at the north and the east of the area, indicating the influence of outflow from the rivers from the northern part of the area.

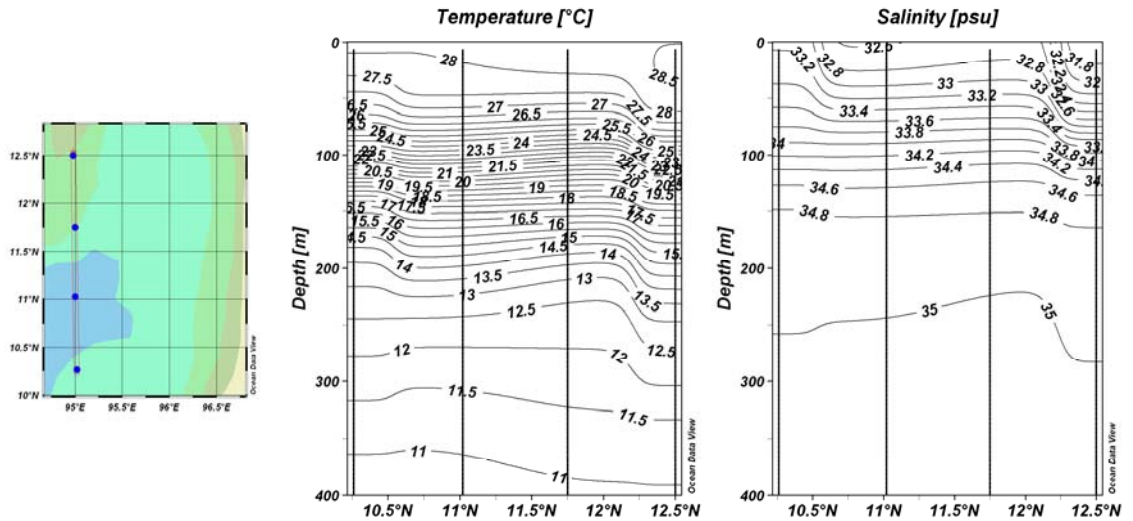
SST of area C ranges from 27.99-28.93°C. The highest SST was observed in the southwest of the area (Fig. 11).



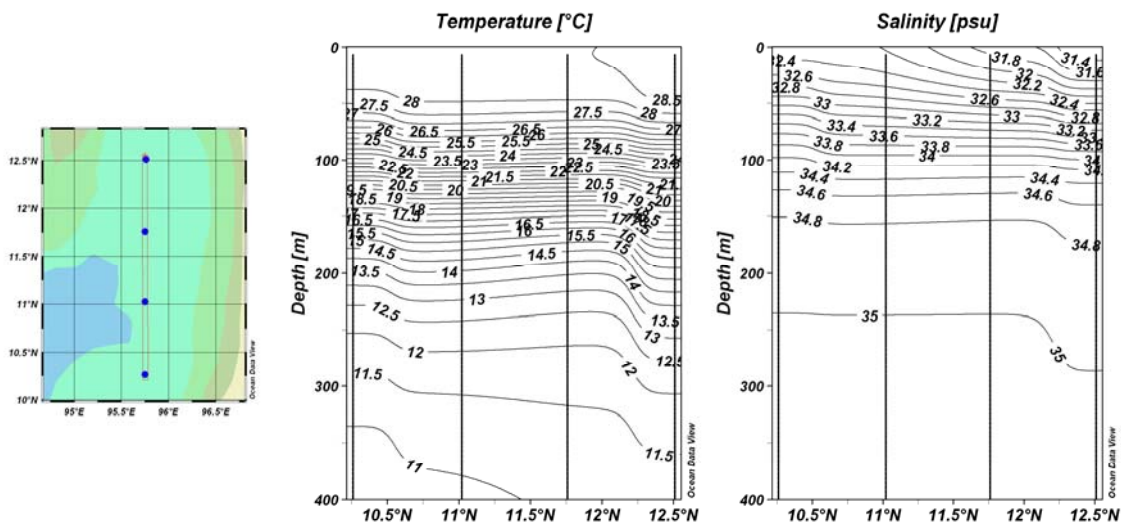
**Figure 11** Horizontal plots of temperature (°C) and salinity (psu) at surface of area C. (Dots indicate data location)

Section plots of temperature and salinity along longitude 95°E, 95° 45'E and 96° 30'E show that strong gradient of temperature and salinity occurred from the surface to about 150 m depth, which was deeper than in the area A and B (Figs. 12, 13 and 14). Only in the most northern stations, higher temperature and lower salinity were observed at the same depth (Fig.12). Salinity and temperature of this station were more similar to those of the stations in the eastern part of the area.

MLD was about 19 to 34 m depth. Average MLD of area C was 24 m, which was the shallowest among three survey areas.

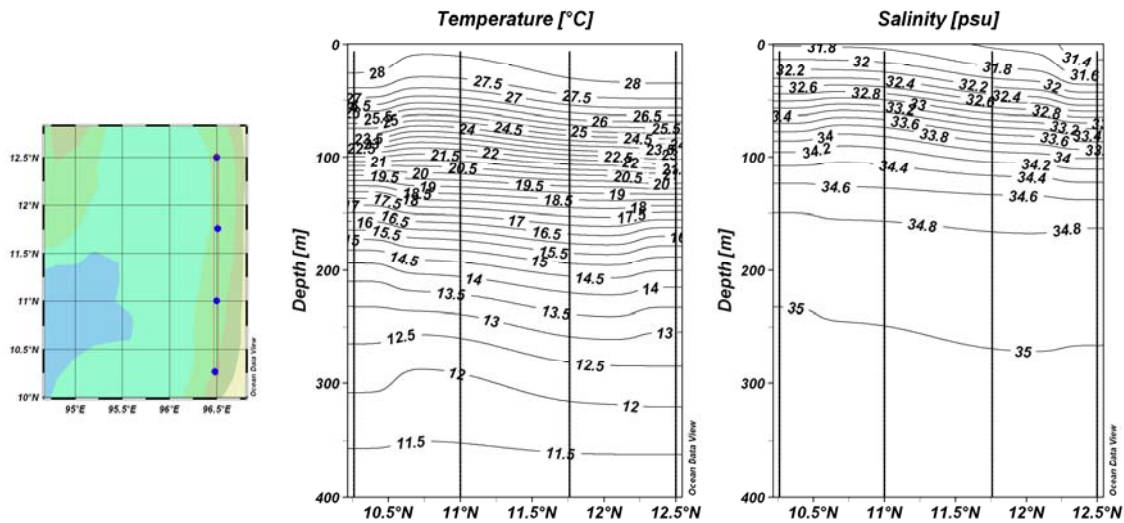


**Figure 12** Section plots of temperature ( $^{\circ}\text{C}$ ) and salinity (psu) of stations along longitude  $95^{\circ}\text{E}$  in area C (station 1, 6, 7 and 12). (Dots indicate data location)



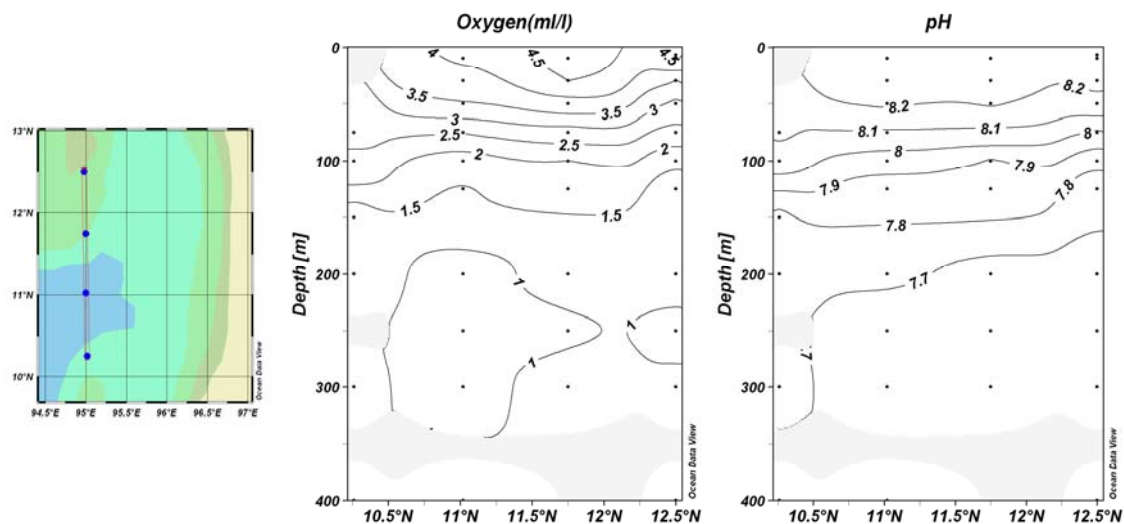
**Figure 13** Section plots of temperature ( $^{\circ}\text{C}$ ) and salinity (psu) of stations along longitude  $95^{\circ}45'\text{E}$  in area C (station 2, 5, 8 and 11). (Dots indicate data location)



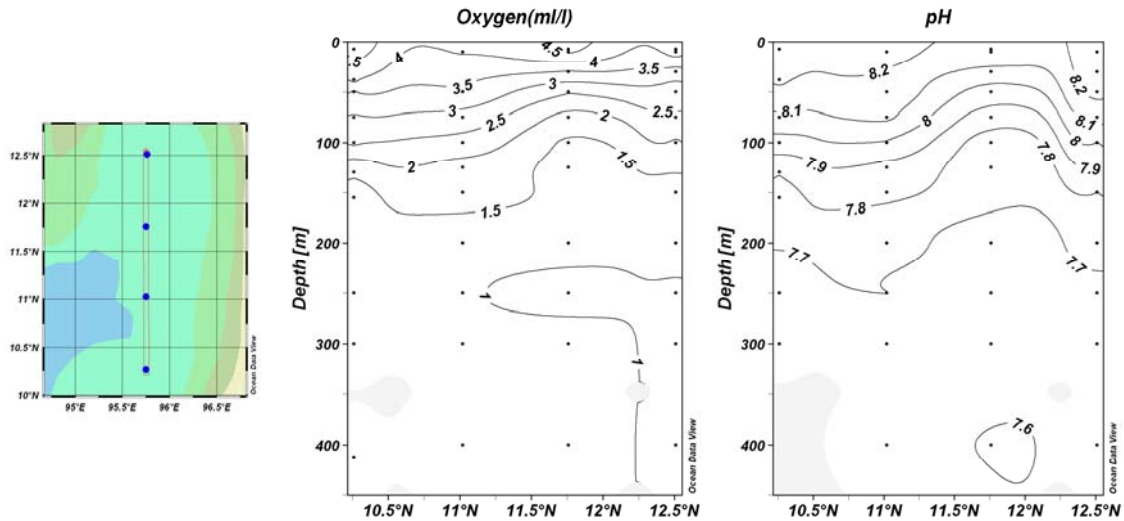


**Figure 14** Section plots of temperature ( $^{\circ}\text{C}$ ) and salinity (psu) of stations along longitude  $96^{\circ}30'E$  in area C (station 3, 4, 9 and 10). (Dots indicate data location)

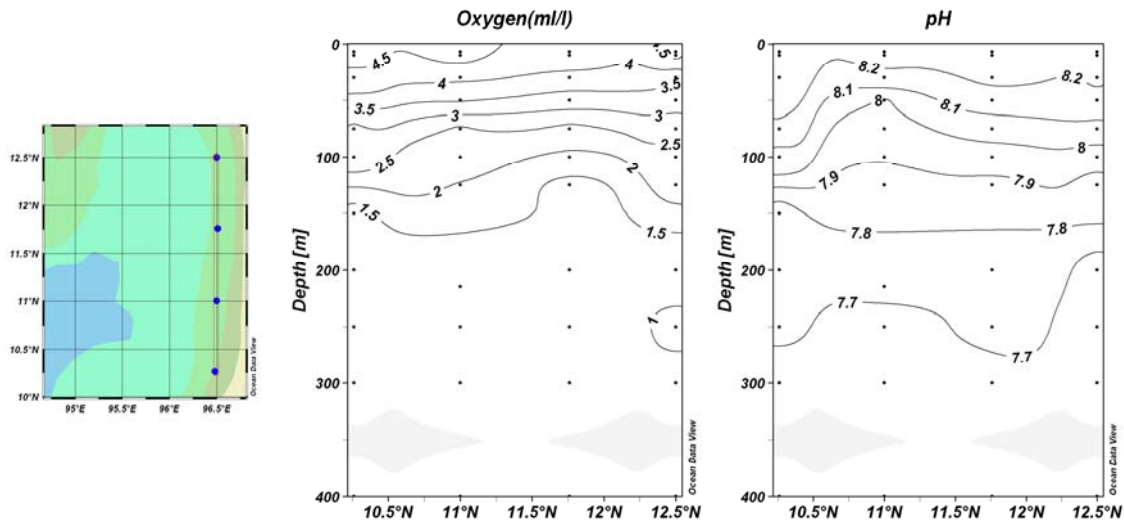
Section plots of dissolved oxygen and pH along longitude  $95^{\circ}E$ ,  $95^{\circ}45'E$  and  $96^{\circ}30'E$  also show strong gradient from the surface to 150 m depth. Below that water are homogeneous. Surface dissolved oxygen ranges from 4.97-5.01 ml/l. At the same depth, dissolved oxygen concentration in area C was higher than area A by 0.5 to 1 ml/l. The lowest dissolved oxygen line (0.5 ml/l), observed in area A, did not occur in area C. The pH also shows similar pattern. Surface pH ranges from 8.21-8.27.



**Figure 15** Section plots of dissolved oxygen (ml/l) and pH of stations along longitude  $95^{\circ}E$  in area C (station 1, 6, 7 and 12). (Dots indicate data location)



**Figure 16** Section plots of dissolved oxygen (ml/l) and pH of stations along longitude  $95^{\circ} 45'E$  in area C (station 2, 5, 8 and 11). (Dots indicate data location)

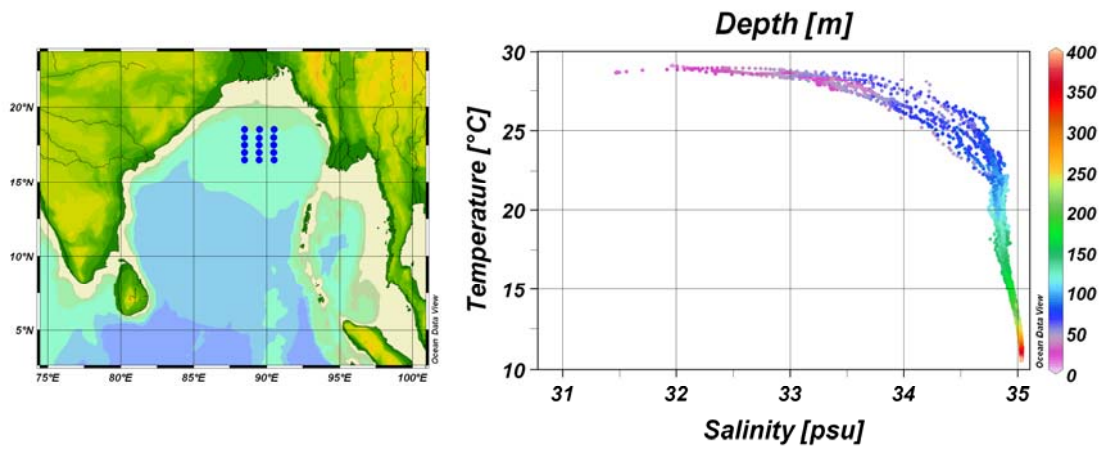


**Figure 17** Section plots of dissolved oxygen (ml/l) and pH of stations along longitude  $96^{\circ} 30'E$  in area C (station 3, 4, 9 and 10). (Dots indicate data location)

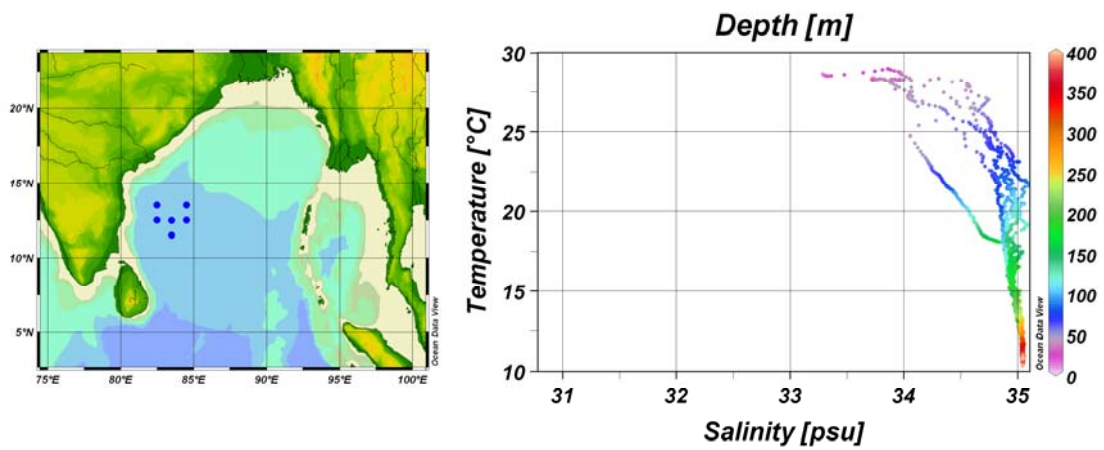
### Temperature-Salinity Diagram

Three water masses were observed during the survey (Fig. 18, 19 and 20). Surface layers ranges down to nearly 100 m thick of area A and B were occupied by low salinity water (32-34 psu). This water is known as the “Bay of Bengal water” (BBW). At the surface layer of area C, salinity is lower than that in area A and B by about 1 psu (31-33 psu). Surface water thickness in area C was nearly 150 m. This water mass may be originated in the Andaman Sea from the outflow of large rivers in the area.

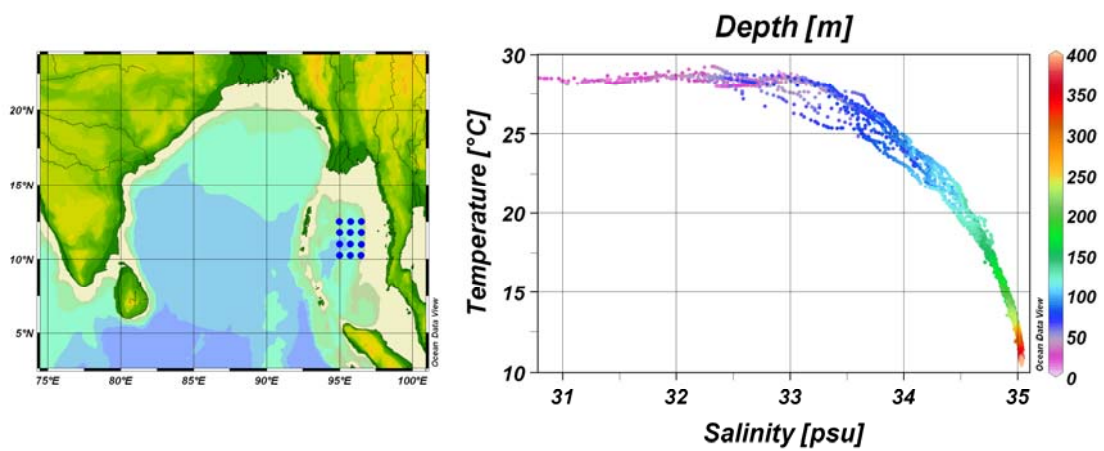
The deepest layer in all survey areas, was occupied by low temperature (10-15°C) and high salinity water (more than 35psu), which its property is resemble to the Indian Central Water (ICW) (Rao, 1965 and Tomczak and Godfrey, 2001). It was noted that data of station 31 in area B show a strange characteristic, which could not be explained here.



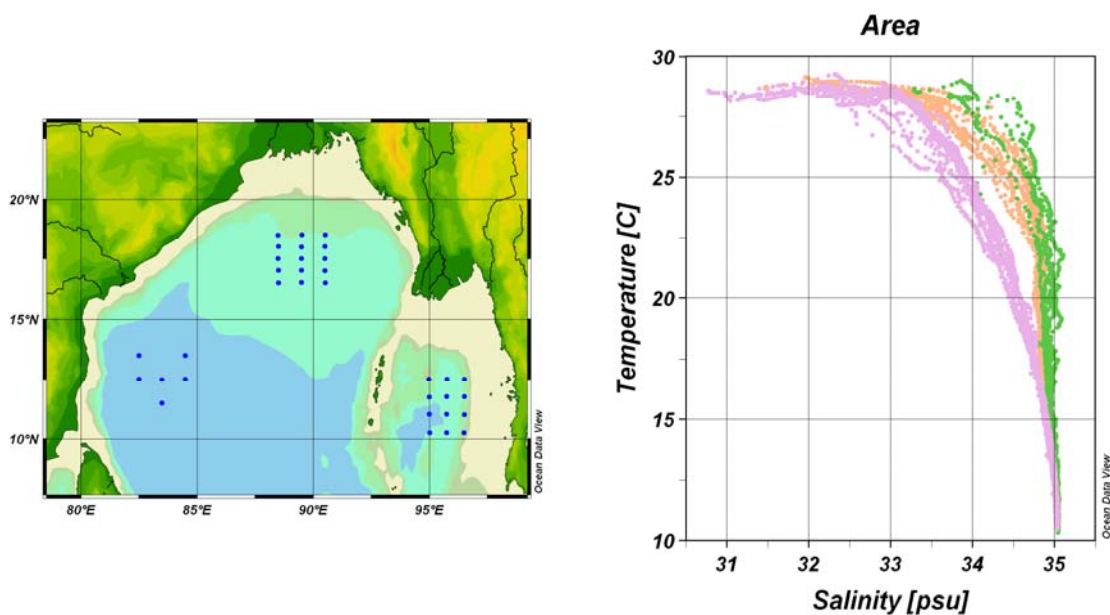
**Figure 18** TS diagram of water mass in area A. (colors indicate water depth)



**Figure 19** TS diagram of water mass in area B. (colors indicate water depth)



**Figure 20** TS diagram of water mass in area C. (colors indicate water depth)



**Figure 21** TS diagram of all survey areas.  
(colors denote survey area; orange, green and pink represent data from area A, B and C respectively)

## Discussions

Salinity of water in the west of the Bay was higher than that in the north and the eastern boundary. Wind direction (Fig. 22) and surface current direction (Fig. 23) explain the observational results that high saline water flows into the Bay from the South, then flows northward and eastward by wind driven current. At the west of the Bay wind direction was northeastward. At the North, wind flowed northward except at the station along longitude  $88^{\circ}30'E$  that wind flowed eastward. And at the east of the Bay, wind flowed southeastward and eastward. Due to the influence of cyclone during the survey period, wind directions were not resemble to general wind pattern that during November to December where the Northeast Monsoon prevails in the Bay of Bengal (Tomczak and Godfrey, 2001).

Surface salinity of three areas also shows that water circulation of the Bay was influenced by density driven. At the north and the east of the Bay, large rivers supply huge amount of fresh water that can lead salinity in this area to be lower than at the west by 2-3 psu.

Two cold core eddies were discernible from a low temperature and high salinity water mass in the surface plot and the upheaval of isotherms below the surface in the vertical plot. The occurrence of eddy was reported by Kumar *et al.* (2004). This phenomenon plays as an important mechanism of vertical transfer of nutrients across the halocline to the oligotrophic euphotic zone when the Bay of Bengal is highly stratified.

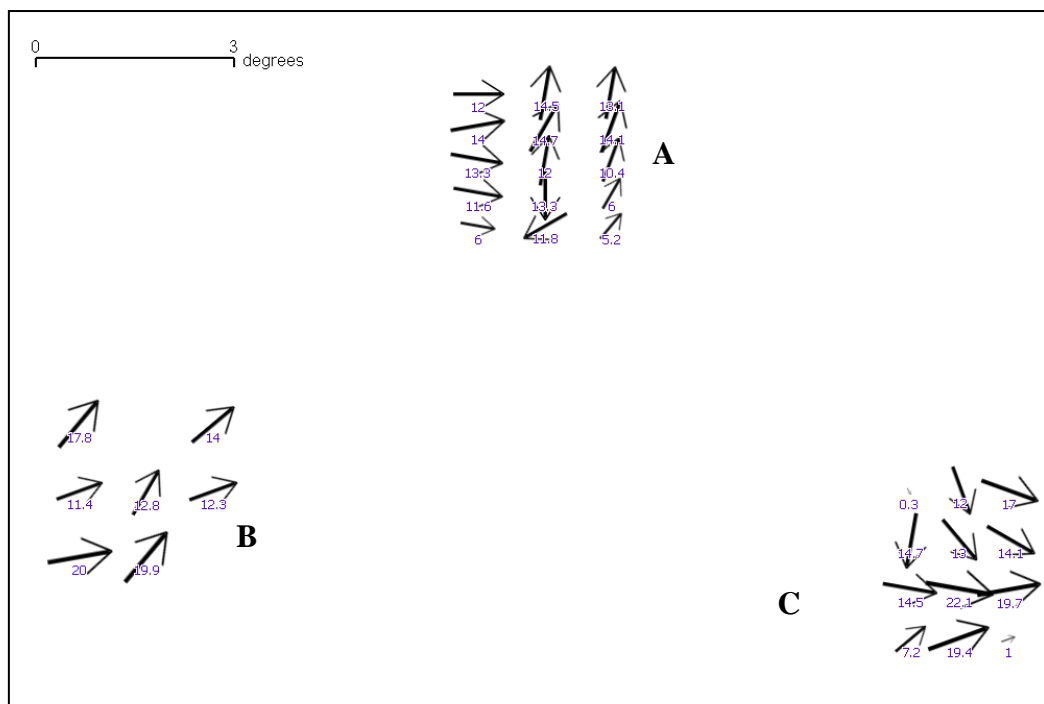
MLD of area A in this study (31 m) is deeper than in the study of Narvekar and Kumar, (2006) who studied seasonal variability of MLD in the central Bay of Bengal from a long term data set (1900-2004). Their results showed that from the north of latitude  $15^{\circ}N$ , MLD remained shallow at about 20 m for the most of the year without any appreciable seasonality. The stability of shallow MLD in the north of latitude  $15^{\circ}N$  was explained by low salinity water, perennially presenting in the northern Bay.

The deeper MLD of this study, compared to that from the average long term data set, may be due to the influence of SIDR cyclone that induces MLD to be deeper than normal situation.

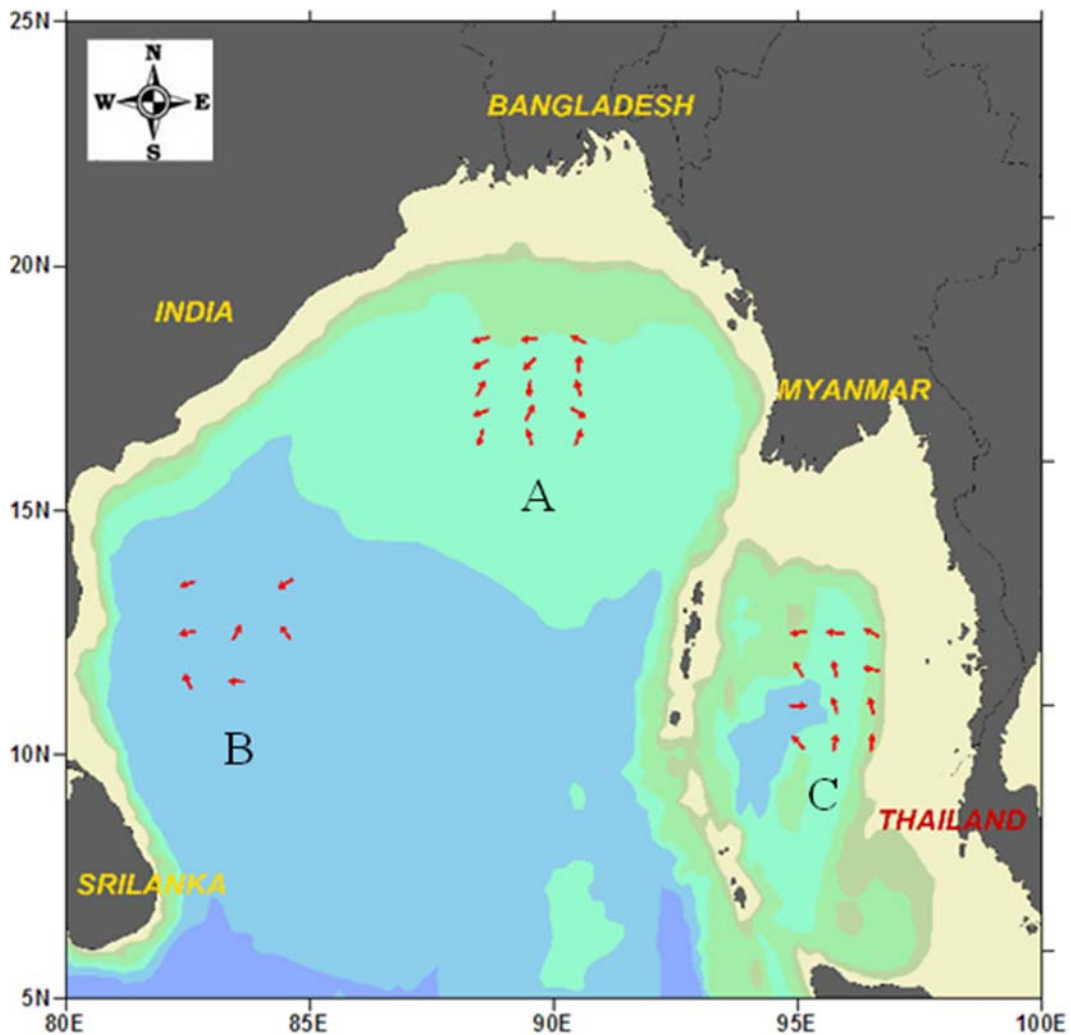
MLD of area B was the deepest (37.8 m) in this study, similar to the results study from long term dataset (Narvekar and Kumar, 2006). The deep MLD is due to moderate to rough sea condition during the survey.

The average shallowest MLD (24 m) was observed in area C. It was coincided with its characteristic that lowest saline area (30.78-32.9 psu). Low surface salinity, influenced from river outflow, may intensify stratification of the water column and decrease vertical mixing in area C.

Dissolve oxygen in this study was low in the North. The concentration was 0.5 to 1 ml/l lower than in the east of the Bay. It was explained in the study of Naqvi (2006) that the distinguishing feature of the Indian Ocean that Asian land mass restrict its northern expanse to the tropic, not allowing adequate ventilation of the thermocline from the North and, to a small extent, a porous eastern boundary (opening between the Indonesian Islands), which facilitates exchange of water with the Pacific Ocean at the low latitudes. The oxygen minimum zone (OMZ) which dissolved oxygen <0.5 ml/l was observed only occurred in area A at depth greater than 200 m. Due to the limitation of wire length, the depth range of OMZ cannot be specified. However, the OMZ depth of this study is within ranges mentioned in the study of Sardesai *et al.* (2007) that OMZ in the Bay of Bengal occurs at intermediate depth (60-800 m). It was suggested that the circulation of the water mass, under the influence of season, and the geochemical processes play a significant role to regenerative processes and OMZ regulation in the Bay of Bengal.



**Figure 22** Wind speed and direction recorded from wind indicator during the survey period.



**Figure 23** Surface current directions during the survey period.

## Conclusions

Two core-cold eddies were observed in the north of the Bay. Huge amount of fresh water supply from main rivers in the Bay plays an important role to mixed layer depth shallowness at the north and the east of the Bay. Dissolved oxygen in the East was higher than in the North. OMZ ( $<0.5$  m/l) was also observed at depth greater than 200 m in the north of the Bay. Surface water beyond 400 m was occupied by three water masses: the Bay of Bengal water (salinity 32-34 psu), the Andaman sea water (salinity 31-33 psu) and the Indian central water (temperature 10-15°C, salinity more than 35 psu). The Indian central water occupied all the deepest layer of all survey areas.

## Acknowledgement

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## Comparison of Total Phosphorus Contents and Total Alkalinity in Seawater of Different Area in the Bay of Bengal

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### Abstract

Total phosphorus and total alkalinity at different depth throughout the water column (400 m depth, salinity ca. 34 psu) in three areas of the Bay of Bengal were investigated in order to compare their distribution in different areas of the Bay of Bengal. It was found that pattern of depth profile of both total phosphorus and total alkalinity in area C (the Andaman Sea) is different from the other two areas of the Bay of Bengal. Together with the relationship between total alkalinity and total phosphorus, it can be indicated that the characteristics of seawater in the enclosed Andaman Sea are different from the entire Bay of Bengal. In comparison with the other two areas, lower total alkalinity in the surface water and higher total alkalinity but lower total phosphorus in the deeper water was observed in the Andaman Sea.

**Key words:** total phosphorus, total alkalinity, Bay of Bengal

### Introduction

Primary producer in the sea, phytoplankton, require dissolved inorganic nutrients for their growth. The free orthophosphate ion component is a vital nutrient for sustaining marine productivity (e.g., Codispoti, 1989; Tyrrell, 1999). It is well known as the limiting nutrient for primary productivity in marine systems. Regeneration of phosphorus from both particulate and dissolved forms of organic phosphorus is a potentially important source of bioavailable P for marine primary and secondary producers (Ammerman and Azam, 1985; Bjorkman and Karl, 1994; Jackson and Williams, 1985; Karl *et al.*, 1993; Monaghan and Ruttenberg, 1999). Within pools of dissolved and particulate phosphorus or so-called total phosphorus, turnover rates of organic phosphorus are rapid and seasonal, enabling low inorganic phosphorus concentrations to support high primary productivity (Benitez-Nelson and Buesseler, 1999).

Total alkalinity, a measurement of buffering capacity of the marine systems, is known to be a conservative parameter of water masses, therefore its measurements act as a water mass tracer (Schiettecatte *et al.*, 2003, Watanabe *et al.*, 2004). However, the oceans act as a natural reservoir for carbon dioxide (CO<sub>2</sub>). Atmospheric CO<sub>2</sub> dissolves naturally in the ocean, forming carbonic acid (H<sub>2</sub>CO<sub>3</sub>), a weak acid. It is estimated that the world ocean is taking up 1.7 GtC per year, which is almost 30% of the CO<sub>2</sub> released anthropogenically into the atmosphere (Prentice *et al.*, 2001). The uptake of anthropogenic carbon since 1750 has led to the ocean becoming more acidic, with an average decrease in pH of 0.1 units (UNEP, 2008).



Although the coastal ocean is only a small fraction (8%) of the total ocean area, several studies have suggested the importance of the CO<sub>2</sub> dynamics in this area. Between 15% and 50% of the oceanic primary production is now attributed to coastal ocean (Walsh, 1991; Muller-Karger, 2000). Recent studies have concluded that some continental shelves, in general the zone shallower than 200 m, act as a sink for atmospheric CO<sub>2</sub> (Tsunogai *et al.*, 1999; Frankignoulle and Borges, 2001), of up to 0.6 GtC per year worldwide (Yool and Fasham, 2001), which is about 30% of the oceanic CO<sub>2</sub> uptake. Another reason that we care about alkalinity is that when organisms build calcium carbonate skeletons, they effectively remove calcium and carbonate from the water column. Progressive acidification of the oceans due to increasing atmospheric CO<sub>2</sub> is expected to reduce biocalcification of the shells; bones and skeletons most marine organisms possess (UNEP, 2008).

In this study, total phosphorus and total alkalinity at different depth throughout the water column (400 m depth, salinity ca. 34 psu) in three areas of the Bay of Bengal were investigated in order to compare their distribution in different areas of the Bay of Bengal.

## Material and Methods

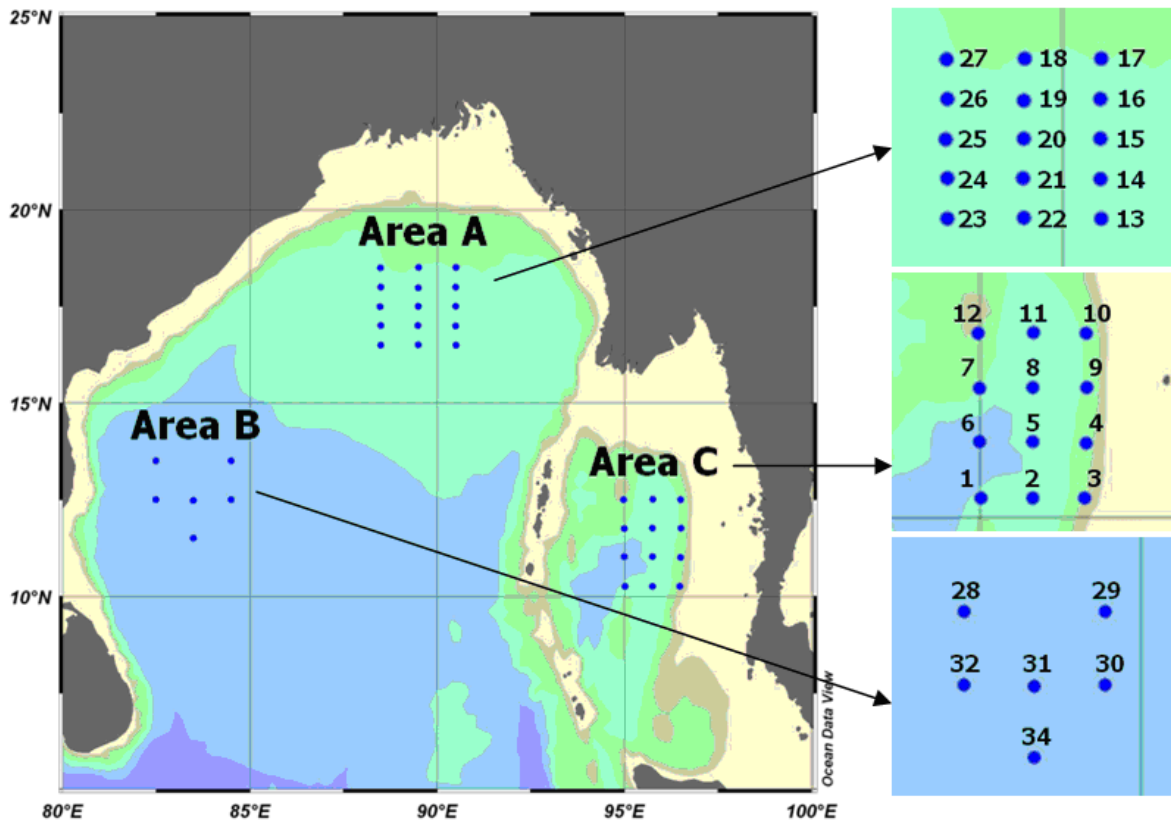
Sample collection was conducted onboard M.V. SEAFDEC from 25 October to 21 December 2007 under an Ecosystem-Based Fishery Management Project in the Bay of Bengal in collaborative among the BIMSTEC members (Bangladesh, India, Myanmar, Nepal, Sri Lanka, and Thailand).

Seawater samples were collected at selected depth, using a iCTD system couple with Carousel water sample (Niskin Bottles), from 28 oceanographic stations in the Bay of Bengal 12 stations in area A (upper part of the Bay of Bengal covered international waters and the EEZ of Bangladesh and India), 4 stations in area B (western area of the Bay of Bengal, offshore of India and Sri Lanka waters) and 12 stations in area C (central part of the Andaman Sea covered the EEZ of Myanmar and the Andaman Island of India) (Fig. 1).

Sea water samples for total phosphorus analysis were filled in pre-cleaned 60 ml plastic bottles and immediately kept frozen (-45°C) until analyzed. Sea water samples for total alkalinity analysis were filled in 125 ml plastic bottles which pre-added a few drops of HgCl<sub>2</sub> and then store at room temperature until analysis.

Since total phosphorus defined as all forms of phosphorus, all bound fractions were liberated by persulfate oxidation prior the measurement of the orthophosphate form by ascorbic acid-colorimetric method (Menzel and Corwin, 1965; Grasshoff *et al.*, 1983; Strickland and Parsons, 1972)

The amount of total alkalinity in seawater was measured by carrying out a potentiometric titration of a known volume of sea water in a vessel which is sealed from the atmosphere. This is accomplished by adding precise amounts of 0.1 N HCl to the vessel in small increments, and measuring the change in the electromotive potential of the water caused by this addition. The data were used to calculate the total alkalinity by the modified Gran method.

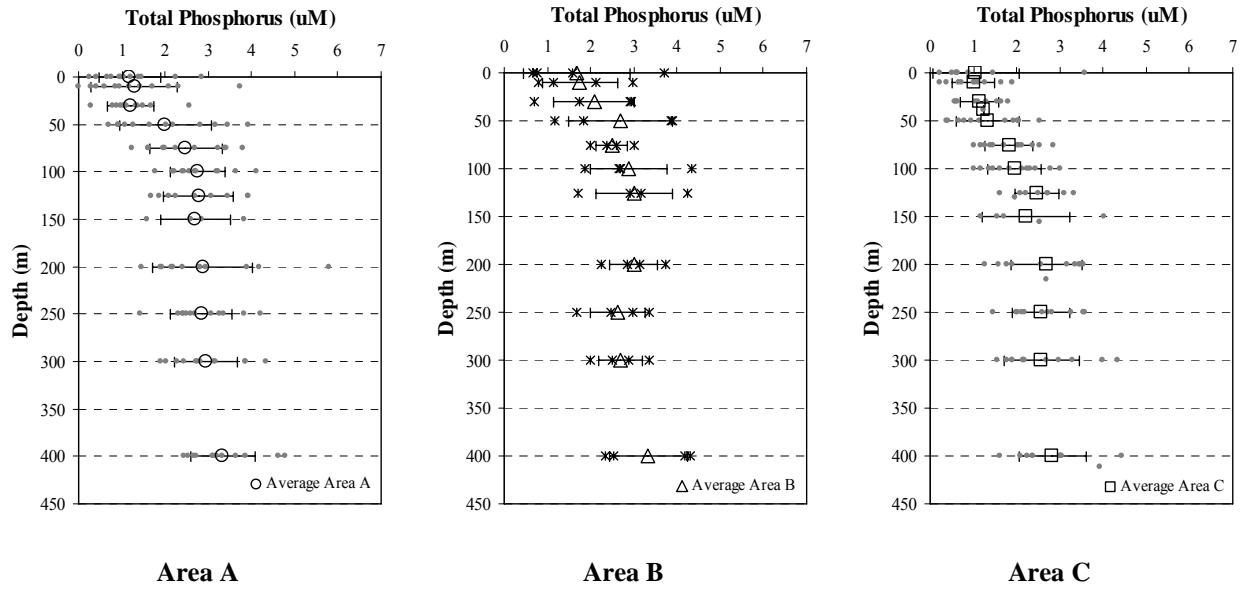


**Figure 1** Location map of seawater sampling sites in the Bay of Bengal there was no water sampling in the EEZ Indian waters of area A and B (stations 25, 26, 27, 28 and 32).

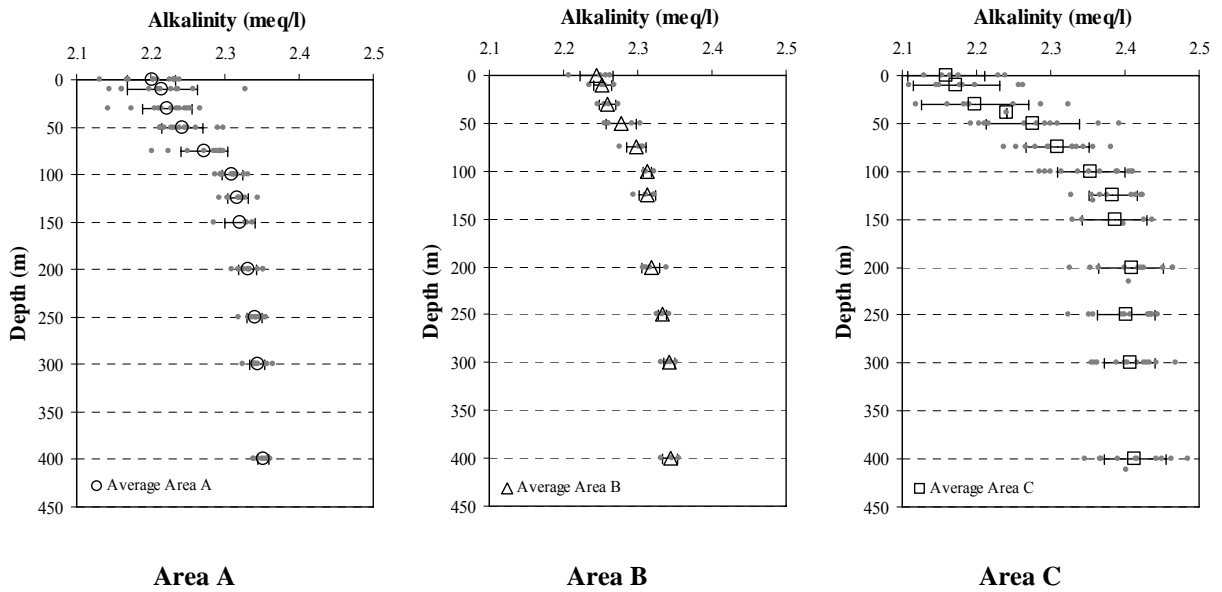
## Results and Discussions

Vertical profiles of total phosphorus concentration and total alkalinity values at various depths of the sampling stations in the different area of the Bay of Bengal are presented in fig. 2 and 3, respectively. The average ( $\pm$  standard deviation), minimum and maximum values of total phosphorus and total alkalinity at various depths of different area in the Bay of Bengal are presented in tables 1 and 2, respectively.

The results showed an increasing of total phosphorus and total alkalinity with depth to about 100 m depth, and then both values remain fairly constant. Average total phosphorus was found to be the lowest in surface layer (above 100 m) of the Andaman Sea (Figs. 2 and 4). High variation of total alkalinity was found throughout the water column in the Andaman Sea, while the total alkalinity of deeper water (below 100 m) of areas A and B were relatively constant (Fig. 3). The lower values and high variation of total alkalinity in surface water of areas A and C (Fig. 3) indicated an influence of freshwater discharged to these coastal areas.



**Figure 2** Vertical distribution of total phosphorus at each sampling stations ( $\bullet$ ), and average total phosphorus values ( $\pm$  SD) in area A ( $\circ$ ), area B ( $\triangle$ ) and area C ( $\square$ ).



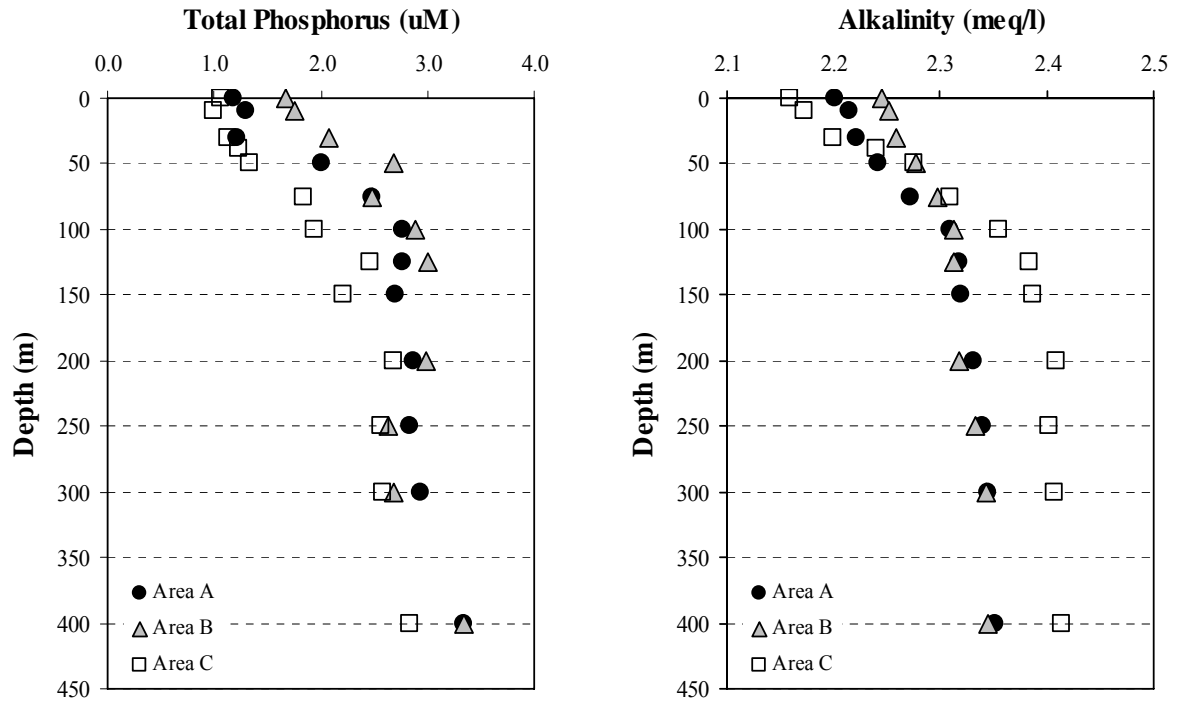
**Figure 3** Vertical distribution of total alkalinity at each sampling stations ( $\bullet$ ), and average total alkalinity values ( $\pm$  SD) in area A ( $\circ$ ), area B ( $\triangle$ ) and area C ( $\square$ ).

**Table 1** Average concentration of total phosphorus ( $\mu\text{M}$ ) in different areas of the Bay of Bengal (average $\pm$ SD).

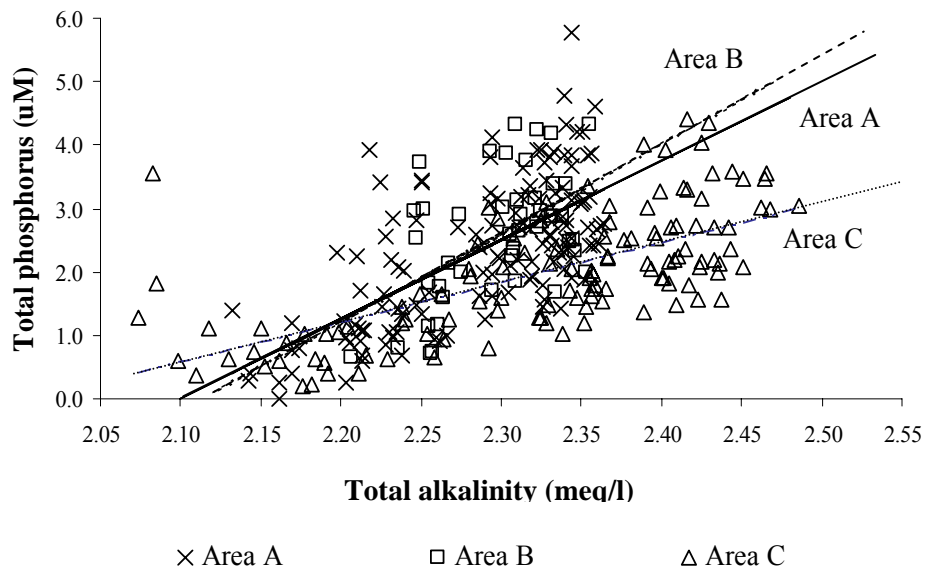
Depth (m)	Area A		Area B		Area C	
	Average	Min.-max	Average	Min.-max	Average	min.-max
Surface	1.18 $\pm$ 0.71	0.40-2.84	1.68 $\pm$ 1.23	0.75-3.72	1.06 $\pm$ 1.00	0.21-3.56
10	1.29 $\pm$ 1.00	0.005-3.73	1.76 $\pm$ 0.86	1.13-2.98	1.00 $\pm$ 0.50	0.24-1.91
30	1.21 $\pm$ 0.53	0.28-2.55	2.08 $\pm$ 0.93	1.75-2.96	1.14 $\pm$ 0.44	0.57-1.81
50	2.01 $\pm$ 1.06	0.69-3.94	2.69 $\pm$ 1.21	1.17-3.90	1.33 $\pm$ 0.72	0.39-2.52
75	2.47 $\pm$ 0.84	1.23-3.81	2.49 $\pm$ 0.38	1.98-3.02	1.83 $\pm$ 0.56	1.01-2.85
100	2.77 $\pm$ 0.64	1.78-4.13	2.88 $\pm$ 0.90	1.85-4.32	1.95 $\pm$ 0.61	1.02-3.02
125	2.77 $\pm$ 0.81	1.67-3.92	3.00 $\pm$ 0.89	1.72-4.23	2.47 $\pm$ 0.52	1.61-3.32
150	2.70 $\pm$ 0.80	1.57-3.82	-	-	2.21 $\pm$ 1.01	1.18-4.03
200	2.87 $\pm$ 1.17	1.46-5.78	2.99 $\pm$ 0.54	2.24-3.75	2.69 $\pm$ 0.82	1.27-3.55
250	2.84 $\pm$ 0.72	1.42-4.21	2.63 $\pm$ 0.63	1.68-3.37	2.57 $\pm$ 0.66	1.44-3.57
300	2.94 $\pm$ 0.73	1.90-4.33	2.68 $\pm$ 0.51	1.98-3.37	2.58 $\pm$ 0.87	1.55-4.34
400	3.34 $\pm$ 0.73	2.45-4.77	3.34 $\pm$ 0.91	2.34-4.32	2.83 $\pm$ 0.78	1.60-4.42

**Table 2** Average concentration of total alkalinity (meq/l) in different areas of the Bay of Bengal (average $\pm$ SD).

Depth (m)	Area A		Area B		Area C	
	Average	min.-max	Average	min.-max	Average	min.-max
Surface	2.20 $\pm$ 0.03	2.13-2.24	2.24 $\pm$ 0.02	2.21-2.26	2.16 $\pm$ 0.05	2.08-2.24
10	2.22 $\pm$ 0.05	2.14-2.33	2.25 $\pm$ 0.01	2.24-2.27	2.17 $\pm$ 0.06	2.07-2.26
30	2.22 $\pm$ 0.03	2.14-2.27	2.26 $\pm$ 0.01	2.25-2.27	2.20 $\pm$ 0.07	2.08-2.32
50	2.24 $\pm$ 0.03	2.21-2.30	2.28 $\pm$ 0.02	2.25-2.30	2.28 $\pm$ 0.06	2.19-2.39
75	2.27 $\pm$ 0.03	2.20-2.30	2.30 $\pm$ 0.01	2.28-2.31	2.31 $\pm$ 0.04	2.24-2.38
100	2.31 $\pm$ 0.01	2.29-2.33	2.31 $\pm$ 0.01	2.31-2.32	2.35 $\pm$ 0.05	2.29-2.41
125	2.32 $\pm$ 0.01	2.29-2.34	2.31 $\pm$ 0.01	2.29-2.32	2.38 $\pm$ 0.03	2.33-2.42
150	2.32 $\pm$ 0.02	2.28-2.34	-	-	2.39 $\pm$ 0.04	2.33-2.44
200	2.33 $\pm$ 0.01	2.31-2.35	2.32 $\pm$ 0.01	2.31-2.34	2.41 $\pm$ 0.04	2.33-2.47
250	2.34 $\pm$ 0.01	2.32-2.35	2.33 $\pm$ 0.01	2.33-2.34	2.40 $\pm$ 0.04	2.32-2.44
300	2.34 $\pm$ 0.01	2.32-2.36	2.34 $\pm$ 0.01	2.33-2.35	2.41 $\pm$ 0.03	2.35-2.47
400	2.35 $\pm$ 0.01	2.34-2.36	2.34 $\pm$ 0.01	2.33-2.36	2.41 $\pm$ 0.04	2.35-2.49



**Figure 4** Comparison of average total phosphorus (left) and average total alkalinity (right) depth profiles from different area in the Bay of Bengal.



**Figure 5** Total phosphorus with total alkalinity relationships in the three study areas in the Bay of Bengal. (Each individual line represents the trend of each area)

It is clearly seen in fig. 4 that the characteristics of the Andaman seawater is differentiated from the entire Bay of Bengal by having low total phosphorus and low total alkalinity in surface water and high total alkalinity in deeper water. The relationships between

total phosphorus and total alkalinity of samples taken from area A and B give similar trend lines, whereas those from area C (the Andaman Sea) show a dissimilar trend (Fig. 5). High variation of total alkalinity values throughout the water column down to 400 m depth in the Andaman Sea may be affected from internal waves. It is believed that the internal waves in the Andaman Sea occur all year round (Jackson, 2004). The amplitudes of internal waves in the Andaman Sea may be up to 70-80 m and can propagate over several hundred kilometers, which lead to transport of water mass and induce turbulence and mixing in the water column (Osborne and Burch, 1980; Jackson, 2004).

Fig. 6 and 7 illustrate horizontal distribution of total phosphorus and total alkalinity, respectively, at different depth. These two figures indicate that the eastern part of the Bay of Bengal is a low total phosphorus region. The distribution of total alkalinity and total phosphorus along north-south section in the area C (the Andaman Sea) and area A (the upper part of the Bay of Bengal) are illustrated in figs. 8 and 9, respectively, and the east-west section of area A is shown in fig. 10.

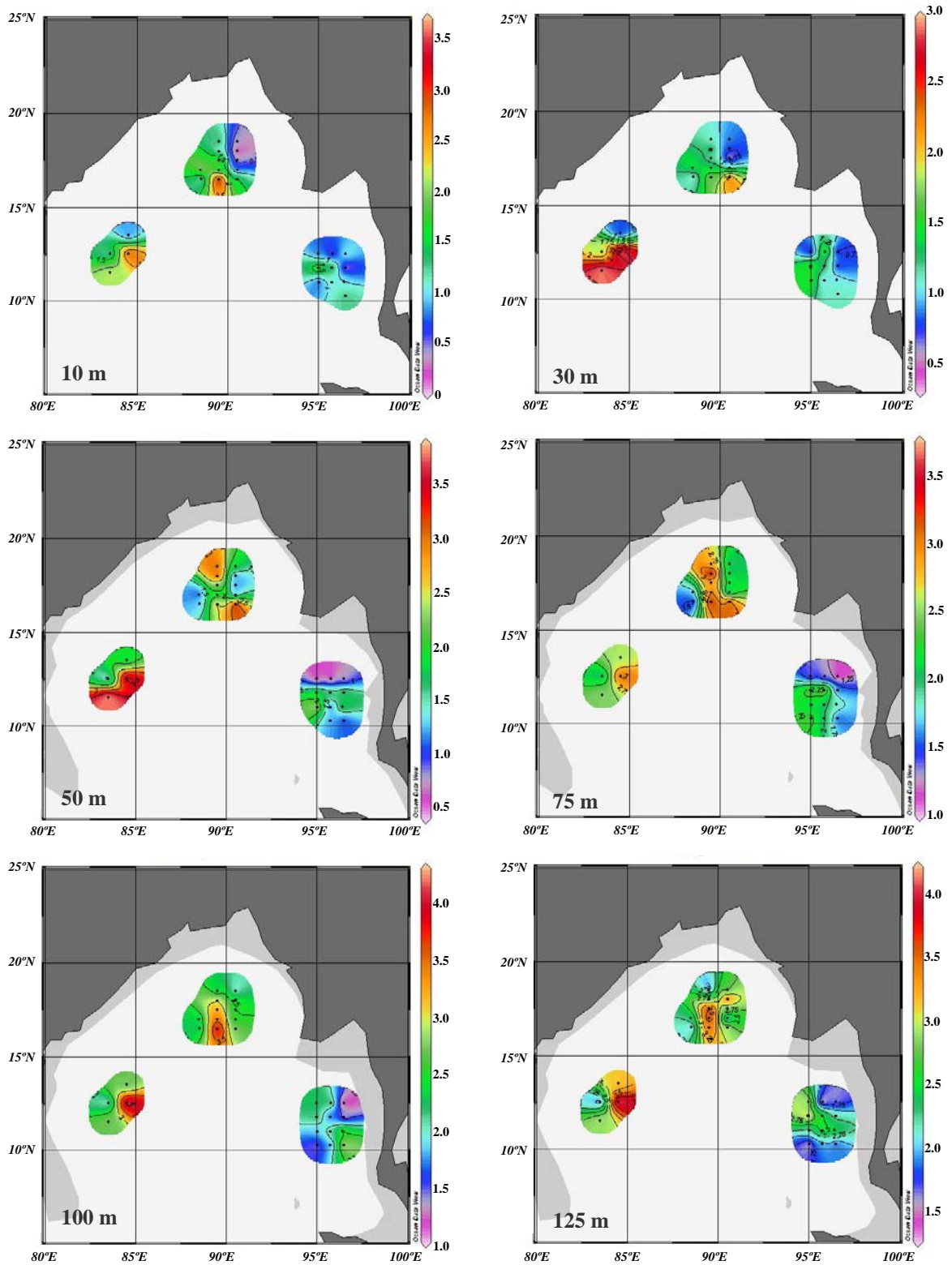
## Conclusion

The total alkalinity in surface water of area C (the Andaman Sea) is lower than those of areas A and B, however, but is higher at the depths below 100 down to 400 m. The vertical distribution of total phosphorus and total alkalinity in areas A and B of the Bay of Bengal are similar. The differentiated pattern of depth profiles of both total phosphorus and total alkalinity together with the relationship between total alkalinity and total phosphorus indicate that sea water characteristics in the enclosed Andaman Sea is different from the entire Bay of Bengal.

Unfortunately, analyses of organic carbon and total nitrogen in these seawater samples are not yet finished. Total alkalinity coupled with pH and temperature data, amount of dissolved inorganic carbon (DIC) species and dissolved carbon dioxide gas ( $p\text{CO}_2$ ) in seawater can be calculated. Interpretation of this data set will provide clearer understanding of biogeochemical processes occurring in these three areas of the Bay of Bengal.

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We gratefully acknowledge Miss Sopana Boonyapiwat and Ms. Pattira Lirdwitayaprasit for their kind cooperation of this collaborative work. We would also like to thank the officers and crew of M.V. SEAFDEC for assisting in sample collection.



**Figure 6** Horizontal distribution of total phosphorus ( $\mu\text{M}$ ) at 10, 30, 50, 75, 100, 125, 200, 250 and 400 m depth.

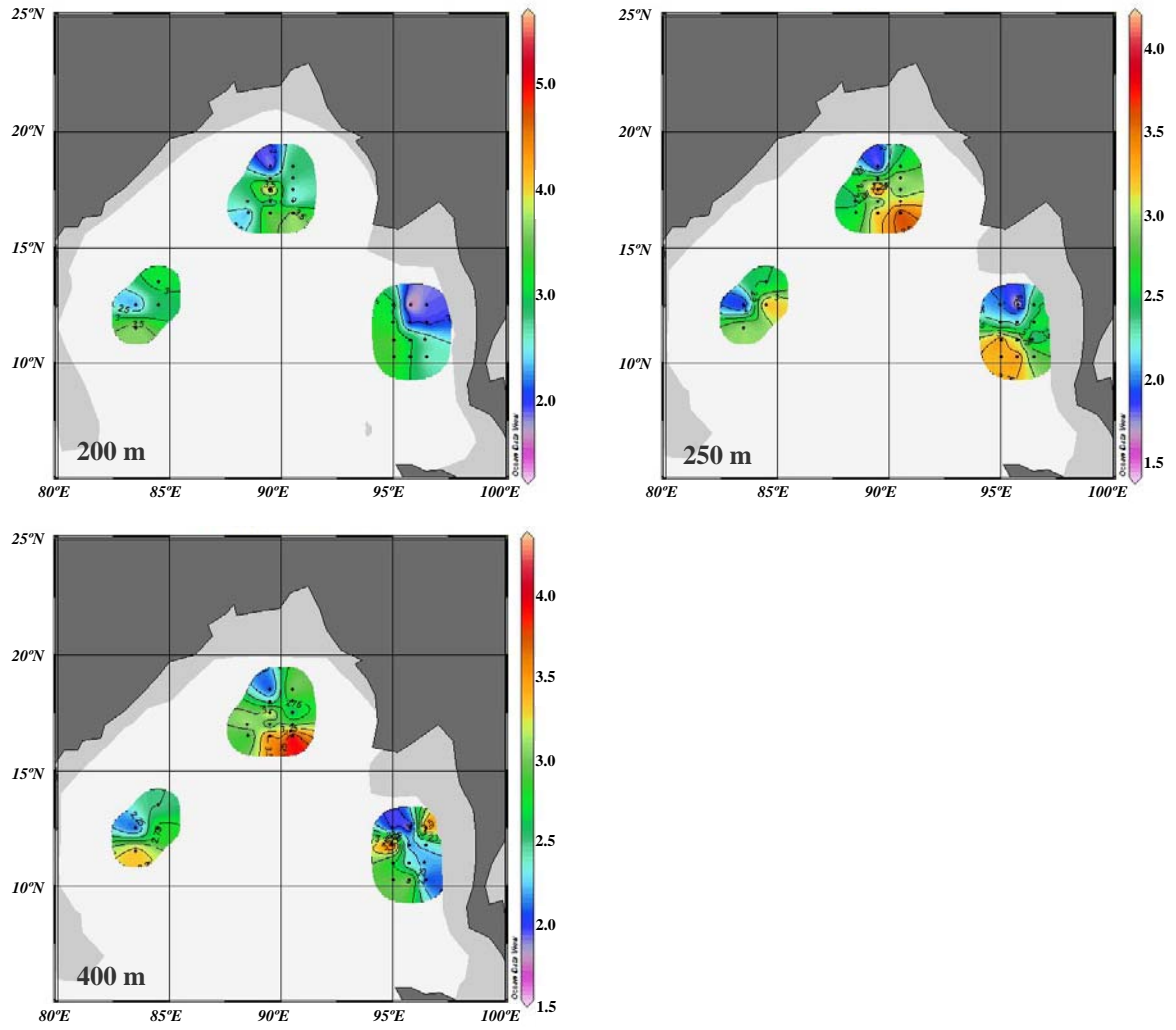
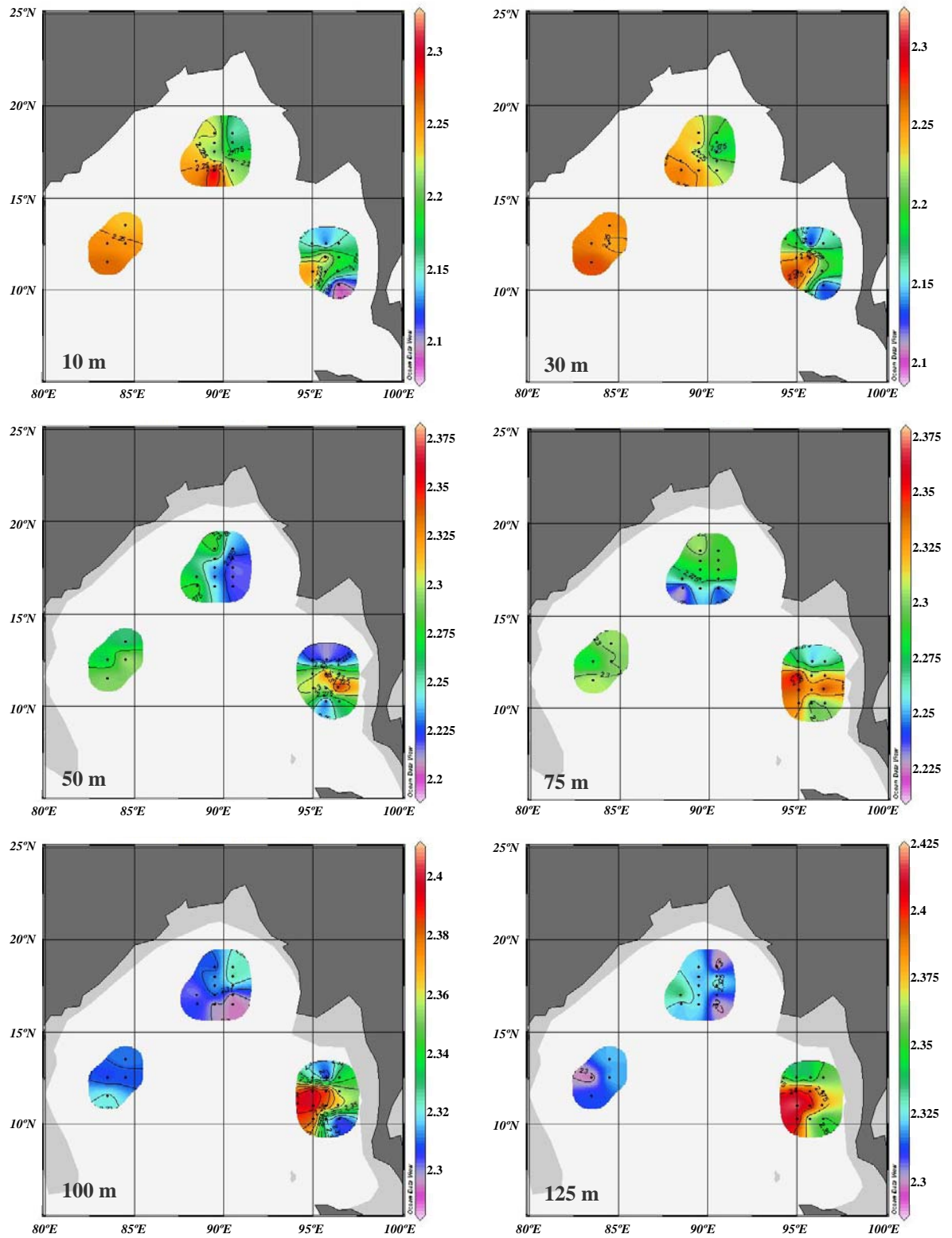


Figure 6 (cont.)





**Figure 7** Horizontal distribution of total alkalinity (meq/l) at 10, 30, 50, 75, 100, 125, 200, 250 and 400 m depth.

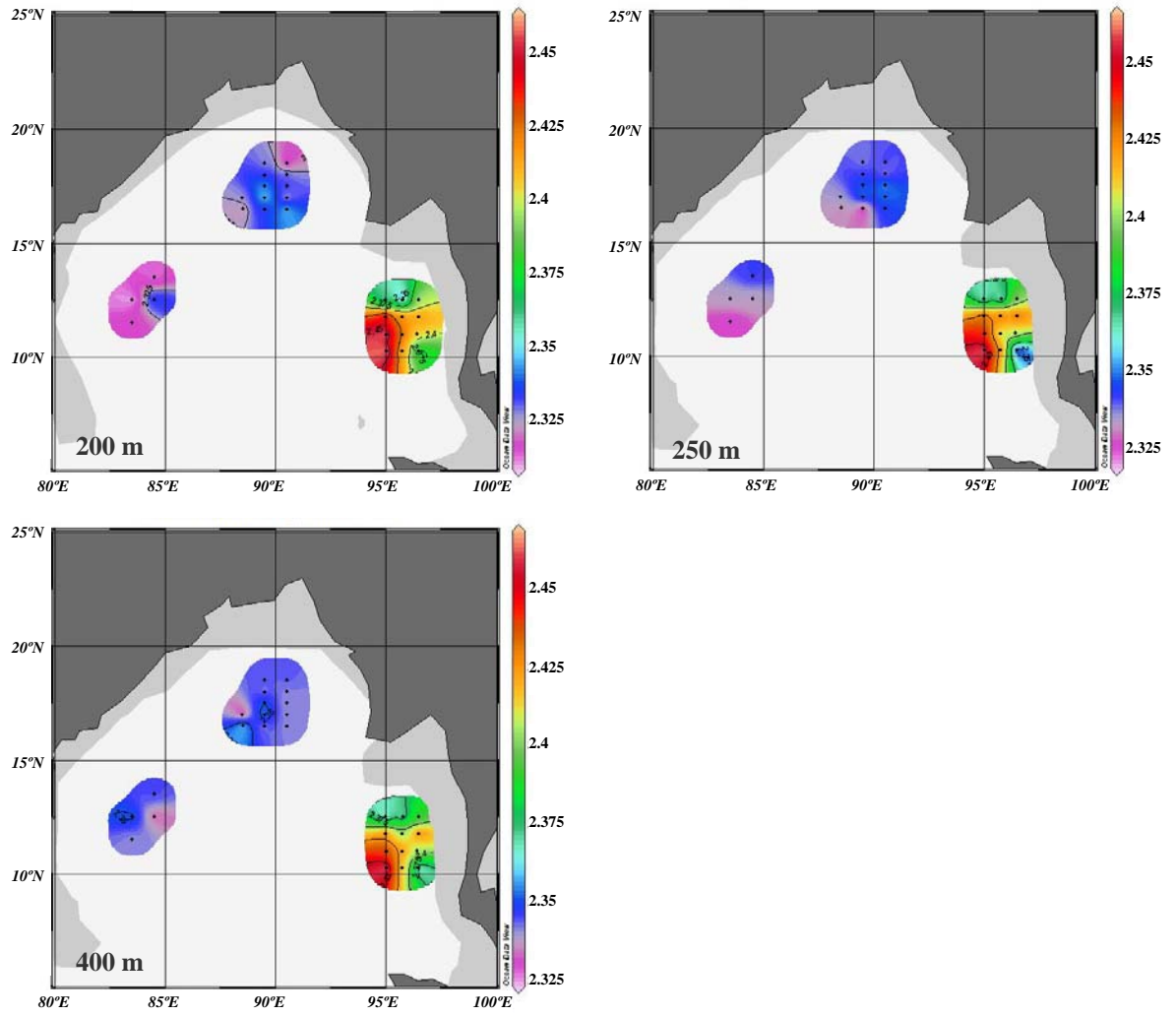
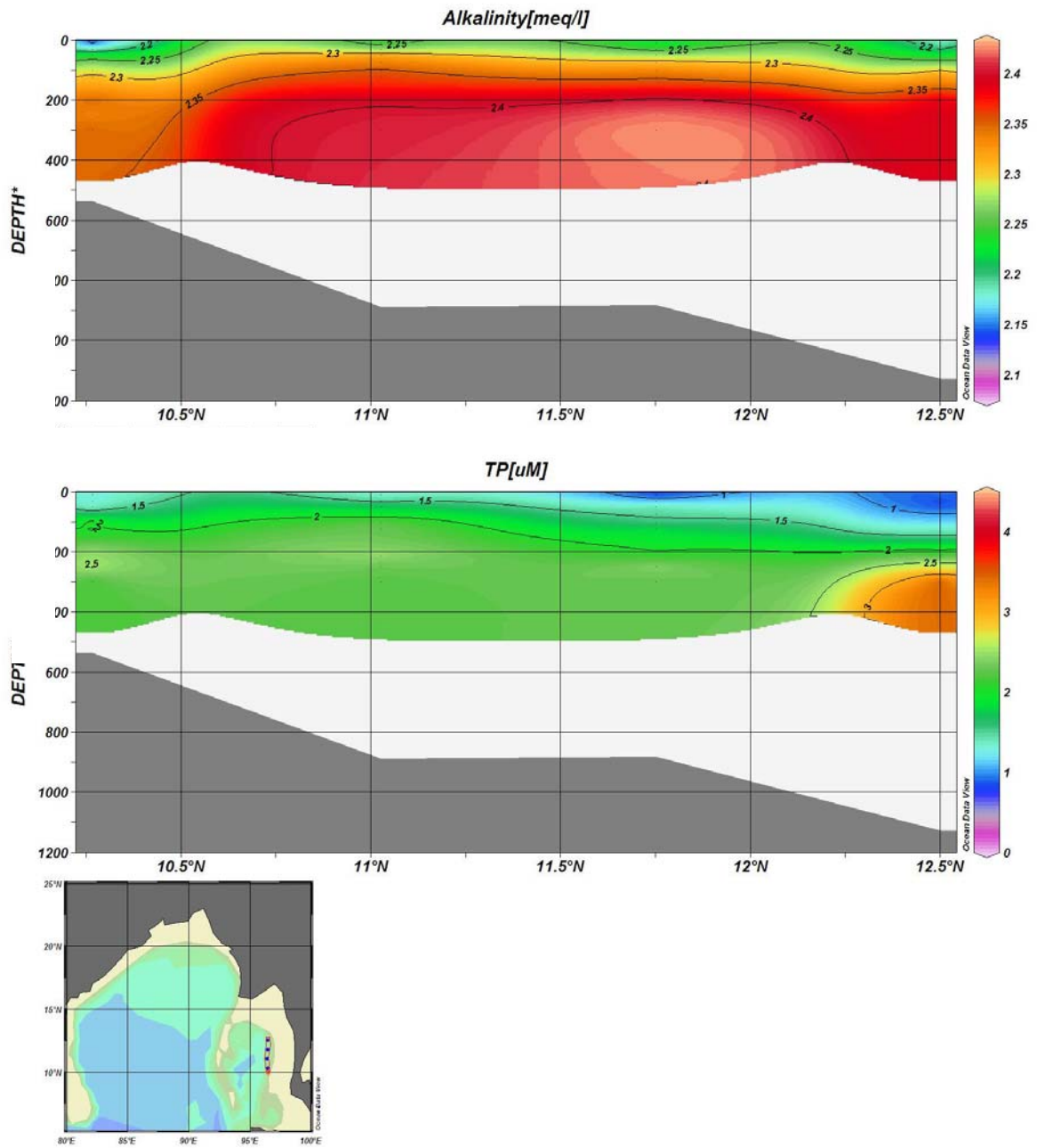
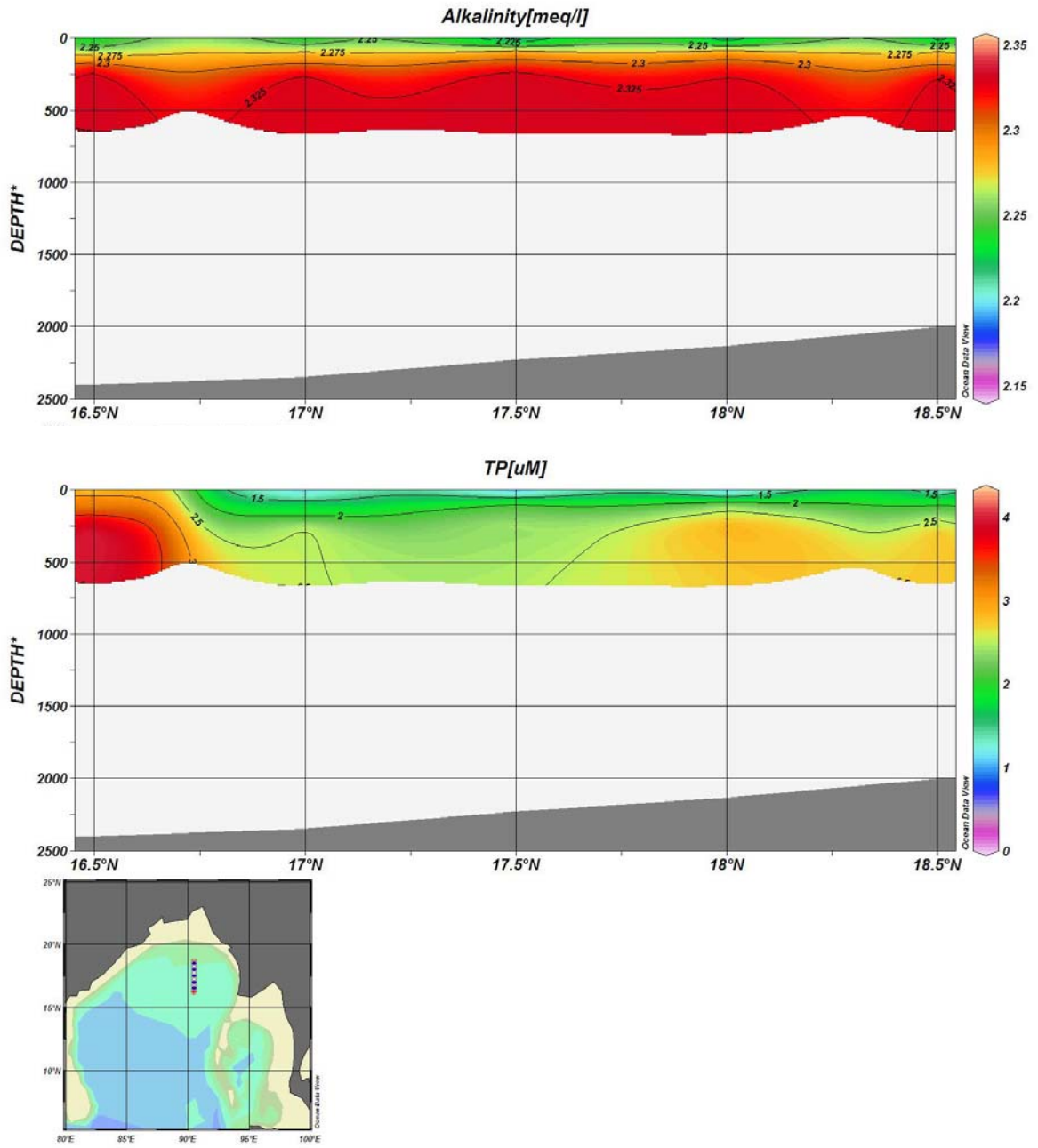


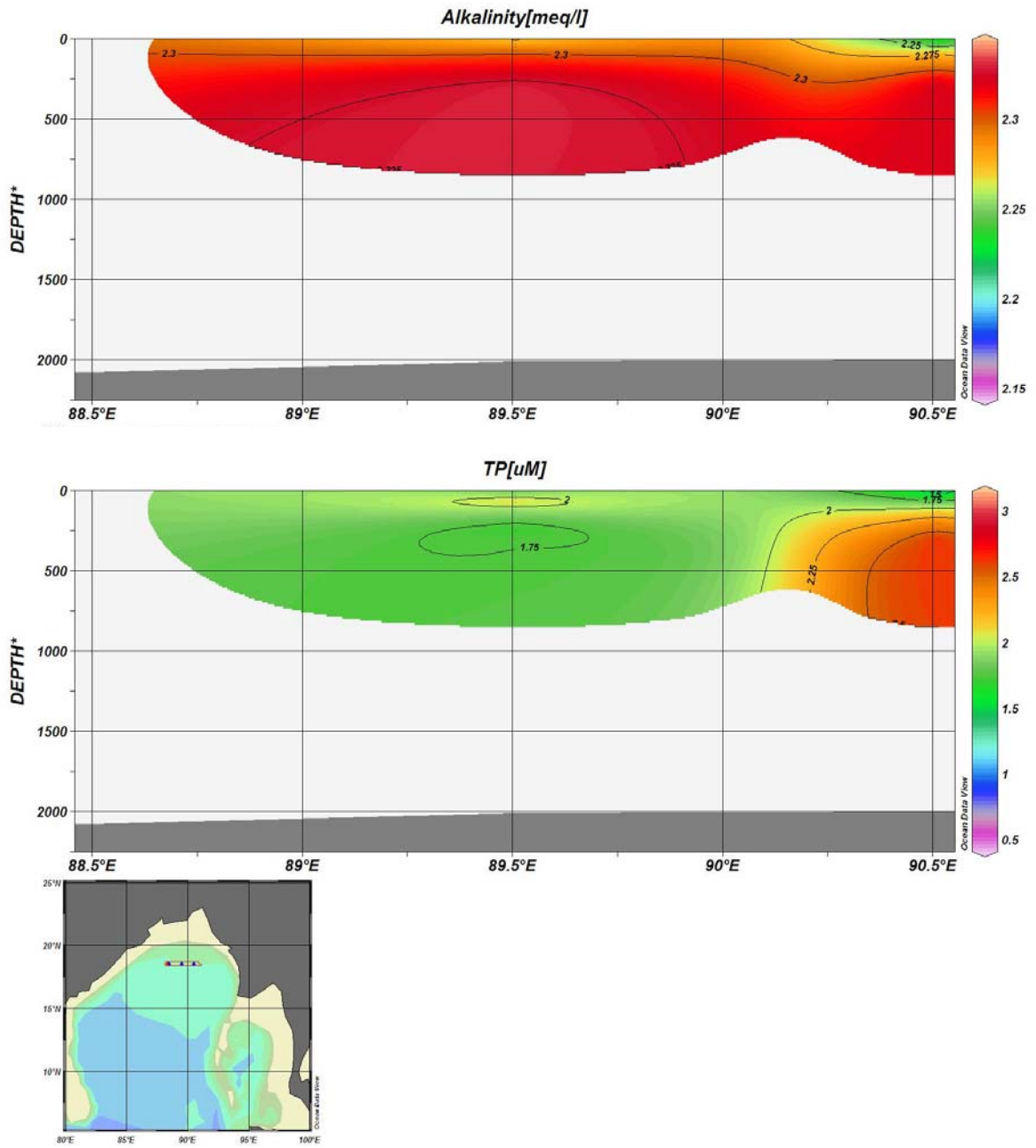
Figure 7 (cont.)



**Figure 8** Distribution of total alkalinity (upper) and total phosphorus (lower) along N-S section in area C (the Andaman Sea).



**Figure 9** Distribution of total alkalinity (upper) and total phosphorus (lower) along N-S section in area A (the upper part of the Bay of Bengal).



**Figure 10** Distribution of total alkalinity (upper) and total phosphorus (lower) along E-W section in area A (the upper part of the Bay of Bengal).

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## Distribution of Nutrients in the Bay of Bengal

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### Abstract

The spatial distribution of nutrients (nitrite + nitrate, silicate and phosphate) was determined during the joint research survey on the Ecosystem-Based Fishery Management in the Bay of Bengal by M.V. SEAFDEC between 25 October to 21 December 2007. Water samples from twenty-eight stations were analyzed onboard by the Integral Futura Continuous Flow Automated Analysis. The detectable ranges of nitrite + nitrate, silicate and phosphate in the northern Bay of Bengal were 0.07-37.87, 0.01-48.56 and 0.10-3.13  $\mu\text{M}$ ; in the western Bay of Bengal 2.06-35.23, 2.89-46.03 and 0.15-3.16  $\mu\text{M}$ ; and in the eastern Bay of Bengal 0.35-36.63, 0.05-46.63 and 0.36-2.76  $\mu\text{M}$ , respectively. The vertical section profiles indicated that the concentrations of nutrients in the mixed layer depth were very low and undetectable in several sampling stations. In the thermocline layer, a strong nutricline concentration was noticed to be rapidly increasing with depth but below 200-250 m, it tended to be constant. Furthermore, several near shore stations were observed to have higher concentrations of nutrients than the stations in the open sea.

**Key words:** nutrient, nitrite + nitrate, silicate, phosphate, Bay of Bengal

### Introduction

Nutrient is functionally involved in the process of living organisms. Traditionally, in chemical oceanography the term has been applied almost exclusively to silicate, phosphate and inorganic nitrogen. The role of nutrients in the ocean is to support the ocean food chains. Phytoplanktons are primary food producers in the sea and through photosynthesis, they produce food for zooplanktons which are then consumed by organisms higher up in the food chain (Spencer, 1975).

Generally, nutrient is also present in sea water in very small amounts, but only minute quantities of these are required by living organisms. Nutrient is essential for phytoplankton growth as it is taken up by phytoplankton cells and built in as atoms in amino acids, proteins, nucleic acids, fats, etc. Among the nutrient elements, silicate is essential for diatoms to build up their skeletons which consist of biogenic silicate (Baretta-Bekker *et al.*, 1998).

When phytoplankton, zooplankton or higher organisms are dead, these are decomposed by marine bacteria. This in turn takes a particle form of nutrient and in a dissolved form so that phytoplankton can use it more easily. Distribution of nutrients is useful for predicting the phytoplankton abundance and assemblages. Moreover, it could also be used as indicator of the status of nutrient loading or to predict productivity (De-Pauw and Naessens, 1991).

With the importance of nutrients as mentioned above, this study aimed to measure the nutrient level (nitrite + nitrate, silicate and phosphate) and to illustrate the nutrients distribution in the Bay of Bengal.



## Materials and Methods

### Site Location

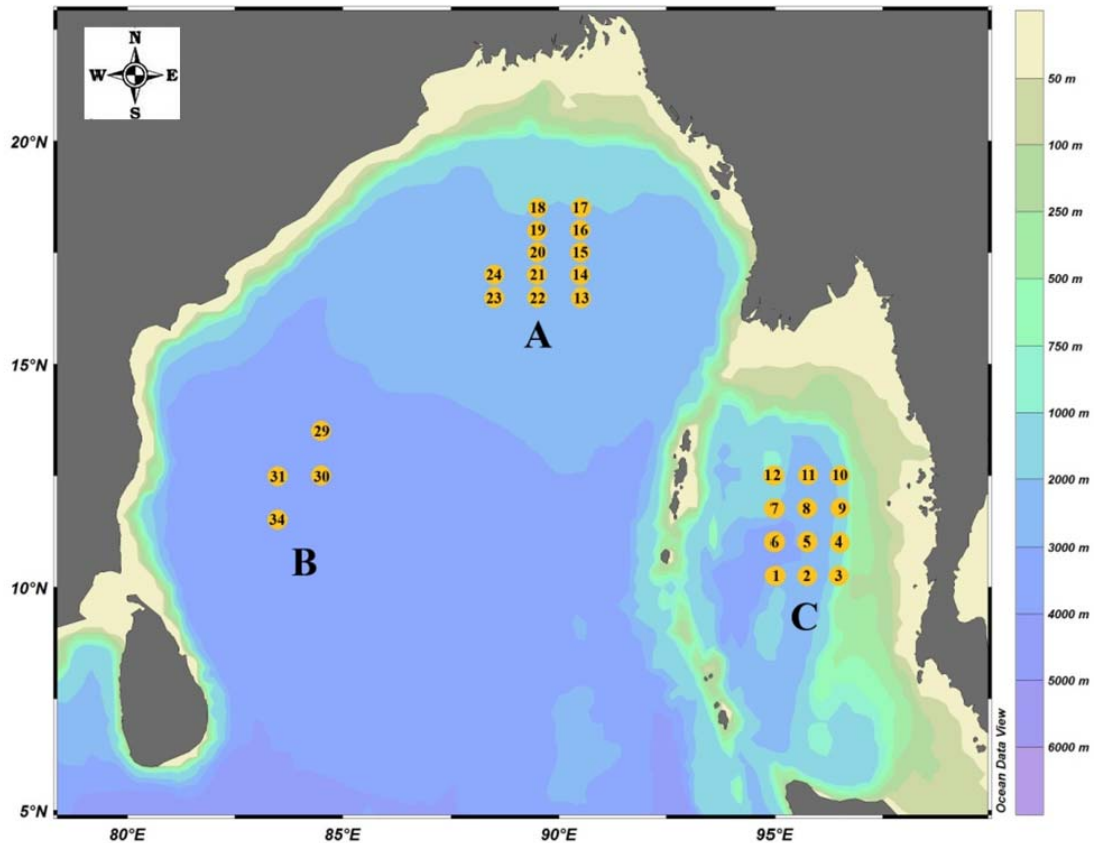
From the 42 oceanographic observation stations, station 25-28, 32-33, 35-45 were cancelled because of the influence of Northeast Monsoon and rough sea conditions. Water samples were collected using the M.V. SEAFDEC from 28 stations in the Bay of Bengal covering three areas, namely: the northern Bay of Bengal (area A: latitude 16°N-19°N, longitude 88°E-91°E); the western Bay of Bengal (area B: latitude 09°N-14°N, longitude 82°E-85°E); and the eastern Bay of Bengal (area C: latitude 10°N-12°N, longitude 95°E-97°E) from 25 October to 21 December 2007. Fig. 1 illustrates the map of the sampling locations.

### Water Collection

At each station, the top 400 m of the water column was divided into 12 levels of standard depths (0, 10, 30, 50, 75, 100, 125, 150, 200, 250, 300, and 400 m). Water samples from each depth were collected with 2.5 l Go-Flo Niskin bottle on a 12 bottle rosette. Replicate nutrient samples were sub-sampled from the Niskin bottles then filtered through Whatman GF/C filter papers and were collected into 60 ml polypropylene bottles which were then rinsed three times with the sample before storing at -20 °C until analysis.

### Analysis of Water Samples

Nitrite + nitrate (NO<sub>2</sub>+NO<sub>3</sub>-N), silicate (SiO<sub>4</sub>-Si) and phosphate (PO<sub>4</sub>-P) were analyzed in 3 replicates using standard colorimetric methods as adapted for auto-analyzers according to Gordon *et al.* (1995). The Integral Futura Continuous Flow Automated Analysis was used to analyze the samples onboard. Nutrient concentrations were determined from the mean peak heights and calculated using linear regression achieved from a seven point standard curve prepared in low nutrient seawater matrix. The vertical profile of nutrients and environmental data were prepared using Ocean Data View (ODV) software (Schlitzer, 2006).



**Figure 1** Map of survey area showing the water sampling stations.

## Results and Discussions

Water samples from the three areas that included 28 sampling stations were analyzed. The results of sample analysis are shown in tables 1, 2 and 3.

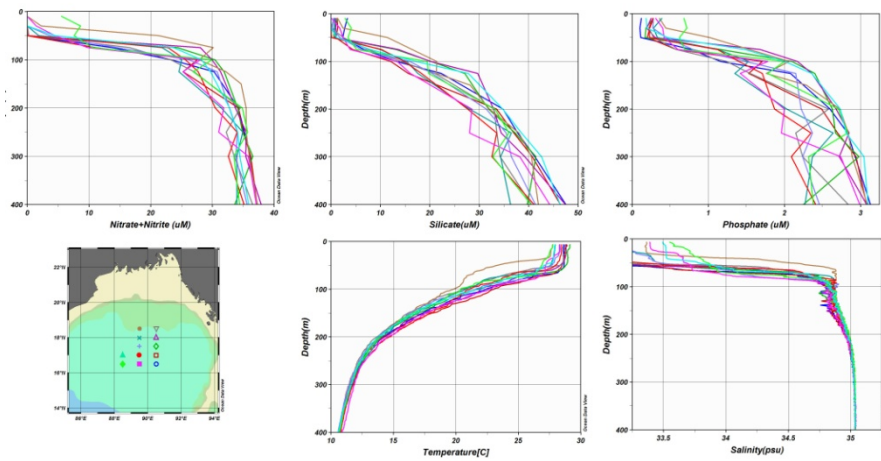
### Nutrients in Area A: the Northern Bay of Bengal

Fig. 2a shows the vertical profiles of nutrients and environmental data in the northern Bay of Bengal. The mixed layer depth (MLD) and thermocline layer determined by temperature profile are identified with depths 0-50 m and 51-250 m, respectively. The vertical sections profile of the nutrient in this area was divided into two sections: section A1 (Fig. 3a) includes station 18-22 and section A2 (Fig. 3b) includes station 13-17. In this area A, the nitrite + nitrate concentration (Table 1, Figs. 3a and 3b) in the MLD layer ranged between undetectable (N.D.) to 21.31  $\mu\text{M}$ . Although the concentration was extremely low and could be detectable only in few stations, the observation was consistent with many similar studies conducted in the Bay of Bengal (Kumar *et al.*, 2002; Madhupratap *et al.*, 2003), Except for the high concentration in station 18 and 23 which nearly located the cold-core eddy area (Kumar *et al.*, 2004). Thereby it was possible that the influence of cold-core eddy bring nutrients into this area between our study period. In the thermocline layer, the nitrite + nitrate concentration ranged between 9.82 and 35.70  $\mu\text{M}$ . Fig. 2a shows a strong nitricline level which was noticed to increase rapidly with depth, however until below 250 m, it tended to be constant. At the sub-thermocline layer, the values ranged from 32.55 to 37.87  $\mu\text{M}$  with maximum value of 37.87  $\mu\text{M}$  observed in station 16 at 400 m depth.

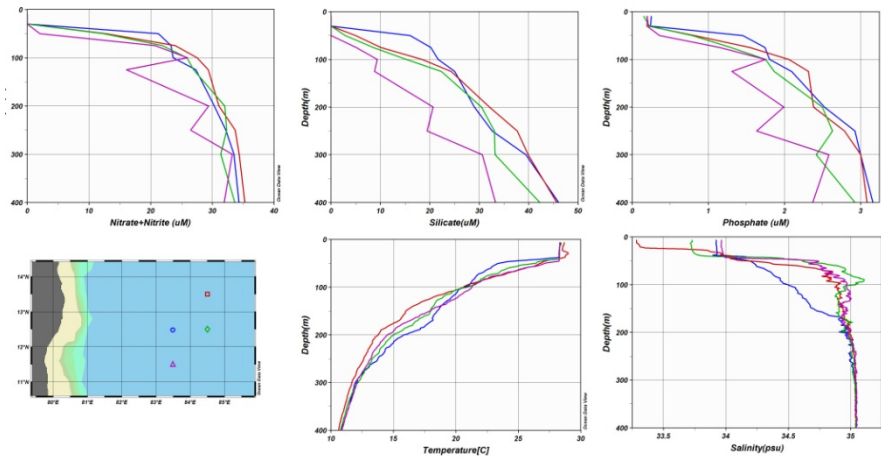
**Table 1** Concentration of nitrite + nitrate at standard depths.

Area	Station	Concentration ( $\mu\text{M}$ )											
		Depth (m)											
		0	10	30	50	75	100	125	150	200	250	300	400
A	13	-	N.D.	N.D.	N.D.	13.78	23.30	30.34	-	34.20	35.20	36.10	37.21
	14	-	N.D.	N.D.	N.D.	11.04	27.55	25.30	-	34.24	35.01	36.20	37.24
	15	-	N.D.	N.D.	N.D.	24.35	30.39	31.91	-	34.08	34.94	36.54	33.62
	16	-	N.D.	N.D.	0.07	28.02	29.92	31.31	-	33.71	35.50	35.37	37.87
	17	-	N.D.	N.D.	0.27	16.58	23.26	27.10	-	34.51	32.20	33.53	36.49
	18	-	N.D.	2.30	21.31	-	30.14	27.93	32.18	34.62	35.52	35.94	37.29
	19	-	N.D.	N.D.	1.87	21.83	25.02	24.60	-	31.46	34.91	33.95	33.97
	20	-	N.D.	N.D.	3.64	14.56	26.17	30.84	-	32.73	34.02	33.80	35.57
	21	-	N.D.	N.D.	N.D.	22.81	25.63	27.70	-	30.46	34.03	32.55	35.10
	22	-	N.D.	1.47	4.53	9.82	28.03	25.20	-	31.81	31.00	35.77	37.10
	23	N.D.	5.58	8.66	7.60	10.57	29.91	26.97	-	34.85	35.70	33.48	34.41
	24	N.D.	N.D.	N.D.	4.56	23.70	28.21	29.73	-	32.76	34.10	34.42	35.84
B	29	N.D.	N.D.	N.D.	12.76	23.96	27.52	29.30	-	31.06	33.70	34.33	35.23
	30	N.D.	N.D.	N.D.	12.38	21.89	25.85	27.02	-	31.99	32.32	31.36	33.62
	31	N.D.	N.D.	N.D.	21.25	23.29	23.62	27.30	-	30.31	32.11	33.47	34.28
	34	N.D.	N.D.	N.D.	2.06	20.72	25.96	16.11	-	29.37	26.51	33.22	31.87
C	1	-	-	-	-	8.28	20.30	-	32.32	34.42	-	35.32	35.43
	2	N.D.	-	N.D.	N.D.	6.43	20.72	-	30	30.55	35.30	32.65	32.98
	3	N.D.	N.D.	N.D.	N.D.	14.83	22.42	-	30.06	33.91	32.14	33.21	35.71
	4	-	N.D.	N.D.	23.32	17.00	23.90	25.04	-	34.17	34.20	31.28	31.56
	5	-	N.D.	-	N.D.	1.29	16.98	30.10	29.61	34.49	35.60	35.89	36.29
	6	-	N.D.	N.D.	N.D.	10.45	22.74	30.70	-	34.97	34.41	35.98	36.49
	7	N.D.	N.D.	N.D.	N.D.	10.19	28.87	30.63	-	34.95	35.85	35.49	-
	8	N.D.	N.D.	8.28	23.52	29.35	33.15	35.00	-	36.09	35.70	36.59	36.32
	9	N.D.	N.D.	N.D.	0.35	9.83	20.23	24.45	-	30.14	29.53	30.35	32.68
	10	N.D.	N.D.	N.D.	N.D.	10.57	20.26	27.40	-	33.12	35.30	35.74	36.07
	11	N.D.	N.D.	N.D.	N.D.	3.14	20.34	-	30.61	33.58	35.60	36.33	36.63
	12	N.D.	N.D.	N.D.	3.48	17.33	16.38	23.20	-	34.33	35.80	36.40	36.54

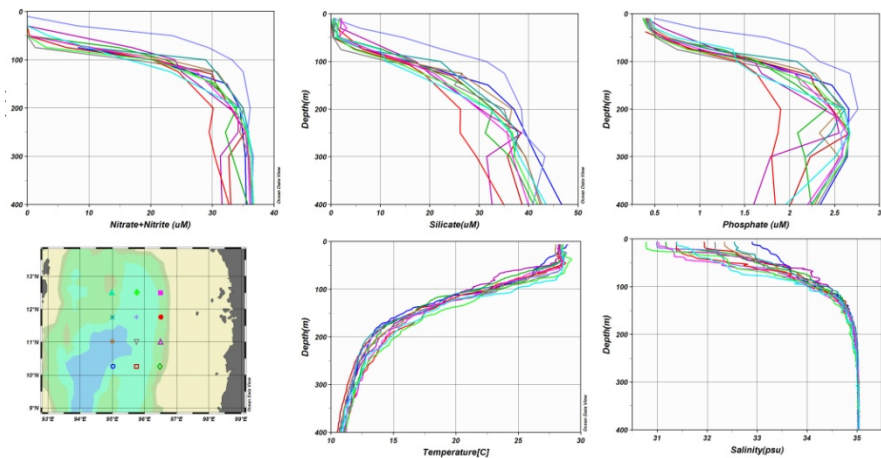
“-”= samples not collected, “N.D.” = not detected



(a)

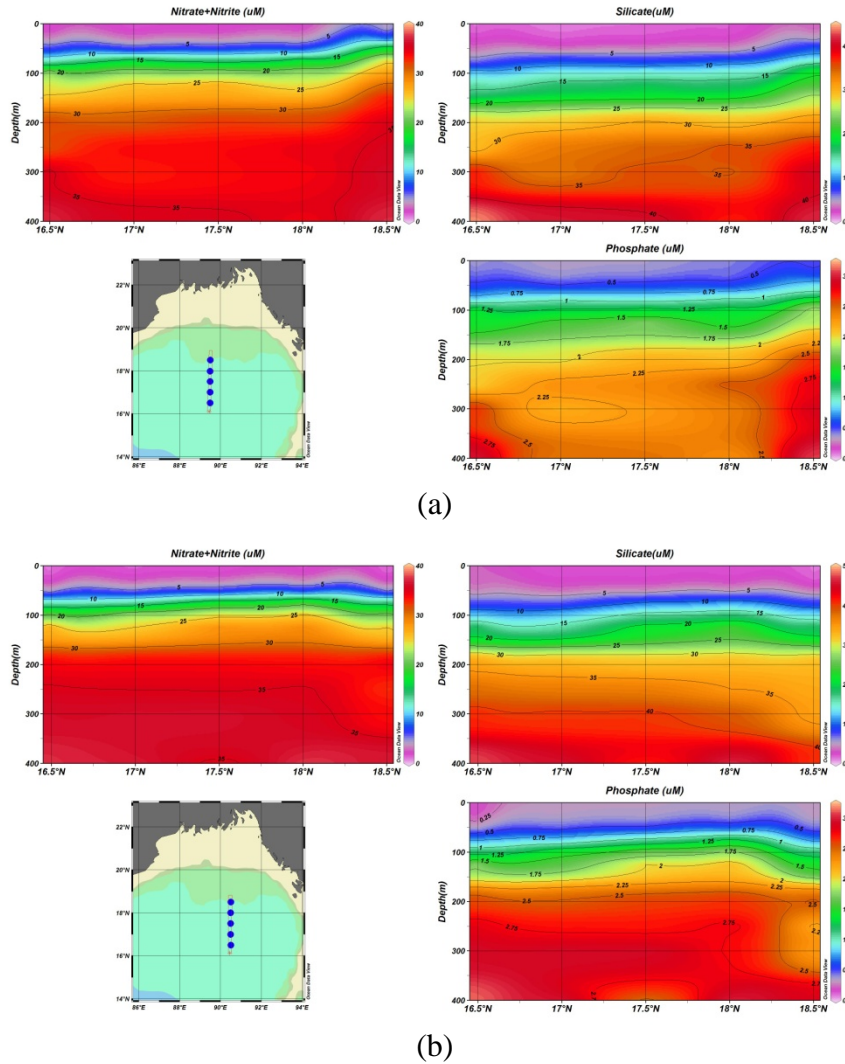


(b)



(c)

**Figure 2** Vertical profile of nutrients (nitrate + nitrite, silicate and phosphate) ( $\mu\text{M}$ ), temperature ( $^{\circ}\text{C}$ ) and salinity (psu) in upper 400 m, 25 Oct.-21 Dec. 2007.  
 (a) area A: station 13-24  
 (b) area B: station 29-31 and 34  
 (c) area C: station 1-12



**Figure 3** The vertical section profiles of nitrite + nitrate, silicate and phosphate in area A.  
 (a) section A1: station 18, 19, 20, 21 and 22  
 (b) section A2: station 13, 14, 15, 16 and 17

The silicate distribution (Table 2, Figs. 3a and 3b) was also similar to that of the nitrite + nitrate. The concentration of silicate at the MLD ranged between undetectable (N.D.) to 10.87  $\mu\text{M}$ . Thus, the area was generally devoid of silicate except for a noticeable high concentration in station 13, 18 and 23, which indicated that the nutrient must have originated from the river discharge around the area (Subramanian, 1993; Kumar, *et al.*, 2002 and Madhupratap *et al.*, 2003). In the thermocline layer, a strong nutricline was also noticed to have silicate concentration rapidly increasing with depth, ranging from 2.98 to 38.70  $\mu\text{M}$ . Silicate concentration at the sub-thermocline layer ranged between 39.78 and 48.56  $\mu\text{M}$ , The highest silicate concentration of 48.56  $\mu\text{M}$  was found in station 13 at 400 m depth.

Phosphate values (Table 3) in the MLD were also low and gradually increasing with depth. The values were between 0.10-1.02  $\mu\text{M}$  and the distinctly value also found in station 18 and 23. In the thermocline layer, a strong nutricline was also noticed to have phosphate concentration rapidly increasing with depth, ranging from 0.58 to 2.85  $\mu\text{M}$ . At the sub-thermocline layer, phosphate values ranged between 2.09 to 3.13  $\mu\text{M}$ , with the highest concentration of 3.13  $\mu\text{M}$  at 400 m depth in station 13.

**Table 2** Concentration of silicate at standard depths.

Area	station	Concentration ( $\mu\text{M}$ )											
		Depth (m)											
		0	10	30	50	75	100	125	150	200	250	300	400
A	13	-	2.24	1.64	1.32	5.82	12.65	-	22.2	35.06	38.70	42.22	48.56
	14	-	0.14	0.26	N.D.	3.46	12.92	14.91	-	33.81	37.63	41.55	47.42
	15	-	0.38	N.D.	N.D.	13.17	22.03	26.80	-	33.91	37.43	41.86	40.02
	16	-	N.D.	N.D.	N.D.	14.51	20.15	29.20	-	33.52	36.70	38.53	48.44
	17	-	N.D.	N.D.	1.45	7.40	14.23	20.80	-	34.88	33.42	35.79	44.62
	18	-	0.43	1.44	10.87	-	20.78	21.54	27.8	33.72	38.33	41.01	47.03
	19	-	N.D.	N.D.	0.01	9.17	14.94	16.92	-	28.19	37.00	35.34	39.78
	20	-	N.D.	N.D.	1.1	5.21	15.52	21.90	-	31.78	35.44	37.24	42.80
	21	-	N.D.	N.D.	N.D.	9.07	14.32	17.23	-	27.81	33.71	33.64	40.60
	22	-	N.D.	0.86	1.44	2.98	12.45	15.42	-	28.87	28.30	38.55	44.69
	23	-	1.94	3.24	2.6	4.32	19.29	19.72	-	32.97	35.71	34.43	41.28
	24	N.D.	N.D.	N.D.	1.17	7.94	17.21	27.80	-	34.73	38.50	42.57	46.34
B	29	N.D.	N.D.	N.D.	4.98	10.07	18.43	24.30	-	32.03	37.71	39.86	45.67
	30	N.D.	N.D.	N.D.	2.89	8.58	15.23	22.34	-	30.43	33.11	33.17	42.23
	31	N.D.	N.D.	N.D.	16.00	20.21	21.66	25.00	-	28.99	32.61	39.39	46.03
	34	N.D.	N.D.	N.D.	N.D.	5.12	9.35	8.81	-	20.65	19.44	30.59	33.28
C	1	-	-	-	-	6.63	15.86	-	-	31.81	37.04	41.59	46.63
	2	-	-	0.32	0.39	5.11	13.71	24.40	26.92	-	38.44	35.69	38.66
	3	5.18	0.52	0.56	1.71	10.49	16.41	-	26.93	32.77	31.22	36.19	40.98
	4	-	2.11	1.92	18.40	11.57	17.10	19.93	-	32.27	38.61	31.49	32.59
	5	-	0.9	-	0.49	2.00	11.48	26.62	24.97	34.99	36.42	39.26	42.60
	6	-	0.66	1.59	1.96	7.85	15.19	26.12	-	36.57	35.62	39.35	42.29
	7	0.05	N.D.	0.42	0.63	6.77	22.14	24.91	-	34.11	37.81	37.01	-
	8	0.43	0.49	5.86	14.64	22.6	31.42	35.13	-	38.52	38.94	43.26	40.81
	9	0.61	0.67	1.22	3.47	6.54	14.65	18.74	-	26.15	26.10	29.43	34.93
	10	-	1.69	3.19	1.32	7.79	14.93	-	20.93	29.82	35.60	36.72	39.92
	11	2.03	1.73	1.29	1.10	4.67	12.69	-	23.95	32.2	36.72	37.15	41.78
	12	1.15	0.69	1.24	1.38	9.97	11.15	16.80	-	32.18	36.30	38.08	43.51

“-”= not collected sample, “N.D.” = not detected

### Nutrients in Area B: the Western Bay of Bengal

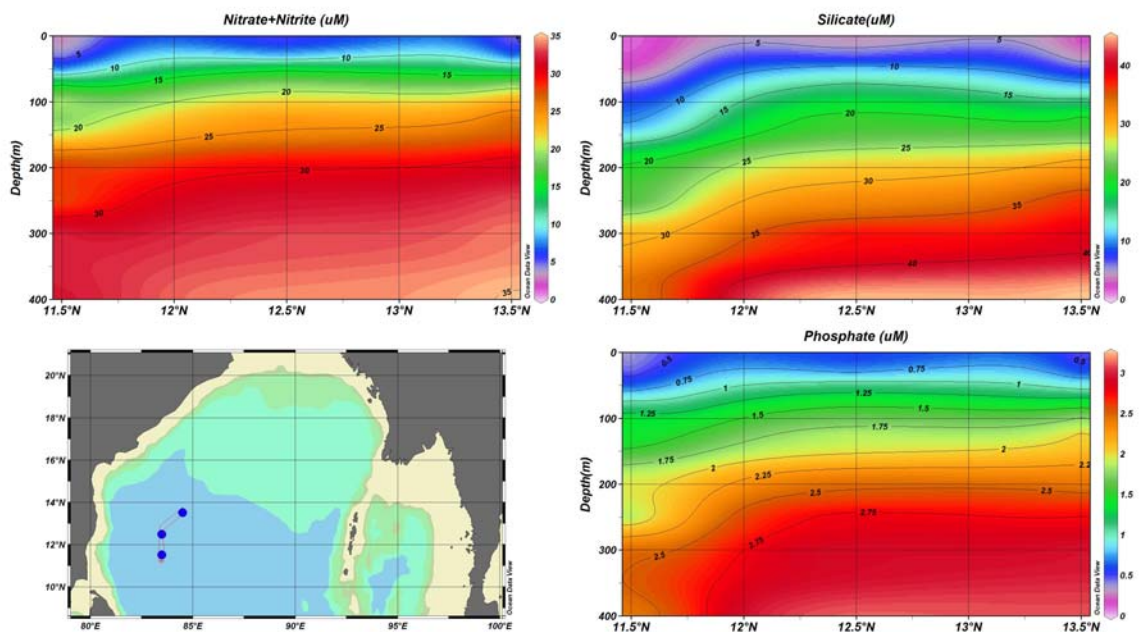
Fig. 2b shows the vertical profiles of nutrients and environmental data in the western Bay of Bengal. The mixed layer depth (MLD) and thermocline layer are similar to that described for area A, i.e. 0-50 m and 51-250 m, respectively. The vertical sections of the nutrients are illustrated in fig. 4. The nitrite + nitrate concentration (Table 1, Fig. 4) at MLD layer was between undetectable (N.D.) to 21.25  $\mu\text{M}$ . In the upper 30 m layer, it was undetectable in all stations and gradually increasing with depth. The thermocline layer showed a strong nitricline and was noticed to show concentration that is rapidly increasing with depth, ranging from 16.11 to 33.70  $\mu\text{M}$ , and at the sub-thermocline layer between 31.36 and 35.23  $\mu\text{M}$ . Maximum value of 35.23  $\mu\text{M}$  was found at 400 m in station 29.

The silicate concentration in the MLD and thermocline layer (Table 2, Fig. 4) was similar to that of the nitrite + nitrate concentration. In the MLD layer, the range was between undetectable (N.D.) to 16.00  $\mu\text{M}$ . The high concentration was also found at station 31. In the thermocline layer, the value was between 5.12 and 37.71  $\mu\text{M}$ , while at the sub-thermocline layer it was between 30.59 and 46.03  $\mu\text{M}$ . A maximum value of 46.03  $\mu\text{M}$  was found at 400 m in station 31.

**Table 3** Concentration of phosphate at standard depths.

Area	Station	Concentration ( $\mu\text{M}$ )											
		Depth (m)											
		0	10	30	50	75	100	125	150	200	250	300	400
A	13	-	0.12	0.10	0.11	0.78	1.16	2.08	-	2.60	2.83	2.93	3.13
	14	-	0.28	0.23	0.2	0.58	1.71	1.53	-	2.49	2.70	2.94	3.08
	15	-	0.28	0.18	0.17	1.16	2.10	2.40	-	2.63	2.67	2.98	2.25
	16	-	0.25	0.19	0.30	1.68	2.17	2.36	-	2.68	2.85	2.72	3.08
	17	-	0.27	0.22	0.28	0.58	1.24	1.55	-	2.58	2.15	2.24	2.84
	18	-	0.36	0.44	1.02	-	2.06	1.76	2.29	2.73	2.84	2.89	3.09
	19	-	0.39	0.28	0.33	1.13	1.53	1.35	-	2.03	2.64	2.37	2.27
	20	-	0.28	0.27	0.45	0.80	1.49	2.15	-	2.22	2.37	2.24	2.46
	21	-	0.27	0.22	0.23	1.12	1.40	1.71	-	1.87	2.35	2.09	2.41
	22	-	0.31	0.40	0.42	0.60	1.78	1.42	-	2.00	1.96	2.74	2.99
	23	-	0.68	0.72	0.62	0.69	2.00	1.78	-	2.71	2.83	2.32	2.38
	24	0.21	0.18	0.19	0.47	1.48	2.00	2.36	-	2.74	2.81	3.04	3.10
B	29	0.24	0.19	0.21	0.81	1.55	2.06	2.32	-	2.38	2.79	3.00	3.09
	30	0.18	0.15	0.23	0.79	1.30	1.75	1.87	-	2.50	2.63	2.41	2.93
	31	0.34	0.25	0.24	1.45	1.74	1.81	2.09	-	2.53	2.93	3.00	3.16
	34	0.26	0.20	0.19	0.36	1.15	1.75	1.31	-	1.99	1.63	2.59	2.37
C	1	-	-	-	-	0.99	1.65	-	2.47	2.66	-	2.64	2.34
	2	0.37	-	0.40	0.55	0.93	1.66	2.24	2.27	-	2.67	2.23	2.01
	3	0.36	0.37	0.42	0.57	1.25	1.65	-	2.08	2.41	2.09	2.17	2.24
	4	-	0.41	0.59	1.38	1.19	1.61	1.69	-	2.51	2.55	1.79	1.60
	5	-	0.42	-	0.51	0.75	1.38	2.06	2.13	2.61	2.67	2.62	2.31
	6	-	0.44	0.48	0.66	1.13	1.76	2.29	-	2.58	2.33	2.55	2.28
	7	0.40	0.41	0.45	0.60	1.12	2.08	2.25	-	2.61	2.46	2.19	-
	8	0.42	0.5	0.97	1.75	2.17	2.33	2.67	-	2.76	2.57	2.59	2.24
	9	0.41	0.42	0.62	0.89	1.44	1.63	1.90	-	1.87	1.80	1.84	-
	10	-	0.40	0.43	0.57	1.02	1.54	2.06	-	2.46	2.64	2.57	2.20
	11	0.39	0.39	0.42	0.54	0.86	1.60	-	2.28	2.54	2.66	2.63	2.25
	12	0.42	0.45	0.50	0.74	1.37	1.41	1.61	-	2.60	2.67	2.51	1.96

“-”= not collected sample, “N.D.” = not detected



**Figure 4** The vertical section profiles of nitrite + nitrate, silicate and phosphate in area B, station 29, 31 and 34.

For the phosphate concentration (Table 3, Fig. 4) in the MLD layer, the range was between 0.15 and 1.45  $\mu\text{M}$ , the highest concentration was in station 31 at 50 m depth. In the thermocline layer, the value was between 1.15 and 2.93  $\mu\text{M}$ , and 2.37-3.16  $\mu\text{M}$  at the sub-thermocline layer. The highest concentration of 3.16  $\mu\text{M}$  was also found at 400 m in station 31.

### Nutrients in Area C: the Eastern Bay of Bengal

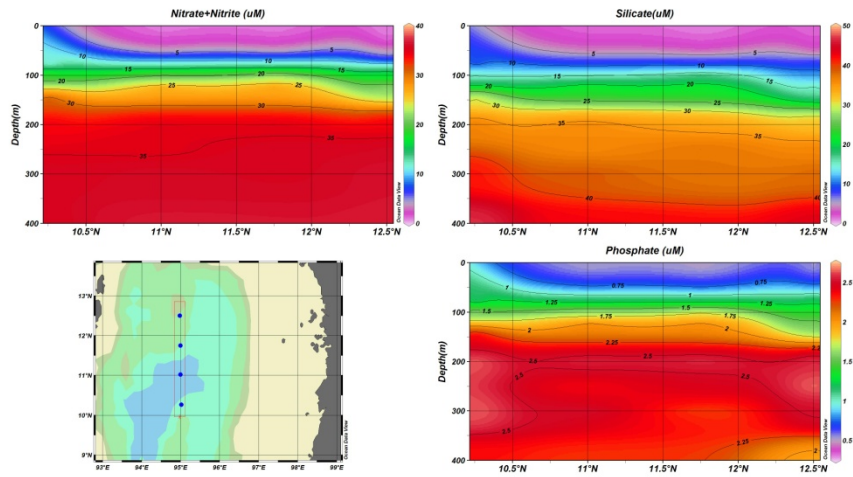
Fig. 2c shows the vertical profiles of nutrients and environmental data in the eastern Bay of Bengal. The MLD and thermocline layer are also described at depths 0-50 m and 51-200 m, respectively. The vertical sections of the nutrients in this area were divided into three sections: section C1 (Fig. 5a) included station 1, 6, 7, 12; section C2 (Fig. 5b) consist of station 2, 5, 8, 11 and section C3 (Fig. 5c) with station 3, 4, 9, 10.

The nitrite + nitrate concentration (Table 1) ranged between undetectable (N.D.) to 3.52  $\mu\text{M}$  in the MLD. Most of them were undetectable. The low salinity in the surface waters in the North of this area and near shore section (Fig. 5c) suggests that there was influence of river inputs from the land to the open ocean. However, there was no significant input of nitrite + nitrate in the water mass. It is possible that the nitrate transported by the river runoffs is biologically consumed within the estuarine and coastal regions (Kumar *et al.*, 2002). However, in station 8 of section C2 (Fig. 5b) located at the center of area C, a remarkable high value of nitrite + nitrate. In the thermocline layer the concentration of nitrite + nitrate ranged between 1.29 and 36.09  $\mu\text{M}$ . A strong nitricline concentration was noticed to be rapidly increasing with depth until below 200 m when it tended to be constant (Fig. 5 a-5 c). While in the sub-thermocline layer, the range was 29.53-36.63  $\mu\text{M}$  with the maximum value of 36.63  $\mu\text{M}$  found at 400 m in station 11. The concentration of nitrite + nitrate in this area was also similar to other studies in the Bay of Bengal (Obromwan, 2006 and Kumar *et al.*, 2007).

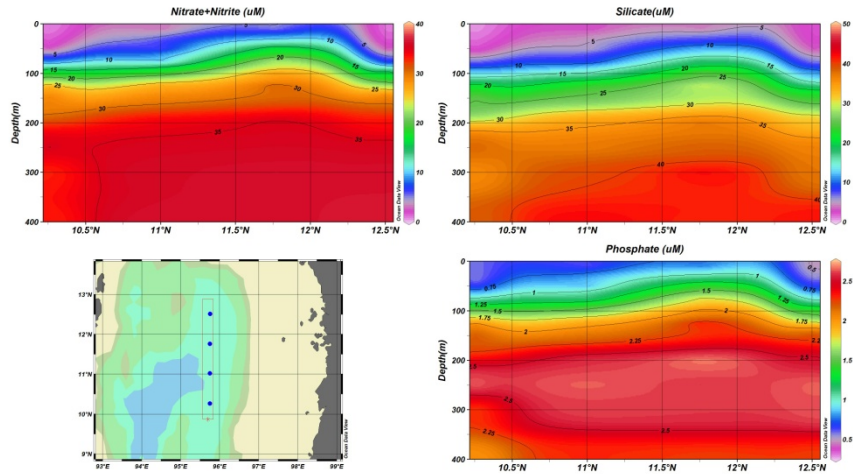
In all stations in area C, the concentration of silicate also increased with depth. The silicate value (Table 2) was also low at the MLD layer, between 0.05-18.40  $\mu\text{M}$  except in station 8 which had high value similar to that of the nitrite + nitrate value (Fig. 5b). In the thermocline layer, a strong nutricline was also noticed to have silicate concentration rapidly increasing with depth similar to that in areas A and B, ranging from 2.00 to 38.52  $\mu\text{M}$ . At the sub-thermocline layer, the range was between 26.10 and 46.63  $\mu\text{M}$  and the highest value (46.63  $\mu\text{M}$ ) was found at depth 400 m of station 1. Comparing the silicate concentration in section C3 located near shore with that in section C1 and C2 which are in the open sea, the concentration at surface layer (section C3) was slightly higher than in C1 and C2 (Fig. 5a-5c). This suggests that there was influence of river runoff of silicate from the rivers such as the Irrawady river, etc. (Subramanian, 1993).

As for the phosphate concentration, at MLD layer the range was between 0.36 and 1.75  $\mu\text{M}$ . In thermocline layer, it was between 0.75 and 2.76  $\mu\text{M}$ . A strong nutricline showed a trend of phosphate concentration increasing with depth until approximately 200 m. Station 8 at MLD and thermocline layer had high value similar to nitrite + nitrate and silicate. At the sub-thermocline layer, the range was between 1.60 and 2.67  $\mu\text{M}$ . The concentration of phosphate in this study was also in the same range as that observed by Obromwan (2006).

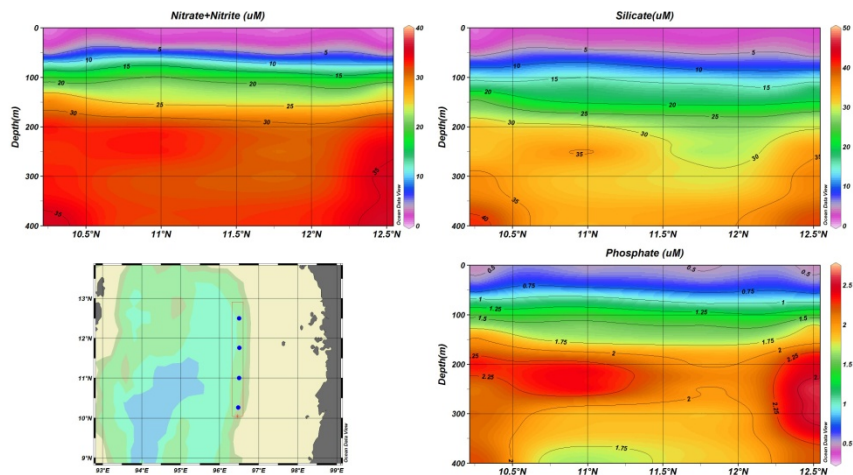




(a)



(b)



(c)

**Figure 5** The vertical section profiles of nitrite + nitrate, silicate and phosphate in area C.

- (a) section C1: station 1, 6, 7 and 12
- (b) section C2: station 2, 5, 8 and 11
- (c) section C3: station 3, 4, 9 and 10

## Conclusions

The result of this study showed that the distribution of the nutrients: nitrite + nitrate, silicate and phosphate uniformly increased with depth at all sampling stations. Generally, the MLD layer in the Bay of Bengal had very low nutrient concentrations or sometimes even undetectable. In addition, there were several near shore stations that had nutrient concentration higher than those in stations in the open sea. Nutricline concentration was noticed to be rapidly increasing with depth beyond 50 m. Until about 200-250 m, the nutrient values were nearly constant or slightly changed. Finally, spatial distribution of nutrient studies will certainly provide better scientific basis to understand the ecosystem of the Bay of Bengal.

## Acknowledgement

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## Distribution of Chlorophyll-a in the Bay of Bengal

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### Abstract

The distribution of chlorophyll in the Bay of Bengal was determined between 25 October to 21 December 2007, during the joint research survey on the Ecosystem-Based Fishery Management in the Bay of Bengal by the M.V. SEAFDEC. Chlorophyll-a from twenty-four stations in the study area were investigated using spectrophotometer. Results showed that the concentrations of chlorophyll-a in the eastern Bay of Bengal was 0.0375-0.5207 mg m<sup>-3</sup>. In the northern Bay of Bengal it was 0.0365-1.1162 mg m<sup>-3</sup>. While in the western Bay of Bengal the range was 0.0357-0.1839 mg m<sup>-3</sup>. The spatial distribution of chlorophyll-a was similar pattern to the salinity and the highest concentration mostly confined at 10 m. The surface layer taken at the low latitude stations had higher concentrations than at the high latitude stations. Furthermore, river discharge with high turbidity may impede photosynthesis activity of phytoplankton in this area.

**Key words:** chlorophyll-a, primary productivity, Bay of Bengal

### Introduction

Phytoplankton is a primary producer which converts inorganic matters into organic compounds through photosynthesis, enabling the transfer of energy and nutrients to the zooplankton. Considering that plankton organisms have short life cycles and can quickly respond to changing environments such as in the case of water pollution, some phytoplankton species can thus be used as index for monitoring water quality.

Chlorophyll is a principal pigment which phytoplankton use in photosynthesis to convert nutrients and carbon dioxide, which are dissolved in sea water into plant materials. Chlorophyll-a,b,c and Phaeophytin are the most commonly occurring pigment in seawater. Their concentrations showed wide fluctuation. Chlorophyll-a is the major photosynthetic pigment of marine phytoplankton that has been used as an indicator of biomass or primary productivity in the oceans (Beebe, 2008). The aim of this study is to collect information on the distribution of chlorophyll-a in the Bay of Bengal as they reflect the primary productivity.

### Materials and Methods

#### Site Location

From the 42 oceanographic observation stations, station 25-28, 32-33, 35-45 were cancelled because of the influence of the northeast monsoon and rough sea conditions. Furthermore, the samples extracted from station 1, 2, 3 and 31 had decomposed before these could be analyzed due to the repair of the spectrophotometer. In this study, the water samples were collected from 24 stations in the Bay of Bengal covering three areas, namely: in the

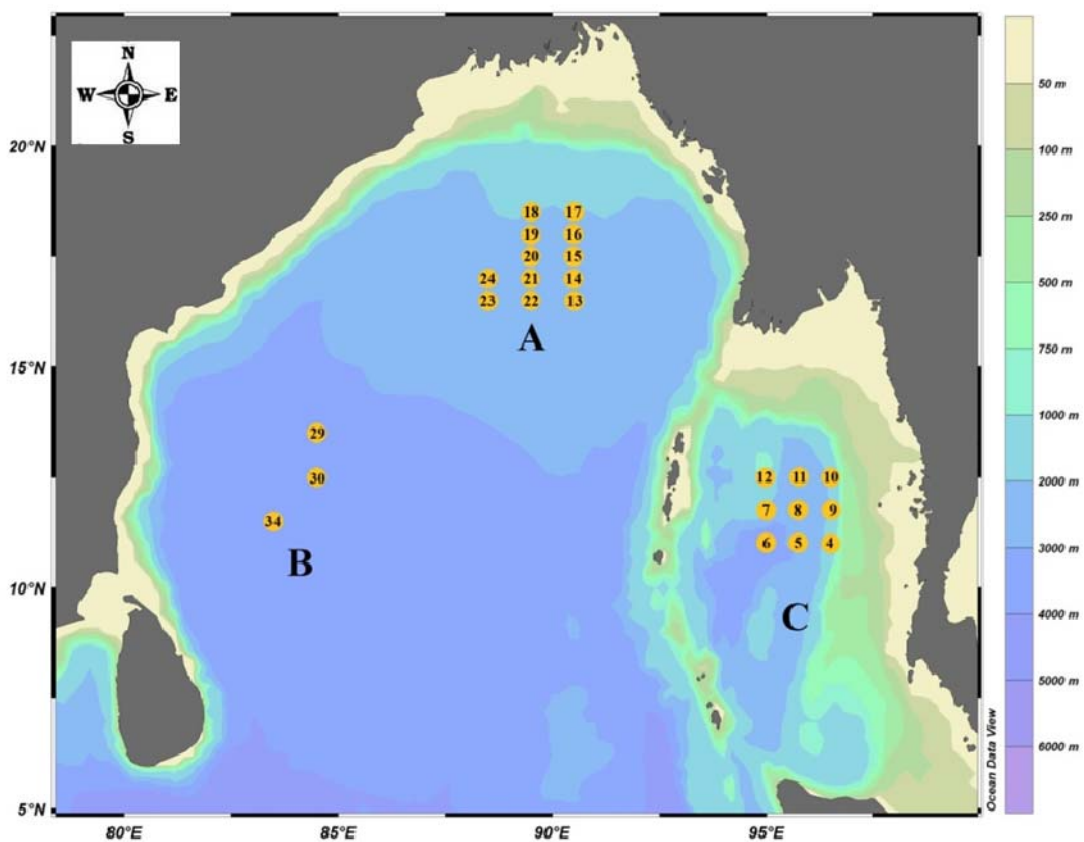
northern Bay of Bengal (area A: latitude 16°N-19°N, longitude 88°E-91°E); in the western Bay of Bengal (area B: latitude 09°N-14°N, longitude 82°E-85°E); and in the eastern Bay of Bengal (area C: latitude 10°N-12°N, longitude 95°E-97°E) from 25 October to 21 December 2007 using the M.V. SEAFDEC. The map of the sampling locations is shown in fig. 1.

### Sample Collection

Most of the water samples were collected using the 10 l Vandorn water sampler. The 12 fold rosette with 2.5 l Niskin bottle was used when the sea condition was rough. Water samples were taken from four depths: 2 m, 10 m, 100-150 m and 200-300 m. Four to twelve liters of water samples were vacuum filtered onboard through the Whatman GF/F (pore size *ca.* 0.45  $\mu$ , diameter 47 mm) in the dark laboratory. Then the filters were dropped with suspension of magnesium carbonate and stored in desiccant bottle at -20°C until extraction.

### Sample Extraction and Analysis

The filters were cut into small pieces and placed in a 50 ml centrifuge tube, then 15 ml of 90% acetone was added and allowed to stand overnight in a refrigerator. Then, these were centrifuged at room temperature for 10 min at 3000 RPM. The supernatants were decanted into a 50 mm path length spectrophotometer cuvette. The methods employed for algal absorption measurements and calculations are described in detail by Parsons *et al.*, (1984). The horizontal profile of chlorophyll and salinity were analyzed using the Ocean Data View (ODV) software (Schlitzer, 2006).



**Figure 1** Chlorophyll sampling stations in the Bay of Bengal.

**Table 1** Bottom depths and sampling depth (m) of chlorophyll samples.

Area	Station	Bottom depth	1 <sup>st</sup> depth	2 <sup>nd</sup> depth	3 <sup>rd</sup> depth	4 <sup>th</sup> depth
A	13	2,430	2	10	125	250
	14	2,353	2	10	125	200
	15	2,231	2	10	125	250
	16	2,136	2	10	125	250
	17	2,005	2	10	125	200
	18	2,012	2	10	150	250
	19	2,146	2	10	125	200
	20	2,249	2	10	125	250
	21	2,402	2	10	125	200
	22	2,511	2	10	125	200
	23	2,633	2	10	125	200
	24	2,530	2	10	125	200
B	29	3,412	2	10	125	200
	30	3,329	2	10	125	250
	34	3,470	2	-	-	-
C	4	890	2	10	115	215
	5	513	2	10	125	250
	6	3,526	2	10	125	200
	7	2,841	2	10	100	200
	8	2,556	2	10	125	300
	9	883	2	10	125	200
	10	1,128	2	10	125	200
	11	2,551	2	10	150	250
12	1,418	2	10	125	250	

“-”= samples were not collected

## Results and Discussions

The bottom depth and sampling depth of the stations where chlorophyll samples were collected are shown in table 1. The concentrations of chlorophyll-a at various depths in the Bay of Bengal observed from this study are shown in table 2 and illustrated in figs. 2 and 4.

**Table 2** Concentrations of chlorophyll-a ( $\text{mg m}^{-3}$ ) observed at various depths.

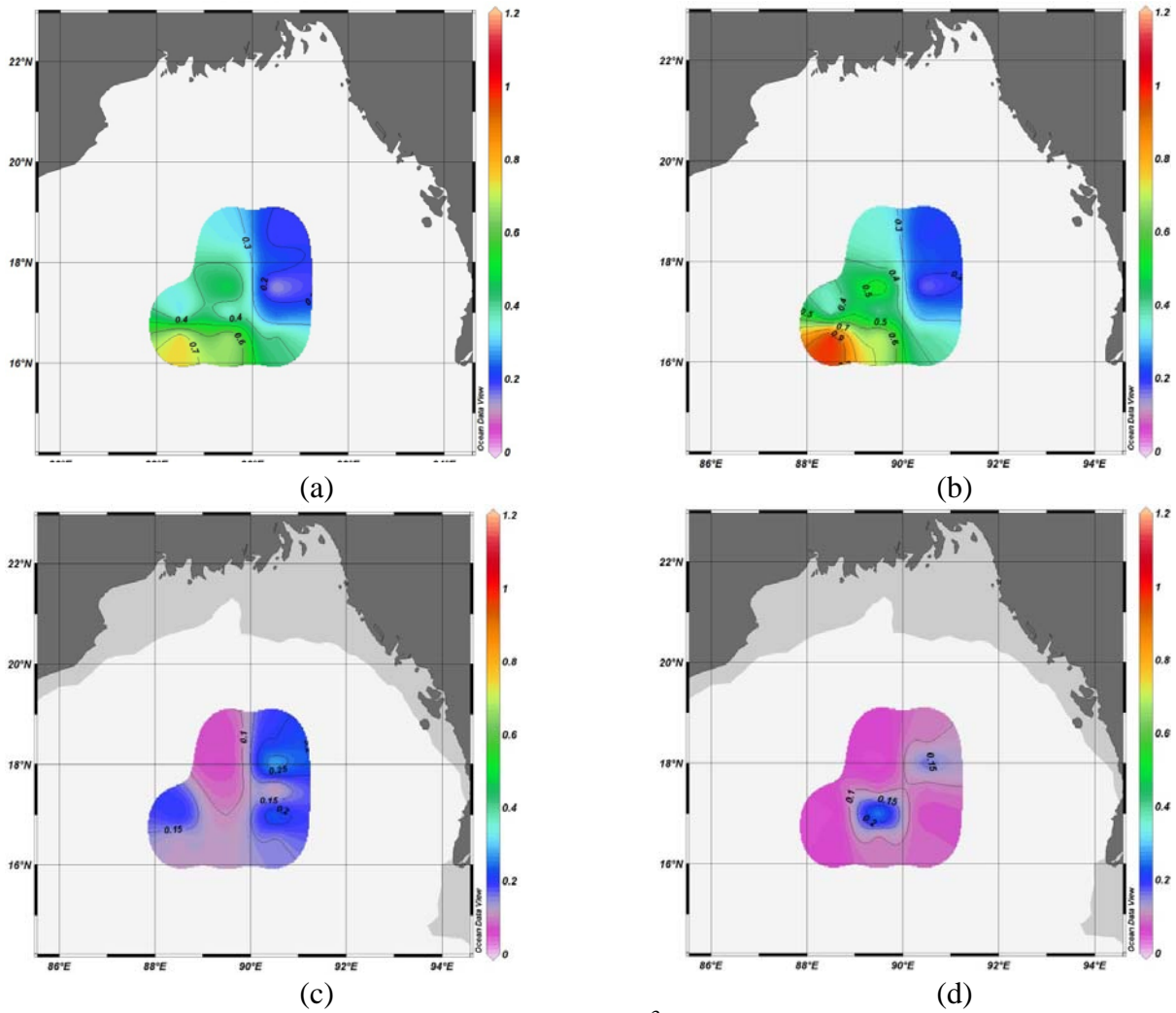
Area	Station	Chlorophyll-a			
		1 <sup>st</sup> depth	2 <sup>nd</sup> depth	3 <sup>rd</sup> depth	4 <sup>th</sup> depth
A	13	0.4229	0.3951	0.1346	0.0415
	14	0.2224	0.2700	0.2562	0.0610
	15	0.1113	0.1388	0.0704	0.0856
	16	0.2314	0.2360	0.3184	0.0813
	17	0.1790	0.2045	0.1939	0.0851
	18	0.3130	0.3539	0.0618	0.0560
	19	0.4032	0.3560	0.0527	0.0365
	20	0.5074	0.6084	0.0686	0.0597
	21	0.2965	0.3737	0.0886	0.3003
	22	0.7147	0.7475	0.1061	0.0725
	23	0.7902	1.1162	0.0967	0.0538
	24	0.2742	0.2904	0.2100	0.0502
B	29	0.1397	0.1839	0.0502	0.0517
	30	0.1223	0.1319	0.0645	0.0357
	34	0.1533	-	-	0.0390
C	4	0.5207	0.2143	0.0830	0.0375
	5	0.1674	0.1519	0.1291	0.0458
	6	0.4738	0.2498	0.0898	0.0574
	7	0.2704	N.D.	N.D.	-
	8	0.1599	0.1852	0.1031	0.0414
	9	0.2187	0.2142	0.0817	0.0418
	10	0.2544	0.3218	0.0974	0.0522
	11	0.0433	0.1943	0.0453	0.1812
	12	0.1604	0.1812	0.0799	0.0422

“-”=samples not collected, “N.D.” = not detected

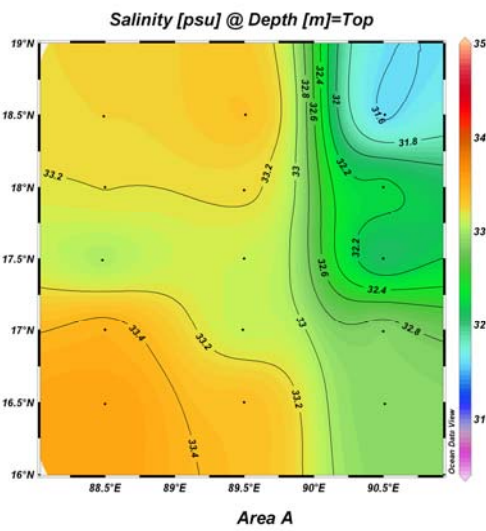
### Chlorophyll in area A: the northern Bay of Bengal (Fig. 2)

Distribution of chlorophyll-a at 2 m and 10 m are similar that low latitude stations had higher chlorophyll-a concentration than in the high latitude stations. The plume of chlorophyll-a distribution seemed to come from the Southeast. The surface chlorophyll-a concentration at the Southwest was higher than that in the northeast area by 0.5-0.7  $\text{mg m}^{-3}$ . The chlorophyll-a concentrations at 2 m and 10 m ranged from 0.1113 to 0.7902, and 0.1388 to 1.1162  $\text{mg m}^{-3}$ , respectively. Most of stations had higher concentration at 10 m more than at 2 m depth. Almost all stations that deeper than 100 m had lower concentration of chlorophyll-a.

In this study, the southwest of area A had the highest concentration of chlorophyll-a, which perhaps could be assumed, as influenced by the nutrients from deeper water lead by cold-core eddy which was consistently reported by Kumar *et al.* (2004). Distribution of chlorophyll-a was similar pattern to the salinity (Fig.3). Therefore, river discharge with high turbidity may impede photosynthesis activity of phytoplankton in the high latitude of this area.

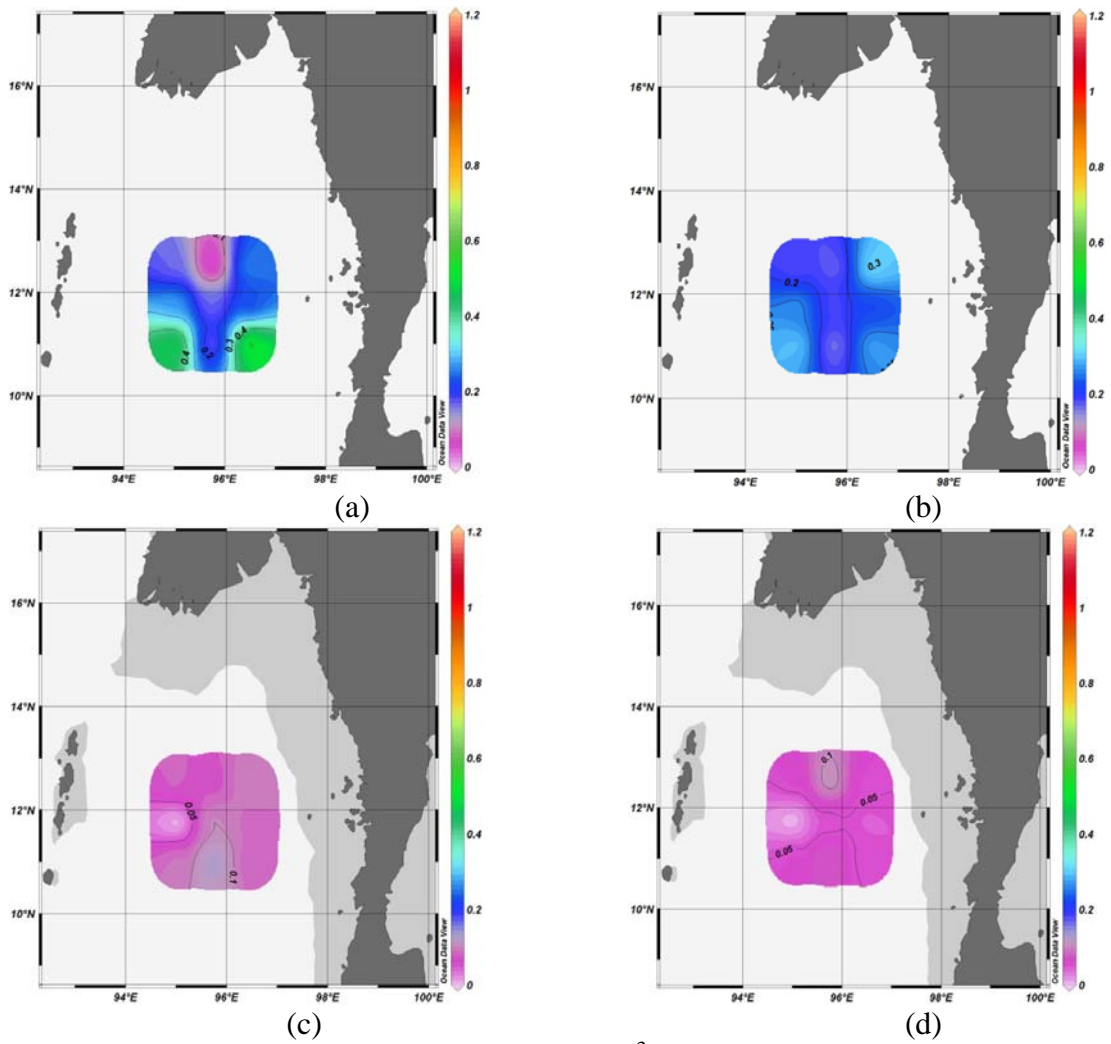


**Figure 2** Concentration of chlorophyll-a ( $\text{mg m}^{-3}$ ) in area A of the Bay of Bengal. (a) 1<sup>st</sup> depth (b) 2<sup>nd</sup> depth (c) 3<sup>rd</sup> depth (d) 4<sup>th</sup> depth

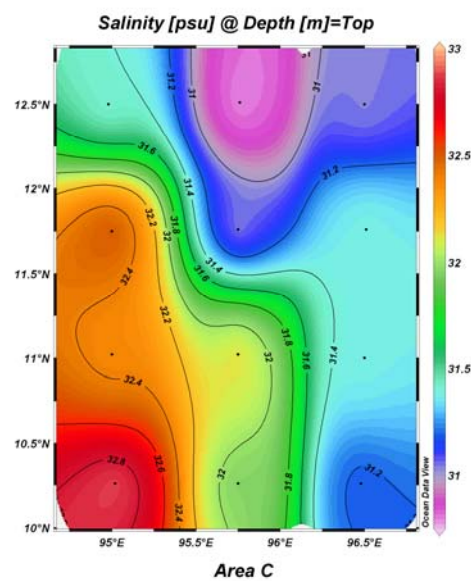


**Figure 3** Horizontal plots of salinity (psu) at surface layer in area A. (Dots indicate data location)





**Figure 4** Concentration of chlorophyll-a ( $\text{mg m}^{-3}$ ) in area C of the Bay of Bengal. (a) 1<sup>st</sup> depth (b) 2<sup>nd</sup> depth (c) 3<sup>rd</sup> depth (d) 4<sup>th</sup> depth



**Figure 5** Horizontal plots of salinity (psu) at surface layer in area C. (Dots indicate data location)

### **Chlorophyll in Area B: the Western Bay of Bengal**

During the period when area B was surveyed, many of the survey stations were canceled because of the Northeast Monsoon and rough sea conditions. The data in area B were therefore not enough to make a conclusion. However, the chlorophyll-a concentrations observed from this area are indicated in table 2.

### **Chlorophyll in Area C: the Eastern Bay of Bengal (Fig. 4)**

Spatial distribution of chlorophyll-a is shown in fig. 4. The chlorophyll-a concentrations at 2 m and 10 m ranged from 0.0433-0.5207 mg m<sup>-3</sup> and 0.1519 to 0.3218 mg m<sup>-3</sup>, respectively. The distributions of chlorophyll-a at 2 m and 10 m are same pattern. It was also observed that the low latitude stations had higher chlorophyll-a than the high latitude stations, similar to that in area A. Distribution of chlorophyll-a was also similar pattern to the salinity (Fig.5). Especially at surface layer of station 11, the salinity was low, because influence of the Irrawadee river discharge with high turbidity may effect to decreasing of chlorophyll-a concentration. At deeper than 100 m, chlorophyll-a concentrations were lower than above and homogeneous.

The observed range of chlorophyll-a at 2 m ranged between 0.04-0.52 mg m<sup>-3</sup>, which were higher than in earlier reports by Wisespongpan *et al.* (2006) that the concentrations of chlorophyll-a in the Andaman Sea covering the waters of Thailand and Myanmar between 06° 45'N, 096° 15'E and 12° 45'N, 096° 45'E, were 0.03-0.11 mg m<sup>-3</sup>. In this study, the highest concentrations of chlorophyll-a in several stations were observed at 10 m depth similar to area A.

## **Conclusions**

It was observed during the survey that most of the low latitude stations in the Bay of Bengal exhibited somewhat higher chlorophyll-a concentrations than in the high latitude stations. The highest chlorophyll concentration was mostly confined at 10 m of most of the survey stations. Distribution of chlorophyll-a was similar pattern to the salinity. Furthermore, river discharge with high turbidity may impede photosynthesis activity of phytoplankton.

## **Acknowledgements**

The authors wish to express their sincere thanks to all the scientists from Bangladesh, India, Myanmar, Sri Lanka, Nepal and Thailand for their cooperation during the BIMSTEC project survey, 75-1/2007 cruise of the M.V. SEAFDEC. The successful completion of this project would have not also been possible without the dedication of the officers from the Department of Fisheries of Thailand as well as the captain, officers and crew of the M.V. SEAFDEC. Our special thanks are also extended to Ms. Suree Satapoomin (Phuket Marine Biological Center), Ms. Puntip Wisespongpan (Department of Marine Science, Kasetsart University) and Ms. Virgilia T. Sulit (SEAFDEC) for their constructive and critical comments and suggestions.

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## Species Composition, Abundance and Distribution of Phytoplankton in the Bay of Bengal

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### Abstract

Species composition, abundance and distribution of phytoplankton were studied from water samples collected at surface layer of 24 stations in 3 areas (north, west and east) in the Bay of Bengal in November 2007. A total of 135 phytoplankton species belonging to 2 species of cyanobacteria, 78 species of diatoms, 53 species of dinoflagellates and 1 species of silicoflagellate were identified. The occurrence of species in each area was recorded. *Oscillatoria erythraea* and *Proboscia alata* were the dominant species in all areas. *Pseudonitzschia pseudodelicatissima* presented with high densities causing the blooms in the Northern Bay. The highest phytoplankton density was 133,790 cells/L. Dinoflagellate did not dominate phytoplankton population during this survey.

**Key words :** phytoplankton, Bay of Bengal, species composition, abundance, distribution

### Introduction

This study is a part of the project on “The Ecosystem-Based Fishery Management in the Bay of Bengal” which is a collaborative survey project of the BIMSTEC member countries.

The Bay of Bengal is characterized as a large marine ecosystem bounded by territory of many countries. It is a semi-enclosed tropical ocean basin under strong influence of tropical monsoons and receives large volume of freshwater from both river discharge and rainfall (Vinayachandran and Mathew, 2003). The northern part of the Bay of Bengal is an area where storm surges and cyclones frequently occur. These cyclones cause turbulence in coastal and nearshore areas (Dwivedi and Choubey, 1998).

The information on phytoplankton in the offshore waters of the Bay of Bengal is scanty and inadequate for understanding the dynamics of the Bay ecosystem. Most studies have been carried out in the coastal areas. The International Indian Ocean Expedition was the prominent survey conducted both in the coastal areas and open sea of the Indian Ocean including the Bay of Bengal in 1963. Dinoflagellate species collected during this survey were recorded by Taylor (1974). Except for this expedition, the present study is the first investigation of phytoplankton in the offshore areas around the Bay. The purpose of this study is to describe species composition, abundance and distribution in the surface layer in the Bay of Bengal. The results will benefit for marine fishery studies of the BIMSTEC member countries.

## Materials and Methods

Phytoplankton sampling was carried out on board M.V.SEAFFDEC at 24 stations during November 2007. The study area was divided into three areas: area A or the Northern Bay, area B or the Western Bay and area C locates in the Eastern Bay of Bengal (Fig. 1). Seawater samples were collected by Van Dorn water sampler at 2-4 m below the sea surface. Forty to sixty liters of the water samples were filtered onto a 20 µm mesh phytoplankton net and preserved with 2% formalin/seawater mixture immediately. The samples were concentrated by sedimentation. Phytoplankton in the concentrated samples was count and identified by using a 0.5 ml counting slide, compound microscope fitted with a phase contrast device. Filamentous cyanobacteria was counted as one unit or filament.

## Results

### Identification

A total of 58 genera with 135 species were identified from the samples collected in the surface layer during this survey. The identified phytoplankton consisted of 2 genera with 2 species of cyanobacteria, 36 genera with 78 species of diatoms, 19 genera with 53 species of dinoflagellates and 1 genus with 1 species of silicoflagellate. There were 52 genera with 103 species, 29 genera with 46 species and 48 genera with 95 species observed in the area A,B, and C, respectively. A taxonomic list and occurrence were recorded in Table 1.

### Phytoplankton Abundance

Phytoplankton densities in 3 areas of the Bay of Bengal are shown in Fig.2 and Table 2. The cell densities in the area A, B and C were in the range of 261-133,790, 509-722 and 171-11,178 cells/L, respectively. The maximum cell count was found at station 23 which is located in the northwestern part of the Bay. The cell densities examined from 3 stations in the area B were rather low similar to most stations in the area C but high cell densities were observed near coastal area of Myanmar.

### Species Composition and Distribution

One species of cyanobacteria and 5 species of diatoms dominated phytoplankton population in the surface layer during the survey period in the Bay of Bengal. The composition of 6 dominant species and 15 associated species are shown in Table 2. *Oscillatoria erythraea* and *Proboscia alata* occurred as dominant species distributed in all areas (area A, B and C).

Phytoplankton population at 6 western stations of the area A were dominated by *Pseudo-nitzscia pseudodelicatissima* (Fig.3) and presented with highest percentage of abundance (68.12%) at station 20. The massive blooms of *Pseudo-nitzscia pseudodelicatissima* as dominant species and *Chaetoceros messanensis* as associated species, with of 27.67 % and 20.62 % contribution to total phytoplankton density, respectively, led to distinct phytoplankton bloom at station 23 in which total phytoplankton density reached 133,790 cells/l. Phytoplankton communities in 4 stations in area A were distinguished from other areas due to their lower abundance and the dominance (in term of percentage of abundance) of a cyanobacteria, *Oscillatoria erythraea*. There was no distinct bloom of phytoplankton in the area B and C. The dominant species and associated species of 3 stations in the area B occurred with low percentage of abundance of low total phytoplankton densities.

High percentage of abundance of dominant species were observed with low densities in some stations in the area C, and on the contrary, very low percentage of abundance of *Chaetoceros compressus* which presented as dominant species was found from high total phytoplankton density in station 10 (Table 2).

## Discussion and Conclusion

Phytoplankton species of the present survey were mostly similar to those recorded from the Andaman Sea in November 2004 (Boonyapiwat, 2006) and Myanmar waters in February 2007 (Boonyapiwat, in press) but the species number was lower than other studies. This might due to the differences in sampling depths since only surface phytoplankton samples were reported in this study while other studies covered both surface and sub-surface samples. It is also widely recognized that phytoplankton species in the surface layer and deeper layer are different (Boonyapiwat, 1999, 2000; Furuya and Marumo, 1983).

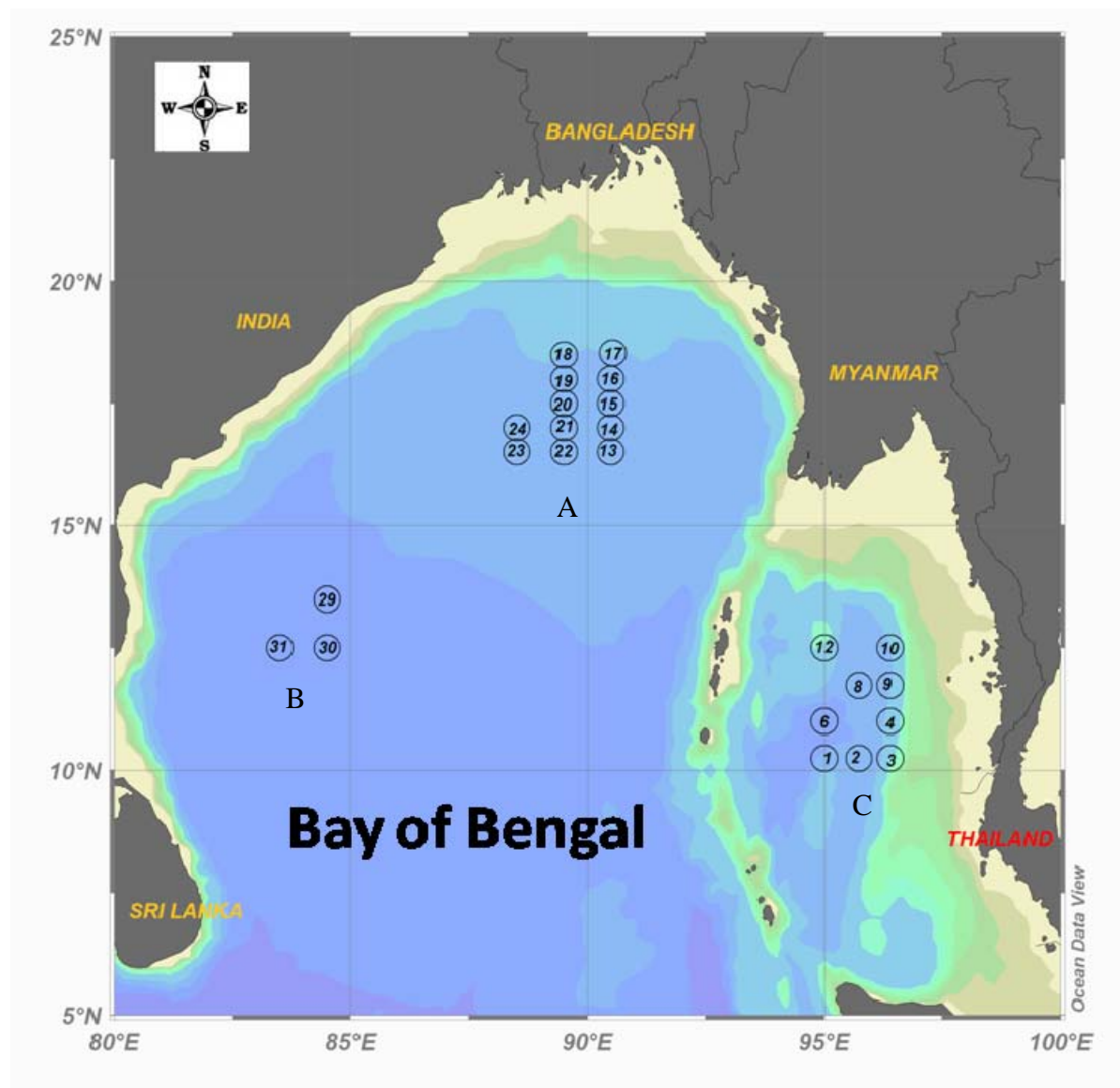
From this study, it is obvious that the Northern Bay of Bengal were productive with high phytoplankton densities during the northeast monsoon. Naik *et al.* (2006) noted that surface phytoplankton population in the Bay of Bengal showed seasonal variations and the abundance peaked during the beginning of northeast monsoon (November). However, Paul *et al.* (2007) collected sample during southwest monsoon and revealed that microphytoplankton were abundant in the Northern Bay. Then this area might be the most productive area compared to the other areas in the Bay of Bengal during both northeast and southwest monsoons. The present study showed the abundance at the western part of the Northern Bay that might be resulted from the nutrient-rich water discharge from the rivers at the west coast of India to the Bay of Bengal. The great bloom occurred at station 23 where Prommas *et al.* (in press) also found highest phosphate and nitrite+ nitrate concentrations.

*Thalassionema frauenfeldii* and *Thalassiothrix longissima* were the dominant species recorded by Paul *et al.* (2007) and they were abundant as associated species in the Northern and Western Bay of Bengal. *Oscillatoria erythraea* was dominant in the Eastern Bay which closed to Myanmar waters where Boonyapiwat (2006) and Boonyapiwat (in press) reported that this species also dominate phytoplankton population.

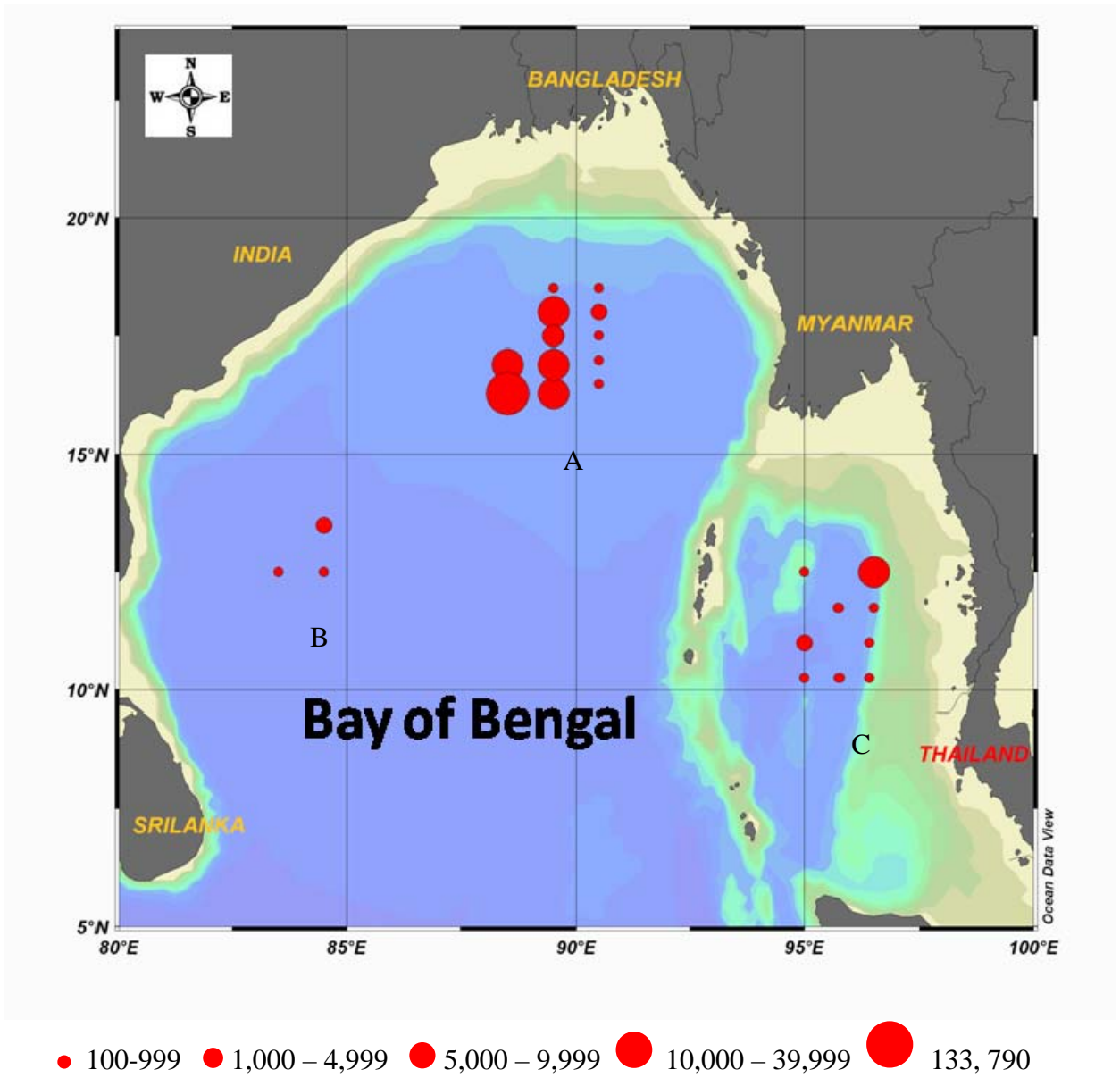
It is concluded that the Northern Bay of Bengal was productive during the survey period. *Pseudo-nitzschia pseudodelicatissima* occurred as bloom throughout the western part of the Northern Bay. *Oscillatoria erythraea* and *Proboscia alta* were the major dominant species in the Bay because they distributed predominantly in all areas of the Bay.

## Acknowledgement

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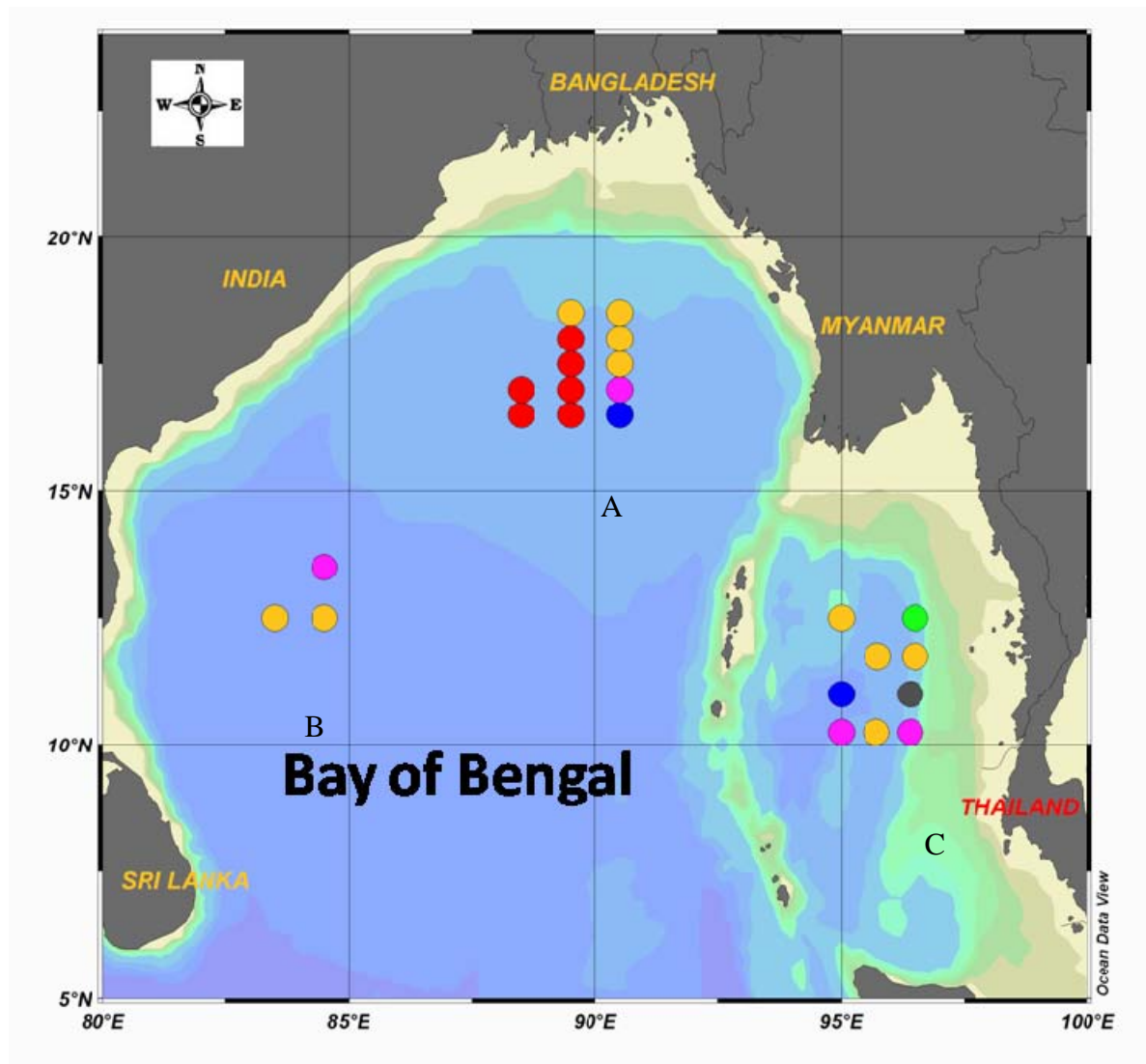


**Figure 1** Sampling station of Phytoplankton in the Bay of Bengal.



**Figure 2** Phytoplankton density (cells/liter) in the surface layer.





- *Oscillatoria erythraea*   ● *Chaetoceros compressus*   ● *Chaetoceros lorenzianus*
- *Climacodium frauenfeldianum*   ● *Proboscia alata*   ● *Pseudonitzschia pseudodelicatissima*

**Figure 3** Dominant phytoplankton species in the Bay of Bengal.

**Table 1** List of species occurred in 3 areas and range of their densities (cells/l).

Taxa	Area A	Area B	Area C
<b>Division Cyanophyta</b>			
<b>Class Cyanophyceae (Cyanobacteria or Blue-green algae)</b>			
<i>Calothrix crustacea</i> Schousboe & Thuret	0-416	3-26	0-5
<i>Oscillatoria erythraea</i> (Ehrenberg) Geitler	0-1,109	69-131	0-555
<b>Division Chromophyta</b>			
<b>Class Bacillariophyceae (Diatom)</b>			
<i>Actinocyclus</i> spp.	0-35	0	0-5
<i>Asterolampra marylandica</i> Ehrenberg	0-35	0	0
<i>Asteromphalus flabellatus</i> (Bre'bisson) Greville	0	0-1	0-17
<i>A. roperianus</i> (Greville)	0	0	0-5
<i>A. sarcophagus</i> Wallich	0	0	0-3
<i>Asteromphalus</i> spp.	0	0	0-2
<i>Azpeitia nodulifera</i> (A. Schmidt) G. Fryxell & P.A. Sims	0-4	0-26	0-35
<i>Bacteriastrum comosum</i> (O.F. Muller) Hendey	0-416	0	0-381
<i>B. delicatulum</i> Cleve	0-5,963	0-26	0-589
<i>B. elongatum</i> Cleve	0-607	0	0-18
<i>B. minus</i> Karsten	0	0	0-26
<i>Bacteriastrum</i> sp.	0-21	0	0-11
<i>Cerataulina bicornis</i> (Ehrenberg) Hasle	3-1,109	0	0-399
<i>C. pelagica</i> (Cleve) Hendey	0-5,963	0	0-36
<i>Chaetoceros aequatorialis</i> Cleve	0-104	0-7	0
<i>C. affinis</i> Lauder	0-2,496	0-113	0-849
<i>C. atlanticus</i> Cleve	0-8,736	0-26	0
<i>C. borealis</i> Bailey	0-320	0	0
<i>C. brevis</i> Schütt	0-503	0	0
<i>C. coarctatus</i> Lauder	0-1,127	0-165	0-121
<i>C. compressus</i> Lauder	0-27	0	0-1,30
<i>C. curvisetus</i> Cleve	0-3,328	0	0
<i>C. dadayi</i> Pavillard	0-815	0-24	0
<i>C. densus</i> (Cleve) Cleve	0-867	0	0-26
<i>C. denticulatus</i> Lauder	0-1387	0	0
<i>C. diadema</i> (Ehrenberg) Gran	0-32	0	0
<i>C. didymus</i> Ehrenberg	0	0	0-243
<i>C. diversus</i> Cleve	0-17	0-61	0-260
<i>C. laevis</i> Leuduger-Fortmorel	0	0	0-919
<i>C. lauderii</i> Ralfs in Lander	0-1,803	0	0
<i>C. lorenzianus</i> Grunow	0-2,635	0-113	0-1,109
<i>C. messanensis</i> Castracane	0-27,595	0	0-96
<i>C. peruvianus</i> Brightwell	0-1,803	0-61	0-86
<i>C. pseudodichaeta</i> Ikari	0	0	0-19
<i>C. rostratus</i> Lauder	0-2,912	0	0
<i>C. socialis</i> Lauder	0-589	0	0-399

0 = not found

**Table 1 (Cont.)**

Taxa	Area A	Area B	Area C
<i>Chaetoceros subtilis</i> Cleve	0	0	0-36
<i>C. tetrastichon</i> Cleve	0-225	0	0-5
<i>Chaetoceros</i> spp.	0-1,560	17-61	0-27
<i>Climacodium biconcavum</i> Cleve	0-156	0	0-108
<i>C. frauenfeldianum</i> Grunow	0-520	17-65	0-243
<i>Corethron criophilum</i> Castracane	0	0	0-35
<i>Coscinodiscus asteromphalus</i> Ehrenberg	0	0	0-4
<i>C. radiatus</i> Ehrenberg	0-3	0	0
<i>Coscinodiscus</i> spp.	0-4	0-4	0-3
<i>Cylindrotheca closterium</i> ( Ehrenberg ) Reimann & Lewin	0-104	0	0
<i>Dactyliosolen blavyanus</i> ( H. Peragallo ) Hasle	0-1	0	0
<i>D. fragilissima</i> ( Bergon ) Hasle	0-1	0	0-2
<i>D. phuketensis</i> ( Sundstrom ) Hasle	0-87	0	0-8
<i>Detonula pumila</i> ( Castracane ) Gran	0	0	0-1,179
<i>Ditylum sol</i> Grunow	0	0	35
<i>Ethmodiscus</i> spp.	0-2	0	0
<i>Eucampia cornuta</i> ( Cleve ) Grunow	0-1,248	0	2
<i>Fragilariopsis doliolus</i> ( Wallich ) Medlin & Sims	0-329	0	0-329
<i>Fragillaria</i> spp.	0-139	0	0-13
<i>Guinardia cylindrus</i> ( Cleve ) Hasle	0-87	0	0-2
<i>G. flaccida</i> ( Castracane ) H. Peragallo	0	0	0-17
<i>G. striata</i> ( Stolterfoth ) Hasle	0-52	0-26	0-64
<i>Halicotheca thamensis</i> ( Shrubsole ) Ricard	0-13	0	0
<i>Haslea gigantea</i> ( Hustedt ) Simonsen	0-1,109	0-19	0-11
<i>H. wawriake</i> ( Hustedt ) Simonsen	0-35	0-26	0-8
<i>Hemiaulus hauckii</i> Grunow	0	0	0-66
<i>H. membranacea</i> Cleve	0	0	0-29
<i>H. sinensis</i> Greville	0-156	0-26	0-503
<i>Lauderia annulata</i> Gran	0-1	0	0-104
<i>Leptocylindrus danicus</i> Cleve	0-416	0	0-225
<i>L. mediterraneus</i> ( H. Peragallo ) Hasle	0-416	0-9	0-30
<i>Lioloma delicatulum</i> ( Cupp ) Hasle	0-69	0	0-17
<i>Meuniera membranacea</i> ( Cleve ) P. C. Silva	0-52	0-17	0-2
<i>Navicula</i> spp.	0-3	0	0-2
<i>Nitzschia</i> spp.	0-5	0	0
<i>Planktoniella sol</i> ( Wallich ) Schütt	0-832	0	0
<i>Proboscia alata</i> ( Brightwell ) Sundstrom	0-3,883	44-243	0-192
<i>Pseudo-nitzschia pseudodelicatissima</i> ( Hasle ) Hasle	0-37,024	0	0-68
<i>P. pungens</i> ( Grunow & Cleve ) Hasle	0-17,472	0	0
<i>Pseudo-nitzschia</i> spp.	0-65	0	0-96
<i>Pseudosolenia calcar-avis</i> ( Chultz ) Sundstrom	0-1,803	49-116	0-8
<i>Rhizosolenia bergonii</i> H. Peragallo	0-9	0-832	0

0 = not found

**Table 1 (Cont.)**

Taxa	Area A	Area B	Area C
<i>Rhizosolenia clevei</i> Ostenfeld	0-17	0-5	0-6
<i>R. formosa</i> H. Peragallo	0	0-4	0-8
<i>R. hyalina</i> Ostenfeld	0-10	0	0-2
<i>R. imbricata</i> Brightwell	0-173	0	0-52
<i>R. robusta</i> Norman	0	0	0-2
<i>R. setigera</i> Brightwell	0-35	0	0-329
<i>R. styliformis</i> Brightwell	0-139	0-9	0
<i>Thalassionema frauenfeldii</i> ( Grunow ) Hallegraeff	0-1,109	0-17	0-329
<i>Thalassionema nitzschioides</i> ( Grunow ) Mereschkowski	0	0	0-32
<i>Thalassiosira eccentrica</i> ( Ehrenberg ) Cleve	0-17	0	0-6
<i>Thalassiosira</i> spp.	0-953	0-12	0-8
<i>Thalassiothrix longissima</i> Cleve Grunow	0-1,248	0-52	0-1
<b>Class Dinophyceae (Dinoflagellate)</b>			
<i>Alexandrium</i> spp.	0-17	3-17	0
<i>Amphisolenia bidentata</i> Schroder	0-17	0-9	0-3
<i>Ceratium azorium</i> Cleve	0-17	0	0
<i>C. biceps</i> Claparede Lachmann	0	0	2
<i>C. bilone</i> Cleve	0	0-9	0
<i>C. carriense</i> Gourret	0-17	0	0-2
<i>C. contortum</i> Gourret	0-1	0	0
<i>C. declinatum</i> ( Karsten ) Jörgensen	0-87	0-3	0
<i>C. deflexum</i> ( Kofoid ) Jörgensen	0-1	0-1	0-1
<i>C. dens</i> Ostenfeld & Schmidt	0-35	0	0-1
<i>C. furca</i> ( Ehrenberg ) Claparede Lachmann	0-416	0-9	0-17
<i>C. fusus</i> ( Ehrenberg ) Dujardin	1-81	0-9	0-5
<i>C. gravidum</i> Gourret	0	0	0-2
<i>C. gibberum</i> Gourret	0-3	0	0
<i>C. hexacanthum</i> Gourret	0	0-1	0
<i>C. horridum</i> ( Cleve ) Hran	0	0-1	0
<i>C. kofoidii</i> Jörgensen	0-17	0	0-17
<i>C. massiliense</i> ( Gouttet ) Karsten	0-17	0	0
<i>C. praelongum</i> ( Lemmermann ) Kofoid	0-17	0	0
<i>C. pulchellum</i> Schroder	0-1	0	0
<i>C. teres</i> Kofoid	0-139	0-4	0-8
<i>C. trichoceros</i> ( Ehrenberg ) Kofoid	0-17	0-1	0-2
<i>C. tripos</i> ( O.F. Muller ) Nitzsch	0-139	0-1	0-2
<i>Ceratium</i> spp.	0	0	0-2
<i>Ceratocorys horrida</i> Stein	0-17	0	0
<i>Dinophysis acuminata</i> Claparede & Lachmann	0	0	0-35
<i>Dinophysis</i> spp.	0-1	0	0
<i>Diplopsalis lenticulata</i> Berg	0-17	0	0-2
<i>Goniodoma polyedricum</i> ( Pouchet ) Jörgensen	0-139	0	0-2

0 = not found

**Table 1** (Cont.)

Taxa	Area A	Area B	Area C
<i>Gonyaulax glyptorhynchus</i> Murry & Whitting	0	0	0-2
<i>G. spinifera</i> ( Claparede & Lachmann ) Diesing	0-17	0	0-6
<i>Gonyaulax</i> spp.	0	0-4	0
<i>Gymnodinium sanguineum</i> Hirasaka	0	0	0-4
<i>Gymnodinium</i> spp.	0-13	0	0-8
<i>Ornithocercus magnificus</i> Stein	0-1	0	0-35
<i>O. thumii</i> ( A. Schmidt ) Kofoid & Skogsberg	0-1	0	0-35
<i>Oxytoxum scolopax</i> Stein	0-7	0	0
<i>Phalacroma doryphorum</i> Stein	0-1	0	0-2
<i>P. rotundatum</i> ( Claparede & Lachmann ) Kofoid & Michener	0-1	0	0-5
<i>Podolampas palmipes</i> Stein	0-3	0-3	0-2
<i>P. spinifera</i> Okamura	0	0-1	0-1
<i>Pronoctiluca</i> spp.	0	0	0-2
<i>Prorocentrum compressum</i> ( Bailey ) Abe' & Dodge	0-1	0-1	0-1
<i>P. gracile</i> Schütt	0	0	0-1
<i>P. mexicanum</i> Tafall	0-1	0	0
<i>P. micans</i> Ehrenberg	0	0	0-5
<i>Protoperidinium angustum</i> ( Dangeard ) Balech	0-17	0	0
<i>P. conicum</i> ( Gran ) Balech	0-277	0	0-2
<i>P. crassipes</i> ( Kofoid ) Balech	0-1	0-1	0
<i>P. divergens</i> ( Ehrenberg ) Balech	0-2	0	0
<i>P. grande</i> ( Kofoid ) Balech	0	0	0-2
<i>P. latispinum</i> ( Mangin ) Balech	0-3	0-1	0
<i>P. oceanicum</i> ( Vanhoff ) Balech	0-17	0	0-17
<i>P. pacificum</i> Kofoid & Michener	0-2	0	0-17
<i>P. pallidum</i> ( Ostenfeld ) Balech	0-1	0	0
<i>Protoperidinium</i> spp.	0-35	0-1	0-10
<i>Pyrocystis hamulus</i> Cleve	0-1	0	0
<i>P. lunula species</i> complex	0-69	0-1	2
<i>P. noctiluca</i> Murray ex Haeckel	0-17	0	0
<i>Pyrophacus horologium</i> Stein	0	0	0-17
<i>Scripsiella</i> spp.	0-3	0-5	0-5
<b>Class Dictyochophyceae</b>			
<b>(Silicoflagellate)</b>			
<i>Dictyocha speculum</i> Ehrenberg	0-35	0	0
<i>Dictyocha</i> sp.	0	0	0-1

0 = not found

**Table 2** Percentage of abundance of phytoplankton species in the Bay of Bengal.

Area	Station	Total (cells/l)	Dominant species	%	Associated species	%	
C	1	171	<i>Proboscia alata</i>	40.94	<i>Climacodium frauenfeldianum</i>	12.28	
	2	191	<i>Oscillatoria erythraea</i>	26.70	<i>Climacodium frauenfeldianum</i>	10.99	
	3	649	<i>Proboscia alata</i>	29.58	<i>Oscillatoria erythraea</i>	14.79	
	4	564	<i>Climacodium frauenfeldianum</i>	19.15	<i>Chaetoceros peruvianus</i>	15.25	
	6	1,266	<i>Chaetoceros lorenzianus</i>	14.06	<i>Chaetoceros socialis</i>	12.12	
	8	730	<i>Oscillatoria erythraea</i>	65.07	<i>Proboscia alata</i>	10.68	
	9	328	<i>Oscillatoria erythraea</i>	62.80	<i>Chaetoceros lorenzianus</i>	5.79	
	10	11,178	<i>Chaetoceros compressus</i>	12.41	<i>Detonula pumila</i>	10.55	
	12	299	<i>Oscillatoria erythraea</i>	48.83	<i>Proboscia alata</i>	8.36	
	13	473	<i>Chaetoceros lorenzianus</i>	13.95	<i>Chaetoceros peruvianus</i>	9.72	
	14	429	<i>Proboscia alata</i>	24.48	<i>Oscillatoria erythraea</i>	5.83	
	A	15	716	<i>Oscillatoria erythraea</i>	21.23	<i>Thalassionema frauenfeldii</i>	17.18
16		1,321	<i>Oscillatoria erythraea</i>	16.65	<i>Thalassionema frauenfeldii</i>	13.63	
17		661	<i>Oscillatoria erythraea</i>	18.00	<i>Thalassionema frauenfeldii</i>	16.79	
18		261	<i>Oscillatoria erythraea</i>	14.17	<i>Chaetoceros lorenzianus</i>	4.21	
19		11,691	<i>Pseudo-nitzschia pseudodelicatissima</i>	30.83	<i>Cerataulina bicornis</i>	7.26	
20		8,767	<i>Pseudo-nitzschia pseudodelicatissima</i>	68.12	<i>Cerataulina bicornis</i>	10.48	
21		14,613	<i>Pseudo-nitzschia pseudodelicatissima</i>	22.18	<i>Pseudo-nitzschia pungens</i>	13.52	
22		21,153	<i>Pseudo-nitzschia pseudodelicatissima</i>	14.5	<i>Chaetoceros messanensis</i>	10.82	
23		133,790	<i>Pseudo-nitzschia pseudodelicatissima</i>	27.67	<i>Chaetoceros messanensis</i>	20.62	
24		33,573	<i>Pseudo-nitzschia pseudodelicatissima</i>	33.04	<i>Pseudo-nitzschia pungens</i>	15.23	
B		29	1,497	<i>Proboscia alata</i>	16.23	<i>Chaetoceros coarctatus</i>	11.02
		30	509	<i>Oscillatoria erythraea</i>	24.50	<i>Thalassiothrix longissima</i>	10.22
	31	722	<i>Oscillatoria erythraea</i>	18.14	<i>Pseudosolenia calcar-avis</i>	16.07	

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## Composition, Abundance and Distribution of Zooplankton in the Bay of Bengal

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### Abstract

A 58 days-collaborative survey (25 October-21 December, 2007) of the BIMSTEC member countries (Bangladesh, India, Myanmar, Sri Lanka, Nepal and Thailand) was carried on in the Bay of Bengal in order to elucidate the fertility of the area as a new fishery ground. The purpose of this study was to determine the composition, abundance and distribution of zooplankton in 3 areas (area A; the northern part, area C; the eastern part and area B; the western part) of the Bay of Bengal. All samples were collected by oblique towing with Bongo net of 330  $\mu\text{m}$  mesh size. The zooplankton community consisted of 205 species, 119 genera. Copepoda was the most important group both in term of species number and abundance. Widely distributed groups in this study were: copepods, protozoan zooplankton, arrow worms, larvaceans, cnidarians, ostracods and thaliaceans. The distribution pattern of major constituents of zooplankton community indicated the most productive nature of area A in comparison to other areas.

**Keywords:** zooplankton, Bay of Bengal, composition, abundance, distribution

### Introduction

The Bay of Bengal locates in the northeastern part of the Indian Ocean. It resembles a triangle in shape and is bordered by India and Sri Lanka to the West, Bangladesh and the Indian state of West Bengal to the North and Myanmar, southern part of Thailand and the Andaman and Nicobar Islands to the East.

Zooplankton includes both planktonic or microscopic invertebrates and larval stages of some marine fishes that rely on water currents to move any great distance. Zooplankton is a broad categorization spanning a range of organism sizes that includes both small protozoans and large metazoans. Zooplankton includes holoplanktonic organisms whose complete life cycle lies within the plankton, and meroplanktonic organisms that spend part of their life cycle in the plankton before metamorphosis to either nekton or sessile, benthic existence. (wapedia, 2008) Through its consumption and processing of phytoplankton (and other food sources), zooplankton plays an important role in aquatic food webs, both as a resource for consumers on higher trophic levels and as a conduit for packaging the organic material in the biological pump (wikipedia, 2008). The importance of zooplankton as the first food for the post larval fish has been documented. Therefore, knowledges on diversity or species composition, abundance and distribution of zooplankton are of significance for fishery management. In addition, prediction of fish abundance based on only zooplankton in natural environment should be based on multiple components or food-web structure of the study area.

This study aims to present species composition, abundance of zooplankton including their distribution in the Bay of Bengal. The qualitative and quantitative data were



analyzed from 33 samples taken from 3 areas in the Bay of Bengal. This is a collaborative survey project of the BIMSTEC member countries (Bangladesh, India, Myanmar, Sri Lanka, Nepal and Thailand). The main purpose of BIMSTEC is to manage fishery resources in the Bay of Bengal.

## Material and Methods

Zooplankton samples were collected from 33 stations during the cruise of fisheries research vessel M.V. SEAFDEC between 25 October to 21 December 2007 (Table 1 and Fig. 1) in the Bay of Bengal. The sampling stations were divided into 3 areas: area A (latitude 16°N-19°N, longitude 88°E-91°E) covered 15 stations (station 13-27), area B (latitude 09°N-14°N, longitude 82°E-85°E) included 7 stations (station 13-27) and area C (latitude 10°N-12°N, longitude 95°E-97°E) included 11 stations (station 1-6 and 8-12).

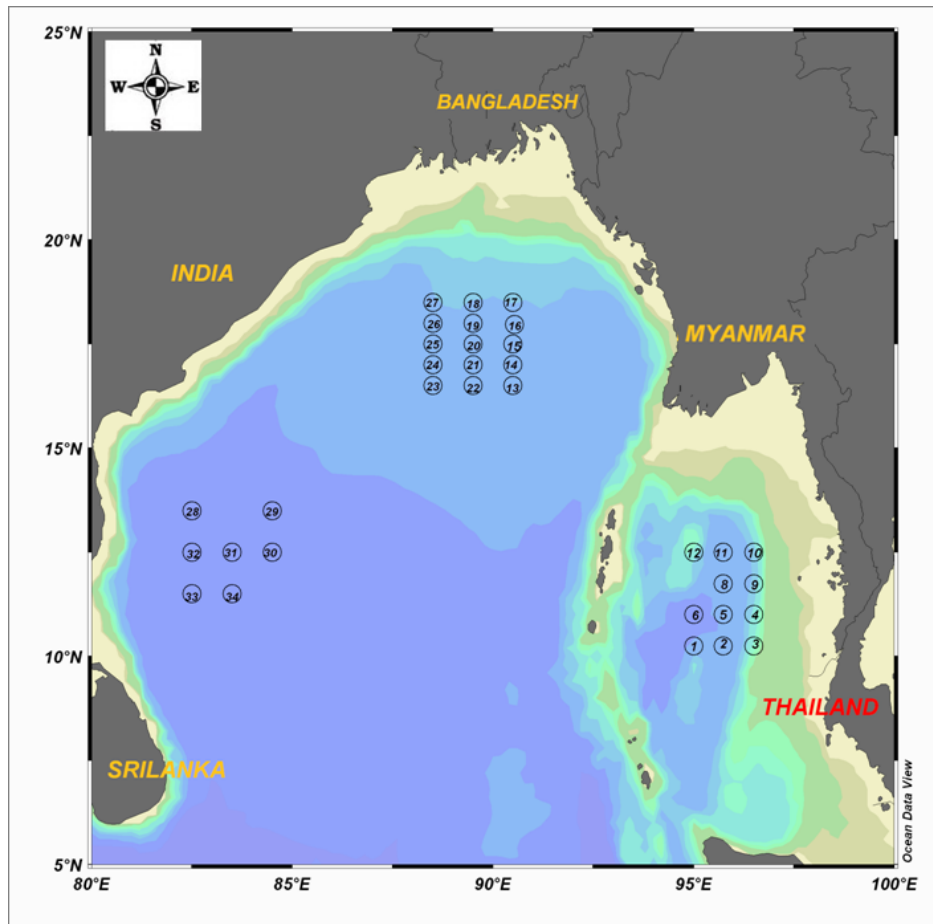
Zooplankton samples were collected using a Bongo net, 45 cm. in diameter and 330 µm mesh size, equipped with a flow meter and obliquely towed at a vessel speed of 2 knots. The towing depth of each haul was 150 meters. The samples were immediately preserved in 5% buffered formaldehyde sea water for further analyses.

Zooplankton samples were counted for larger representatives such as cnidarians, decapods, euphausiids, arrow worms, etc. using an open counting chamber of 80 mm X 50 mm X 2 mm size. Counting was made under a binocular dissecting microscope at proper magnification. The examination at a higher magnification under the compound microscope may be used to identify questionable organisms. For dense sample, zooplankton fraction of <200 µm were separated from the larger ones by filtration and sub-sampled with a Widebore pipet for an aliquot of 1-5 ml for counting with a Sedgwick-Rafter counting chamber under a compound microscope at 100X magnification.

Report zooplankton as number per cubic meter:

$$\text{No. individuals/ m}^3 = \frac{C \times V_1}{V_2 \times V_3}$$

Where C = number of organisms counted,  
V<sub>1</sub> = volume of the concentrated sample (ml),  
V<sub>2</sub> = volume of the sample counted (ml),  
V<sub>3</sub> = volume of the filtered volume of water (m<sup>3</sup>)



**Figure 1** Sampling stations in the Bay of Bengal.

## Results

### Species Composition

Zooplankton communities in the Bay of Bengal consisted of 205 species, 119 genera and 44 taxa. Copepods were the most diverse group containing the highest number of species (98), followed by Cnidaria (32) and Protozoa (25). The taxa that were not identified to generic or species levels included Polychaeta, mollusk larvae, Mysidacea, decapod larvae, larval stages of Copepoda, Cyphonautes larvae, Echinodermata larva and fish larvae. There was no significant difference in the diversity and the abundance of zooplankton from three studied areas. The diversities in decreasing order were as followed: area A (150 species, 119 genera), area C (147 species, 87 genera), and area B (131 species, 81 genera).

### Abundance

Copepoda were so far the most abundant taxon accounting for 45.82% of total zooplankton densities within the copepod communities, the relative abundance of calanoid copepods was 30.68% of total copepods followed by and poecilostomatoids (10.51%) and cyclopoids (6.17%) and harpacticoids (0.07%) in respective order. Major taxa of calanoids included Augaptiliidae, Acartiidae, Centropagidae, Pontellidae, Calanidae, Paracalanidae, Eucalanidae, Euchaetidae and Scolecithricidae. Copepodites were high in number at most stations. Sarcodine protozoans ranked the second in abundance after copepods and made up

for 17.52% of total zooplankton density. Other common taxa were arrow worm (8.92%) and larvaceans (6.56%) with *Sagitta* and *Oikopleura* as the regular constituents in these areas.

Total zooplankton abundance was ranging from 97-568 individuals/m<sup>3</sup>. The highest zooplankton abundance in this study was recorded from area A with 154-568 individuals/m<sup>3</sup> of total abundance followed by area B (97-477 individuals/m<sup>3</sup> of total abundance) and area C (84-344 individuals/m<sup>3</sup> of total abundance). The highest abundance was observed at station 24 in area A with zooplankton density of 568 individuals/m<sup>3</sup>, followed by 477 individuals/m<sup>3</sup> at station 29 in area B and 97 individuals/m<sup>3</sup> in station 33 of area B. Copepods, the most abundant taxon in all areas, contributed to 40.10% of total abundance in area B, 43.00% in area A, and 55.22% in area C. Sarcodine protozoans were the second most abundant taxon after copepods in area A and area B. Arrow worms occurred in all areas, and were usually found in moderate numbers ranging from 5.75% to 11.75% of total abundance. Larvaceans were rich in area A and area B with 6.55% of total abundance and 6.41% of total abundance, respectively. Ostracods were abundant only in area B with 8.80% of total abundance. Details of distribution and abundance of zooplankton were shown in table 1 and fig. 2-8.

### **Distribution and Abundance of Zooplankton Groups**

Distribution of zooplankton groups are recorded in terms of percentage of occurrences which were divided into 4 categories: 1-25% = very rare; 26-50% = rare; 51-75% = common and 76-100% = very common/ widely distributed.

#### **1. Sarcodine protozoans**

Sarcodine protozoans consisted mainly of planktonic foraminiferans and radiolarians. A total of 24 species from 21 genera were identified. Important sarcodine protozoans species were *Centrocubus cladostylus*, *Hystrichaspis dorsata*, *Spongosphaera streptacantha*, *Acanthochiasma fusiforme* and *Glogigerina bulloides*. Their contributions were 82%, 64%, 55%, 48%, and 45% of occurrences, respectively. Their abundances ranged from 1 individuals/m<sup>3</sup> to 195 individuals/m<sup>3</sup>. The highest abundance found at station 20 in area A.

#### **2. Ciliates**

This group was very rare in this study area with only 3% occurrence of a single species: *Tintinnopsis mortensii* with 1 individuals/m<sup>3</sup> at station 30 in area B.

#### **3. Cnidarians**

Cnidarians includes Hydromedusae and Siphonophores. A total of 32 species from 26 genera were identified in the study area. Cnidarian abundance ranged from 6 to 17 individuals/m<sup>3</sup>; the maximum value was found at station 19 in area A. Siphonophores were commonly distributed. *Chelophyes contorta* had highest percentage of occurrences with 94% followed by *Bassia bassensia* (85%) and *Enneagonum hyalinum* (79%), respectively. Most species of Hydromedusae were rarely distributed. Only two species (*Aglaura hemistoma* and *Liriope tetraphylla*) were widely distributed; with the values of 67% and 36%, respectively.

#### **4. Polychaetes**

Nine species belonging to 7 genera were collected in the study area. Polychaetes in this study included both planktonic forms and larval forms (meroplankton). All species were low in numbers and rarely found with the percentage of occurrences were not higher than 21%. Polychaete larvae were widely distributed (55%) in low number ranging from 1 to 4 individuals/m<sup>3</sup>. The occurrence of planktonic polychaetes was rare (3-21%).

*Pedinosoma curtum* was widely distributed. Total abundance ranged from 1 to 4 individuals m<sup>-3</sup>. The maximum densities was found at stations 22, 23, 25 and 27 in area A and stations 28 and 30 in area B.

### 5. Mollusks

Mollusks in this study included gastropod larvae, planktonic mollusks and bivalved larvae. Mollusks occurred in low abundances ranging from 1 to 8 individuals m<sup>-3</sup>. Planktonic mollusks in class Gastropoda found in this study were in subclasses Prosobranchia and Opisthobranchia. Among Prosobranchia (heteropods), Atlanta was common in this study. It was commonly distributed (55%) in small numbers (1-7 individuals/m<sup>3</sup>). The Opisthobranchia (pteropods) in order Thecosomata or shelled pteropods were less diverse, approximately 6 species were identified. The common genus was Creseis. Only *Notobranchaea* sp., the naked pteropods (Order Gymnostomata) was found at stations 25 and 27. Gastropod larvae and bivalved larvae were rarely distributed (3%) in this study.

### 6. Calanoid copepods

This is one of important taxa in this study. It is the most diverse groups in the area: 64 species 34 genera in 13 groups were identified. Four widely distributed (79-97%) species were *Lucicutia flavicornis*, *Clausocalanus arcuicornis*, *Scolecithricella longispinosa* and *Acrocalanus gibber*. Total abundances of calanoid copepod ranged from 18 to 271 individuals/m<sup>3</sup>. The maximum value was found at station 24 in area A. Calanoid copepodids were very high at all stations the maximum number was observed at station 24. *Clausocalanus arcuicornis* was high in number at station 22 and 27 in area A. *Scolecithricella longispinosa* and *Paracalanus aculeatus* were also found in moderate abundance. Copepodid stages of calanoid copepods were common all stations particularly copepodites of Subeucalanus and Euchaeta. They were more abundant (148-179 individuals/m<sup>3</sup>) and widely distributed (91-100%). However, nauplii stages of all genera were rarely distributed and low number.

### 7. Cyclopoid copepods

Oithona was the dominant genus in this study. It was widely distributed (100% occurrence) at all station. Their abundances varied greatly from 1 to 32 individuals/m<sup>3</sup>. Maximum number was found at station 22 in area A.

### 8. Harpacticoid copepods

This groups were one of the rare groups in this study with 3-5% occurrence. Only two species (*Macrosetella gracilis* and *Miracia efferata*) were identified and observed in low numbers with 3 and 2 individuals/m<sup>3</sup>, respectively. Harpacticoid copepods were not found in area B

### 9. Poecilostomatoid copepods

Thirty one species belonging to 6 genera were identified. Four widely distributed species (79-100% occurrence) were *Oncaea venusta*, *O. conifera*, *Corycaeus catus*, and *Copilia mirabilis*. Among these species *O. venusta* was the dominant species in this study. Total abundances of Poecilostomatoid copepods ranged from 7 to 110 individuals/m<sup>3</sup>. Maximum value was found at station 1 in area C.

### 10. Ostracods

Ostracods were commonly distributed. Two genera: Cypridina and Euconchoecia were found in this study. Total abundances of ostracods ranged from 1 to 116 individuals/m<sup>3</sup>.

The maximum value was found at station 29 (116 individuals/m<sup>3</sup>) in area B. *Euconchoecia* spp. were widely distributed (97% occurrence) but completely absent station 24. *Cypridina* spp. were very rarely distributed (21% occurrence) and presented in very low to medium number (1-49 individuals/m<sup>3</sup>).

### 11. Hyperiid

Ten species in 7 genera of hyperiid were identified in the study area. They were rarely distributed (3-39% occurrence) in very small numbers (1-6 individuals m<sup>-3</sup>). The highest abundance found in area B with 21 individuals/m<sup>3</sup>. *Lestrigonus macroohtalanus* was common species in the area.

### 12. Mysids

Mysids was one of the rare groups in this study. Its distribution was 18% occurrence with very low abundance (1-2 individuals/m<sup>3</sup>). Mysids was completely absent in area A.

### 13. Euphausiids

Larval stages were commonly distributed with 70% occurrence. Low abundance values of 1-7 individuals/m<sup>3</sup> were observed. Maximum number was found at station 9 in area C. Adult stages found only *Stylocheiron* sp. was at stations 30 and 31 in area B with abundance values of 1 individuals/m<sup>3</sup>

### 14. Stomatopod larvae

Both larval stages (erichthus and alima) were collected in the area. Alima larvae were more often observed than erichthus larvae (20% and 3% occurrence). They were always found in very low numbers (1-3 individuals/m<sup>3</sup>)

### 15. Planktonic shrimps

This group included larval stages of Penaeid, Caridean and Palinuran shrimps. One genus (Lucifer) was identified in the samples. Early larval stage of Penaeid, Caridean and Palinuran shrimps were very rare (3-15% occurrence) in the samples with abundance values of 1 individuals/m<sup>3</sup>. Abundance of Lucifer was very low both in adult forms and larval forms (protozoa and mysis); only 3-39% occurrences were recorded. Its abundance values ranged from 1 to 9 individuals/m<sup>3</sup>; the maximum value was found at station 29 in area B.

### 16. Crab larvae

This group included Anomuran larvae, Porcellanid larvae and zoea stage of Brachyura (true crab). They were very rarely distributed (3-6 % occurrence) with very low abundance values (1-2 individuals/m<sup>3</sup>) at only four stations (2,4,25 and 28).

### 17. Decapod larvae

This is one of the rare groups in this study. Very low abundanc was observed at station 24 in area A (2 individuals/m<sup>3</sup>).

### 18. Arrow worms

A total of 10 species belonging to genera *Sagitta* were present in the samples. *Sagitta* was an important genus that was widely distributed (94% occurrence) with abundance value ranged from 1 to 78 individuals/m<sup>3</sup>. Maximum value was found at station 31 in area B. *Sagitta enflata* was the most important species occurring at most stations.

### 19. Bryozoans

Cyphonautes larvae, the larval stage of phylum Ectoprocta were rarely observed (9% occurrence) in this study. Very low abundances (1 individual/m<sup>3</sup>) were recorded at three stations (11, 17 and 25). Bryozoans were completely absent in Area B.

### 20. Echinodermata larvae

This group consisted of bipinnaria larvae of class Asterozoa, auricularia larvae of class Holothurozoa, echinopluteus larvae of class Echinozoa and ophiopluteus larvae of class Ophiurozoa. They were very rare in distribution (21%, 3%, 9% and 18% occurrence), respectively. Their abundances varied from 5 to 106 individuals/m<sup>3</sup>. Bipinnaria larvae and auricularia larvae were found only in area C. Echinopluteus larvae and Ophiopluteus larvae were found in area A and C. Echinodermata larvae were completely absent in area B.

### 21. Larvaceans

Only one genus: Oikopleura was found in this study. They were regularly found (18-94%) in the study area. Their abundances ranged from 1 individual/m<sup>3</sup> to 39 individuals/m<sup>3</sup>. Two important species were: *O. fusiformis* and *O. longicauda*, and were widely distributed with 94% and 88% occurrences, respectively. The highest abundance found in area A (74 individuals/m<sup>3</sup>).

### 22. Thaliaceans

Two groups of thaliaceans were rare and present in low number. Salps consisted three genera: Pegea, Salpa and Thalia. Only one genus of doliolids (*Doliolum*) was identified. *Doliolum* spp. were common in distribution (80% occurrence), but occurred in low abundance values (1-7 individuals/m<sup>3</sup>). Maximum number of thaliaceans were found at station 13 and 27 in area A. Salps were rarely distributed (15-24% occurrence) of low number ranging from 1-4 individuals/m<sup>3</sup>.

### 23. Fish eggs and fish larvae

The data recorded here was underestimated; accurate data will be published elsewhere under ichthyoplankton. Fish eggs and fish larvae were separately collected by a 500 µm plankton net. Eggs and larvae of fish rarely distributed (3-9% occurrence) in low numbers ranging from 1 to 2 individuals/m<sup>3</sup>. They were observed in three found only three samples collected from station 13, 23 and 32.

### 24. Cephalochordates

*Amphioxides* sp. was only one species collected in the study area. This group was one of the rare groups in this study with 21% occurrence. Small number (1-4 individuals/m<sup>3</sup>) was observed at 7 stations. The maximum value was found at station 20 in area A. Cephalochordates were absent in area C.

**Table 1** Distribution of marine zooplankton and abundance (individuals/m<sup>3</sup>) of species found at 3 Areas in the Bay of Bengal.  
(The number indicated minimum and maximum density in a unit of individuals/m<sup>3</sup>)

Taxa/Species	Abundance values		
	Area A	Area B	Area C
<b>PHYLUM PROTOZOA (protozoans)</b>			
<b>Class Sarcodina</b>			
<b>Order Foraminiferida</b>			
<i>Berggrenia (Globorotalia) pumilio</i> (Parker)	0	0	0-1
<i>Candeina nitida</i> d' Orbigny	0-1	0	0
<i>Globigerina bulloides</i> d' Orbigny	0-63	0-15	0
<i>G. falconensis</i> Blow	0-4	0	0-2
<i>Globigerinella siphonifera</i> d' Orbigny	0-2	0	0
<i>Globigerinita minuta</i> (Natland)	0	0	0-1
<i>Globorotalia menardii</i> (Parker, Jones and Brady)	0-1	0-3	0
<i>Hastigerina digitata</i> (Rhumler)	0-4	0-2	0
<i>Sphaeroidinella dehiscens</i> (Parker and Jones)	0	0-2	0
<i>Tenuitella parkerae</i> (Broennimann and Resig)	0-6	0	0-2
<b>Order Radiolarida</b>			
<b>Family Acanthochiasmidae</b>			
<i>Acanthochiasma fusiforme</i> Haeckel	0-9	0-9	0-3
<i>A. rubescens</i> Haeckel	0-80	0-3	0-1
<b>Family Acanthometridae</b>			
<i>Acanthometra bulbosa</i> Haeckel	0-1	0	0
<i>A. pellucida</i> Müller	0-1	0	0-5
<b>Family Dorataspidae</b>			
<i>Hystrichaspis dorsata</i> Haeckel	0-10	0-6	0-3
<b>Family Spongodiscidae</b>			
<i>Stylodictya</i> sp.	0-3	0	0
<b>Family Castanellidae</b>			
<i>Castanidium variabile</i> Borgert	0-7	0	0-1
<b>Family Actinommidae</b>			
<i>Carposphaera acanthosphora</i> (Popofsky)	0-2	0	0
<i>Centroculus cladostylus</i> Haeckel	8-93	0-34	0-10
<i>Cromyomma circumtextum</i> Haeckel	0	0	0-1
<i>Heliosoma</i> sp.	0-1	0	0
<i>Spongosphaera streptacantha</i> Haeckel	0-17	0-6	0-4
<b>Family Phyllostauridae</b>			
<i>Acanthostaurus purpurascens</i> (Haeckel)	0-1	0	0

\* 0 = not found

**Table 1** (Cont.)

Taxa/Species	Abundance values		
	Area A	Area B	Area C
<b>Family Dictyocanthidae</b>			
<i>Dictyocantha</i> sp.	0-1	0-1	0
<b>Class Ciliata</b>			
<b>Order Tintinnida</b>			
<b>Family Codonellidae</b>			
<i>Tintinnopsis mortensii</i> Schmidt	0	0-1	0
<b>PHYLUM CNIDARIA (cnidarians)</b>			
<b>Class Hydrozoa</b>			
<b>Order Anthomedusae</b>			
<b>Family Corynidae</b>			
<i>Euphysora bigelowi</i> Maas	0	0	0-1
<i>Sarsia resplendes</i> Bigelow	0-1	0	0-1
<b>Family Bougainvilliidae</b>			
<i>Bougainvillia principis</i> (Steenstrup)	0	0	0-1
<i>Kollikerina fasciculata</i> Péron and Lesueur	0	0	0-1
<b>Order Leptomedusae</b>			
<b>Family Phialuciidae</b>			
<i>Octophialucium medium</i> Kramp	0-1	0-1	0-1
<b>Family Eirenidae</b>			
<i>Eirene hexanemalis</i> (Goette)	0-1	0	0
<i>Eutima gracilis</i> (Forbes and Goodsir)	0-1	0	0
<b>Order Limnomedusae</b>			
<b>Family Proboscidaetyla</b>			
<i>Proboscidaetyla ornata</i> (McCrary)	0-1	0	0
<b>Order Trachymedusae</b>			
<b>Family Rhopalonematidae</b>			
<i>Amphogona apicata</i> Kramp	0	0-1	0
<b>Family Geryoniidae</b>			
<i>Aglaura hemistoma</i> Péron and Lesueur	0-1	0-1	0-1
<i>Liriope tetraphylla</i> (Chamisso and Eysenhardt)	0-1	0-1	0-1
<b>Order Narcomedusae</b>			
<b>Family Aeginidae</b>			
<i>Solmundella bitentaculata</i> (Quoy and Gaimard)	0-1	0-1	0
<b>Family Cuninidae</b>			
<i>Cunina octonaria</i> McCrary	0-1	0	0-10

\* 0 = not found



**Table 1** (Cont.)

Taxa/Species	Abundance values		
	Area A	Area B	Area C
<b>Order Siphonophora</b>			
<b>Family Agalmidae</b>			
<i>Agalma haeckeli</i> Bigeloe	0-1	0-1	0-1
<b>Family Prayidae</b>			
<i>Amphicaryon acaule</i> Chun	0	0-1	0-1
<i>A. peltifera</i> Haeckel	0-1	0-1	0-1
<b>Family Hippopodiidae</b>			
<i>Hippopodius hippopus</i> (Forsk.)	1-1	0-1	0-1
<b>Family Diphyidae</b>			
<i>Sulculeolaria quadrivalvis</i> Blainville	0-1	0-1	0-1
<i>Diphyes bojani</i> (Eschscholtz)	0-1	0-1	0-1
<i>D. chamissonis</i> (Huxley)	0-1	0	0-1
<i>D. dispar</i> Chamisso and Eysenhardt	0-1	0-1	0-1
<i>Lensia campanella</i> (Moser)	0-1	0-1	0-1
<i>L. challengerii</i> Totton	0-1	0-1	0-1
<i>L. conoidea</i> (Keferstein and Ehlers)	0-1	0-1	0-1
<i>L. subtiloides</i> (Len and van Riemsdijk)	0-1	0-1	0-1
<i>Chelophyes contorta</i> (Len and van Riemsdijk)	0-1	1-1	0-1
<i>Eudoxoides mitra</i> (Huxley)	0-1	1-1	0-1
<b>Family Abylidae</b>			
<i>Abyla trigona</i> Quoy and Gaimard	0	0-1	0-1
<i>Abylopsis eschscholtzi</i> (Huxley)	0-1	0-1	0-1
<i>A. tetragona</i> (Otto)	0-1	0-1	0-1
<i>Bassia bassensis</i> (Quoy and Gaimard)	0-1	1-1	0-1
<i>Enneagonum hyalinum</i> Quoy and Gaimard	0-1	0-1	0-1
<b>PHYLUM ANNELIDA (segment worms)</b>			
<b>Class Polychaeta</b>			
Polychaete larvae	0-4	0-3	1-3
<b>Order Phyllococida</b>			
<b>Family Alciopidae</b>			
<i>Alciopina parasitica</i> Clapare'de & Panceri	0	0-3	0-1
<i>Rhyncherella moebii</i> (Apstein)	0	0	0-2
<b>Family Iospilidae</b>			
<i>Iospilus affinis</i> (Viguier)	0-3	0-1	0
<i>Phalacrophorus pictus</i> Greeff	0-1	0	0

\* 0 = not found

**Table 1 (Cont.)**

Taxa/Species	Abundance values		
	Area A	Area B	Area C
<b>Family Lopadorhynchidae</b>			
<i>Maupasia gracilis</i> Reibisch	0-1	0	0
<i>Pedinosoma curtum</i> Reibisch	0-2	0-1	0-1
<b>Family Tomopteridae</b>			
<i>Tomopteris dunckeri</i> Rosa	0-1	0	0-1
<i>T. elegans</i> Chun	0-1	0-1	0-1
<i>T. nationalis</i> Apstein	0	0	0-1
<b>PHYLUM MOLLUSCA (mollusks)</b>			
<b>Class Gastropoda</b>			
<b>Subclass Prosobranchia (heteropods)</b>			
<b>Order Mesogastropoda</b>			
<b>Family Atlantidae</b>			
<i>Atlanta</i> spp.	0-7	0-5	0-1
<b>Subclass Opisthobranchia (pteropods)</b>			
<b>Order Thecosomata (shelled pteropods)</b>			
<b>Family Limacinidae</b>			
<i>Limacina</i> sp.	0	0	0-1
<b>Family Cavoliniidae</b>			
<i>Creseis acicula</i> (Rang)	0-2	0	0-1
<i>C. virgula</i> (Rang)	0-1	0-1	0-2
<i>Cuvierina</i> sp.	0-1	0	0
<b>Family Cymbuliidae</b>			
<i>Cymbulia</i> sp.	0	0	0-1
<b>Order Gymnosomata (naked pteropods)</b>			
<b>Family Notobranchaeidae</b>			
<i>Notobranchaea</i> sp.	0-1	0-1	0
Gastropod larvae (veliger larvae)	0-1	0	0
<b>Class Bivalvia</b>			
Bivalve larvae (veliger larvae)	0	0-1	0
<b>Class Cephalopoda</b>	0-66	0-35	14-108
<b>PHYLUM ARTHROPODA</b>			
<b>SUBPHYLUM CRUSTACEA (CRUSTACEAN)</b>			
<b>Class Maxillopoda</b>			
<b>Subclass Copepoda</b>			
Copepod nauplii	0-2	0-1	0-1

\* 0 = not found

**Table 1** (Cont.)

Taxa/Species	Abundance values		
	Area A	Area B	Area C
<b>Order Calanoida</b>			
calanoid unidentified species1	0-1	0-1	0
calanoid unidentified species2	0	0-1	0
Calanoid copepodid	5-71	2-33	0-21
<b>Superfamily Arietelloidea</b>			
<b>Family Augaptiliidae</b>			
<i>Euaugaptilus</i> sp.	0	0-1	0-1
<i>Haloptilis longicornis</i> (Claus)	0-2	0-3	0-1
<i>H. mucronatus</i> (Claus)	0	0-1	0-1
<i>H. spiniceps</i> (Giesbrecht)	0-1	0	0
<i>Haloptilus</i> sp.1	0-1	0	0
<i>Haloptilus</i> sp.2	0-1	0	0-1
<i>Haloptilis</i> copepodid	0-1	0-1	0-1
<b>Family Heterorhabdidae</b>			
<i>Heterorhabdus papilliger</i> (Claus)	0-2	0-1	0-1
<b>Family Lucicutiidae</b>			
<i>Lucicutia flavicornis</i> (Claus)	1-9	1-4	0-4
<i>Lucicutia</i> copepodid	0-4	0-3	0-5
<b>Family Metridinidae</b>			
<i>Pleurommama robusta</i> (Dahl)	1-12	0-3	0-1
<b>Superfamily Centropagoidea</b>			
<b>Family Acartiidae</b>			
<i>Acartia amboinensis</i> Carl	0	0-1	0-5
<i>A. danae</i> Giesbrecht	0-1	0	0-1
<i>A. negligens</i> Dana	0-1	0-3	0-2
<i>A. pacifica</i> Steuer	0	0	0-3
<i>Acartia</i> copepodid	0	0-1	0-8
<b>Family Candaciidae</b>			
<i>Candacia catula</i> (Giesbrecht)	0-2	0-1	0-2
<i>C. pachydactyla</i> (Dana)	0	0-1	0-1
<i>Candacia</i> sp.1	0-1	0	0
<i>Candacia</i> sp.2	0-1	0	0
<i>Candacia</i> sp.3	0	0-1	0-1
<i>Paracandacia truncata</i> (Dana)	0-1	0-1	0-2
<i>Candacia</i> copepodid	0-1	0-3	0-5

\* 0 = not found

**Table 1** (Cont.)

Taxa/Species	Abundance values		
	Area A	Area B	Area C
<b>Family Centropagidae</b>			
<i>Centropages calaninus</i> (Dana)	0-2	0-1	0
<i>C. elongatus</i> Giesbrecht	0-1	0	0-1
<i>C. furcatus</i> (Dana)	0-1	0-1	0-2
<i>C. gracilis</i> (Dana)	0-1	0	0-1
<i>Centropages</i> copepodid	0-1	0	0-1
<b>Family Pontellidae</b>			
<i>Calanopia aurivilli</i> Cleve	0	0-1	0-1
<i>C. minor</i> A. Scott	0	0-1	0-2
<i>Labidocera</i> sp.	0	0-1	0
<i>Pontellina morii</i> Fleminger & Husleemann	0-1	0	0-2
<i>P. plumata</i> (Dana)	0-1	0-1	0
<i>Labidocera</i> copepodid	0	0-1	0
<i>Pontella</i> copepodid	0-1	0	0
<i>Pontellina</i> copepodid	0-3	0-1	0-1
<b>Family Temoridae</b>	3		
<i>Temora discaudata</i> Giesbrecht	0-6	0	0-2
<i>Temora</i> copepodid	0-2	0-1	0-2
<b>Superfamily Megacalanoidea</b>			
<b>Family Calanidae</b>			
<i>Canthocalanus pauper</i> (Giesbrecht)	0-14	0-4	0-2
<i>Cosmocalanus darwinii</i> (Lubbock)	0-2	0-3	0-5
<i>Nannocalanus minor</i> (Claus)	0-2	0-5	0-1
<i>Undinula vulgaris</i> (Dana)	0-1	0-1	0-2
<b>Family Paracalanidae</b>			
<i>Acrocalanus gibber</i> Giesbrecht	0-13	0-5	0-8
<i>A. gracilis</i> Giesbrecht	0-29	0-1	0-1
<i>A. longicornis</i> Giesbrecht	0-8	0-1	0-1
<i>A. monachus</i> Giesbrecht	0-3	0-1	0-1
<i>Paracalanus aculeatus</i> Giesbrecht	0-18	0-6	0-26
<b>Family Calocalanidae</b>			
<i>Calocalanus pavo</i> (Dana)	0-1	0	0-1
<i>C. plumulosus</i> (Claus)	0-3	0-1	0
<i>Calocalanus</i> copepodid	0-26	0-1	0-1

\* 0 = not found

**Table 1** (Cont.)

Taxa/Species	Abundance values		
	Area A	Area B	Area C
<b>Superfamily Eucalanoidea</b>			
<b>Family Eucalanidae</b>			
<i>Pareucalanus sewelli</i> (Fleminger)	0-1	0-2	0-3
<i>Rhincalanus cornutus</i> Dana	0-2	0-1	0-1
<i>Subeucalanus crassus</i> Giesbrecht	0	0	0-1
<i>S. subcrassus</i> Giesbrecht	0	0	0-1
<i>Subeucalanus</i> sp.	0-5	0	0
<i>Pareucalanus</i> copepodid	0-5	0-12	0-6
<i>Subeucalanus</i> copepodid	1-29	2-6	1-23
<b>Superfamily Clausocalanoidea</b>			
<b>Family Aetideidae</b>			
<i>Aetideus</i> sp.	0-1	0	0-1
<i>Chiridius</i> sp.	0-1	0-1	0
<i>Euchirella bella</i> Giesbrecht	0	0-11	0
<b>Family Clausocalanidae</b>			
<i>Clausocalanus arcuicornis</i> Dana	2-50	0-17	1-13
<i>C. furcatus</i> (Brady)	0-8	0-1	0-2
<b>Family Euchaetidae</b>			
<i>Euchaeta concinna</i> Dana	0-1	0-2	0-2
<i>E. longicornis</i> (Giesbrecht)	0	0-1	0
<i>E. marina</i> (Prestandrea)	0	0-2	0
<i>E. wolfendeni</i> A. Scott	0-1	0-1	0-2
<i>E. rimana</i> Bradford	0-1	0	0
<i>Euchaeta</i> copepodid	1-17	1-15	1-11
<b>Family Phaennidae</b>			
<i>Phaenna spinifera</i> Claus	0	0	0-1
<b>Family Scolecithricidae</b>			
<i>Scolecithricella longispinosa</i> Chen & Zhang	2-17	0-16	0-10
<i>S. ctenopus</i> (Giesbrecht)	0-1	0-2	0-1
<i>Scolecithricella</i> sp.1	0	0	0-1
<i>Scolecithricella</i> sp.2	0-4	0	0
<i>Scolecithrix danae</i> (Lubbock)	0-1	0-1	0-1
<i>Scaphocalanus</i> sp.	0-1	0	0
<b>Order Cyclopoida</b>			
Cyclopoid copepodid	0-1	0	0

\* 0 = not found

**Table 1 (Cont.)**

Taxa/Species	Abundance values		
	Area A	Area B	Area C
<b>Family Oithonidae</b>			
<i>Oithona</i> spp.	1-32	3-24	1-29
<b>Order Harpacticoida</b>			
<b>Family Miraciidae</b>			
<i>Macrosetella gracilis</i> (Dana)	0-1	0	0-1
<i>Miracia efferata</i> Dana	0-1	0	0-1
<b>Order Poecilostomatoida</b>			
<b>Family Corycaeidae</b>			
<i>Corycaeus agilis</i> Dana	0-4	0-2	0-2
<i>C. asiaticus</i> F. Dahl	0-1	0	0-1
<i>C. catus</i> F. Dahl	0-5	0-4	1-9
<i>C. crassiusculus</i> Dana	0-3	0-1	0-3
<i>C. flaccus</i> Giesbrecht	0	0-1	0-2
<i>C. longistylis</i> Dana	0-1	0-1	0-4
<i>C. speciosus</i> Dana	0-2	0-2	0-10
<i>Corycaeus</i> sp.1	0-1	0-1	0-2
<i>Corycaeus</i> sp.2	0	0	0-1
<i>Corycaeus</i> sp.3	0	0	0-1
<i>Corycaeus</i> sp.4	0	0-2	0-1
<i>Corycaeus</i> sp.5	0-1	0-1	0-1
<i>Corycaeus</i> sp.6	0	0	0-1
<i>Corycaeus</i> sp.7	0-1	0	0
<i>Farranula gibbulus</i> Giesbrecht	0-2	0-2	0-2
<i>Farranula</i> sp.	0-4	0-1	0-2
<b>Family Lubbockiidae</b>			
<i>Lubbockia squillimana</i> Claus	0	0	0-1
<b>Family Oncaeidae</b>			
<i>Oncaea confifera</i> Giesbrecht	0-13	1-11	0-18
<i>O. venusta</i> Philippi	1-8	1-24	5-46
<b>Family Sapphirinidae</b>			
<i>Copilia mirabilis</i> Dana	0-6	0-3	0-5
<i>C. quadrata</i> Dana	0-2	0-1	0-2
<i>C. vitrea</i> (Haeckel)	0	0-1	0
<i>Sapphirina gastrica</i> Giesbrecht	0-1	0	0
<i>S. metallina</i> Dana	0	0-1	0-2
<i>S. nigromaculata</i> Claus	0-1	0	0-2

\* 0 = not found

**Table 1** (Cont.)

Taxa/Species	Abundance values		
	Area A	Area B	Area C
<i>S. opalina</i> Dana	0	0	0-1
<i>S. stellata</i> Giesbrecht	0	0-1	0-1
<i>Sapphirina</i> sp.1	0-1	0	0
<i>Sapphirina</i> sp.2	0	0	0-1
<i>Sapphirina</i> sp.3	0	0	0-1
<i>Sapphirina</i> sp.4	0-1	0	0
<i>Sapphirina</i> copepodid	0-2	0-2	0-1
<b>Class Ostracoda</b>			
<b>Family Cypridinidae</b>			
<i>Cypridina</i> spp.	0-18	0-49	0
<b>Family Halocypridae</b>			
<i>Euconchoecia</i> spp.	1-15	4-67	1-13
<b>Class Malacostraca</b>			
<b>Superorder Peracarida</b>			
<b>Order Amphipoda</b>			
<b>Suborder Hyperiidea</b>			
<b>Family Vibiliidae</b>			
<i>Vibilia australis</i> Stebbing	0	0-1	0
<i>V. propinqua</i> Stebbing	0-1	0	0
<i>Vibilia</i> spp.	0	0	0-1
<b>Family Hyperiidae</b>			
<i>Hyperia macrocephala</i> (Dana)	0-1	0	0-1
<i>Phronimopsis</i> sp.	0	0-1	0
<i>Lestrigonus bengalensis</i> Giles	0-1	0	0-1
<i>L. macrophthalanus</i> (Vosseler)	0-3	0-6	0-2
<b>Family Phronimidae</b>			
<i>Phronima colletti</i> Bovallius	0	0-1	0
<i>Phronimella elongata</i> Claus	0	0-2	0
<b>Family Oxycephalidae</b>			
<i>Calamorrhynchus pellucidus</i> Streets	0	0-1	0
<b>Order Mysidacea</b>			
Unidentified mysids	0	1-2	0-1
<b>Order Euphausiacea</b>			
Euphausiid larvae	0-5	0-3	0-7
Euphausiid calyptopis	0-1	0-1	0
Euphausiid Adult	0-4	0-5	0-5

\* 0 = not found

**Table 1** (Cont.)

Taxa/Species	Abundance values		
	Area A	Area B	Area C
<b>Family Euphausiidae</b>			
<i>Stylocheiron</i> sp.	0	0-1	0
<b>Order Stomatopoda</b>			
Erichthus larvae	0	0	0-1
Alima larvae	0-1	0-3	0-1
<b>Order Decapoda</b>			
<b>Suborder Dendrobranchiata</b>			
<b>Family Penaeidea</b>			
Penaeid larvae	0-1	0-1	0
Penaeid mysis	0	0	0-1
<b>Family Luciferidae</b>			
<i>Lucifer</i> protozoa	0-3	0-9	0-3
<i>Lucifer</i> mysis	0-1	0-1	0-2
<i>Lucifer typus</i> H.M. Edwards	0	0-1	0
<i>Lucifer</i> spp.	0-1	0-3	0-5
<b>Suborder Pleocyemata</b>			
<b>Infraorder Caridea</b>			
Caridean larvae	0-1	0	0
<b>Infraorder Palinura</b>			
Phyllosoma larvae	0-1	0-1	0
<b>Infraorder Anomura</b>			
Anomuran larvae	0-1	0	0
<b>Infraorder Palinuridea</b>			
Porcellanid larvae	0	0	0-1
<b>Infraorder Brachyura</b>			
Brachyuran zoea	0	0-1	0-2
Brachyuran megalopa	0	0-1	0-1
unidentified decapod larvae	0-2	0	0
<b>PHYLUM CHAETOGNATHA (arrow worms)</b>			
<b>Class Sagittodidae</b>			
<b>Subclass Chorismogonata</b>			
<b>Order Aphragmophora</b>			
<b>Family Sagittidae</b>			
<i>Sagitta bedoti</i> Beraneck	0-2	0	0-3
<i>S. enflata</i> Grasse	0-9	0-18	0-11
<i>S. ferox</i> Doncaster	0	0-1	0-2

\* 0 = not found



**Table 1** (Cont.)

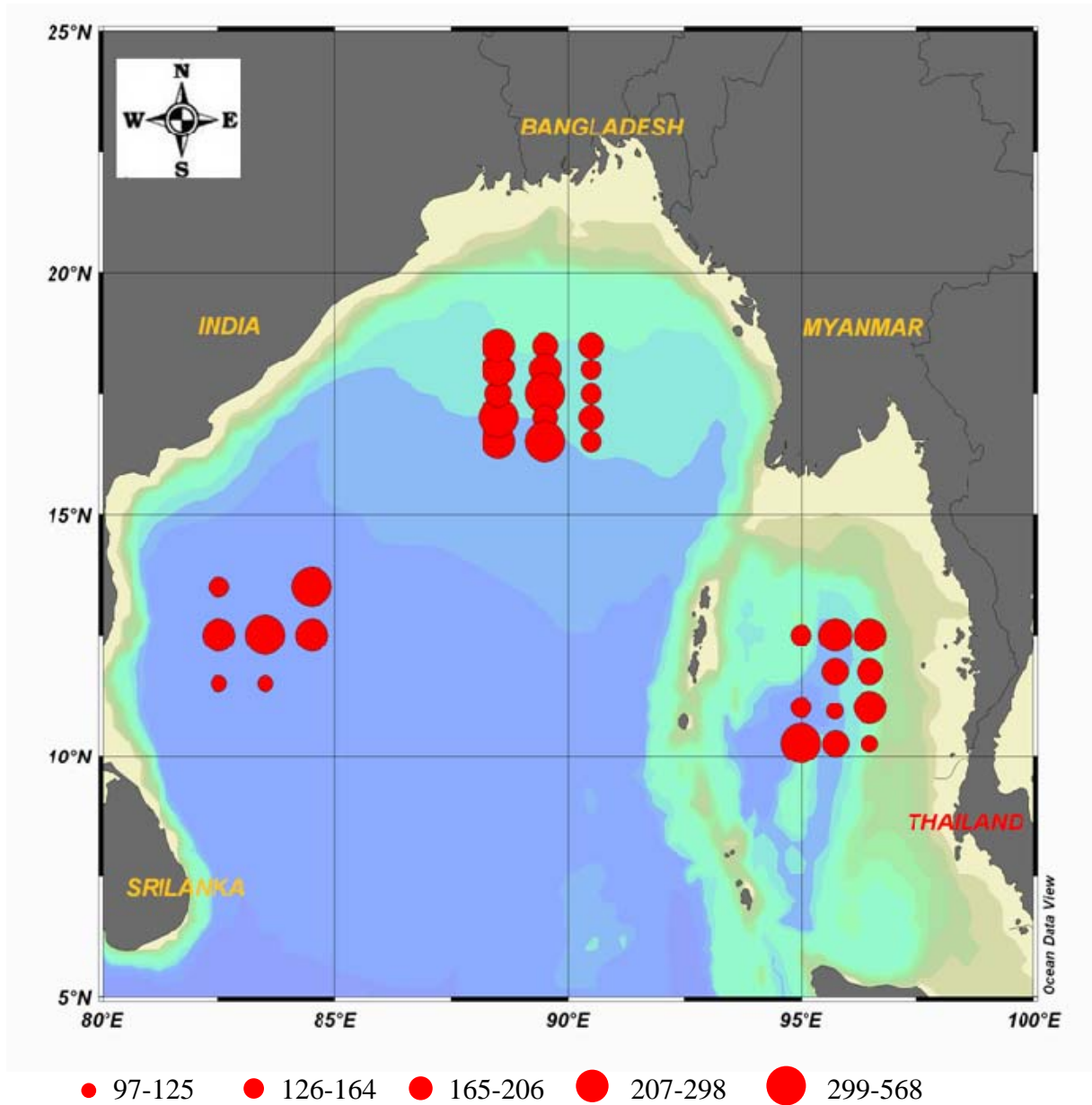
Taxa/Species	Abundance values		
	Area A	Area B	Area C
<i>S. hexaptera</i> d'Orbigny	0-5	0-3	0-2
<i>S. hispida</i> Conant	0-1	0	0-1
<i>S. minima</i> Grassi	0-1	0-6	0-1
<i>S. neglecta</i> Aida	0-7	0-13	0-2
<i>S. pacifica</i> (Tokioka)	0-2	0-4	0-1
<i>S. robusta</i> Doncaster	0	0-1	0
<i>Sagitta</i> spp.	8-24	0-39	1-21
<b>PHYLUM ECTOPROCTA (bryozoans)</b>			
Cyphonautes larvae	0-1	0	0-1
<b>PHYLUM ECHINODERMATA (echinoderms)</b>			
<b>Class Asteroidea</b>			
Bipinnaria larvae	0	0	0-5
<b>Class Holothuroidea</b>			
Auricularia larvae	0	0	0-1
<b>Class Echinoidea</b>			
Echinopluteus larvae	0-1	0	0-1
<b>Class Ophiuroidea</b>			
Ophiopluteus larvae	0-1	0	0-1
<b>PHYLUM CHORDATA (chordates)</b>			
<b>SUBPHYLUM UROCHORDATA</b>			
<b>Class Larvacea</b>			
<b>Family Oikopleuridae</b>			
<i>Oikopleura fusiformis</i> Fol	1-39	0-12	0-6
<i>O. longicauda</i> Vogt	0-35	2-22	0-11
<i>O.intermedia</i> Lohman	0-1	0-2	0-3
<i>Oikopleura</i> spp.	0-2	0-3	0-7
<b>Class Thaliacea</b>			
<b>Order Salpida</b>			
<b>Family Salpidae</b>			
<i>Pegea</i> spp.	0-2	0-1	0
<i>Salpa</i> spp.	0-3	0-1	0-4
<i>Thalia</i> spp.	0-2	0-1	0-2
<b>Order Doliolida</b>			
<b>Family Doliolidae</b>			
<i>Doliolum</i> spp.	1-7	0-3	1-4

\* 0 = not found

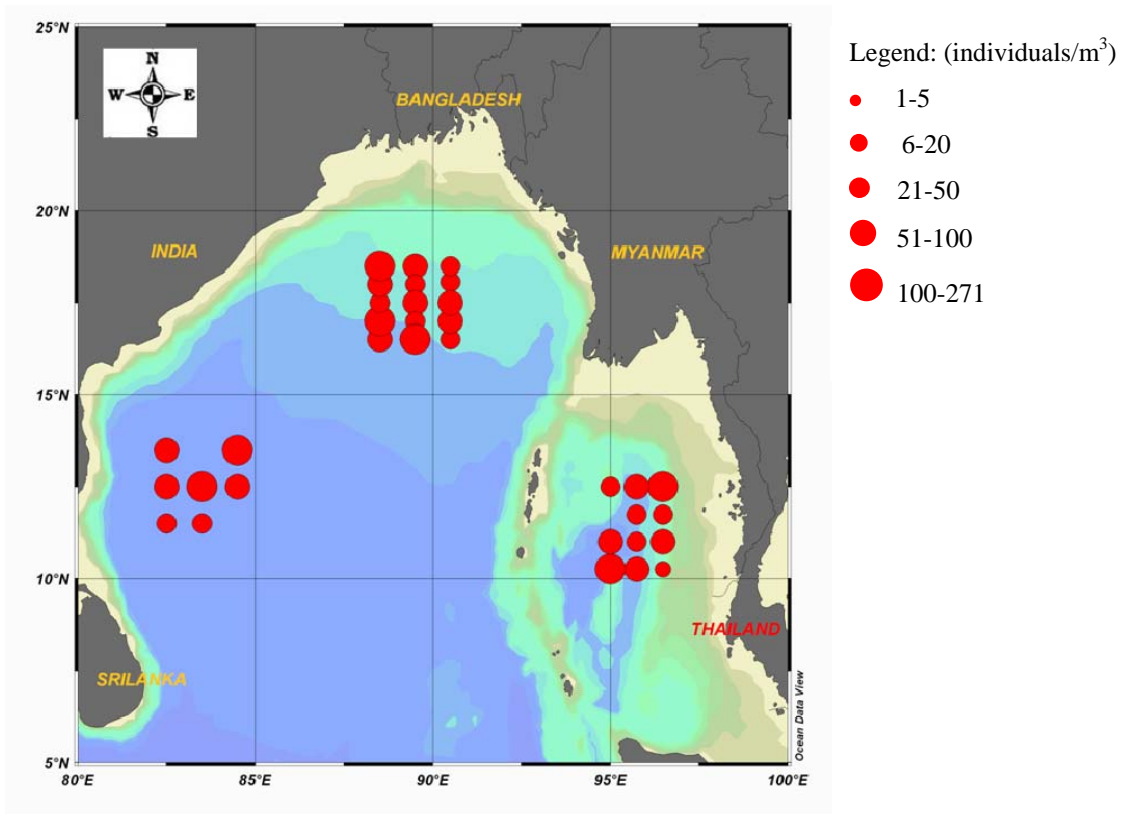
**Table 1** (Cont.)

Taxa/Species	Abundance values		
	Area A	Area B	Area C
<b>Class Pisces</b>			
Fish eggs	0	0-1	0
Fish larvae	0-2	0-1	0
<b>SUBPHYLUM CEPHALOCHORDATA</b>			
<i>Amphioxides</i> sp.	0-4	0-2	0
<b>Total of zooplankton</b>	154-568	97-477	100-451

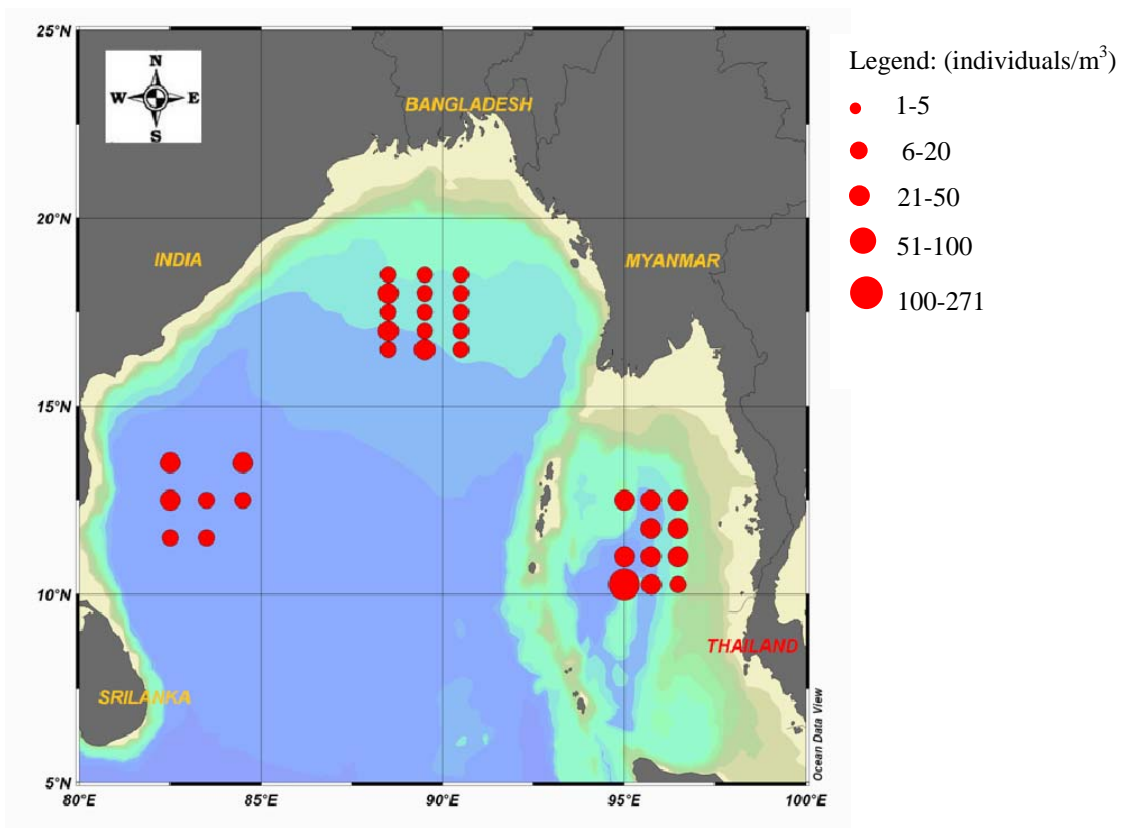
\* 0 = not found



**Figure 2** Distribution and abundance of total zooplankton (individuals/m<sup>3</sup>) in the Bay of Bengal



**Figure 3** Distribution and abundance of calanoid copepods.



**Figure 4** Distribution and abundance of poecilostomatoid copepods.

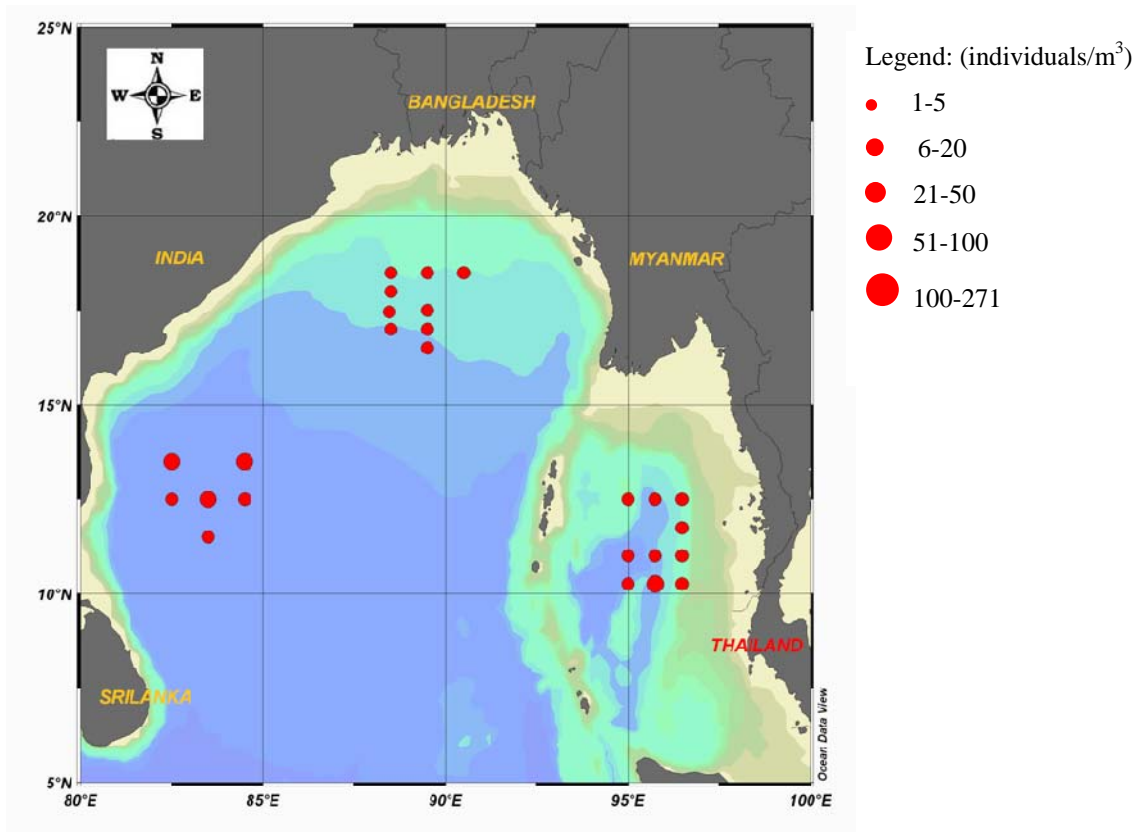


Figure 5 Distribution and abundance of planktonic shrimps.

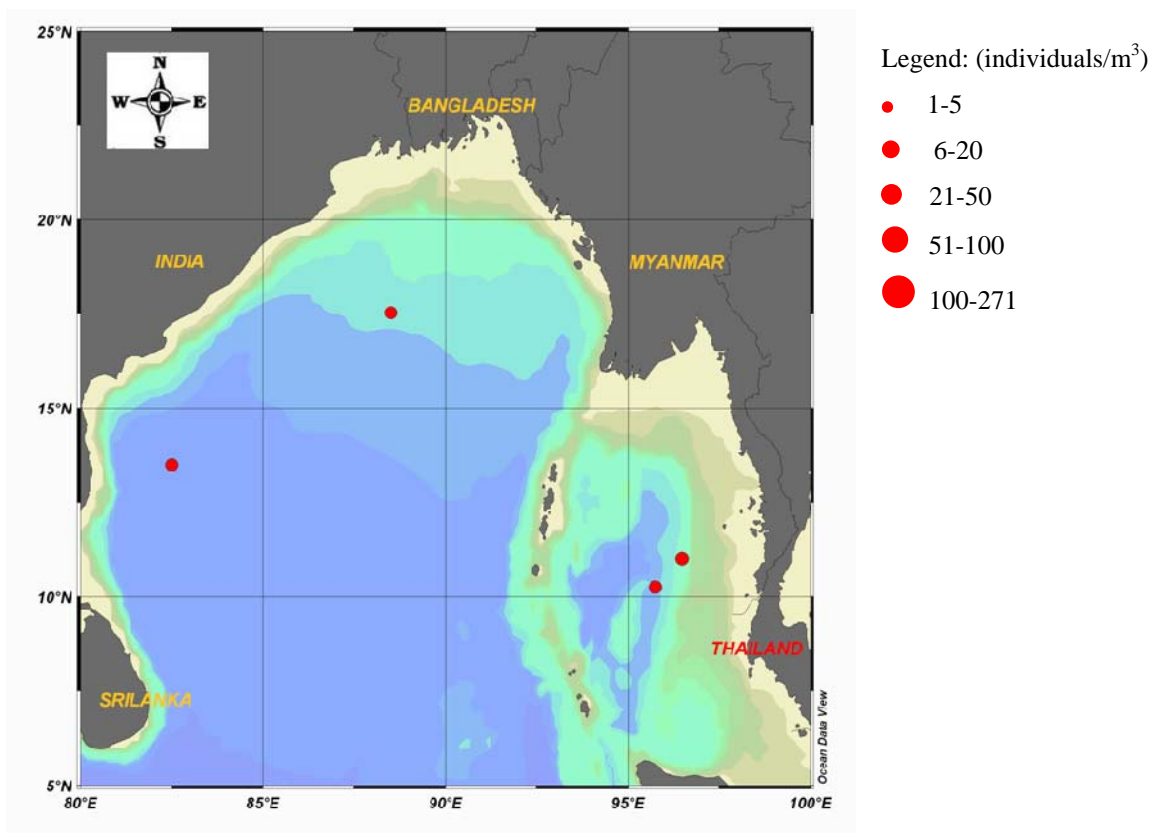
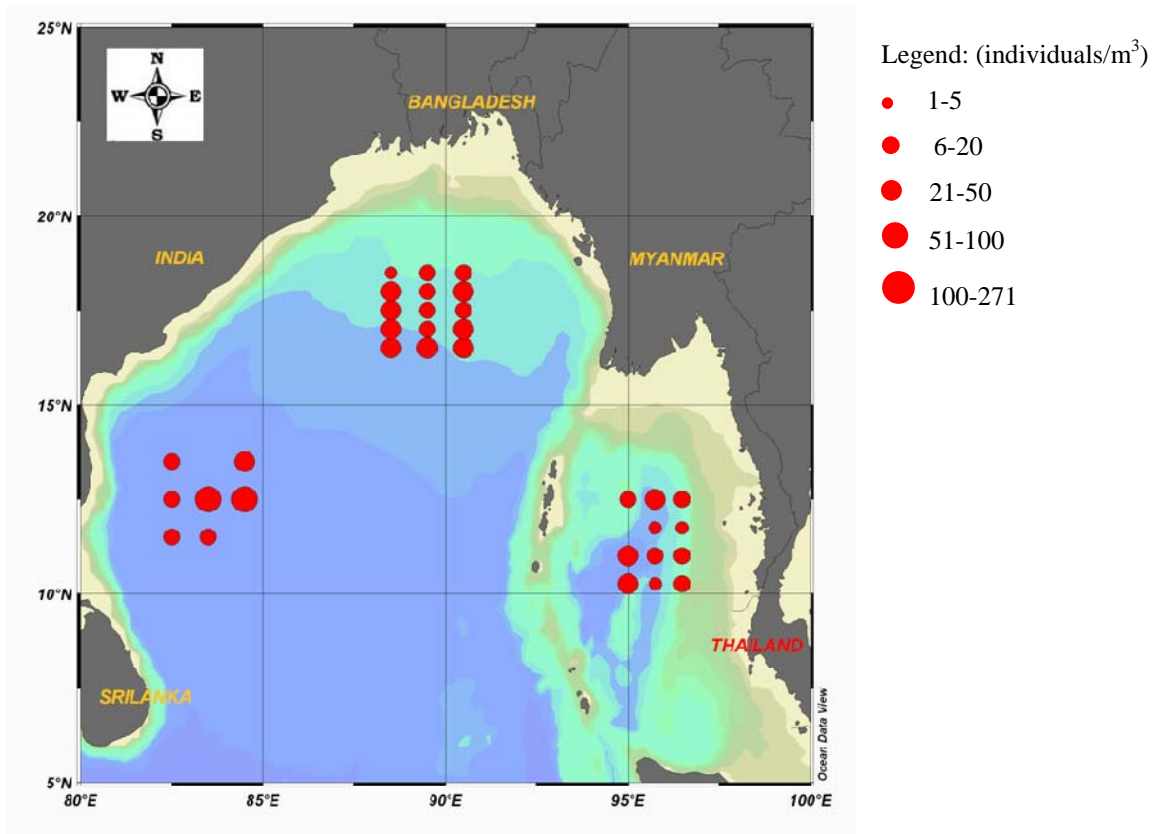
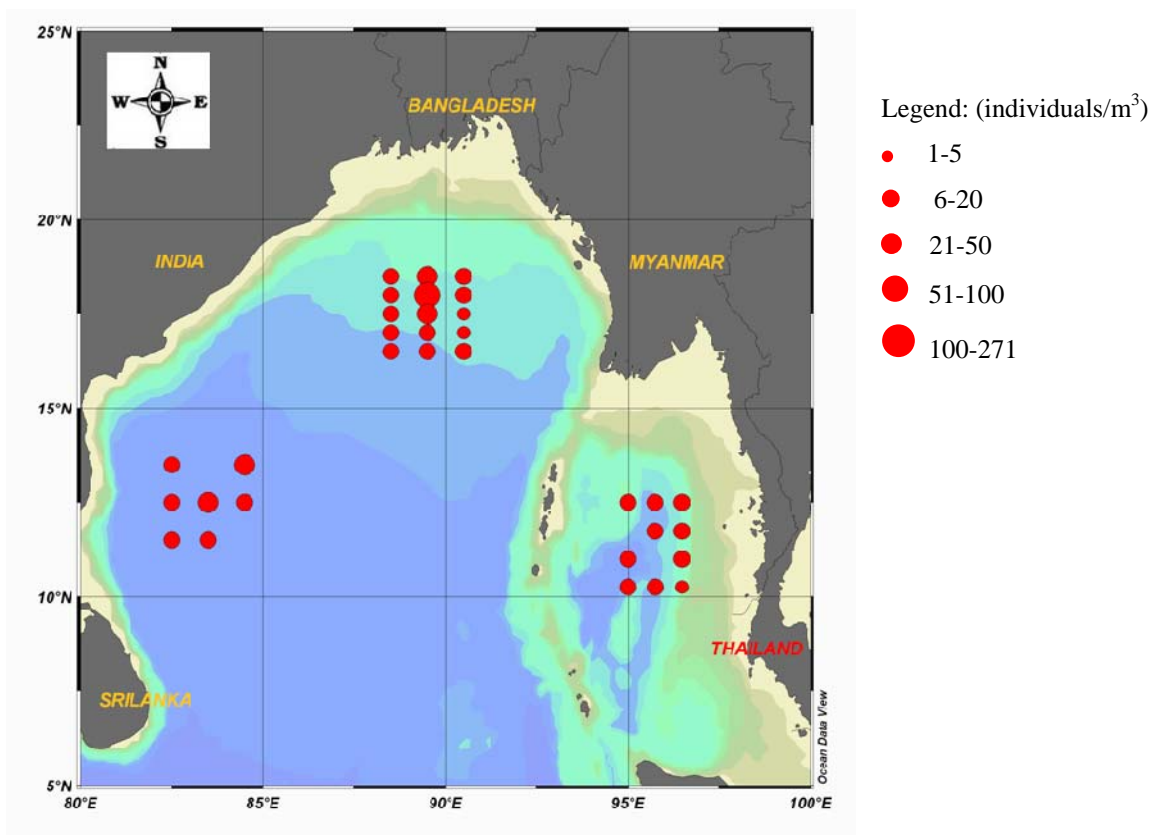


Figure 6 Distribution and abundance of crab larvae.



**Figure 7** Distribution and abundance of arrow worms.



**Figure 8** Distribution and abundance of larvaceans.

## Discussion

Copepods are the most important group of zooplankton in the Bay of Bengal both in species number and abundance. It also formed the bulk of the zooplankton in other areas such as along the coast of Pakistan (Hag *et al.*, 1973) the Red sea, the Persian Gulf and Arabian Sea (Kimor, 1973). The density of copepods was high, particularly in the northern part of the Bay during the SW monsoon, April to October, which was in consistence with the observation of Rao (1973).

Based on the ecological role of copepods reported by Sewell (1947), and Vinogradov and Vorovina (1962), out of 72 species of copepods founding this study, the most abundant copepods were epipelagic and mesopelagic species (copepod that live between surface to above 500 m depth). The bathypelagic species, on the other hand, are relatively few and largely dominated by *Lucicutia flavicornis*, *Clausocalanus arcuicornis*, and *Scolecithricella longispinosa*. Other species that were rarely distributed included *Eugaugaptilus* sp., *Heterorhabdus papilliger*, *Pleuromamma robusta* and *Pareucalanus sewelli*.

Protozoans comprise of free-floating sarcodines (foraminiferans and radiolarians) and ciliates (tintinnids). Its abundance was next to copepods. Tintinnids are lorica-building, planktonic oligotrichid ciliates ranging in size from 20 to 200  $\mu\text{m}$ . They constitute a major component of the microzooplankton in most marine environments (Beers and Stewart, 1967, Alder, 1973). They were collected in low to very low number due to the mesh size (330  $\mu\text{m}$ ) used for collection.

The collection includes 10 species of arrow worms. *Sagitta enflata* was the dominant species constituting 44.21% of the total arrow worm which corresponded with Nair (1977) and Nair *et al.* (2000) who described *Sagitta enflata* as being the dominant species in the Indian Ocean. Important species were *S. hexaptera*, *S. neglecta*, *S. minima* and *S. pacifica*. Among the different species of chaetognaths encountered in the present study, *Sagitta enflata* and *S. hexaptera* are cosmopolitan species of the Atlantic, Indian and Pacific Oceans (Nair *et al.*, 1981), while the remaining species were characteristic of Indo-Pacific region.

Oikopleura is an important genus of larvaceans in the Bay of Bengal. According to Fenaux (1973), Oikopleura was the most abundant and frequent encountered in the Red Sea and the Persian Gulf: *Oikopleura longicauda* and *O. fusiformis* were widely distributed with high density. Both species were common during March-April period and October-November in the western part of the Bay of Bengal. Bhavanarayana and Ganapati, 1972. High abundances of larvaceans was recorded at station 19 in area A with the maximum number of 74 individuals/ $\text{m}^3$ .

Cnidarians in the Bay of Bengal comprise of thirty-two species of hydromedusae and siphonophores, but they are quite low in numerical abundance. Siphonophores were commonly distributed at all stations, but most hydromedusae were rarely distributed and very low in number. *Chelophyes contorta*, *Bassia bassensia* and *Enneagonum hyalinum* were common species of siphonophores. They were also reported elsewhere in the western part of the Bay of Bengal (Nair *et al.*, 1981). *Aglaura hemistoma* and *Liriope tetraphylla* were the dominant species of hydromedusae in this study. Vannucci and Navas (1973) reported *Aglaura hemistoma* and *Liriope tetraphylla* were two predominated species in the Indian Ocean. Their abundance in the collection was affected by the geographic distribution of the sampling sites, mostly oceanic and far from land. High abundances of cnidarians were found in area A (northern part of the Bay of Bengal) as other areas (along the south west coast of India, Arabian coast, northern part of the Bay of Bengal, Thailand coast) (Rao, 1973).

Ostracods were fairly abundant in the Bay of Bengal. Only species of *Cypridina* and *Euconchoecia* were found in the area. *Cypridina dentata* and *Euconchoecia aculeate*

found in neritic as well as oceanic waters. George and Nair (1980) *Euconchoecia* spp. was dominant at most stations in the Bay of Bengal (Nair *et al.*, 1981) but they always presented in low number in this study. High abundances (116 individuals/m<sup>3</sup>) of ostracod was observed at station 29 in area B (western part of the Bay of Bengal).

Thaliaceans in the Bay of Bengal comprise of Salps (Pegea, Salpa and Thalia) and only one doliolid genus (*Doliolum*). *Doliolum* spp. were commonly distributed at all areas. According to Bhavanarayana and Ganapati (1972), they were common during March-April period and October-November in the western part of the Bay of Bengal. Salps were scarcely distributed in this study.

Regarding planktonic shrimps (included larval stages of Penaeid, Caridean and Palinuran shrimps and Lucifer), they were very rarely distributed with low values. Larval stages of euphausiids were commonly distributed but in very low number. Only adult stages of *Stylocheiron* was found in area B (western part of the Bay of Bengal). *Stylocheiron insulare*, a coastal species, recurred in the Andaman Sea and south of Java. (Brinton and Gopolakrishnan, 1973). In the case of polychaetes, there were both planktonic forms and larval forms (meroplankton). Larval forms were widely distributed with low number but planktonic forms occurrence were rarely distributed. Mollusks presented in the area included gastropod larvae, planktonic mollusks and bivalved larvae. *Atlanta* and *Creseis* were common in the Bay of Bengal with low number. Planktonic mollusks and bivalved larvae were sparse in this study. *Lestrigonus macrooenthalanus* was the dominant species of hyperiid in the Bay of Bengal. Most hyperiids were rarely distributed in small numbers. Fairly high concentration of amphipods were noted towards the northern part of the Bay of Bengal (Nair *et al.*, 1981). But the abundance of hyperiids of area B (western part of the Bay of Bengal) was higher than those of other areas. Echinoderm larvae, mysids, crab larvae, stomatopod larvae, decapod larva, bryozoans and cephalochordates yielded low abundance in all samples examined. Their detailed results will be published elsewhere.

### Acknowledgements

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## Composition, Abundance and Distribution of Fish Larvae in the Bay of Bengal

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### Abstract

The study on composition, abundance, and distribution of fish larvae was conducted in the Bay of Bengal (BOB) with the aim to get more scientific data for fishery management in this area. Fish larvae samples were collected in the northern (area A), western (area B) and eastern part (area C) of the BOB during the period of 25 October to 6 December, 2007. Fifty-two families of fish larvae were identified of which Photichthyidae was the most abundance. Fifty one families could be found in area C while only nineteen and eighteen families were observed in area B and A. The highest average density of total fish larvae, 485 larvae/1,000 m<sup>3</sup>, was also obtained in area C. A total of twenty-four economic important fish larvae families were found in the studied areas. Almost all economic families were presented in area C while 8 and 7 families were found in area B and A. These results suggested that the Andaman Sea or area C was the richest diversity and most abundant of fish larvae in this study. Regarding to tuna larvae, a small number were recorded during this survey period. Six species of tuna larvae were found in area C, 3 species in area A and 2 species in area B. The relationships between environmental parameters and fish larvae abundance have not been analyzed statistically due to the small sample sizes in this study. However, the spatial changes in temperature indicating that at 75 m depth of three study areas the temperature was obviously fluctuated whereas at the surface and 150 m depth a slightly change was observed. As for the changes in salinity, the results showed the halocline layer of area C was deeper than those of area A and B indicating that these areas were influenced by the river runoff of which the huge nutrients were discharged. Although, this study provided some information about abundance and distribution of fish larvae in the BOB but there are still not enough to understand the clear pattern of fish larvae abundance and distribution of the whole area. The further study on temporal and spatial distribution of fish larvae in relation to oceanographic parameters was also recommended.

**Key words:** composition, abundance, distribution, fish larvae, Bay of Bengal

### Introduction

The Bay of Bengal (BOB) is one of the large marine ecosystem of the world ocean that lacks of large scale seasonal upwelling and defined as moderately productive ecosystem (Madhupratap *et al.*, 2003). BOB is land locked ocean in the north and influenced by seasonally reversing monsoon winds. Shankar *et al.* (2002) reported that the low sea surface salinities, particularly in the northern region of BOB were a result of the heavy

monsoonal precipitation. The large freshwater are from the Ganga, Brahmaputra and Irrawaddy rivers (UNESCO, 1988). This excess water fluxes is a significant source of freshwater to BOB. The large riverine outflows generates highly stable stratification in the upper layers of the northern BOB and forms a strong “barrier layer” to the re-supply of nutrients from deeper waters during summer monsoon throughout the post-summer periods. The barrier layer in conjunction with hydrographic characteristics will have a profound influence on the biological productivity (Sprintall and Tomczak 1992, Vinayachandran *et al.*, 2002)

Generally, BOB is considered to have a lower biological productivity than its western counterpart, the Arabian Sea. Although the rivers may bring nutrients, these are though to be removed in the deeper waters because of the narrow shelf (Qasim, 1970). Most previous biological studies in BOB focused mainly on the seasonal variation in primary production and on the composition and abundance of mesozooplankton (Nair *et al.*, 1981; Achuthankutty *et al.*, 1980; Madhupratap *et al.*, 2003) but little is known about fish larvae abundance and its composition.

In this present work, the study of larvae is a part of the biological oceanographic survey incorporated in the project Ecosystem-Based Fishery Management in the Bay of Bengal (BOB) which is a collaborative survey project among member countries of BIMSTEC (Bangladesh, India, Myanmar, Sri Lanka, Nepal and Thailand) aimed for fishery management. The understanding of abundance and distribution of fish larvae in conjunction with ecological conditions could fill up the gap in the study of fish life history and be considered as an important information for fishery management. Generally, the larval stage is most vulnerable to ecological changes, any fluctuation either quality or quantity of the ecological conditions will be harmful to larval lives and may probably indicate the onward potential of recruitment (Leis and Rennis, 1983). Although, there was some information of fish larvae in some coastal areas of the Indian Ocean but little is known about the distribution and abundance of fish larvae in offshore areas of the BOB.

To provide more information of fish larvae for fishery management, the study on abundance and distribution of fish larvae in the eastern, northern and western part of the BOB was conducted. The results may be served as the basic information to evaluate the existing of fish stocks and may also be served as the preliminary information for the future investigations in relation to environmental parameters.

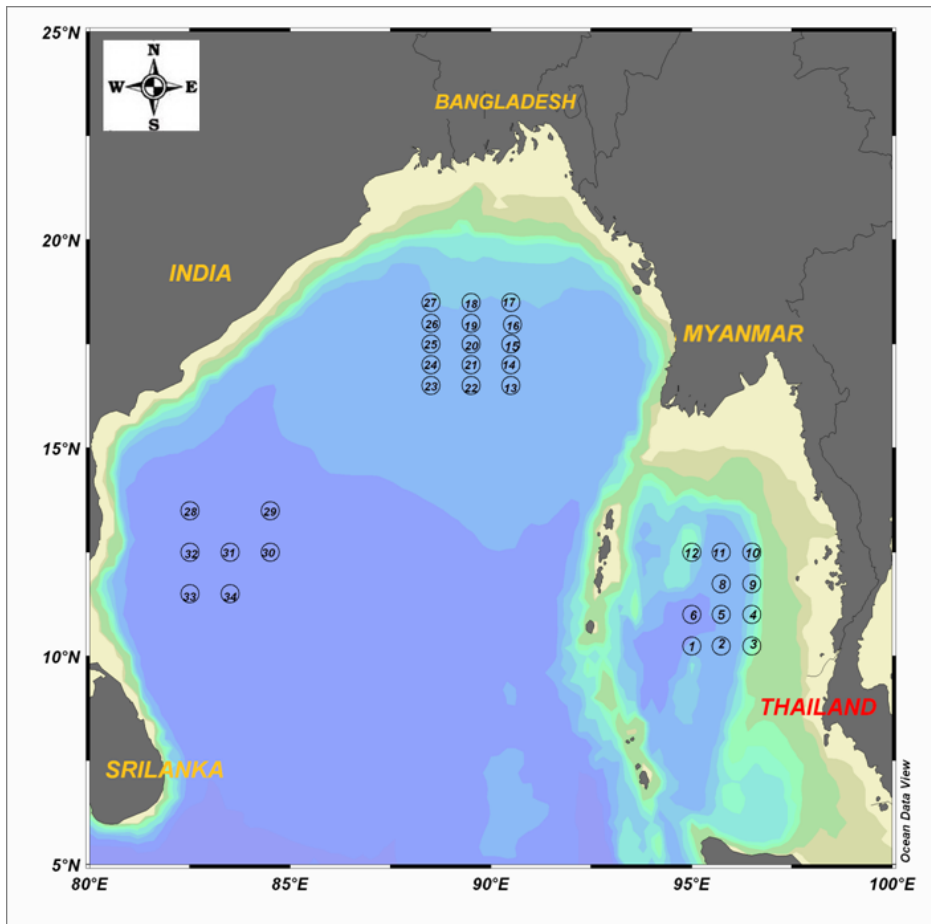
## Objectives

1. To identify fish larvae composition.
2. To determine abundance and distribution of the total fish larvae and the top five most abundant families.
3. To determine abundance and distribution of tuna larvae in the Bay of Bengal.
4. To compare fish larvae assemblages by stations.

## Materials and Methods

### 1. Study Area

The survey area (Fig. 1) included the upper part of the Bay of Bengal (area A, station 13-27); the western part (area B, station 28-34) and the Andaman Sea (area C, station 1-12). The project survey was conducted by the fishery research vessel M.V. SEAFDEC during 25 October-6 December 2007.



**Figure 1** Station for fish larval sampling in the Bay of Bengal.

### 2. Sampling Procedures

2.1 Fish larvae were collected by bongo net 45 cm in diameter with mesh size 500 micrometer at the mouth and 330 micrometer at the cod end. A flow meter was attached to the mouth of net to determine the volume of sea water filtered during each tow. The sampling period was about 30 minutes with oblique tow at ship speed of 2 knots. The sampling depth was from 150 m to the surface. Collected specimens were preserved in 10% formalin sea water buffered with borax. Each of fish larvae was later sorted out from zooplankton and transferred to 4% formalin sea water solution. Fish larvae samples were standardized to numbers caught per 1,000 m<sup>3</sup> of sea water volume filtered. The details of operations are shown in Appendix 1.

2.2 Environmental factors (temperature, salinity) at 3 levels (surface water, 75 m and 150 m) in each station were measured by CTD at the same time as fish larvae were collected.

### 3. Laboratory Method

Fish larvae were identified under stereo microscope to family level by using the descriptions of related taxa given in Leis and Rennis (1983), Ozawa (1986), Nishikawa and Rimmer (1987), Matsumoto (1958), Matsumoto (1972), Leis and Carson-Edwart (2000). Unidentified larvae were placed in “unknown” category due to the samples were too small to identify and damaged larvae were placed in “incomplete” category.

### 4. Data Analysis

The number of total fish larvae and the top five most abundant families which were standardized to number caught per 1,000 m<sup>3</sup> of seawater volume filtered were mapped for spatial distribution.

Determination of the Constancy of Occurrence was based on the ecological index proposed by Dajoz (1983) cited by Schifino *et. al.*, 2004:

$$C = P/Q \times 100$$

Where: C = Constancy of Occurrence of the family (%)  
P = Number of samples where the family occurred  
Q = Total number of samples

The families were then divided into three categories:

Constants (when  $C > 50\%$ )  
Accessories (when  $25\% \leq C \leq 50\%$ )  
Accidental (when  $C < 25\%$ )

Determination for the type of fish larvae which grouped into 5 categories based on the adult habitat (Smith and Heemstra, 1986).

Group 1: fresh water fish	Group 2: neritic fish
Group 3: inshore-reef fish	Group 4: shallow to oceanic fish
Group 5: oceanic fish	

For comparing the community structures of fish larvae by station, a cluster analysis was used as shown in the form of a dendrogram. The analysis used a squared Euclidean distance as a measurement of proximity and followed an unweighted pair group method-arithmetic average for linkage as described by Pielou (1984). The software used for cluster analysis was Statistica for Windows 6.0 version (Statsoft, Inc. 1984-2001).

## Results

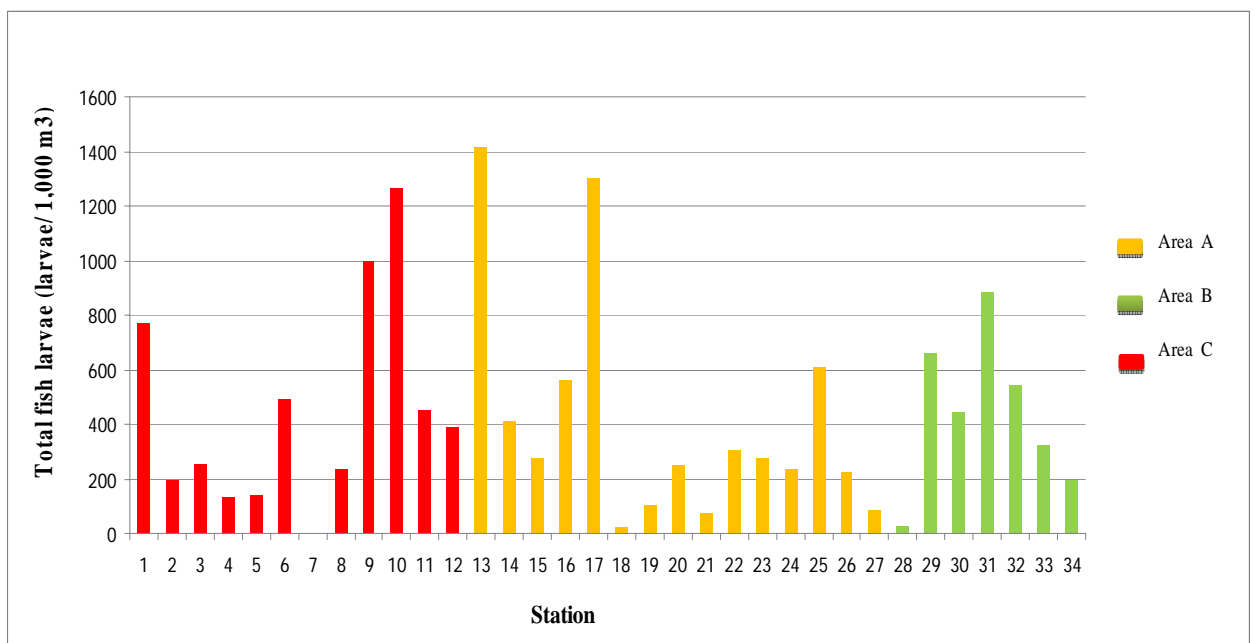
### 1. Composition, Abundance and Distribution of Fish Larvae in the Bay of Bengal and Top 5 Families in 3 areas.

#### In the Bay of Bengal

A total of 14,584 specimens of fish larvae consisted of 52 families were found in the study areas. Area C was the richest fish larvae diversity and also the highest average number per station (Table 1 and Fig. 2 and 3). Area B and A were the second and third ranks, respectively. The spatial pattern variation of total fish larvae in the BOB were shown in Figs. 2 and 3.

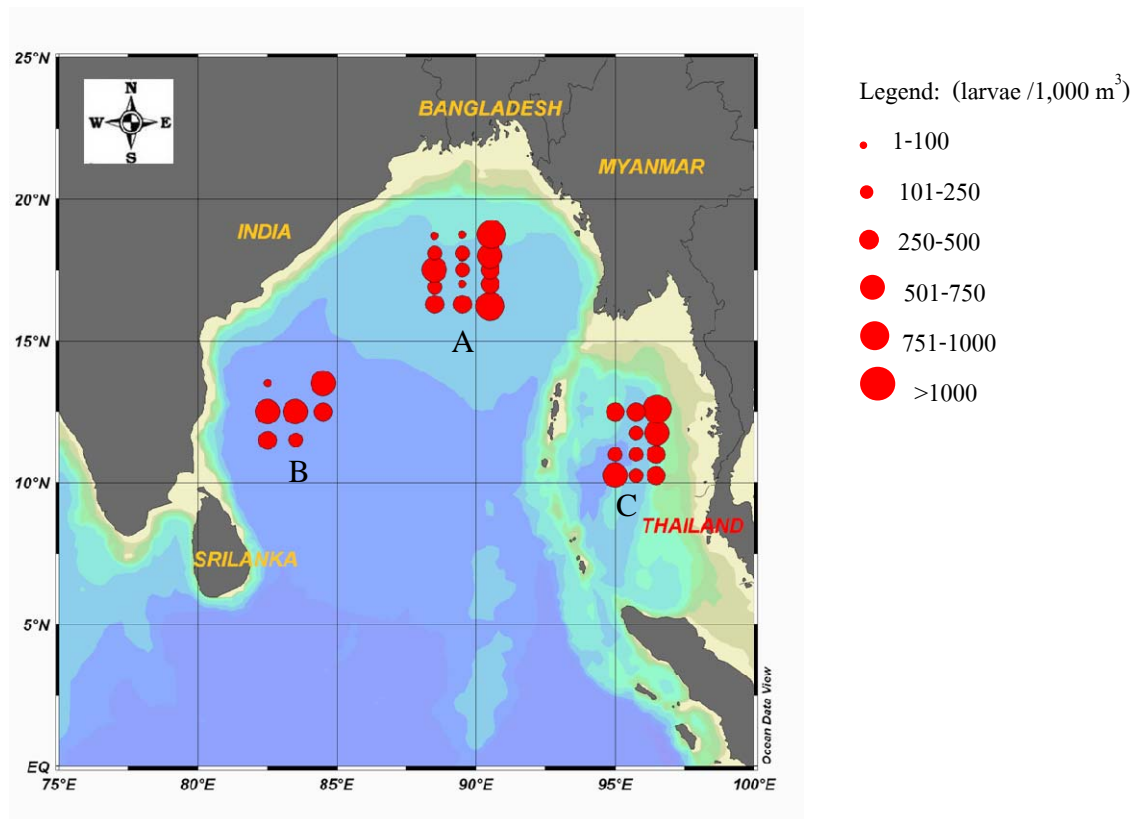
Twenty-four economic important families of fish larvae were identified in these study areas and all of them found in area C. Only 8 and 7 economic important families were found in area B and A respectively (Table 1).

Twelve families of fish larvae (Photichthyidae, Myctophidae, Bregmacerotidae, Carangidae, Labridae, Callionymidae, Gobiidae, Sphyraenidae, Gempylidae, Scombridae, Bothidae, Cynoglossidae) were obtained in all 3 areas.



**Figure 2** Spatial pattern variation in abundance of total fish larvae in 3 areas.





**Figure 3** Distribution and abundance of total fish larvae in the Bay of Bengal.

## 1.1 Area A

### 1.1.1 Total Fish Larvae

6,170 specimens were collected in this area. They belonged to 18 families of which 7 families were the economic important group. These were Hemirhamphidae, Carangidae, Sphyraenidae, Gempylidae, Scombridae, Bothidae and Cynoglossidae. All of them contributed about 5.64% to the total fish larvae. Among them, the most dominant family was Carangidae followed by Scombridae and Gempylidae. As shown in Table 1 and Appendix 2, the average number per station of fish larvae in area A was 411 larvae/1,000 m<sup>3</sup>. The highest abundance was observed at station 13 and the lowest was at station 18. The fish larvae in station 13-17 near Myanmar waters, were more abundant and amounted to 56 % of the total fish larvae with mean density of 794 larvae/1,000m<sup>3</sup>. This high percentage of fish larvae was composed of top 5 dominant families which were Photichthyidae, Bregmacerotidae, Myctophidae, Callionymidae and Carangidae (Tables 1 and 2; Appendix 2).

Based on the constancy of occurrence, 5 families of Photichthyidae, Myctophidae, Bregmacerotidae, Carangidae and Callionymidae were considered as constant families of which only Carangidae was the economic important family. Four accessory families were Paralepididae, Gobiidae, Gempylidae and Scombridae of which 2 families were economic importance. The rest was 9 accidental families of which 4 economic important families were included (Table 2).

Referring to the category of the adult's habitats, 6 families (Labridae, Callionymidae Gobiidae, Bothidae, Cynoglossidae and Ostraciidae) were inshore-reef fish and 6 families ( Photichthyidae, Stomiidae, Myctophidae Gempylidae, Exocoetidae and Paralepididae) represented oceanic fish (Table 3).

**Table 1** Total number of fish larvae in the Bay of Bengal.

Total number of fish larvae (larvae /1,000 m <sup>3</sup> )			
Family	Area A	Area B	Area C
Ophichthyidae	14		42
Engraulidae*			3
Gonostomatidae		60	255
Photichthyidae	3,310	830	316
Stomiidae	7	7	42
Chlorophthalmidae			16
Scopelarchidae		3	17
Synodontidae*		6	44
Paralepididae	27		165
Evermannellidae		3	9
Myctophidae	483	1,109	2,348
Carapidae			6
Ophidiidae			9
Exocoetidae	7		
Bregmacerotidae	1,530	849	431
Ceratiidae			5
Hemirhamphidae*	11		96
Holocentridae			4
Scorpaenidae			44
Liparidae			8
Acropomatidae*			3
Serranidae*			34
Priacanthidae*			68
Apogonidae		12	89
Coryphaenidae*			13
Carangidae*	231	53	59
Menidae*			3
Bramidae*			29
Lutjanidae*			35
Gerreidae*			3
Lethrinidae*			4
Nemipteridae*			3
Mullidae*			3
Teraponidae*			3
Labridae	6	11	36
Champsodontidae*			35
Ammodytidae			6
Blenniidae			10
Callionymidae	325	24	242
Gobiidae	27	16	101
Schindleriidae			3
Sphyraenidae*	3	6	6
Gempylidae*	38	7	41
Trichiuridae*		3	3
Scombridae*	42	3	25
Bothidae*	13	33	178
Pleuronectidae			3
Cynoglossidae*	18	19	33
Triacanthidae			6
Balistidae*			6
Ostraciidae	3		8
Tetraodontidae			6
Unknown	24	23	118
Incomplete	59	32	255
Total fish larvae	6170	3093	5321
Average mean	411	445	485

\* Economic fish

**Table 2** Number of fish larvae (larvae/1,000 m<sup>3</sup>) in the upper part of the Bay of Bengal (area A).

Family	Total number of larvae	Mean number of larvae	SD	Percentage of total catch	Rank	Frequency of Occurrence (%)	Classification according to Constance of Occurrence		
							(1)	(2)	(3)
Ophichthyidae	14	0.92	0.58	0.22	10	20.00			x
Photichthyidae	3310	220.67	117.81	53.66	1	93.33	x		
Stomiidae	7	0.46	-	0.11	14	6.67			x
Paralepididae	27	1.81	2.45	0.44	8	26.67		x	
Myctophidae	483	32.17	11.61	7.82	3	86.67	x		
Bregmacerotidae	1530	101.99	57.80	24.80	2	93.33	x		
Exocoetidae	7	0.44	0.71	0.11	14	13.33			x
Hemirhamphidae	11	0.76	2.12	0.19	12	13.33			x
Carangidae	231	15.38	4.54	3.74	5	80.00	x		
Labridae	6	0.42	0.00	0.10	15	13.33			x
Callionymidae	325	21.68	4.22	5.27	4	100.00	x		
Gobiidae	27	1.77	0.55	0.43	9	33.33		x	
Sphyraenidae	3	0.17	-	0.04	17	6.67			x
Gempylidae	38	2.55	1.26	0.62	7	40.00		x	
Scombridae	42	2.77	0.71	0.67	6	46.67		x	
Bothidae	13	0.90	1.73	0.22	11	20.00			x
Cynoglossidae	10	0.63	0.71	0.15	13	13.33			x
Ostraciidae	3	0.17	-	0.04	16	6.67			x
Unknown	24	1.61	1.10	0.39					
Incomplete	59	3.91	2.70	0.95					
Total fish larvae	6170			100.00					

(1): constant family

(2): accessories family

(3): accidental family

**Table 3** Fish grouping based on adult habitat in the upper part of the Bay of Bengal (area A).

Group 1 Freshwater fish	Group 2 Neritic fish	Group 3 Inshore-reef fish	Group 4 Shallow to oceanic fish	Group 5 Oceanic fish
Ophichthyidae	Carangidae	Bothidae	Champsodontidae	Exocoetidae
	Hemirhamphidae	Callionymidae	Scombridae	Gempylidae
		Cynoglossidae	Sphyraenidae	Myctophidae
		Gobiidae		Paralepididae
		Labridae		Photichthyidae
		Ostraciidae		Stomiidae

## 1.1.2 Top Five Dominant Families

### 1.1.2.1 Photichthyidae

Photichthyid larvae were the most abundant family of total fish larvae. They contributed 53.66% to the total fish larvae and were found in almost all stations except in station 18. The mean density was 220.67 larvae/1,000 m<sup>3</sup>. The highest density 967

larvae/1,000 m<sup>3</sup> was found in station 17. Photichthyidae larvae were concentrated at station 13-17 (Table 2, Figs. 4, 5 and Appendix 2).

### 1.1.2.2 Bregmacerotidae

Bregmacerotid larvae were the second abundant family. They were 24.80 % of the total fish larvae and were found in almost all stations except in station 18. The mean density was 220.67 larvae/1,000 m<sup>3</sup> (Table 2, Figs. 4, 5 and Appendix 2).

### 1.1.2.3 Myctophidae

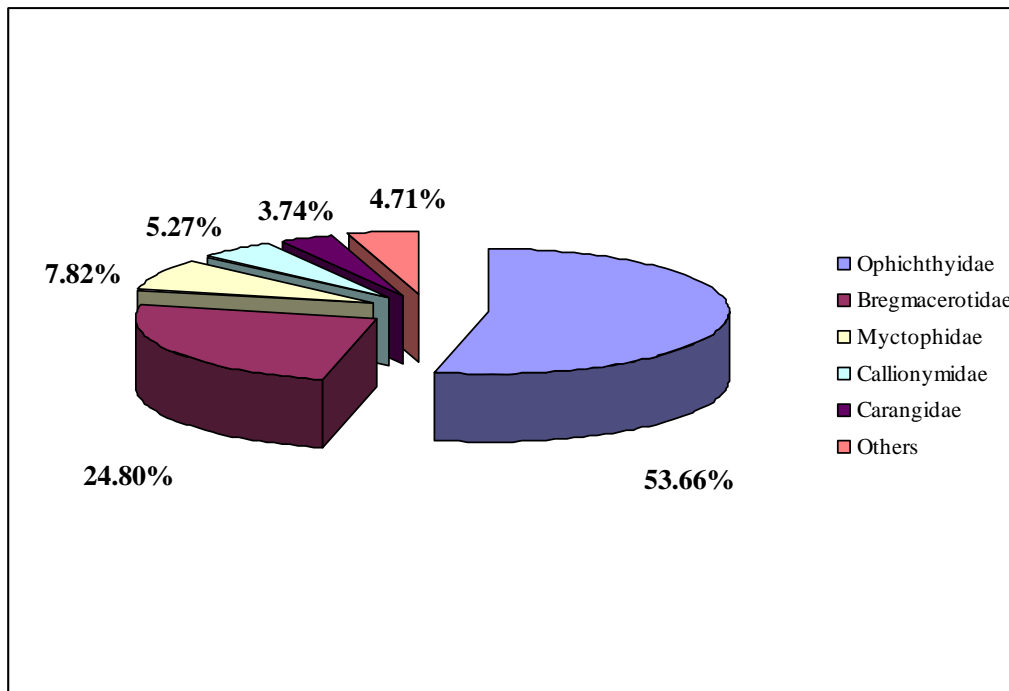
Myctophid larvae were the third abundant family. They constituted 7.82% of the total fish larvae. Myctophid larvae were collected from 14 stations and were found the highest density in station 17. None was found at station 18. The mean density was 32.17 larvae/1,000 m<sup>3</sup> (Table 2, Figs. 4, 5 and Appendix 2).

### 1.1.2.4 Callionymidae

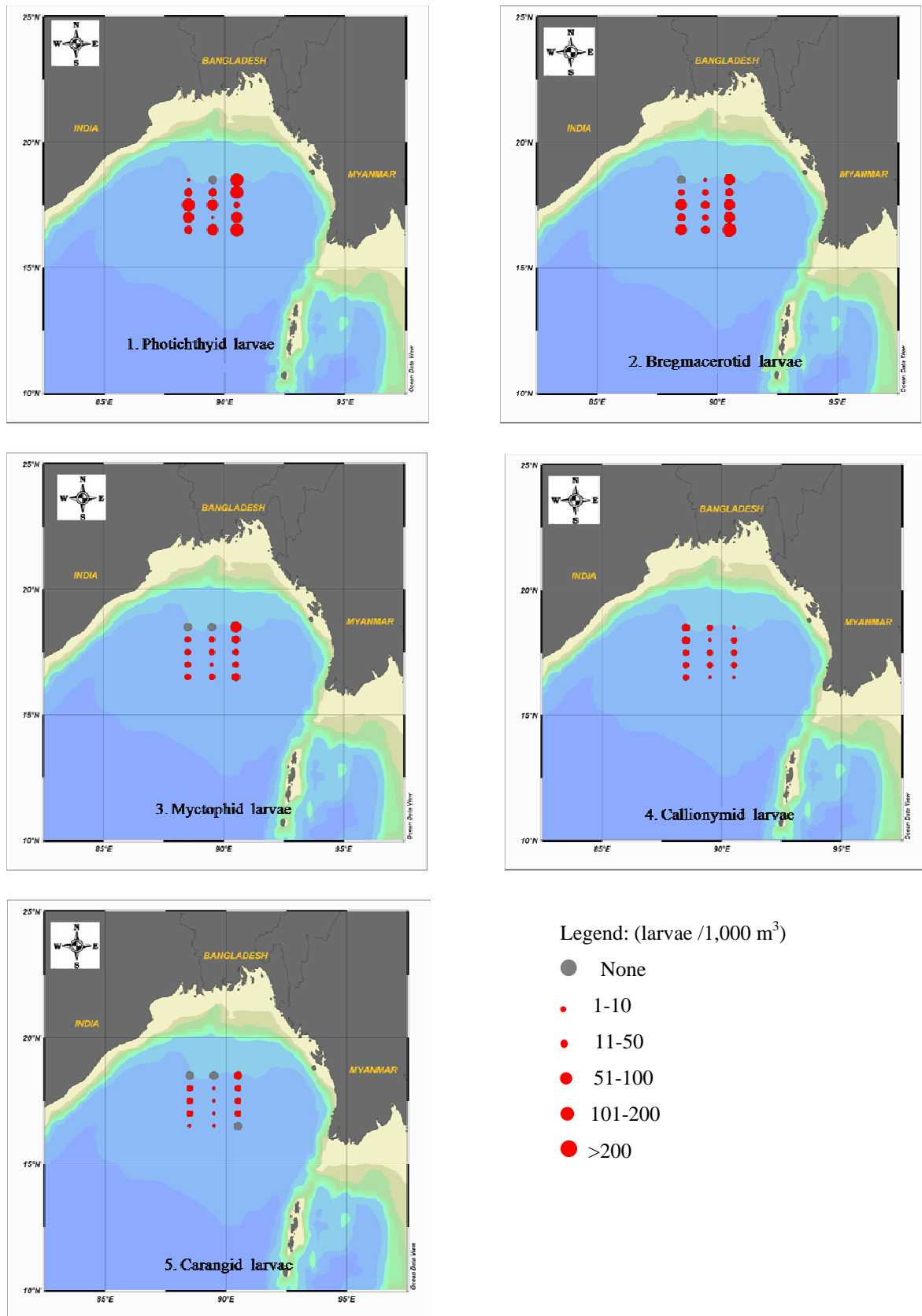
Callionymid larvae contributed 5.27% to the total number. They occurred in all stations with the most abundance in station 27 (75 larvae/1,000 m<sup>3</sup>). The mean density was 21.68 larvae/1,000 m<sup>3</sup> (Table 2, Figs. 4, 5 and Appendix 2).

### 1.1.2.5 Carangidae

Carangid larvae ranked the fifth abundance of the total fish larvae. They were the economically important fish larvae having least abundance and constituted only 3.74% of the total fish larvae. They occurred in 12 stations from 15 stations. The mean density was 15.38 larvae/1,000 m<sup>3</sup> (Table 2, Figs. 4, 5 and Appendix 2).



**Figure 4** Top five most abundant composition of total collection (%) in area A.



**Figure 5** Distribution and abundance of top five most fish larvae in the upper part of the Bay of Bengal (area A).

## 1.2 Area B

### 1.2.1 Total Fish Larvae

Nineteen families were identified from 3,093 specimens and 8 families were economic groups. These were Synodontidae, Carangidae, Sphyraenidae, Gempylidae, Trichiuridae, Scombridae, Bothidae and Cynoglossidae which constituted about 2% of the total fish larvae. Among them, Carangidae was the most dominant followed by Bothidae and Gempylidae. The average number of fish larvae per station was 445 larvae/1,000 m<sup>3</sup> (Tables 1 and 4; Appendix 3).

Based on the constancy of occurrence of the fish families, among the 19 families, 6 were considered as constants. The others were 4 accessories and 9 accidental families. The constants families were Gonostomatidae, Photichthyidae, Myctophidae, Bregmacerotidae and Carangidae and Bothidae. Synodontidae, Apogonidae, Labridae and Callionymidae were accessory families and the rest were accidental families (Table 4).

Regarding to the adult's habitat, this study area was dominated by families included in group 5 for oceanic fish (Gonostomatidae, Photichthyidae, Myctophidae, Gempylidae, Trichiuridae, Stomiidae, Scopelarchidae, Evermannellidae) followed by Group 3 for inshore-reef fish (Apogonidae, Labridae, Callionymidae, Gobiidae, Bothidae and Cynoglossidae) (Table 5).

**Table 4** Number of fish larvae (larvae /1,000 m<sup>3</sup>) in the western part of the Bay of Bengal (area B).

Family	Total number of larvae	Mean number of larvae	SD	Percentage of total catch	Rank	Frequency of Occurrence (%)	Classification according to Constance of Occurrence		
							(1)	(2)	(3)
Gonostomatidae	60	8.58	2.608	1.94	4	85.71	x		
Photichthyidae	830	118.59	20.67	26.85	3	100.00	x		
Stomiidae	7	0.95	-	0.22	11	14.29			x
Scopelarchidae	3	0.48	-	0.00	13	14.29			x
Synodontidae	6	0.92	0	0.21	12	28.57		x	
Evermannellidae	3	0.48	-	0.11	13	14.29			x
Myctophidae	1109	158.42	31.7	35.86	1	100.00	x		
Bregmacerotidae	849	121.35	56.56	27.47	2	85.71	x		
Apogonidae	12	1.67	1.414	0.38	9	28.57		x	
Carangidae	53	7.50	1.049	1.70	5	85.71	x		
Labridae	11	1.58	0	0.36	10	42.86		x	
Callionymidae	24	3.38	1.414	0.77	7	28.57		x	
Gobiidae	16	2.24	-	0.51	8	14.29			x
Sphyraenidae	6	0.82	-	0.18	12	14.29			x
Gempylidae	7	0.95	-	0.22	11	14.29			x
Trichiuridae	3	0.41	-	0.11	13	14.29			x
Scombridae	3	0.48	-	0.11	13	14.29			x
Bothidae	33	4.71	3	1.07	6	57.14	x		
Cynoglossidae	3	0.45	-	0.10	13	14.29			x
Unknown	23	3.27	1.414	0.74					
Incomplete	32	4.52	0.816	1.02					
Total fish larvae	3093			100.00					

(1): constant family (2): accessories family (3): accidental family

## **1.2.2 Top Five Dominant Families**

### **1.2.2.1 Myctophidae**

Myctophid larvae were the most abundant family in this area. They contributed 35.86% to the total fish larvae and distributed in all stations. The mean density was 158.42 larvae/1,000 m<sup>3</sup> and the highest density 255 larvae/1,000 m<sup>3</sup> was found in station 31 (Table 4, Figs. 6, 7 and Appendix 3).

### **1.2.2.2 Bregmacerotidae**

Bregmacerotid larvae were the second abundant family. They contributed 27.47% to the total fish larvae and were found almost every station, except station 28, with mean density 121.35 larvae/1,000 m<sup>3</sup> (Table 4, Figs. 6, 7 and Appendix 3).

### **1.2.2.3 Photichthyidae**

Photichthyid larvae ranked the third in abundance. They were 26.85% of the total fish larvae and could be found in all stations. They were distributed widely over all the study area. The highest density 24 larvae/1,000 m<sup>3</sup> was observed in station 32 and the mean number was 118.59 larvae/1,000 m<sup>3</sup> (Table 4, Figs. 6, 7 and Appendix 3).

### **1.2.2.4 Gonostomatidae**

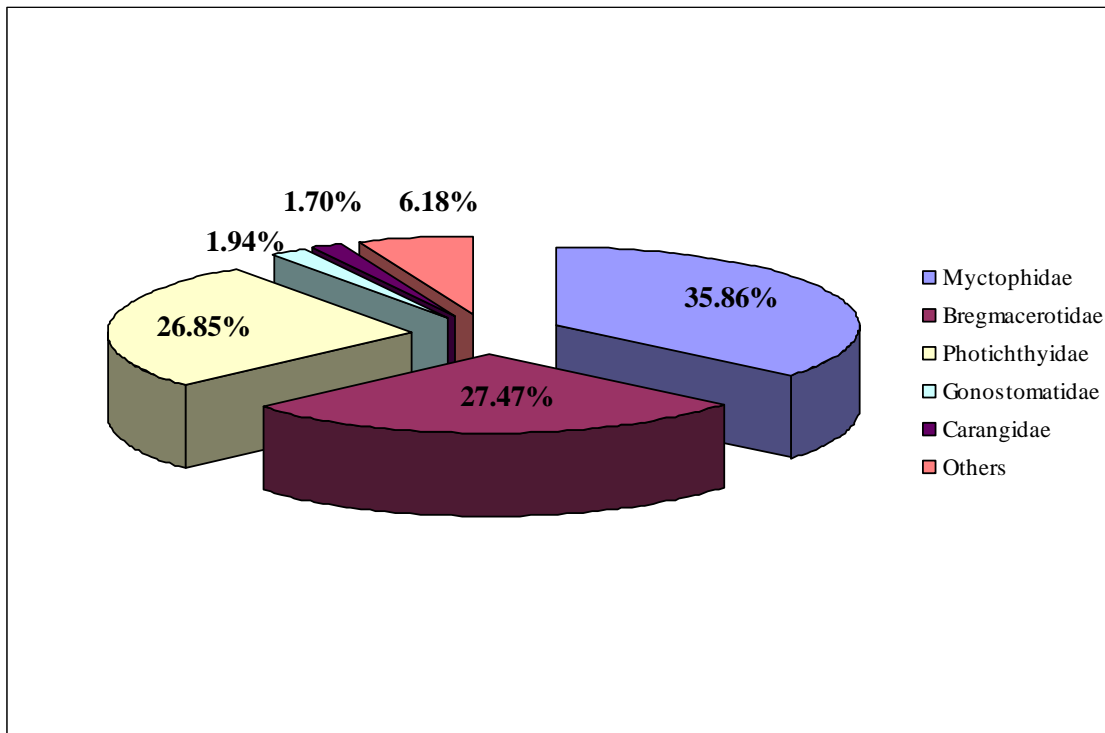
Gonostomatid fish larvae were the fourth abundance. They constituted 1.94% to the total larvae and were found almost every station except station 29. Mean density of bristle mouth fish larvae was 8.58 larvae/1,000 m<sup>3</sup> (Table 4, Figs. 6, 7 and Appendix 3).

### **1.2.2.5 Carangidae**

Carangid larvae were the fifth abundance. They were the economically important fish larvae having least abundance. They constituted 1.70 % of the total fish larvae and occurred in 6 stations from 7 stations. The mean density was 7.50 larvae/1,000 m<sup>3</sup> (Table 4, Figs. 6, 7 and Appendix 3).

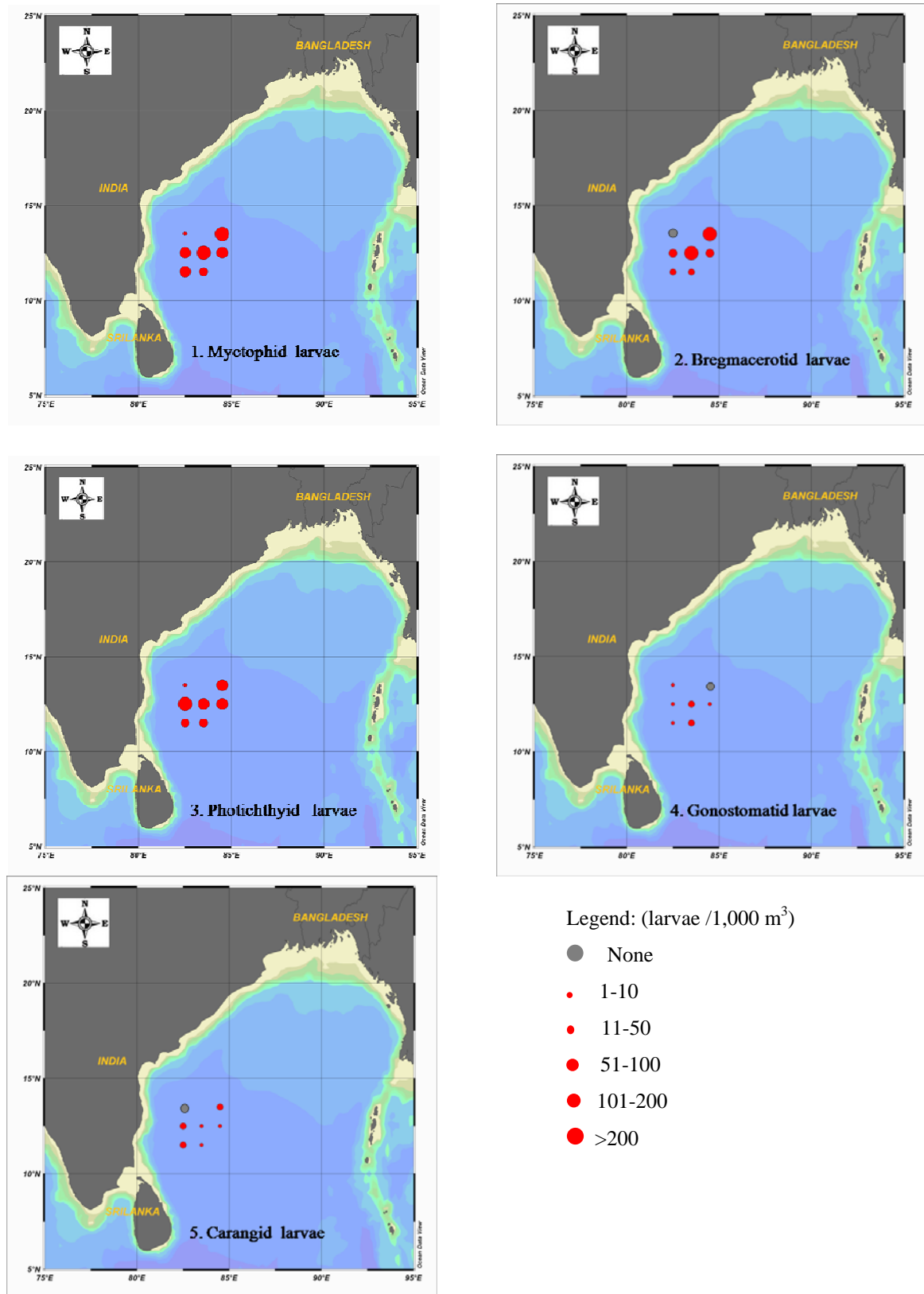
**Table 5** Fish grouping based on adult habitat in the western part of the Bay of Bengal (area B).

<b>Group 1</b> <b>Freshwater fish</b>	<b>Group 2</b> <b>Neritic fish</b>	<b>Group 3</b> <b>Inshore-reef fish</b>	<b>Group 4</b> <b>Shallow to oceanic fish</b>	<b>Group 5</b> <b>Oceanic fish</b>
-	Carangidae	Apogonidae Bothidae Callionymidae Cynoglossidae Gobiidae Labridae	Bregmacerotidae Scombridae Sphyraenidae Synodontidae	Evermannellidae Gempylidae Gonostomatidae Myctophidae Photichthyidae Scopelarchidae Stomiidae Trichiuridae



**Figure 6** Top five most abundant composition of total collection (%) in area B.





**Figure 7** Distribution and abundance of top five most fish larvae in the western part of the Bay of Bengal (area B).

## 1.3 Area C

### 1.3.1 Total Fish Larvae

A total of 5,321 specimens of 51 families were recorded in this area. The average number per station was 485 larvae/1,000 m<sup>3</sup> and 24 economic important families were identified. Among them, the most dominant family was Bothidae followed by Hemirhamphidae and Carangidae. All of the economic fish families contributed 14% to the total fish larvae. (Tables 1 and 6) the highest density was observed in station 10 and the lowest was in station 4. However, most of the fish larvae distributed around station 6 to 12, with mean density 639 larvae/1,000 m<sup>3</sup>, and contributed 71.91% to the total abundance. This high percentage was mainly produced by top 5 families which were Myctophidae, Bregmacerotidae, Photichthyidae, Gonostomatidae and Callionymidae. They constituted 67.43% of the total fish larvae.

According to the constancy of occurrence (Table 6), fourteen families were considered as constant families. The other 14 and 23 families were accessories and accidental families. Fourteen families representing accessories were Scopelarchidae, Ophidiidae Hemirhamphidae, Priacanthidae, Coryphaenidae, Carangidae, Bramidae, Lutjanidae, Labridae, Chamsodontidae, Blennidae, Gempylidae, Scombridae and Cynoglossidae. The rest belonged to constant and accidental group.

Based on the adult's habitat 22 families were in Group 3 for inshore-reef fish (Holocentridae, Serranidae, Priacanthidae, Apogonidae, Menidae, Lutjanidae, Gerreidae, Lethrinidae, Nemipteridae, Mullidae, Teraponidae Labridae, Ammodytidae, Blenniidae, Callionymidae, Gobiidae, Bothidae, Pleuronectidae, Cynoglossidae, Triacanthidae, Balistidae, Ostraciidae and Tetraodontidae) followed by group 5 for oceanic fish (Gonostomatidae, Photichthyidae, Stomiidae, Chlorophthalmidae, Scopelarchidae, Paralepididae, Evermannellidae, Myctophidae, Ceratiidae, Liparidae, Acropomatidae, Coryphaenidae, Bramidae, Schindleriidae, Gempylidae, Trichiuridae) (Table 7).

### 1.3.2 Top Five Dominant Families

#### 1.3.2.1 Myctophidae

Myctophid larvae were the most abundant family. They contributed 44.05% to the total fish larvae and were found at all stations in this area. The mean density was 213.43 larvae/1,000 m<sup>3</sup> and the highest number 490 larvae/1,000 m<sup>3</sup> was observed at station 1 followed by stations 10, 9 and 6 (Table 6, Figs. 8, 9 and Appendix 4).

#### 1.3.2.2 Bregmacerotidae

Bregmacerotid larvae were the second abundant family. They contributed 8.01% to the total fish larvae and were found in 8 of 11 sampling stations. The highest number of Bregmacerotid larvae occurred at station 10. The mean density was 208 larvae/1,000 m<sup>3</sup> (Table 6, Figs. 8, 9 and Appendix 4).

#### 1.3.2.3 Photichthyidae

Photichthyid larvae were widely distributed over the study area. The highest number of larvae were found at station 9 with density 74 larvae/1,000 m<sup>3</sup>. The mean density was 28.71 larvae/1,000 m<sup>3</sup> (Table 6, Figs. 8, 9 and Appendix 4).

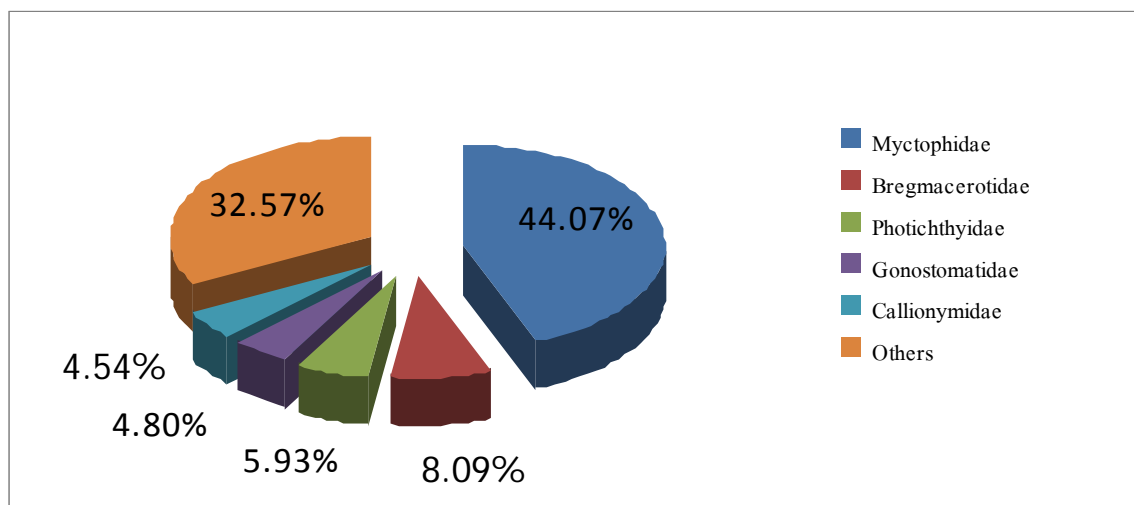
**Table 6** Number of fish larvae (larvae /1,000 m<sup>3</sup>) in the Andaman Sea (area C).

Family	Total number of larvae	Mean number of larvae	SD	Percentage of total catch	Rank	Frequency of Occurrence (%)	Classification according to Constance of Occurrence		
							(1)	(2)	(3)
Ophichthyidae	42	3.78	1.46	0.78	14	72.73	x		
Engraulidae	3	0.25	-	0.05	32	9.09			x
Gonostomatidae	255	23.23	4.61	4.80	4	100.00	x		
Photichthyidae	316	28.71	8.17	5.93	3	100.00	x		
Stomiidae	42	3.86	0.71	0.80	14	72.73	x		
Chlorophthalmidae	16	1.41	-	0.29	24	9.09			x
Scopelarchidae	17	1.59	0.00	0.33	23	27.27		x	
Synodontidae	44	3.99	1.46	0.82	13	63.64	x		
Paralepididae	165	15.01	2.91	3.10	7	100.00	x		
Evermannellidae	9	0.78	0.71	0.16	27	18.18			x
Myctophidae	2348	213.43	43.30	44.07	1	100.00	x		
Carapidae	6	0.52	0.00	0.11	29	18.18			x
Ophidiidae	9	0.78	0.00	0.16	27	27.27		x	
Bregmacerotidae	431	39.19	29.22	8.09	2	72.73	x		
Ceratiidae	5	0.46	-	0.10	30	9.09			x
Hemirhamphidae	96	8.74	15.84	1.80	9	36.36		x	
Holocentridae	4	0.35	-	0.07	31	9.09			x
Scorpaenidae	44	4.04	1.46	0.83	13	63.64	x		
Liparidae	8	0.76	-	0.16	28	9.09			x
Acropomatidae	3	0.23	-	0.05	32	9.09			x
Serranidae	34	3.05	1.17	0.63	19	54.55	x		
Priacanthidae	68	6.15	3.92	1.27	11	36.36		x	
Apogonidae	89	8.10	5.82	1.67	10	54.55	x		
Coryphaenidae	13	1.20	0.58	0.25	25	27.27		x	
Carangidae	59	5.39	7.94	1.11	12	27.27		x	
Menidae	3	0.26	-	0.05	32	9.09			x
Bramidae	29	2.65	3.50	0.55	21	36.36		x	
Lutjanidae	35	3.21	5.20	0.66	18	27.27		x	
Gerreidae	3	0.25	-	0.05	32	9.09			x
Lethrinidae	4	0.35	-	0.07	31	9.09			x
Nemipteridae	3	0.26	-	0.05	32	9.09			x
Mullidae	3	0.23	-	0.05	32	9.09			x
Teraponidae	3	0.23	-	0.05	32	9.09			x
Labridae	36	3.28	2.08	0.68	16	27.27		x	
Champsodontidae	35	3.21	2.87	0.66	17	36.36		x	
Ammodytidae	6	0.53	-	0.11	29	9.09			x
Blenniidae	10	0.88	0.00	0.18	26	27.27		x	
Callionymidae	242	21.99	11.84	4.54	5	90.91	x		
Gobiidae	101	9.15	3.76	1.89	8	63.64	x		
Schindleriidae	3	0.26	-	0.05	32	9.09			x
Sphyraenidae	6	0.54	0.00	0.11	29	18.18			x
Gempylidae	41	3.74	2.24	0.77	15	45.45		x	
Trichiuridae	3	0.25	-	0.05	32	9.09			x
Scombridae	25	2.27	1.26	0.47	22	36.36		x	
Bothidae	178	16.19	8.97	3.34	6	63.64	x		
Pleuronectidae	3	0.26	-	0.05	32	9.09			x
Cynoglossidae	33	3.02	0.82	0.62	20	36.36		x	
Triacanthidae	6	0.54	0.00	0.11	29	18.18			x
Balistidae	6	0.57	-	0.12	29	9.09			x
Ostraciidae	8	0.71	-	0.15	28	9.09			x
Tetraodontidae	6	0.57	0.00	0.12	29	18.18			x
Unknown	118	10.73	2.64	2.21					
Incomplete	255	23.14	8.10	4.78					
Total fish larvae	5330			100.00					

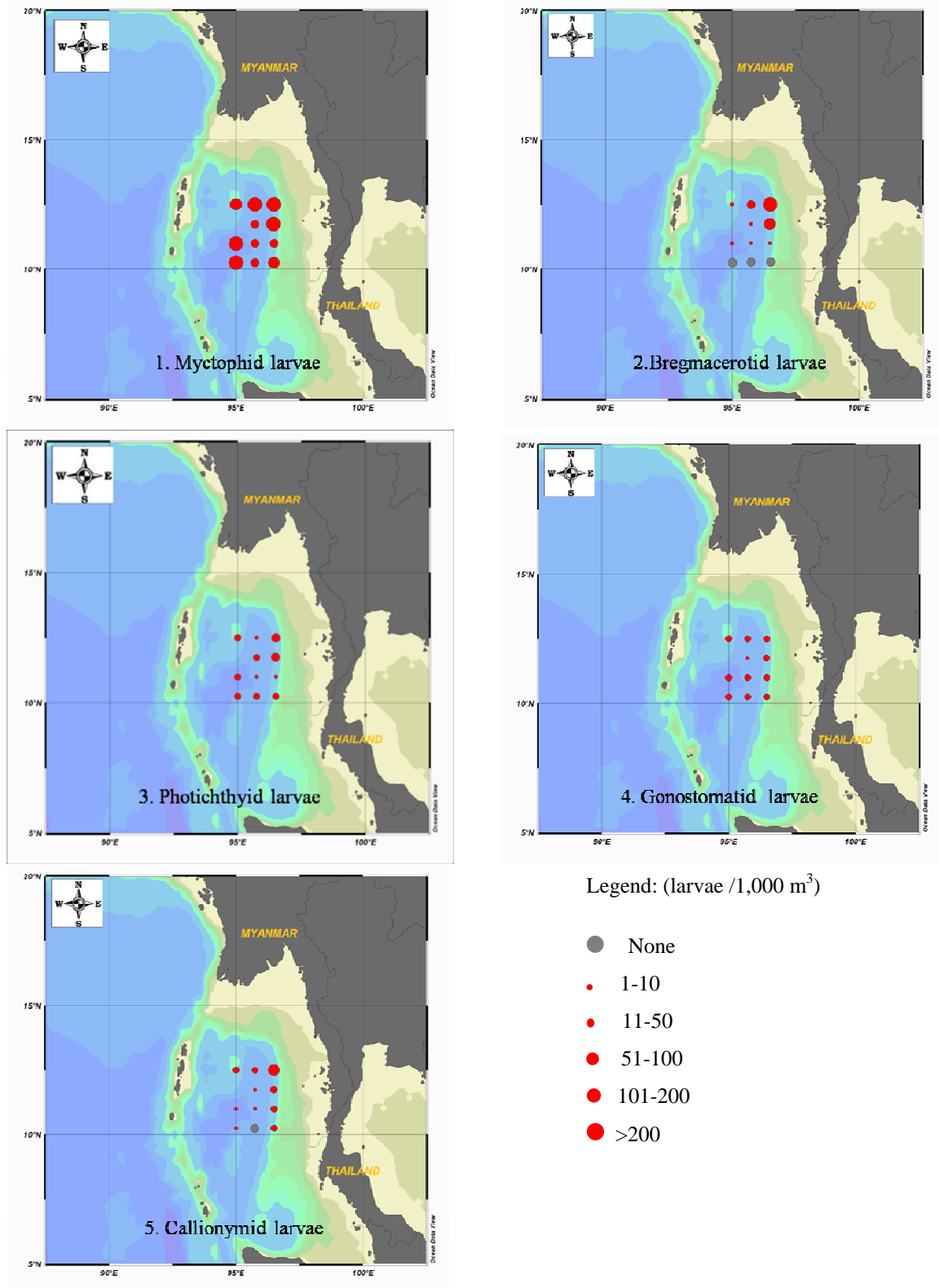
(1): constant family, (2): accessories family, (3): accidental family

**Table 7** Fish grouping based on adult habitat in the Andaman Sea (area C).

Group 1	Group 2	Group 3	Group 4	Group 5
Freshwater fish	Neritic fish	Inshore-reef fish	Shallow to oceanic fish	Oceanic fish
Ophichthyidae	Carangidae	Ammodytidae	Bregmacerotidae	Acropomatidae
	Engraulidae	Apogonidae	Carapidae	Bramidae
	Hemirhamphidae	Balistidae	Champsodontidae	Ceratiidae
		Blenniidae	Scombridae	Chlorophthalmidae
		Bothidae	Scorpaenidae	Coryphaenidae
		Callionymidae	Sphyraenidae	Evermannellidae
		Cynoglossidae	Synodontidae	Exocoetidae
		Gerreidae		Gempylidae
		Gobiidae		Gonostomatidae
		Holocentridae		Liparidae
		Labridae		Myctophidae
		Lethrinidae		Ophidiidae
		Lutjanidae		Paralepididae
		Menidae		Photichthyidae
		Mullidae		Schindleriidae
		Nemipteridae		Scopelarchidae
		Ostraciidae		Stomiidae
		Pleuronectidae		Trichiuridae
		Priacanthidae		
		Serranidae		
		Teraponidae		
		Tetraodontidae		



**Figure 8** Top five most abundant composition of total collection (%) in area C.



**Figure 9** Distribution and abundance of top five most fish larvae in the Andaman Sea (area C).

### 1.3.2.4 Gonostomatidae

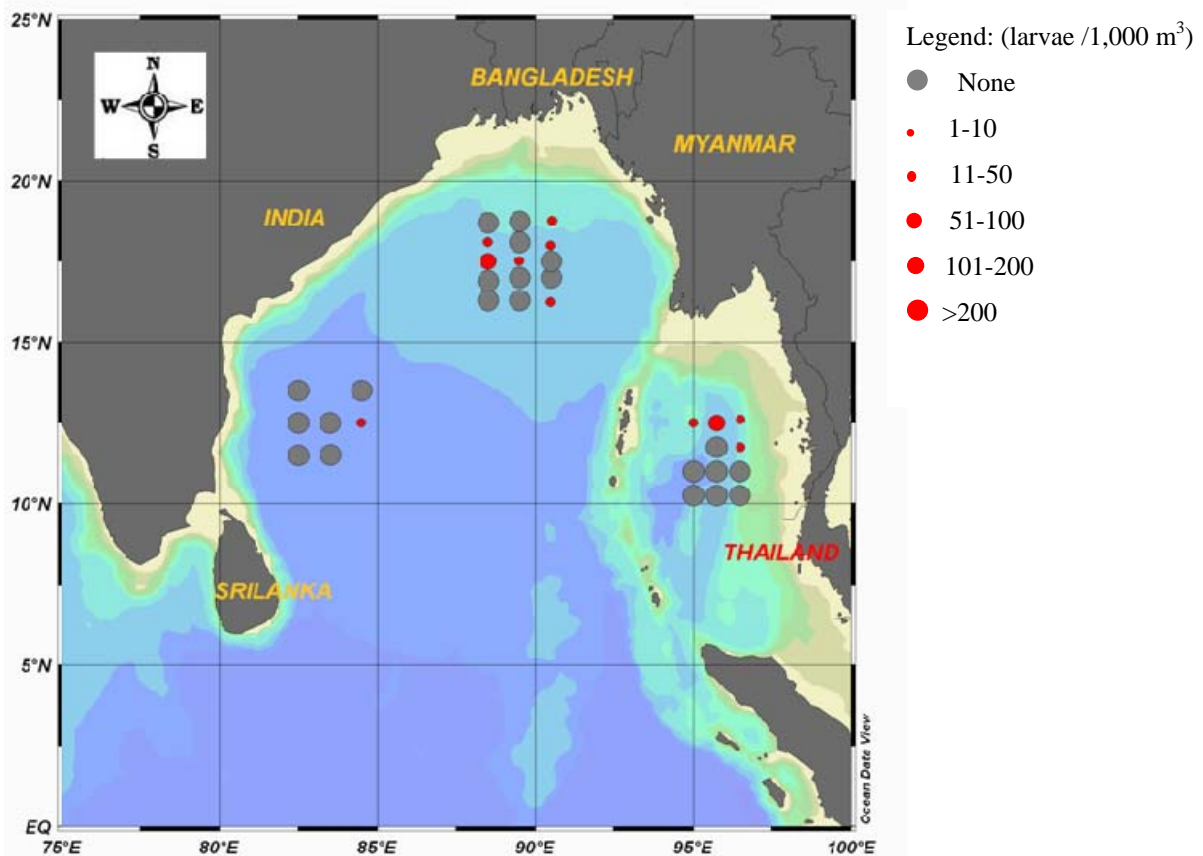
Gonostomatid larvae were found in 11 stations. These larvae distributed throughout the study area. The highest abundance was found at station 9 (48 larvae/1,000 m<sup>3</sup>) (Table 6, Figs. 8, 9 and Appendix 4).

### 1.3.2.5 Callionymidae

Callionymid larvae ranked the fifth in abundance. They contributed 4.54% to the total fish larvae. Callionid larvae were found in 10 of 11 sampling stations. The most abundance 118 larvae/1,000 m<sup>3</sup> occurred at station 10 (Table 6, Figs. 8, 9 and Appendix 4).

## 2. Abundance and Distribution of Tuna Larvae in the Bay of Bengal.

Tuna larvae are in the family Scombridae and are very important fish in the study areas. In this study, Scombrid larvae in area A, B and C were ranked the 6, 13 and 22 in percentage, respectively. Tuna larvae were rarely found during the survey period and were not distributed throughout the study areas. They were observed in 13 of 33 stations, however, more larvae were presented in area A than Area C and B. Tuna larvae were identified deep to the lowest taxa (species) and were presented as following. (Tables 2,4, 6 and Fig.10).



**Figure 10** Distribution and abundance of Scombridae in the Bay of Bengal.

### **2.1 *Euthynnus affinis***

Kawakawa larvae, epipelagic, neritic species were present at station 9 in area C (3 larvae/1,000 m<sup>3</sup>).

### **2.2 *Auxis thazard***

Frigate tuna were found only at station 10 in area C (3 larvae/1,000 m<sup>3</sup>). They are epipelagic, neritic and oceanic fish.

### **2.3 *Katsuwonus pelamis***

Skipjack larvae were observed in 4 of 33 stations. They were found at station 10, 12, 21 and 30 with 3, 2, 2 and 3 larvae/1,000 m<sup>3</sup>, respectively.

### **2.4 *Thunnus obesus***

Bigeye tuna larvae, epipelagic and mesopelagic in oceanic waters, were observed in 3 of 33 stations. They occurred at station 11, 24 and 25 with 6, 4 and 4 larvae/1,000 m<sup>3</sup> respectively.

### **2.5 *T. alalunga***

Albacore larvae were found at station 11 and 20 with equal number of 3 larvae/1,000 m<sup>3</sup>.

### **2.6 *T. albacares***

Yellowfin tuna larvae occurred in 4 of 33 sampling stations with numbers 2-4 larvae/ 1,000 m<sup>3</sup>.

### **2.7 Unidentified tuna larvae**

Unidentified tuna larvae were also found in low number between 2-4 larvae/1,000 m<sup>3</sup>.

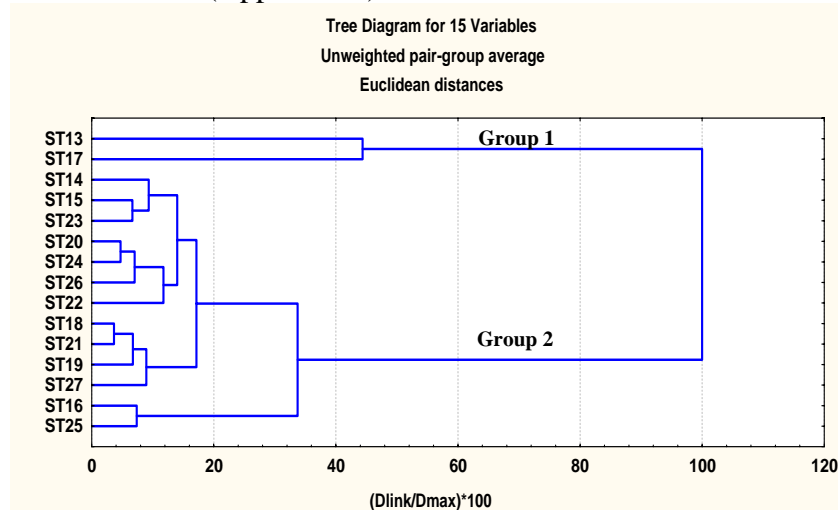
## **3. Comparison of Larval Fish Assemblages by Station**

### **3.1 Area A**

Classification of fish assemblage by station using dendrogram was shown in Fig. 11. The similarity cluster indicated the presence of two major groups based on larval number in families with roughly similar abundance. The first group was characterized by 2 stations which were stations 13 and 17. They were similar sharing of 5 families namely Photichthyidae, Myctophidae, Bregmacerotidae, Callionymidae, and Gempylidae. Within this group Photichthyidae and Bregmacerotidae were the first and second most abundant.

The second group comprised the rest of stations. Likewise, Photichthyid larvae were the most abundant and Bregmacerotid larvae ranked the second. This group can be divided into 4 subgroups. Stations 14, 15 and 23 formed the first sub-group and comprised 8, 9 and 6 families respectively and were sharing by 5 families namely Photichthyidae, Myctophidae, Bregmacerotidae, Carangidae and Callionymidae. The second sub-group

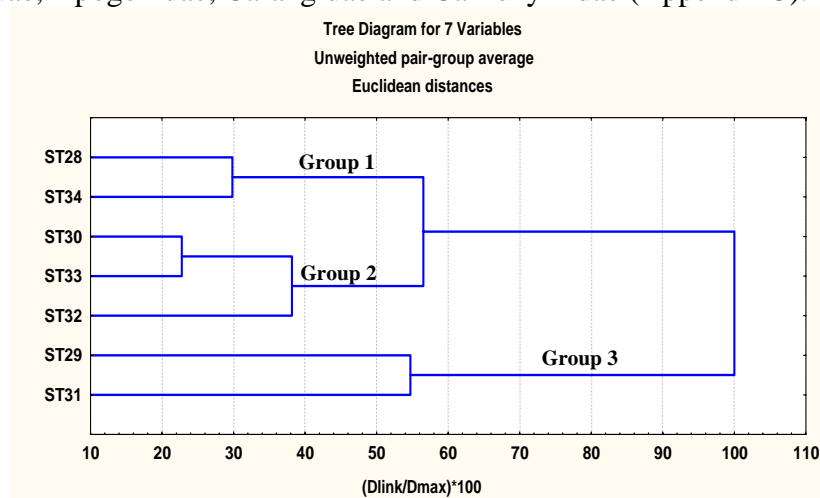
consisted of stations 20, 24, 26 and 22, these stations comprised 9, 6, 7 and 7 families respectively. This sub-group was shared by 5 families Photichthyidae, Myctophidae, Bregmacerotidae, Carangidae and Callionymidae. The third sub-group included 4 stations, station 18, 21, 19 and 27, and comprised 3, 6, 6 and 3 families, respectively. They were shared by only 2 families, Bregmacerotidae and Callionymidae. The last sub-group consisted station 16 and 25 where comprised 12 and 9 families respectively and were shared by 7 families namely Photichthyidae, Myctophidae Bregmacerotidae, Carangidae, Callionymidae, Gempylidae and Scombridae (Appendix 2).



**Figure 11** Tree diagram of fish larvae between 15 stations in the upper part of the Bay of Bengal (area A).

### 3.2 Area B

The similarity cluster analysis indicated the presence of 3 groups in this area (Fig. 12). The first group was characterized by 2 stations, station 28 and 34, and comprised 3 and 5 families, respectively. This group was shared by 3 families namely Gonostomatidae Photichthyidae and Bregmacerotidae. The second group was given to 3 stations (station 30, 33 and 32). The sharing families belonged to this group were Gonostomatidae, Photichthyidae, Myctophidae, Bregmacerotidae, Carangidae, and Bothidae. The third group consisted of station 29 and 31, and were sharing of 6 families namely Photichthyidae, Myctophidae Bregmacerotidae, Apogonidae, Carangidae and Callionymidae (Appendix 3).

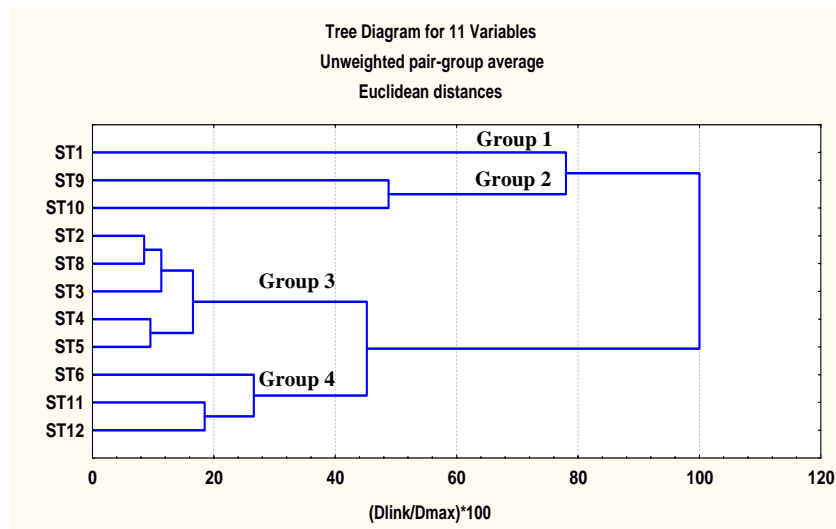


**Figure 12** Tree diagram of fish larvae among 7 stations in the western part of the Bay of Bengal (area B).



### 3.3 Area C

The dendrogram classification of fish larvae assemblage was shown in Fig. 13. The first group was 4 which distinguished only 1 station (station 1) comprising 20 families in which Myctophidae was the most abundant and followed by Photichthyidae, the second abundant family. The second group was characterized by 2 stations, 9 and 10, where comprised 29 and 31 families, respectively. This group was a great similarity of 21 families. The third group (stations 2, 8, 3, 4 and 5) was a similarity of 4 families (Gonostomatidae, Photichthyidae Paralepididae and Myctophidae). The fourth group was characterized by 3 station which were 6, 11 and 12 where was shared by 8 families namely Ophichthidae, Gonostomatidae, Photichthyidae, Paralepididae, Myctophidae, Bregmacerotidae, Callionymidae and Gobiidae. (Appendix 4).

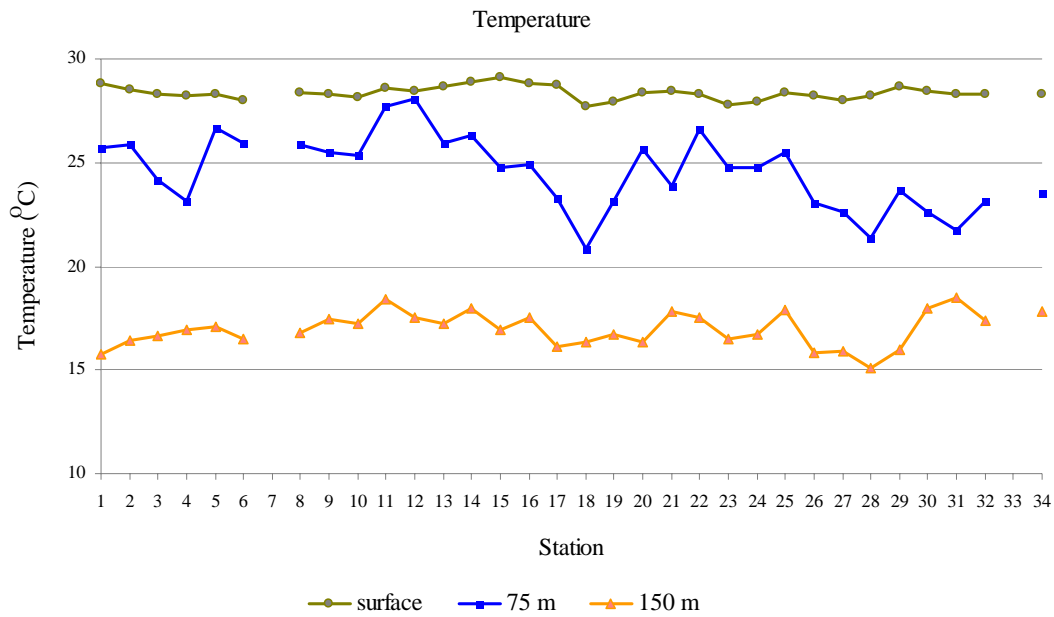


**Figure 13** Tree diagram of fish larvae among 11 stations in the Andaman Sea (area C).

## 4. Temperature and Salinity Observation

### 4.1 Temperature

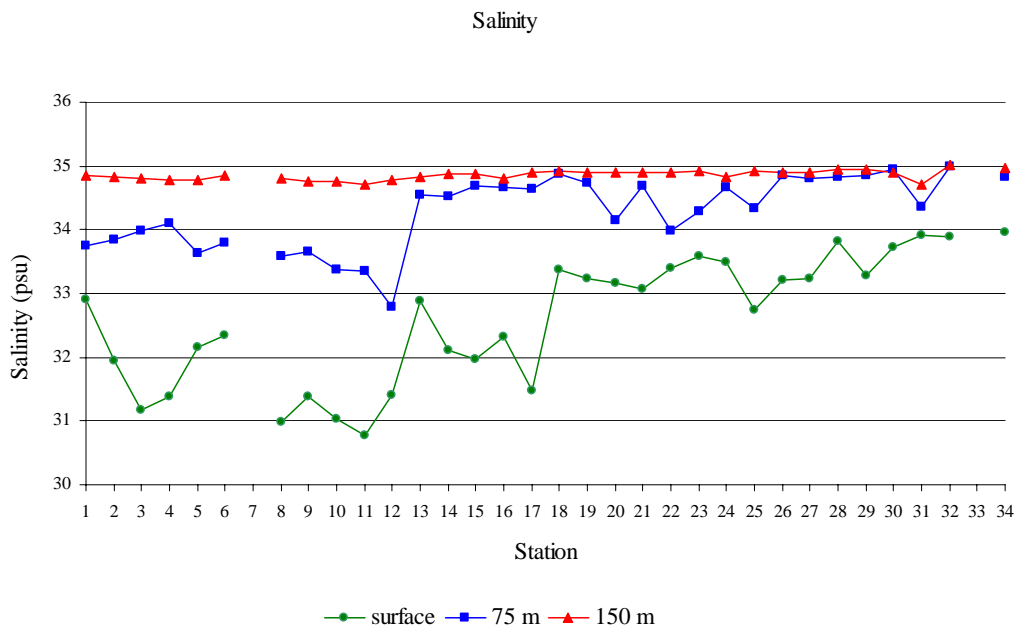
The horizontal distribution of temperature in area C (station 1 to 12), area A (station 13 to 27) and area B (station 28 to 34) were shown in fig. 14. The spatial changes in temperature at surface and 150 m depth were not obviously different, but varied between 27.5°C-28.75°C and between 15°C-18°C. On the contrary, the fluctuation of temperature at 75 m depth was clearly observed different. This result suggested that the temperature at 75 m depth might vary upon whether it was in a mixed layer (high temperature) or in a thermocline layer (lower temperature).



**Figure 14** Spatial pattern of variation in temperature for 3 levels at the study area.

#### 4.2 Salinity

The horizontal distribution of salinity in 3 depth levels of area A, B and C were shown in fig. 15. The changes in salinity at the surface water and 75 m depth illustrated an obvious variation pattern, 30.8-33.0 psu at surface and 32.8-34.5 psu at 75 m, whilst at 150 depth kept almost the same level at 34.5 psu. It was remarkable that in station 1-12 (area C) the salinity at 3 layers was quite different. From station 13 to 34, the salinity at 75 m depth increased close to that at 150 m depth, and from station 18 to 34 the surface salinity varied above 32.5 psu. This result possibly reflected the influence of fresh water run off in area C and the east of area A near to Myanmar waters.



**Figure 15** Spatial pattern of salinity variation in 3 depth levels at the study area.

## Discussion

The total fish larvae of 52 families found in this present study seem to be lower diversity than the former works that conducted in more productive area of the other part of the Indian Ocean. Nellen (1973) reported the total of 102 fish larval families in the northwest Indian Ocean (Red Sea, Arabian Sea and Persian Gulf). He found 44 families of the oceanic plus deep benthic fish larvae and 58 families of the shelf fish larvae. In southeast Indian Ocean (NW continental shelf, Australia), Young *et al.* (1986) found 103 families of which 36 and 67 families were oceanic and shelf fish larvae.

In this study, during post summer monsoon, area C was found to be the richest fish larvae diversity (51 families) followed by area B (19 families) and area A (18 families). Although, the highest average density of fish larvae was also found in area C (485 larvae/1,000m<sup>3</sup>) but it was not so much different comparing to 445 and 411 larvae/1,000m<sup>3</sup> that found in area B and A. Considering area C which is in the upper part of the Andaman Sea both of hydrographic conditions and fisheries resource information are not available except a few information in the lower part along the west coast of Thailand. As for fish larvae composition and abundance, Janekarn (1988) reported the diversity of 55 and 62 families of fish larvae found from the west coast of Thailand in 1982 and 1983. He also estimated the aggregated number of 123 families of fish larvae in the west coast of Thailand based upon his and other studies (Janekarn, 1992). This information indicated that the Andaman Sea was an area of high diversity of fish larvae in the Indian Ocean. However, referring to Mc Gowan and Frauendorf (1966) the diversity value was influenced not only by area but also by depth of haul, time and type of net used.

Based on the constancy of occurrence, among the 18 families in area A, the percentages by families of constant:accessory:accidental families were 28:22:50; in area B (19 families) were 32:21:47 and in area C (51 families) were 27.5:27.5:45, respectively. This study was similar to Chamchang (2006) who reported a relative low number of constant families suggesting the system appeared not to be stable. From this reference, 62 families of fish larvae were found in the Andaman Sea along the west coast of Myanmar and Thailand between 6°44.47'N to 12°40.80'N and 95°51.20'E to 96°45.30'E. Half of the mentioned study site were located almost the same latitude as area C but the sampling stations were located in more shallow waters. Furthermore, in that survey the fish larvae samples were collected in November 2004 which was almost the same month as this survey. Thirty six families of that finding were shared by 15, 13 and 6 families belonging to group 3 (inshore-reef fish), group 5 (oceanic fish) and group 4 (shallow to oceanic fish). This result suggested that fish larvae of group 3 and 5 were widely distributed between inshore and offshore waters, implying that this area was very important for habitat of adult fish and their larvae. Similar to this study, the relative large numbers of inshore-reef families and oceanic families, particularly area C, may also indicate that the Bay of Bengal is the connected boundary of inshore and oceanic currents. In addition, the occurrence of many accidental families possibly reflects that the majority of the adult fish exiting in the Bay of Bengal are commonly inshore residents and their larvae are occasionally carried out offshore by currents.

In this study the most of the abundant families were Photichthyidae, Myctophidae, Bregmacerotidae, Gonostomatidae, Callionymidae, and Carangidae. Family Myctophidae is the largest family of oceanic fish with 500 species found around the world, they are an important constituent in the food chain of many local system being heavily preyed upon by cetaceans including whales and dolphins as well as large pelagic fish such as tuna and sharks (Nellen, 1973; Fish Base, 2004). Chamchang (2007) reported family Myctophidae was the most abundant contributing 30.41% to the total number of larvae followed by Stomiidae. Morliere *et al.* (1994) reported that *Vinciguerria nimbaria* (family Photichthyidae) must

sustain the high concentration of small tuna and must be considered a major chain in the local food webs. Small tunas fed mainly on *V. nimbaria* for 40% by weight of tuna stomach content.

Larvae of the commercial important fish were not very high. Carangid larvae were common and abundant in area A and B which in addition appeared in considerable quantities at some stations in the Bay of Bengal. Very important to high sea fisheries, tuna larvae appeared more frequently in area A but their density was not remarkably high at any stations. Frigate tuna and kawakawa larvae were observed only one station in area C. The scantiness of tuna larvae may be attributed to the survey period that did not cover the spawning seasons of tunas. Yoshida (1979) reported frigate tuna's spawning season in the Indian Ocean was occurred from January to April. Stequert and Marsac (1989) reported the greatest abundance of skipjack larvae in the eastern Indian Ocean in February.

Regarding to larval fish assemblage, based on a cluster analysis, it illustrated the patchy distribution of the majority of fish larvae because the stations appearing similarity in fish families and their abundance tended to be located adjacent to each other. This may be, in some extents, underline the influence of currents because larval lives are generally carried to elsewhere by currents.

Although, the relationships between environmental parameters and fish larvae abundance have not been analyzed statistically due to the small sample sizes in this study. Nevertheless, the linkage between oceanographic parameters and fish larvae abundance have been pointed out by a number of authors. Munk *et al.*, 2004 investigated linkages among physics, chemistry and plankton biology across the continental shelf and shelf slope of the Andaman Sea. They found that the abundant peak of both mesozooplankton and fish larvae were at mid-shelf (50 to 65 m bottom depth) coinciding with a hydrographic front generated. Other studies in the tropics have found strong cross- shelf gradients in abundance, but were unable to detect consistent patterns related to hydrography or other factors (Williams *et al.*, 1988, Leis, 1993). The study of mesopelagic fish larvae in the northern Arabian Sea during the intermonsoon period (March-June 1987) by Röpke (1993) showed that prey abundance and distribution in the water column were related to fish larval distribution. Most of the Myctophid and Photichthyid larvae avoided the upper mixed layer, which contained the highest concentrations of potential prey organisms, and their distribution was also not directly related to pycnocline depth. Below the mixed surface layer the abundance and vertical distribution of potential prey was more important in determining the vertical distribution of the larvae than the gradient of physical stratification. The results also indicted that larvae of mesopelagic moved downward during early development and adapted to their later life in the mesopelagic zone.

Like the tropical Asian Waters, the BOB was influenced by monsoons as well as by the river runoff. Area A in the north and area B in the west of the BOB were influenced by two great rivers (Ganga and Brahmaputra rivers) and some other smaller rivers. Area C was largely influenced by Salween and Irrawaddy rivers. These river systems carried huge quantities of nutrient-rich and freshwater mass into the bay during south-west monsoon. In addition, area C seems to be a basin enclosed by the Andaman and Nicobar Islands. This area is not only topographically different from the other two areas but also hydrological richer and more suitable than those areas. These favorable conditions probably supported the distribution and abundance of fish larvae as shown in Tables 2, 4 and 6. The richest in diversity of fish larvae in this area might due to the influence of nutrient-rich from two great rivers that discharged nutrients into the north of area C and also the reef areas around the enclosing islands. Further more, the investigation of large pelagic fisheries resources by pelagic long line (Nuangsang *et al.*, 2008) conducted during same cruise as this present study showed that percentage of hooking rate was the highest in area C (2.17%) followed by area B (0.91%) and

area A (0.78%). Desai and Bhargawa(1998) estimated the potential pelagic production in 4 exclusive economic zone of India which were along west coast, east coast, around the Andaman , Nicobar islands and Lakshadweep. He found that the average potential pelagic productions during monsoon (June-September) and postmonsoon (October-January) period in the Andaman and Nicobar area were higher than those along the east coast of India. These results suggested that Andaman and Nicobar area was the important fishery potential area in BOB. However, further study focused on the relationships of fish larvae and oceanographic parameters in different monsoon systems are needed for more understanding of the whole picture of fish larvae composition and abundance in the BOB.

## Conclusion

A total of 52 families of fish larvae were identified and 24 families represented economically important group. Photichthyidae was the most abundant family in the survey area followed by Myctophidae, Bregmacerotidae, Callionymidae and Carangidae which was the most dominant of economic group. It was observed that not many families distributed widely in the Bay of Bengal whilst a large number accidentally occurred. In addition, most of the fish families were categorized inshore reef-fish and oceanic fish. In overall, area C appeared the richest diversity of fish families and also the highest average density of fish larvae compared to area A and B.

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**Appendix 1** Position and operation of fish larvae sampling in the Bay of Bengal during 6 Nov. - 4 Dec. 2007.

Area	Station no.	Date	position		Time		Flow meter rev. Fish larvae	Bottom Depth. (m)	volume of water filtered(m <sup>3</sup> )
			Latitude	Longitude	Start	Finish			
Area C	01	6/11/07	10°15.6'N	95°00.9'E	12.16	12.46	10,950	2,365	257.71
	02	7/11/07	10°16.0'N	95°45.1'E	12.26	12.56	14,840	2,551	384.26
	03	8/11/07	10°16.0'N	96°28.9'E	07.20	07.51	13,681	538	321.98
	*04	10/11/07	11°01.6'N	96°25.0'E	07.01	07.31	15,651	890	368.35
	05	11/11/07	11°00.2'N	95°44.7'E	12.40	13.10	19,330	513	454.93
	06	11/11/07	11°00.2'N	95°01.3'E	13.00	13.30	12,025	3,526	283.01
	08	12/11/07	11°44.9'N	95°44.9'E	13.50	14.22	15,070	2,556	354.67
	09	13/11/07	11°45.1'N	96°30.1'E	09.00	09.35	16,637	883	391.55
	10	13/11/07	12°30.0'N	96°30.0'E	14.30	15.05	14,680	1,128	345.5
	11	14/11/07	12°30.3'N	95°45.5'E	16.30	17.02	15,252	2,551	358.96
	12	15/11/07	12°29.8'N	94°59.0'E	08.35	09.07	15,595	1,418	367.03
	Area A	13	17/11/07	16°29.6'N	90°30.5'E	09.50	10.25	21,122	2,430
14		17/11/07	16°59.8'N	90°30.1'E	14.33	15.05	16,450	2,353	387.15
15		18/11/07	17°29.9'N	90°29.9'E	12.19	12.55	18,890	2,231	444.58
16		18/11/07	18°00.1'N	90°30.3'E	16.35	17.10	16,230	2,136	381.97
17		19/11/07	18°30.3'N	90°30.8'E	12.00	12.30	12,350	2,005	290.66
18		20/11/07	18°30.0'N	89°30.4'E	14.54	15.37	13,230	2,012	311.37
19		21/11/07	17°59.0'N	89°30.3'E	11.37	12.07	19,562	2,146	460.39
20		21/11/07	17°30.2'N	89°30.0'E	15.25	16.01	16,995	2,249	399.98
21		22/11/07	17°00.3'N	89°29.9'E	12.46	13.16	19,440	2,402	457.52
22		22/11/07	16°29.7'N	89°29.9'E	16.46	17.16	11,310	2,511	266.18
23		23/11/07	16°29.9'N	88°30.2'E	13.03	13.33	13,805	2,633	324.9
24		27/11/07	17°00.3'N	88°30.0'E	14.27	14.58	10,190	2,530	239.82
25		27/11/07	17°29.9'N	88°29.9'E	11.25	11.56	12,330	2,396	290.19
26		26/11/07	18°00.3'N	88°30.1'E	12.30	12.59	9,510	2,114	223.82
27		25/11/07	18°29.8'N	88°29.9'E	15.24	15.53	9,640	2,082	226.88
Area B	29	28/11/07	13°30.3'N	84°30.2'E	13.49	14.23	13,590	3,412	319.84
	30	29/11/07	12°30.0'N	84°30.0'E	14.00	14.30	12,720	3,329	299.37
	31	30/11/07	12°29.8'N	83°29.9'E	11.57	12.29	14,870	3,381	349.97
	28	1/12/07	13°30.2'N	82°29.9'E	19.18	19.49	13,625	3,368	320.67
	32	1/12/07	13°30.0'N	82°30.0'E	07.40	08.08	11,270		265.24
	33	2/11/07	12°30.2'N	82°29.9'E	12.43	13.11	10,830	3,425	254.89
	34	4/12/07	11°30.0'N	82°29.9'E	15.24	15.49	9,465	3,528	222.76



**Appendix 2** Composition and abundance of fish larvae (larvae/1,000 m<sup>3</sup>) in the upper part of the Bay of Bengal(Area A).

Family	Station														
	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27
Ophichthidae		5		5	4										
Photichthyidae	816	134	47	330	967		59	125	15	188	90	104	359	72	4
Stomiidae													7		
Paralepididae	12	10	2	3											
Myctophidae	72	44	32	73	113		20	20	9	11	25	13	38	13	
Bregmacerotidae	460	168	164	79	131	3	15	65	26	83	130	54	107	45	
Exocoetidae	4			3											
Hemirhamphidae *	8												3		
Carangidae *		15	11	16	58		7	3	7	8	9	29	41	27	
Labridae		3								3					
Callionymidae	6	28	11	21	10	16	2	15	13	9	12	29	24	54	75
Gobiidae	2						4	3						9	9
Sphyraenidae *								3							
Gempylidae *	2			3	10			5					14	4	
Scombridae *	2			8				3	4	8	6	4	8		
Bothidae *	8		2			3									
Cynoglossidae *				3	7										
Ostraciidae				3											
Unknown	6							3			9	4	2		
Incomplete	16	3	9	16	3			5					6		
<b>Total</b>	<b>1414</b>	<b>410</b>	<b>278</b>	<b>563</b>	<b>1303</b>	<b>22</b>	<b>107</b>	<b>250</b>	<b>74</b>	<b>310</b>	<b>281</b>	<b>237</b>	<b>609</b>	<b>224</b>	<b>88</b>

\*Economic fish

**Appendix 3** Composition and abundance of fish larvae (larvae/1,000 m<sup>3</sup>) in the western part of the Bay of Bengal (Area B).

Family	Station							
	28	29	30	31	32	33	34	
Gonostomatidae	9		7	23	4	4	13	
Photichthyidae	9	103	144	149	241	94	90	
Stomiidae			7					
Scopelarchidae			3					
Synodontidae*		3	3					
Evermannellidae			3					
Myctophidae	10	247	197	255	192	141	67	
Bregmacerotidae		241	57	423	68	47	13	
Apogonidae		3		9				
Carangidae *		13	7	6	11	12	4	
Labridae			3		4	4		
Callionymidae		10		14				
Gobiidae		16						
Sphyraenidae *				6				
Gempylidae *			7					
Trichiuridae*				3				
Scombridae*			3					
Bothidae*		22	3		4	4		
Cynoglossidae*		3						
Unknown					15	8		
Incomplete			3		8	12	9	
<b>Total</b>	<b>28</b>	<b>661</b>	<b>447</b>	<b>888</b>	<b>547</b>	<b>326</b>	<b>196</b>	

\*Economic fish

**Appendix 4** Composition and abundance of fish larvae (larvae/1,000 m<sup>3</sup>) in the Andaman Sea (area C)

Family	Station											
	1	2	3	4	5	6	8	9	10	11	12	
Ophichthidae		3		3	2	7		13	3	8	3	
Engraulidae *										3		
Gonostomatidae	19	20	28	16	11	32	8	48	12	25	36	
Photichthyidae	39	34	25	5	7	21	25	74	58	6	22	
Stomiidae	8		6	3	2	7		3	6		7	
Chlorophthalmidae	16											
Scopelarchidae			6						6		5	
Synodontidae (Econ)	8				2	4	3	8	14		5	
Paralepididae	27	9	9	5	9	18	17	23	32	6	11	
Evermannellidae							6		3			
Myctophidae	490	100	121	52	75	279	96	354	370	212	199	
Carapidae									3	3		
Ophidiidae			3					3	3			
Bregmacerotidae				3	4	7	8	153	208	45	3	
Ceratiidae								5				
Hemirhamphidae (Econ)		3				4		84		5		
Holocentridae	4											
Scorpaenidae	8		6	3			3	8	14	2		
Liparidae										8		
Acropomatidae*								3				
Serranidae *	4	6				7	3	3	11			
Priacanthidae *							11	5	32	20		
Apogonidae	4			5				26	43	3	8	
Coryphaenidae *	8			3							2	
Carangidae *							3	10	46			
Menidae *									3			
Bramidae *			3	3				20	3			
Lutjanidae *	4							3	28			
Gerreidae *										3		
Lethrinidae *	4											
Nemipteridae*									3			
Mullidae *								3				
Teraponidae *								3				
Labridae	19					14		3				
Champsodontidae *			3					18	12	2		
Ammodytidae									6			
Blenniidae	4	3							3			
Callionymidae	8		12	22	4	7	3	23	118	25	20	
Gobiidae	19					11	6	28	26	3	8	
Schindleriidae									3			
Sphyraenidae *		3	3									
Gempylidae *					4	7		5		6	19	
Trichiuridae *				3								
Scombridae *								3	6	12	4	
Bothidae	23	3					11	43	72	22	4	
Pleuronectidae									3			
Cynoglossidae *		9		5				8	11			
Triacanthidae		3	3									
Balistidae *			6									
Ostraciidae	8											
Tetraodontidae						3				3		
Unknown	16		12		15	35		5	20	16		
Incomplete	31	3	9	3	2	32	37	8	81	14	36	
<b>Total</b>	<b>771</b>	<b>199</b>	<b>255</b>	<b>134</b>	<b>137</b>	<b>495</b>	<b>240</b>	<b>996</b>	<b>1262</b>	<b>450</b>	<b>391</b>	

\*Economic fish

# Distribution and Abundance of Cephalopod Paralarvae in the Bay of Bengal

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## Abstract

The 34 survey stations were conducted to collect the cephalopod paralarvae in the Bay of Bengal aboard M.V. SEAFDEC during 6 November to 7 December 2007 using a pair of bongo nets. A total of 278 paralarvae of 13 families and 19 genera were collected from 29 of 34 stations. The most numerous families were the Ommastrephidae (41% of total catch) followed by families Enoploteuthidae (14%) and Onychoteuthidae (6%). The majority of individuals were *Nototodarus hawaiiensis* (49 individuals) and *Abraliopsis* sp. (24 individuals). The paralarvae catch during the survey are all oceanic species (suborder Oegopsida) except 2 families from suborder Incirrata (order Octopoda). The compositions of the cephalopod paralarvae from the results show that the abundant paralarval squid is the commercial and/or minor-commercial target species to fisheries (Ommastrephidae, Enoploteuthidae, Thysanoteuthidae, Octopodidae).

**Key words:** cephalopod paralarvae, Bay of Bengal, distribution, abundance

## Introduction

The composition and distribution of the cephalopod early life stages or 'paralarvae' (Young and Harman, 1988) has been investigated in the Pacific coast of Japan (Okutani, 1968 and 1969; Yamamoto and Okutani, 1975; Saito and Kubodera, 1993), the Gulf of Guinea (Arkhipkin *et al.*, 1988), the Northwest Pacific (Okutani, 1966; Kubodera and Okutani, 1981; Kubodera and Jefferts, 1984a; Kubodera and Jefferts, 1984b; Kubodera, 1991), the Arabian Sea (Nesis, 1974; Piatkowski and Welsch, 1991; Piatkowski *et al.*, 1993), and California current (Okutani and McGowan, 1969). Although, the Bay of Bengal is one of the largest marine ecosystem, the investigation of paralarvae distribution in the area is still sparse. The knowledge of the early life stages cephalopod distribution and abundance patterns is useful to determining the spawning area and period (Bower *et al.*, 1999). It can also help in understanding cephalopod population dynamics and in developing stock-recruitment models for commercial important species (Vecchione, 1987). The joint research survey by the fishery sector of BIMSTEC to observe and collect scientific data concerned with fishery and oceanographic aspects in 2007 provided a valuable opportunity to analyze the data from the bongo net collection. The present study is objective to provide the information on the composition and distribution of young stages of cephalopod in an extensive region of the Bay of Bengal.

## Materials and methods

The sampling of cephalopod paralarvae at 34 survey stations were conducted in three areas off Bangladesh waters (area A; between latitude 16°N-19°N, longitude 88°E-91°E),

India and Sri Lanka waters (area B; between latitude 09°N-14°N, longitude 82°E-85°E), and Myanmar waters (area C; latitude 10°N-12°N, longitude 95°E-97°E) (Fig. 1).

The standard sampling procedure was conducted aboard M.V. SEAFDEC during 6 November to 7 December 2007. All oblique tows made during the day of about 30 minute duration were taken at each station with a pair of bongo nets 45 cm mouth diameter with 330 micron mesh zooplankton net equipped with a calibrated flowmeter at the mouth to measured volume of water filtered. The tow were made from about 150 m depth (mean depth=142 m, S.D.=18.9 m) to the surface at a speed of approximately 2 to 3 knots.

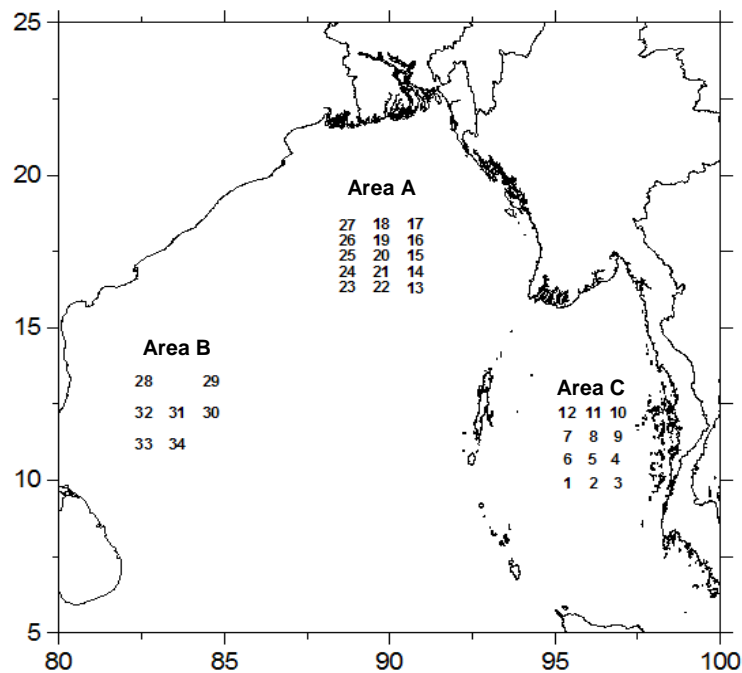
The samples were fixed in 5% formalin seawater solution and bring back to laboratory for sorting and transfer to 50% isopropyl alcohol for permanent preservation.

Cephalopod paralarvae were identified to the lowest possible taxa with the aid of published figures and descriptions of Okutani and McGowan (1969); Sweeney *et al.* (1992); Wakabayashi (1993) and Jivaluk (2001).

Dorsal mantle length (mm ML) of all undamaged paralarvae was measured to the nearest 0.1 mm with an ocular micrometer connected to a stereomicroscope.

The number of cephalopod paralarvae per tow was standardized to number of paralarvae per 1,000 m<sup>3</sup> (individuals/1,000 m<sup>3</sup>).

Small paralarvae of families Ommastrephidae and Onychoteuthidae could be distinctive identified only at family level. The identification was not possible either genus or species level because a growth series connecting paralarvae to known adults were not available.



**Figure 1** Map of sampling stations of the cephalopod paralarvae in the Bay of Bengal.

## Results

### Captured Composition

A total of 278 paralarvae of 13 families and 19 genera was collected from 29 of 34 stations (Table 1). The most numerous families were the Ommastrephidae (41% of total

catch) followed by families Enoploteuthidae (14%) and Onychoteuthidae (6%). The majority of individuals were *Nototodarus hawaiiensis* (49 individuals) and *Abraliopsis* sp. (24 individuals).

Only a small number of 24 squids from 9 genera of other families (Ancistrocheiridae, Brachioteuthidae, Chiroteuthidae, Ctenopterygidae, Cranchiidae, Histioteuthidae, Octopoteuthidae, and Thysanoteuthidae) and 6 octopods (order Octopoda; suborder Incirrata) of 2 genera from family Bolitaenidae and Octopodidae were captured (Table 1).

## Distribution and Abundance

### Order Teuthida (Suborder Oegopsida)

Family Ommastrephidae was the most widely distributed, occurring at 26 stations (Fig. 2). *Nototodarus hawaiiensis* was the most numerous species collected, comprising 43% of the ommastrephid catch, occurring at 13 stations of the survey area A and B (Fig. 3). *Sthenoteuthis oualaniensis* was occurred in survey area A, B and C, collected at 9 stations (Fig. 4).

Family Enoploteuthidae was collected at 18 stations and also occurred in every survey areas (Fig. 5) but less abundance than family Ommastrephidae. *Abraliopsis* sp. was the highest number collected at 11 stations, composed 25% of enoploteuthid catch and more abundance in area B (Fig. 6). *Abralia* sp. and *Enoploteuthis* sp. collected at 4 and 3 stations, occurring in the survey area B and C, but *Enoploteuthis* sp. was less abundance than those of *Abralia* sp. (Figs. 7 and 8).

Family Onychoteuthidae was less abundance than family Ommastrephidae and Enoploteuthidae, occurring at 8 stations in survey areas A, B and C (Fig. 9). *Onychoteuthis* sp. was collected at 3 stations of survey area A and B and composed 25% of onychoteuthid catch (Fig. 10). Onychoteuthid species A were collected only in survey area C, composed 75% of onychoteuthid catch (Fig. 11).

Family Ctenopterygidae contained 9 individuals of *Ctenopteryx* sp. (3% of total catch) were collected at 5 stations of area B and C (Fig. 12).

Family Chiroteuthidae was collected at 5 stations from area A, B and C (2% of total catch). *Chiroteuthis* sp. occurred in three areas but *Asperoteuthis* sp. was found a single specimen in area B (Fig. 13).

Family Brachioteuthidae was collected 3 individuals of *Brachioteuthis* sp. at 2 stations of area B and C (Fig. 14).

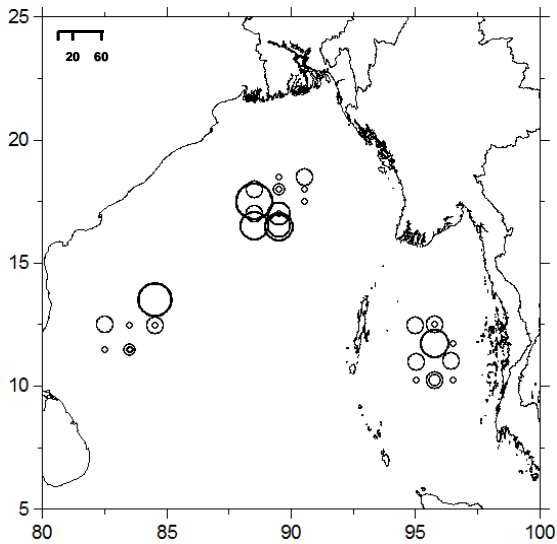
Family Thysanoteuthidae was collected only 2 individuals of *Thysanoteuthis rhombus* at 2 stations of area A and C (Fig. 15).

Single specimen of 4 genera (*Ancistrocheirus lesueuri*, *Liocranchia* sp., *Histioteuthis* sp., *Octopoteuthis* sp.) from 4 families (Ancistrocheiridae, Cranchiidae, Histioteuthidae, Octopoteuthidae) were found in area B and C (Table 1).

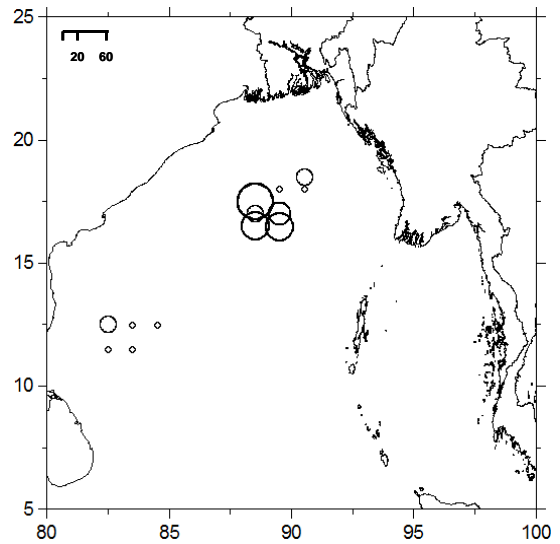
### Order Octopoda (Suborder Incirrata)

*Octopus* sp. (family Octopodidae) was collected at 2 stations (3 individuals) of area C and 1 station (1 individual) of area A. Only 2 individuals of *Japettella* sp. (family Bolitaenidae) was collected at the same station (station 23) in area A (Fig. 16).

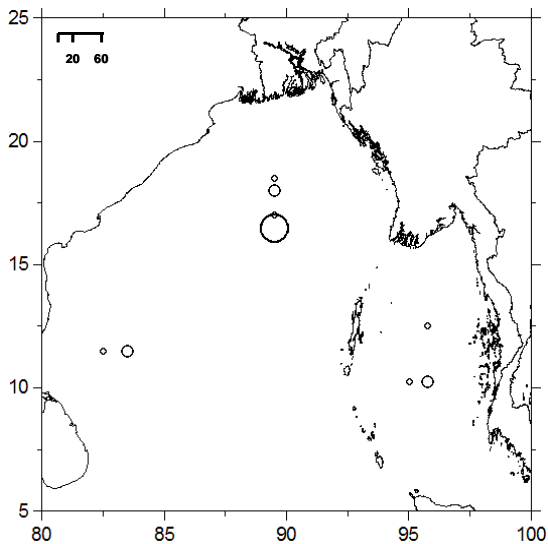




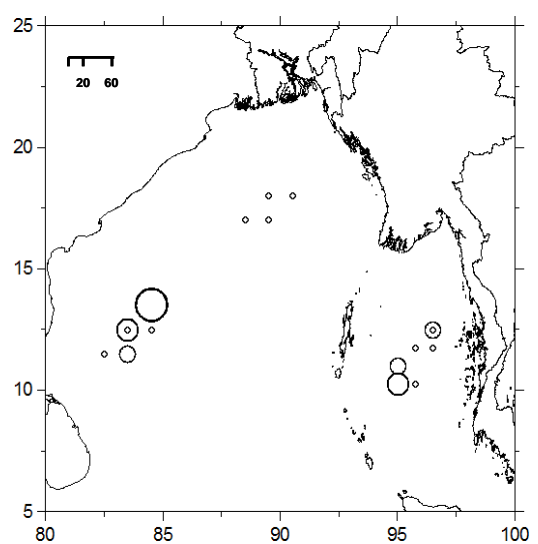
**Figure 2** Distribution and abundance (ind./1,000m<sup>3</sup>) of family Ommastrephidae.



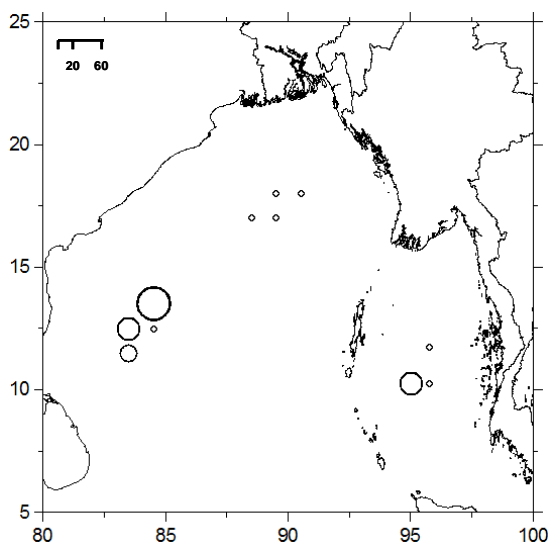
**Figure 3** Distribution and abundance (ind./1,000m<sup>3</sup>) of *Nototodarus hawaiiensis*.



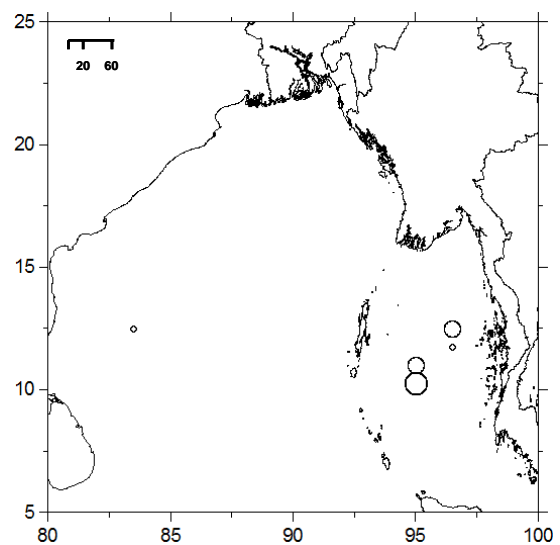
**Figure 4** Distribution and abundance (ind./1,000m<sup>3</sup>) of family *Sthenoteuthis oualaniensis*.



**Figure 5** Distribution and abundance(ind./1,000m<sup>3</sup>) of family Enoploteuthidae.

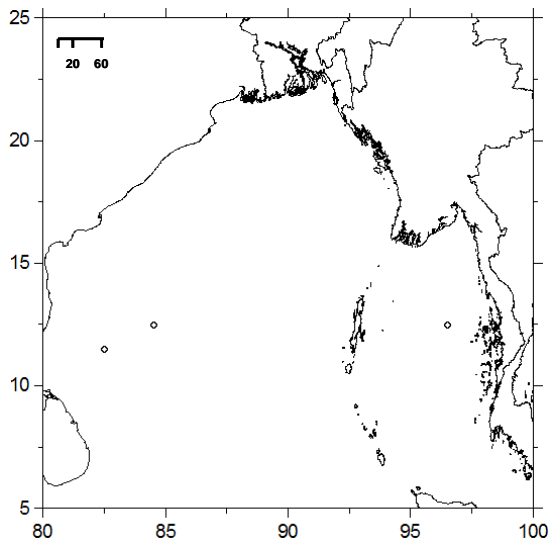


**Figure 6** Distribution and abundance (ind./1,000m<sup>3</sup>) of *Abraliopsis* sp.

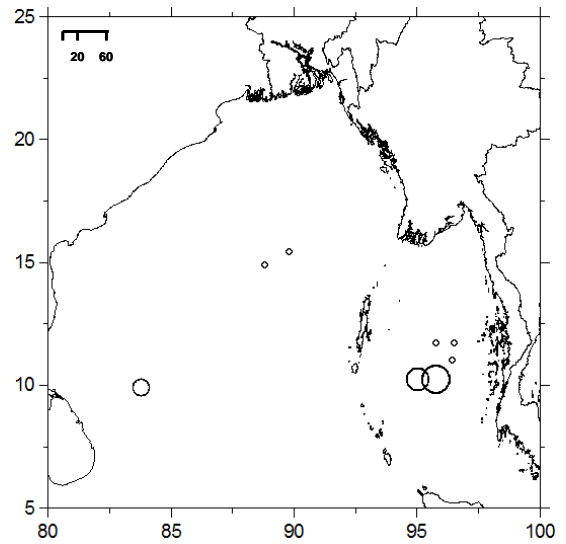


**Figure 7** Distribution and abundance (ind./1,000m<sup>3</sup>) of *Abralia* sp.

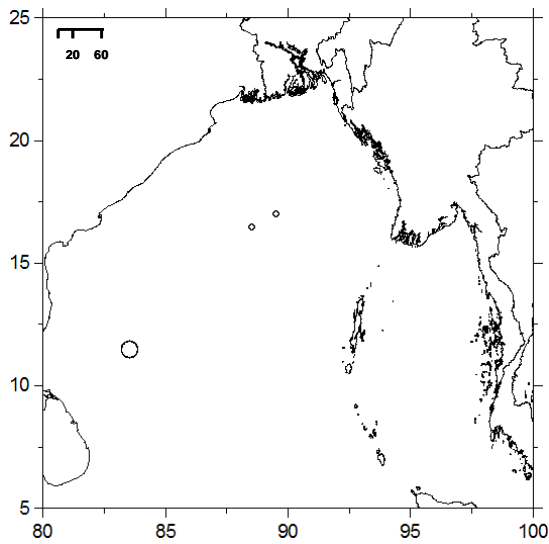




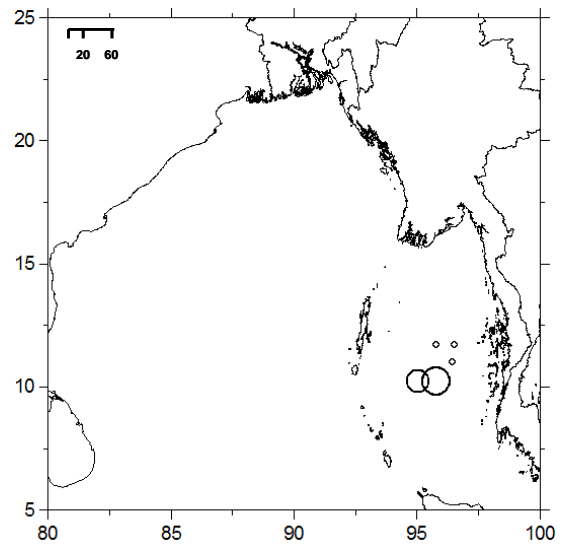
**Figure 8** Distribution and abundance (ind./1,000m<sup>3</sup>) of *Enoplateuthis* sp.



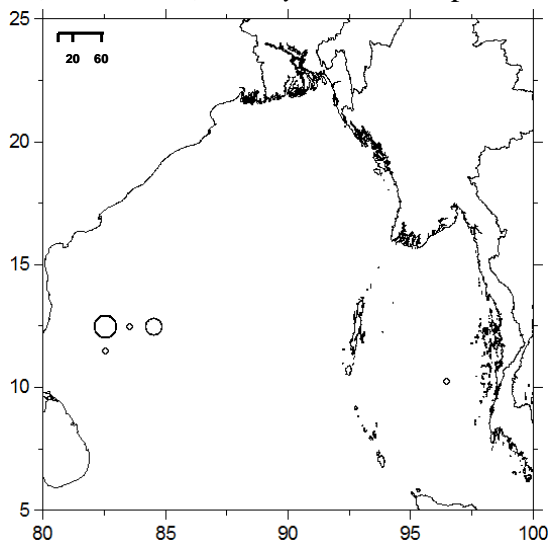
**Figure 9** Distribution and abundance (ind./1,000m<sup>3</sup>) of family Onychoteuthidae.



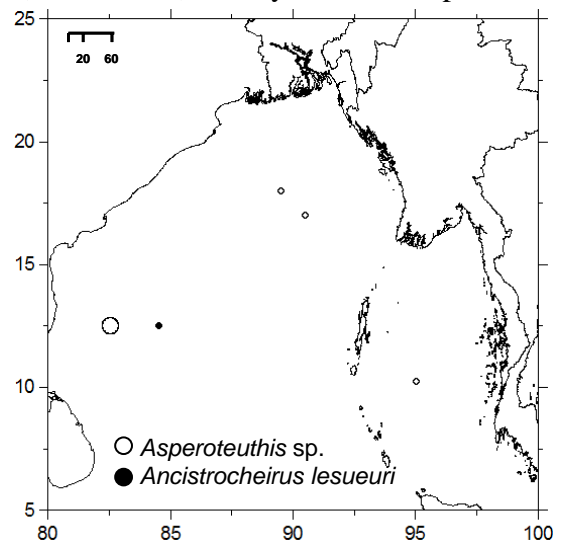
**Figure 10** Distribution and abundance (ind./1,000m<sup>3</sup>) of *Onychoteuthis* sp.



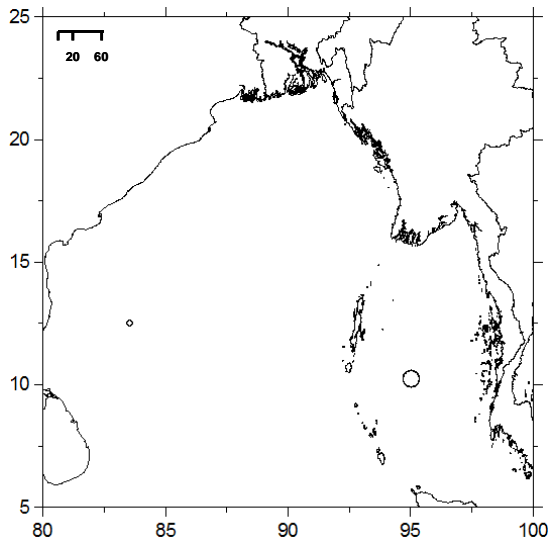
**Figure 11** Distribution and abundance (ind./1,000m<sup>3</sup>) of Onychoteuthid species A.



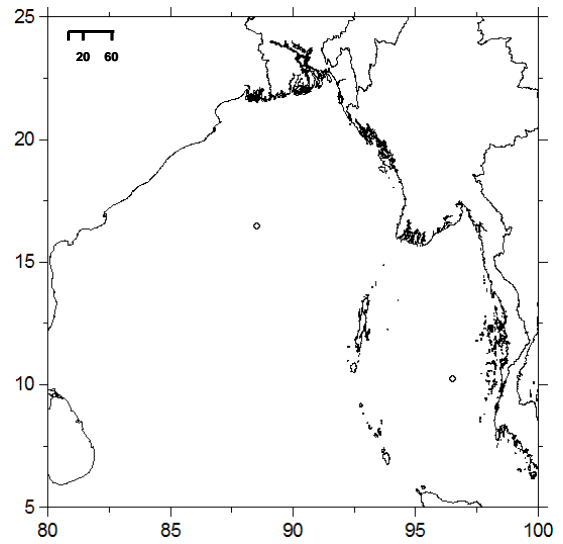
**Figure 12** Distribution and abundance (ind./1,000m<sup>3</sup>) of *Ctenopteryx* sp.



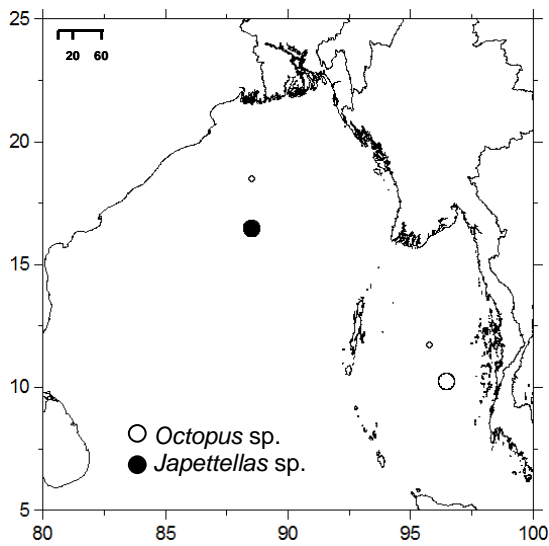
**Figure 13** Distribution and abundance (ind./1,000m<sup>3</sup>) of *Asperoteuthis* sp. and *Ancistrocheirus lesueurii*.



**Figure 14** Distribution and abundance (ind./1,000m<sup>3</sup>) of *Brachioteuthis* sp.



**Figure 15** Distribution and abundance (ind./1,000m<sup>3</sup>) of *Thysanoteuthis rhombus*.



**Figure 16** Distribution and abundance (ind./1,000m<sup>3</sup>) of *Octopus* sp. and *Japettella* sp.

## Discussion

The results of the present study showed that the paralarvae catch by zooplankton net during the survey are all oceanic species of various families of suborder Oegopsida (order Teuthida) except 2 families from suborder Incirrata (order Octopoda). The benthic adult octopods (*Octopus* sp.) were found occurred more than 200 km offshore of the Myanmar and Bangladesh waters. The results of the distribution found the assemblages of the pelagic adults in the continental slope and oceanic waters (*Abralia* sp., *Abraliopsis* sp., *Enoploteuthis* sp.), epi-mesopelagic adults (*Sthenoteuthis oualaniensis*, *Nototodarus hawaiiensis*, *Onychoteuthis* sp., *Thysanoteuthis rhombus*), mesopelagic adults (*Asperoteuthis* sp., *Chiroteuthis* sp., *Histioteuthis* sp.), and meso-bathypelagic adults (*Chtenoteryx* sp., *Liocranchia* sp., *Octopoteuthis* sp., *Brachioteuthis* sp.). A diverse community and the most numerous numbers of captured occurred in the survey area off Myanmar waters. Especially, the abundance of the small size of Ommastrephid species (<2 mm) suggesting the nearshore spawning in this area. Possibly the good feeding grounds influenced by surface water runoffs transporting nutrient-rich freshwater into the coastal areas (Sundström *et al.*, 1987; Janecarn and Chullasorn, 1997; Limpsaichol *et al.*, 1998) and/or upwelling conditions create by warm surface waters mixing the nutrient rich bottom (Dwivedi and Choubey, 1998). As the inshore-offshore spawning migrations is common in many cephalopod species (Nesis, 1993a; Mangold, 1987). Some *Enoploteuthis* species have also been reported to spawn only over the slopes or in nearshore oceanic regions (Nesis, 1993a and 1996). It is possible that spawning of ommastrephid squids may occur throughout the Bengal Bay. The composition from the results also show that the abundant paralarval squid is the commercial and/or minor-commercial target species to fisheries (Carpenter and Niem, 1998).

The results from the present study is useful for determining when and where adults spawn, particularly for species whose adults are difficult to catch (Bower *et al.*, 1999). Further sampling survey in different monsoon season will help to provide a better understanding on life history of cephalopod species in these areas.

## Acknowledgements

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## Large Pelagic Fishery Resource Survey using Pelagic Longline in the Bay of Bengal

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### Abstract

The fishery research vessel, M.V. SEAFDEC, of the Southeast Asian Fisheries Development Center (SEAFDEC) collaborated with the BIMSTEC member countries was conducted a survey using pelagic longline with thirteen fishing operations to investigate the potential of large pelagic fishery resources in the Bay of Bengal within 3 areas during 5 November to 4 December 2007.

The mainline of pelagic longline was made from nylon monofilament in the reel system. Number of hook deployed in each station varied from 303-520, the hook operation depth was between 40-300 m. Shooting gear was done at dusk, baits using were round scads, milk fish and Indian mackerel then the gear was retrieved in the next morning. Total catch were weighing 1,754.65 kg and 77 numbers. Identified seventeen species belonged to 16 genera and 12 families were caught during the survey. Main catch, by weight and number, were swordfish (*Xiphias gladius*) 650.0 kg (37.044%), 21 individuals followed by bigeye thresher shark (*Alopias superciliosus*) 641.0 kg (36.531%), 11 individuals and yellowfin tuna (*Thunnus albacares*) 75.0 kg (4.274%), 3 individuals. The overall average catch rate was 1.23% (individuals/100 hooks). The highest catch rate 3.94% was found at station 12 at latitude 12°30'.30 N longitude 094°59'.70E. The catch rate of swordfish was quite high comparing to commercial longline fleet. This suggests the prominent potential yield of swordfish in this surveyed area whilst the tuna is low.

**Keywords :** Bay of Bengal, pelagic longline, large pelagic fishery

### Introduction

The Bay of Bengal is a bay that forms the northeastern part of the Indian Ocean. It occupies an area of 2,172,000 km<sup>2</sup>, 2,090 km long and 1,600 km wide with an average depth of more than 2,600 m. It resembles a triangle in shape, and is bordered by India and Sri Lanka to the West, Bangladesh and the Indian state of west Bengal to the North (where the name comes from), and Myanmar, southern part of Thailand and the Andaman Sea and Nicobar Islands to the East. Its southern boundary extends as an imaginary line from Dondra Head at the southern end of Sri Lanka to the northern tip of Sumatra. The Bay of Bengal is

full of biological diversity, diverging amongst coral reefs, estuaries, fish spawning and nursery areas, and mangroves. The Bay of Bengal is one of the world's 64 largest marine ecosystems. Marlin, barracuda, skipjack tuna, (*Katsuwonus pelamis*), yellowfin tuna (*Thunnus albacares*), Indo-Pacific humpbacked dolphin (*Sousa chinensis*), and Bryde's whale (*Balaenoptera edeni*) are a few of the marine animals living in the Bay of Bengal ecosystem ([http://en.wikipedia.org/wiki/Bay\\_of\\_Bengal](http://en.wikipedia.org/wiki/Bay_of_Bengal)).

The FAO 10 years trend showed a steady increased in catch from 1.4 million tons in 1990 to 2.2 million tons in 1999. The average catch was 2 million tons. This tropical region has a relatively great marine biodiversity that was reflected in the catch composition. There was a high catch percentage for miscellaneous coastal fishes and pelagic fishes (tuna, yellowfin tuna, bigeye tuna and skipjack tuna) (FAO, 2003). Catch trends were quite diverse and it was difficult to identify a pattern due to the fact that there is inadequate information on the status of the fishery resources and their exploitations. Despite a steady rise in total landings since the 1950s, there were signs that the harvest levels may not be sustainable, especially with regarded to tuna fishing in the Maldives, Malaysia, Andaman coast of Thailand and Sri Lanka. Ecological changes in the estuaries and coastal areas have not yet affected total production trends (Dwivedi, 1993).

The Ecosystem-Based Fishery Management in the Bay of Bengal is a collaborative survey project of the BIMSTEC member countries (Bangladesh, India, Myanmar, Sri Lanka, Nepal and Thailand) aims to manage the fishery resources in the Bay of Bengal. This project is collaborated among member countries and Thailand takes a lead country in research surveys. In line with the concept of the project, it is incorporated to settle 17 sub-projects. The large pelagic fishery resource survey using pelagic longline is one of the sub-projects to investigate its potential yield and resources in the Bay of Bengal.

## **Materials and Methods**

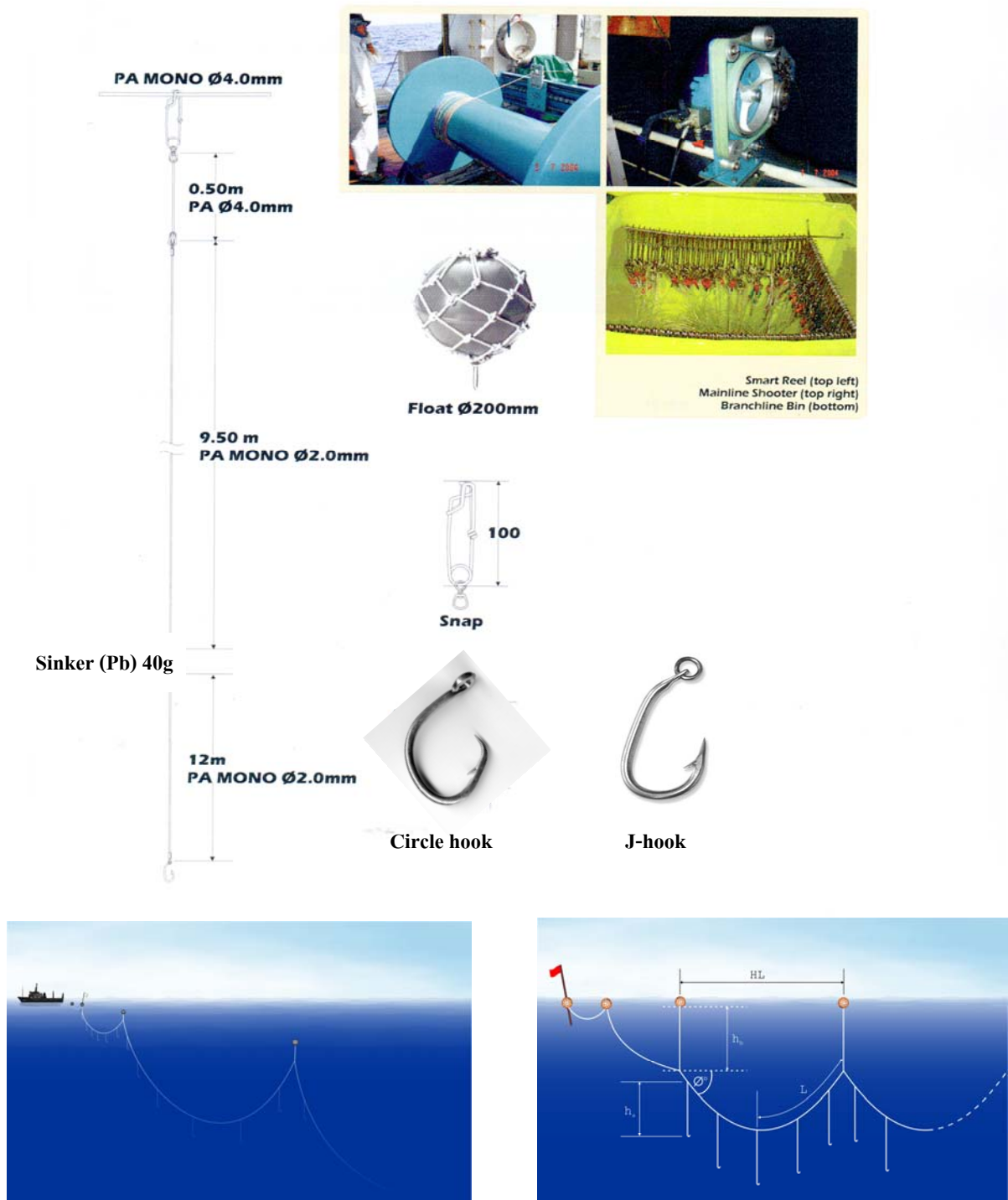
### **1. Fishery Research Vessel**

The fishery research vessel, M.V. SEAFDEC, of the Southeast Asian Fisheries Development Center (SEAFDEC) was deployed in the proposed survey areas throughout the survey period.

### **2. Fishing Gear**

The pelagic longline gear was used for the exploration of large pelagic species. The gear was composed of nylon monofilament mainline (4.0 mm diameter). The mainline was stored in a 2.0 meter-winch mainline reel which was driven by hydraulic power. The total length of mainline stored in the reel was about 70,000 m. The branch line, which was made of 2.0 mm nylon monofilament, was attached to the mainline by stainless steel snap clip. Total length of each branch line was 12 m. One tuna hook was attached to the branch line by aluminum sleeve at the end. One 40 g lead sinker was attached at 1.5 m above the hook. The distance between each branch line was maintained at 40 m. A PVC float (300 mm diameter) with single eye was attached to a 25 m long nylon rope (5 mm diameter) known as float line which was further attached to the mainline gear after every 15-20 hooks (which is called one basket). Two temperature-depth recorders (TDR) were also attached to the mainline gear (one at the beginning and the other at the middle portion of the basket) so as to ascertain the actual depth of the hook and the sea water temperature at that depth. About 500 hooks were operated in each pelagic longline (PLL) operation. While deploying the gear both ends of the mainline

were attached with radio buoy and flag pole with light buoy for easy location of the line. The sketch of the PLL gear accessories are depicted in fig.1.



**Figure 1** Accessories of pelagic longline gear and construction.



### 3. Survey Area

The survey areas

Area A: latitude 16°N-19°N, longitude 88°E-91°E

Area B: latitude 09°N-14°N, longitude 82°E-85°E

Area C: latitude 10°N-12°N, longitude 95°E-97°E

### 4. Survey Period

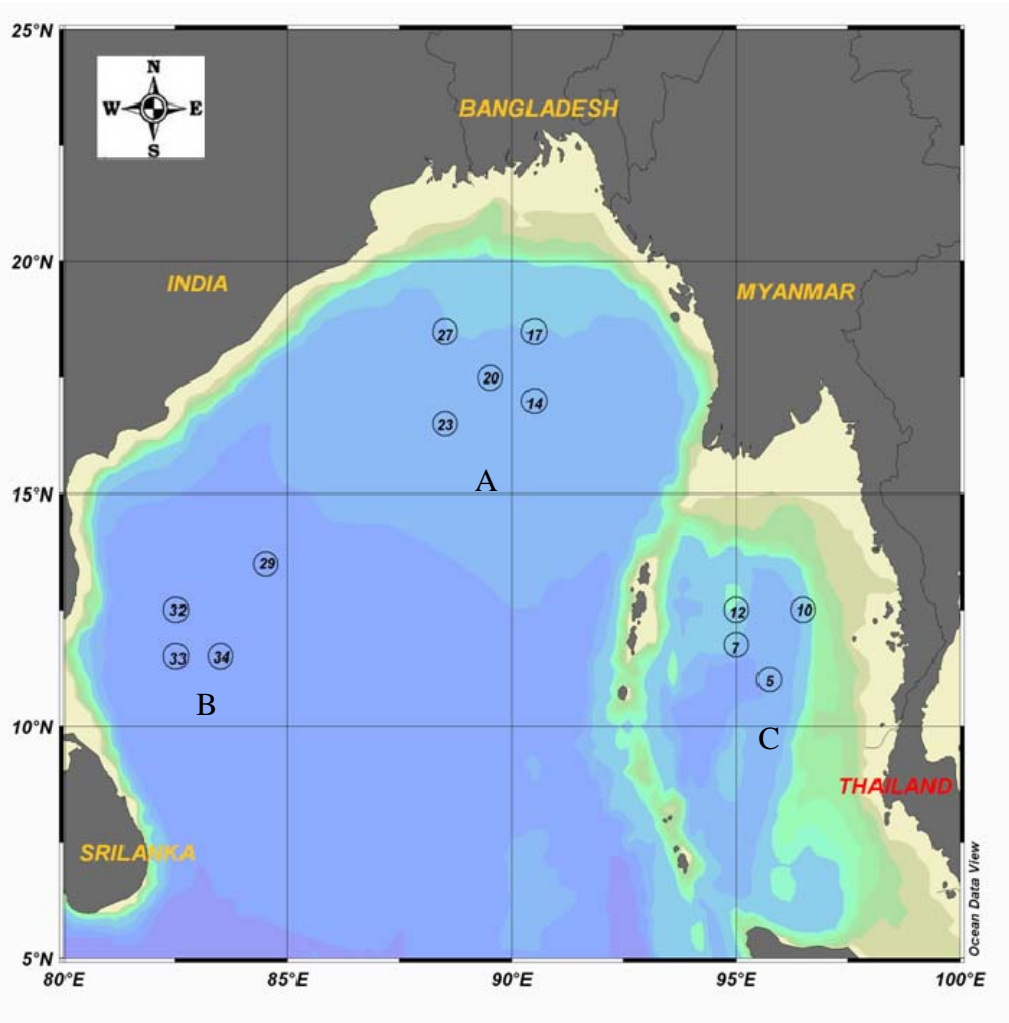
The pelagic longline survey was conducted within 18 different stations in three designated areas during 5 November to 4 December 2007.

### 5. Fishing Activity

Shooting operation was at dusk. Three different types of baits i.e., round scad (*Decapterus* sp.), milk fish (*Chanos chanos*) and Indian mackerel (*Rastrelliger kanagurta*) were used to mask the hook. Bait sizes were approximately 8-10 individuals per kilogram. Baitfish was hooked at the end of its skull to secure it fastened with the hook. Two types of tuna hooks were used during the survey operations i.e., 3.6 Sun tuna hook (known as 'J'hook) and stainless steel circle hook (No.14). Line shooter speed was calculated in relation to the vessel speed in order to maintain the mainline sac at proper fishing depth. From the temperature depth recorder (TDR) operated in every operation, the depth of the hook and temperature were recorded. The shooting of the PLL was done during the evening hours whereas the hauling of the line was carried out in the next day morning. The immersion time for the gear was more than 13 hours. After hauling the gear, the catch was identified up to species level and the morphometric characteristics (length and weight) of each specimen were measured on board. Oceanographic condition of each station was also observed using ICTD and recorded in oceanographic logsheet.

## Results

Thirteen fishing operations were carried out during the survey. The survey was mutually defined as area A: latitude 16°N-19°N and longitude 88°E-91°E (5 stations), area B: latitude 9°N-14°N and longitude 82°E-85°E (4 stations), area C: latitude 10°N-12°N and longitude 95°E-97°E (4 stations) as shown in fig.2. The depth of the sea at the survey stations varied between 1,128 m and 3,525 m. About 303 to 520 hooks were used in each PLL operation and hook depth varied between 40-300 m. Total numbers of 6,277 hooks were deployed over the survey areas. The mainline length ranging 13,004 m to 21,897 m was paid out in all PLL operations.



**Figure 2** Map depicting the survey stations of pelagic longline.

The details of the results were summarized in table 1 and 2. A total of 77 numbers weighing about 1,754.65 kg were caught during the survey. The catch was identified into 12 families, 16 genera and 17 species. The species caught were yellowfin tuna (*Thunnus albacares*), swordfish (*Xiphias gladius*), black marlin (*Makaira indica*), pelagic thresher shark (*Alopias pelagicus*), bigeye thresher shark (*Alopias superciliosus*), longnose houndshark (*Iago garricki*), silky shark (*Carcharhinus falciformis*), tiger shark (*Galeocerdo cuveri*), pelagic stingray (*Pteroplatytrygon violacea*), lancet fish (*Alepisaurus ferox*), great barracuda (*Sphyreana barracuda*), giant trevally (*Caranx ignobilis*), dolphinfish (*Coryphaena hippurus*), sailfish (*Istiophorus platypterus*), roudi escolar (*Promethichthys prometheus*), snake mackerel (*Gempylus serpens*) and escolar (*Lepidocybium flavobrunneum*). Regarding to the catch by station, the highest catch of 16 numbers weighing 362.0 kg was obtained at the station 7 in area C.

**Table 1** Results of the pelagic longline operation.

Area Station	Position		Sea depth	Hook depth	Total	Total catch	Tuna	Swordfish	Shark	Others	
	Latitude	Longitude	(m)	(m)	hooks	No./kg	No./kg	No./kg	No./kg	No./kg	
C	5	11°05'.80 N	095°41'.80E	2513	60-200	495	4/6.85	0	0	0	4/6.85
	7	11°46'.00 N	094°58'.90E	2841	60-130	510	16/362.00	1/2	4/221	3/117	8/22
	10	12°34'.30 N	096°26'.70E	1128	50-220	510	7/285.60	0	2/102	3/173	2/10.6
	12	12°30'.30 N	094°59'.70E	200-1418	60-150	330	13/309.10	0	7/264	2/24	4/21.1
A	14	16°55'.60 N	090°25'.90E	2535	40-80	510	5/107.40	2/73	1/30	1/3.3	1/1.1
	17	18°31'.10 N	090°26'.70E	2005	50-80	510	9/79.10	0	0	6/61.4	3/17.7
	20	17°31'.50 N	089°28'.20E	2249	40-80	519	2/52.50	0	0	1/40	1/12.5
	23	16°30'.70 N	088°24'.50E	2633	80-300	510	4/38.60	0	1/26	0	3/12.6
	27	18°30'.40 N	088°28'.30E	2082	80-230	520	0/0.00	0	0	0	0
	29	13°30'.00 N	084°30'.1E	3221	60-200	520	4/186.50	0	1/11.5	3/175	0
B	32	12°32'.90 N	082°24'.90E	3425	60-190	520	5/167.80	0	2/24	2/139	1/4.8
	33	11°31'.80 N	082°26'.10E	3525	70-250	520	5/121.50	0	2/17.5	2/101	1/3
	34	11°29'.60 N	083°28'.10E	3470	60-240	303	3/37.70	0	2/34	1/3.7	0
					6,277	77/1,754.65	3/75	22/730	24/837.4	28/112.25	

**Species inventory:**

Tuna; yellowfin tuna (*Thunnus albacares*)  
 Swordfish; swordfish (*Xiphias gladius*), black marlin (*Makaira indica*)  
 Shark; thresher shark (*Alopias pelagicus*), bigeye thresher shark (*Alopias superciliosus*), longnose houndshark (*Iago garricki*), silky shark (*Carcharhinus falciformis*), tiger shark (*Galeocerdo cuveri*)  
 Others; pelagic stingray (*Pteroplatytrygon violacea*), lancet fish (*Alepisaurus ferox*), great barracuda (*Sphyreana barracuda*), giant trevally (*Caranx ignobilis*), dolphinfish (*Coryphaena hippurus*), sailfish (*Istiophorus platypterus*), roudi escolar (*Promethichthys prometheus*), snake mackerel (*Gempylus serpens*), escolar (*Lepidocybium flavobrunneum*)

**Table 2** Species list of fishes caught by pelagic longline separated by area.

No.	Family	Scientific Name	Area			Remark
			A	B	C	
1	Dasyatidae	<i>Pteroplatytrygon violacea</i>	/*		/	
2	Alopiidae	<i>Alopias pelagicus</i>			/	
3	Alopiidae	<i>Alopias Superciliosus</i>		/	/	
4	Triakidae	<i>Iago garricki</i>	/			
5	Carcharhinidae	<i>Carcharhinus falciformis</i>	/	/	/	
6	Carcharhinidae	<i>Galeocerdo cuvieri</i>			/	Escape
7	Alepisauridae	<i>Alepisaurus ferox</i>	/	/		
8	Sphyrnidae	<i>Sphyrna baracuda</i>	/	/		
9	Carangidae	<i>Caranx ignobilis</i>			/	
10	Coryphaenidae	<i>Coryphaena hippurus</i>	/		/	
11	Istiophoridae	<i>Istiophorus platypterus</i>	/			
12	Istiophoridae	<i>Makaira indica</i>			/	
13	Xiphiidae	<i>Xiphias gladius</i>	/	/	/	
14	Scombridae	<i>Thunnus albacares</i>	/		/	
15	Gempylidae	<i>Promethichthys prometheus</i>			/	
16	Gempylidae	<i>Gempylus serpens</i>	/		/	
17	Gempylidae	<i>Lepidocybium flavobrunneum</i>			/	

/\* occur

The station wise catch composition and the average size were shown in table 3 and 4 respectively. From table 3 it showed that swordfish dominated the catch by weight 650.0 kg (37.044%) followed by bigeye thresher shark 641.0 kg (36.531%), silky shark 130.3 kg (7.426%) and yellowfin tuna 75.0 kg (4.274%). From table 4 it appeared that swordfish also dominated the catch by number and, likewise, followed by bigeye thresher and silky shark. It was rather disappointing that only 3 individuals of yellowfin tuna were obtained throughout the survey period. The fork length of yellowfin tuna ranged from 52-140 cm with an average length 109.7 cm and weighing about 2-38 kg with an average weight 25 kg. The size of swordfish ranged by weight from 5-100 kg with an average weight 30.95 kg, and the total length ranging 129-295 cm with an average length 170.3 cm. Only one black marlin with total length 276 cm and weight about 80 kg was caught during this survey.

**Table 3** Station-wise and species-wise average weight (kg) obtained by pelagic longline.

Scientific name	Stations														%	Average	min.	max	
	5	7	10	12	14	17	20	23	27	29	32	33	34	Total					
<i>Pteroplatytrygon violacea</i>	2.50	2.20	9.50	3.00				10.60							27.80	1.5844	4.6333	2.20	9.50
<i>Alopias pelagicus</i>		34.00													34.00	1.9377	34.0000	34.00	34.00
<i>Alopias superciliosus</i>		53.00	173.00						175.00	139.00	101.00				641.00	36.5315	58.2727	31.00	100.00
<i>Iago garricki</i>						2.10									2.10	0.1197	2.1000	2.10	2.10
<i>Carcharhinus falciformis</i>				24.00	3.30	59.30	40.00						3.70		130.30	7.4260	13.3000	3.30	40.00
<i>Galeocerdo cuveri</i>		30.00													30.00	1.7097	30.0000	30.00	30.00
<i>Alepisaurus ferox</i>								2.00					3.00		5.00	0.2850	2.5000	2.00	3.00
<i>Sphyreana barracuda</i>						3.90					4.80				8.70	0.4958	43.5000	39.00	48.00
<i>Caranx ignobilis</i>				15.60											15.60	0.8891	7.8000	7.60	8.00
<i>Coryphaena hippurus</i>				2.50		13.00									15.50	0.8834	7.7500	2.50	13.00
<i>Istiophorus platyurus</i>							12.50								12.50	0.7124	12.5000	12.50	12.50
<i>Makaira indica</i>			80.00												80.00	4.5593	80.0000	80.00	80.00
<i>Xiphias gladius</i>		221.00	22.00	264.00	30.00		26.00		11.50	24.00	17.50	34.00			650.00	37.0444	30.9524	5.00	100.00
<i>Thunnus albacares</i>		2.00			73.00										75.00	4.2744	25.0000	2.00	38.00
<i>Promethichthys prometheus</i>		1.60													1.60	0.0912	1.6000	1.60	1.60
<i>Gempylus serpens</i>	2.70	4.20	1.10		1.10	0.80									9.90	0.5642	1.1750	0.80	1.50
<i>Lepidocybium flavobrunneum</i>	1.65	14.00													15.65	0.8919	3.9125	1.50	6.50
<b>Total</b>	6.85	362.00	285.60	309.10	107.40	79.10	52.50	38.60	0.00	186.50	167.80	121.50	37.70	1,754.65	100.0000	22.7877		0.80	100.00

**Table 4** Station-wise and species-wise average length (cm) obtained by pelagic longline.

Scientific name	Stations														No.	Average	min.	max	
	5	7	10	12	14	17	20	23	27	29	32	33	34	Total					
<i>Pteroplatytrygon violacea</i>	98.0	94.0	133.0	100.0				222.0							647.0	6	107.8	94.0	133.0
<i>Alopias pelagicus</i>		256.0													256.0	1	256.0	256.0	256.0
<i>Alopias superciliosus</i>		276.0	801.0						827.0	573.0	482.0				2,959.0	11	269.0	205.0	329.0
<i>Iago garricki</i>						80.0									80.0	1	80.0	80.0	80.0
<i>Carcharhinus falciformis</i>				252.0	85.0	571.0	178.0				93.6				1,179.6	10	118.0	85.0	178.0
<i>Galeocerdo cuveri</i>		0.0													0.0	1	0.0	0.0	0.0
<i>Alepisaurus ferox</i>								120.0				135.0			255.0	2	127.5	120.0	135.0
<i>Sphyreana baracuda</i>						88.0					88.5				176.5	2	88.3	88.0	88.5
<i>Caranx ignobilis</i>				184.0											184.0	2	92.0	92.0	92.0
<i>Coryphaena hippurus</i>				80.0		135.0									215.0	2	107.5	80.0	135.0
<i>Istiophorus platyurus</i>							194.0								194.0	1	194.0	194.0	194.0
<i>Makaira indica</i>			276.0												276.0	1	276.0	276.0	276.0
<i>Xiphias gladius</i>		1,012.0	212.0	954.0	215.0			210.0	162.0	160.0	297.0	354.0			3,576.0	21	170.3	129.0	295.0
<i>Thunnus albacares</i>		52.0			277.0										329.0	3	109.7	52.0	140.0
<i>Promethichthys prometheus</i>		76.0													76.0	1	76.0	76.0	76.0
<i>Gempylus serpens</i>	214.0	305.0	97.0		102.0	96.0									814.0	8	101.8	96.0	111.0
<i>Lepidocybium flavobrunneum</i>	60.9	239.0													299.9	4	75.0	60.0	92.0

**Table 5 Catch and catch rate in each station.**

Area	Station	No. of hook	Catch ( No./kg)				Catch rate ( No./kg/100 hooks)			
			Total	Tuna	Swordfish	Shark	Total	Tuna	Swordfish	Shark
	5	495	4/6.85	0	0	0	0.81/1.38	0	0	0
C	7	510	16/362.00	½	4/221	3/117	3.14/70.98	0.20/0.39	0.78/43.33	0.59/22.94
	10	510	7/285.60	0	2/102	3/173	1.37/56.00	0	0.39/20.00	0.59/33.92
	12	330	13/309.10	0	7/264	2/24	3.94/93.67	0	2.12/80.00	0.61/7.27
	Sub-total	1,845	40/963.50	½	13/587	8/314	2.17/52.22	0.05/0.11	0.70/31.82	0.43/17.02
	14	510	5/107.40	2/73	1/30	1/3.3	0.98/21.06	0.39/14.31	0.20/5.88	0.20/0.65
	17	510	9/79.10	0	0	6/61.4	1.76/15.51	0	0	1.18/12.04
A	20	519	2/52.50	0	0	1/40	0.39/10.16	0	0	0.19/7.71
	23	510	4/38.60	0	1/26	0	0.78/7.57	0	0.20/5.10	0
	27	520	0/0.00	0	0	0	0	0	0	0
	Sub-total	2,569	20/2,77.60	2/73	2/56	8/104.7	0.78/10.81	0.08/2.84	0.08/2.18	0.31/4.08
	29	520	4/186.50	0	1/11.5	3/175	0.77/35.87	0	0.19/2.21	0.58/33.65
B	32	520	5/167.80	0	2/24	2/139	0.96/32.27	0	0.38/4.62	0.38/26.73
	33	520	5/121.50	0	2/17.5	2/101	0.96/23.37	0	0.38/3.37	0.38/19.42
	34	303	3/37.70	0	2/34	1/3.7	0.99/12.44	0	0.66/11.22	0.33/1.22
	Sub-total	1,863	17/513.50	0	7/87	8/418.7	0.91/27.56	0	0.38/4.67	0.43/22.47
	Total	6,277	77/1,754.65	3/75	22/730	24/837.4	1.23/27.95	0.05/1.19	0.35/11.63	0.38/13.34

**Table 6 Catch result and data of temperature and depth in each station.**

St. no.	Date	Shooting				Hauling				Immersion time	Sea depth ( m )	Thermocline m/°C	TD No.1 m/°C	TD No.8/10 m/°C	Number of hook	Total catch (number)	Total catch weight(kg)	Hook rate ( % )	CPUE inds./ 1000 hooks				
		Start	Finish	Start	Finish	Start	Finish	Start	Finish														
5	10-11/Nov/07	Time	1820	Time	1936	Time	0720	Time	1010	13 hrs.	2,513	47-250 m	60m/27.5°C		495	4	6.9	0.81	<b>8.08</b>				
		lat.	11°05'.80 N	lat.	11°07'.10 N	lat.	11°11'.90 N	lat.	11°14'.00 N	50 min		28-10°C	200m/14°C										
		long.	095°41'.80E	long.	095°33'.10 E	long.	095°41'.90 E	long.	095°33'.70 E														
7	11-12/Nov/07	Time	1820	Time	1942	Time	0612	Time	0924	12 hrs.	2,841	40-215 m	60m/27.°C	130m/20°C	510	16	362.5	3.14	<b>31.37</b>				
		lat.	11°46'.00 N	lat.	11°51'.00 N	lat.	11°57'.20 N	lat.	11°55'.70 N	47 min		28.5-12.6°C											
		long.	094°58'.90E	long.	095°07'.10 E	long.	095°00'.80 E	long.	094°52'.30 E														
10	13-14/Nov/07	Time	1746	Time	1912	Time	0613	Time	1220	14 hrs.	1,128	50-180 m	50m/27.°C	200m/16°C	510	7	285.6	1.37	<b>13.73</b>				
		lat.	12°34'.30 N	lat.	12°42'.40 N	lat.	12°47'.20 N	lat.	12°43'.90 N	41 min		28.5-15.25°C											
		long.	096°26'.70E	long.	096°20'.00 E	long.	096°18'.80 E	long.	096°19'.50 E														
12	15-16/Nov/07	Time	1731	Time	1823	Time	0612	Time	0906	14 hrs.	200-1,418	70-250 m	60m/28.°C	150m/20°C	330	13	309.1	3.94	<b>39.39</b>				
		lat.	12°30'.30 N	lat.	12°30'.30 N	lat.	12°32'.70 N	lat.	12°33'.30 N	36 min		28.3-12.8°C											
		long.	094°59'.70E	long.	094°52'.90 E	long.	094°45'.70 E	long.	094°49'.40 E														
															<b>1,845</b>	<b>40</b>	<b>964.1</b>	<b>2.17</b>	<b>21.68</b>				
14	17-18/Nov/07	Time	1731	Time	1847	Time	0646	Time	1005	14 hrs.	2,353	50-220 m	40m/28.0°C	80m/26°C	510	5	107.4	0.98	<b>9.80</b>				
		lat.	16°55'.60 N	lat.	16°46'.70 N	lat.	16°53'.60 N	lat.	17°00'.10 N	35 min		28.5-13.3°C											
		long.	090°25'.90E	long.	090°21'.10 E	long.	090°13'.80 E	long.	090°16'.60 E														
17	19-20/Nov/07	Time	1732	Time	1847	Time	0645	Time	1015	14 hrs.	2,005	50-240 m	50m/27.5°C	80m/26°C	510	9	79.1	1.76	<b>17.65</b>				
		lat.	18°31'.10 N	lat.	18°23'.00 N	lat.	18°22'.10 N	lat.	18°23'.40 N	21 min		28.4-12.4°C											
		long.	090°26'.70E	long.	090°26'.40 E	long.	090°34'.70 E	long.	090°38'.60 E														
20	21-22/Nov/07	Time	1800	Time	1920	Time	0645	Time	1030	13 hrs.	2,249	22-280 m	40m/27.5°C	80m/26°C	519	2	52.5	0.39	<b>3.85</b>				
		lat.	17°31'.50 N	lat.	17°24'.80 N	lat.	17°25'.50 N	lat.	17°31'.80 N	57 min		28.3-11.7°C											
		long.	089°28'.20E	long.	089°24'.60 E	long.	089°25'.70 E	long.	089°31'.20 E														
23	23-24/Nov/07	Time	1731	Time	1910	Time	0645	Time	1027	14 hrs.	2,633	50-240 m	80m/23.0°C	300m/12°C	510	4	38.6	0.78	<b>7.84</b>				
		lat.	16°30'.70 N	lat.	16°22'.10 N	lat.	16°21'.10 N	lat.	16°27'.90 N	1 min		28.4-12.4°C											
		long.	088°24'.50E	long.	088°20'.30 E	long.	088°16'.10 E	long.	088°16'.90 E														
27	25-26/Nov/07	Time	1730	Time	1850	Time	0654	Time	0957	14 hrs.	2,082	47-220 m	85m/21.5°C	230m/13°C	520	0	0.0	0.00	<b>0.00</b>				
		lat.	18°30'.40 N	lat.	18°28'.90 N	lat.	18°31'.70 N	lat.	18°33'.70 N	9 min		27.8-12.5°C											
		long.	088°28'.30E	long.	088°18'.50 E	long.	088°22'.10 E	long.	088°32'.20 E														
															<b>2,569</b>	<b>20</b>	<b>277.6</b>	<b>0.78</b>	<b>7.79</b>				



**Table 6 (Cont.)**

St. no.	Date	Shooting				Hauling				Immersion time	Sea depth ( m )	Thermocline m/°C	TD No.1 m/°C	TD No.8/10 m/°C	Number of hook	Total catch (number)	Total catch weight(kg)	Hook rate ( % )	CPUE inds./ 1000 hooks
		Start	Finish	Start	Finish	Start	Finish	Start	Finish										
29	28-29/Nov/07	Time	1803	Time	1921	Time	0702	Time	1000	13 hrs.	3,221	30-200 m	N/R	200m/13°C	520	4	186.5	0.77	<b>7.69</b>
		lat.	13°30'.00 N	lat.	13°24'.80 N	lat.	13°24'.40 N	lat.	13°29'.00 N	49 min		28.9-13.8°C							
		long.	084°30'.1E	long.	084°22'.20 E	long.	084°29'.60 E	long.	084°38'.20 E										
32	1-2/Dec/07	Time	1827	Time	1954	Time	0718	Time	1023	13 hrs.	3,425	40-270 m	60m/24.5°C	190m/15°C	520	5	167.8	0.96	<b>9.62</b>
		lat.	12°32'.90 N	lat.	12°30'.40 N	lat.	12°34'.40 N	lat.	12°37'.50 N	49 min		28.2-12.4°C							
		long.	082°24'.90 E	long.	082°15'.70 E	long.	082°19'.90 E	long.	082°29'.50 E										
33	2-3/Dec/07	Time	1800	Time	1919	Time	0712	Time	1123	14 hrs.	3,528	N / R	70m/22.5°C	250m/12°C	520	5	121.5	0.96	<b>9.62</b>
		lat.	11°31'.80 N	lat.	11°32'.50 N	lat.	13°37'.70 N	lat.	11°35'.50 N	39 min									
		long.	082°26'.10 E	long.	082°17'.00 E	long.	082°21'.40 E	long.	082°19'.80 E										
34	3-4/Dec/07	Time	1828	Time	1916	Time	0710	Time	0855	13 hrs.	3,470	45-200 m	60m/23.0°C	240m/13°C	303	3	37.7	0.99	<b>9.90</b>
		lat.	11°29'.60 N	lat.	11°26'.250 N	lat.	11°22'.50 N	lat.	11°25'.50 N	22 min		28.2-14.2°C							
		long.	083°28'.10 E	long.	083°24'.40 E	long.	083°13'.70 E	long.	083°15'.20 E										
															<b>1,863</b>	<b>17</b>	<b>513.5</b>	<b>0.91</b>	<b>9.13</b>
															<b>6,277</b>	<b>77</b>	<b>1,755</b>	<b>1.23</b>	<b>12.27</b>

## Discussion and Conclusion

From the catch result, considering the catch rate or hooking rate (individuals/100 hooks) in table 5 and 6, it was found that the highest hooking rate 3.94% (individuals/100 hooks) was at station 12 whilst the highest catch was obtained at station 7 with 16 individuals of fish (362.0 kg). Looking over station 7 and 12 which were in area C and showed the best catch result during the survey, catch composition of these two stations were mostly swordfish aggregated 11 individuals from a total of 29 individuals and contributed 72.28% to the total catch weight. An overall average hooking rate of 1.23% was obtained during the survey, out of which the average hooking rate of yellowfin tuna, swordfish and sharks were 0.05%, 0.35% and 0.38% respectively. The area wise aggregated hooking rate appeared that area C ranked on the top with 2.17% followed by area B 0.91% and area A 0.78%. One yellowfin tuna was caught from area C at latitude 11°N longitude 94°E and two from area A at latitude 16°N longitude 90°E.

Regarding to the catch composition, swordfish dominated the total catch with 650.0 kg by weight (37.044%) followed by bigeye thresher 641.0 kg (36.531%), silky shark 130.3 kg (7.426%) and yellowfin tuna 75.0 kg (4.274%). When consider to the catch in number, it was apparent that swordfish also came out on the top followed by bigeye thresher and silky shark. Takahashi *et al.*(2005) and Brill *et al.*(2005) found that swordfish swim in could water (3-6°C) during daytime at depth of up to 650 m and migrate vertically to stay near the warmer surface water (21-26°C) at night. By integrated consideration the catch results and the physical property of the sea on the temperature and depth, it was found that the temperature at hook depth for swordfish was between 20-28°C which covered the temperature range of their diurnal migration behavior.

Referring to Poison and Taquet (2000) CPUE (catch per unit effort = individuals/1000 hooks) from French's commercial swordfish longline fleet that operated over 4 million hooks in the southwest Indian Ocean each year, CPUE declined continuously from 16 in 1994 to 8 number of fish per 1,000 hooks in 1999. When comparing to the catch result in this survey area, especially at station 7 and 12, the CPUE of swordfish, which were 7.8 and 21.2 respectively, it indicated the high potential yield for swordfish longline fishing. For tunas, it was apparent that there were only 3 individuals (total weight 75 kg) of yellowfin tuna caught during the survey period, at station 7 and 14. Catch rate for tuna was only 0.05% which was similar to result of the last survey by SEAFDEC in the Andaman Sea, in November 2004. That survey deployed a total of 3,871 hooks in 7 fishing operations and two individuals tunas were caught weighing 45 kg and 64 kg. The catch rate was also reported 0.05% (Prajakjitt, 2004). During the year 1987-1990 tuna resource surveys using tuna longline in the eastern Indian Ocean were conducted, the results showed the total catches 12,169.6 kg were obtained from 69,949 hooks and the CPUE of total catch was 8.93 individuals/1,000 hooks. Thus tunas were dominant species which constituted 52.16% of the total catch. The CPUE of tunas was 4.64 of which 3.04 belonging to yellowfin tuna (Tantivala, 1991).

From thirteen fishing operations in this survey it may be too few operations to conclude that tunas are less abundant in this area. RV Chulabhorn of the Department of Fisheries, Thailand, has been surveyed tuna resource in the Andaman Sea within the the EEZ of Thailand in December 1999. The survey using tuna longline deployed totally 3,360 hooks in 7 stations and 27 individuals of yellowfin tuna were caught with total weight 775 kg. The average catch rate was 0.80% to the total (Uttayamakul, 2001). Thus this information confirms the distribution of yellowfin tuna in the Andaman Sea however it may be low season in December.

For further research survey, daytime fishing operation and increasing number of hook line per basket in order to cover wider range of fishing depth are suggested to ascertain about the abundance of tuna resource in the Bay of Bengal.

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## Marine Resource Surveys by Drift Gill Net in the Bay of Bengal

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### Abstract

This paper presented the first time survey of marine resources in the Bay of Bengal in terms of catch composition; Catch per unit effort (CPUE) and catch per unit area (CPUA) by drift gill net (DGN). The survey was conducted in eight stations of three sub-areas during October–December 2007 under the mission of BIMSTEC collaborative project. The fishery research vessel, M.V. SEAFDEC (cruise No. 75-1/2007) was deployed in the proposed survey. A total catch of 137.60 kg from 108 fishing hours composed of 15 fish species (99.60% by weight) and one piece of diamondback squid (Thysanoteuthidae: *Thysanoteuthis rhombus*) was captured (0.40% by weight). The highest catch species of marine resources was skipjack tuna, *Katsuwonus pelamis*, (42.96% by weight). The CPUE was ranged from 0.15 to 2.08 kg h<sup>-1</sup> and gave the average of 1.22 kg h<sup>-1</sup>. The CPUA was ranged from 1.297x10<sup>-4</sup> to 1.651x10<sup>-3</sup> kg m<sup>-2</sup> of net area and gave the average of 8.809x10<sup>-4</sup> kg m<sup>-2</sup> of net area. Average catch was not shown significant different among the three survey areas. Skipjack tuna was also the most important economical species (66.72% IRI) and widely distributed in the survey area especially in area A. Silky shark (*Carcharhinus falciformis*), which was the second important (10.55% IRI), was distributed only in area C whereas frigate tuna (*Auxis thazard thazard*), which was the third important (7.47% IRI), was distributed only in area A.

**Key words:** catch composition, marine resources, drift gill net, Bay of Bengal, BIMSTEC

### Introduction

Drift netting is a fishing technique where nets, called drift nets, are allowed to drift free in a sea or lake. Usually a drift net is a gill net with floats attached to a rope along the top of the net, and weights attached to another rope along the foot of the net. Drift net can range in length from 25 m to 2.5 miles. ([http://en.wikipedia.org/wiki/Drift\\_net](http://en.wikipedia.org/wiki/Drift_net)) The nomenclature of drift net or drift gill net depends on the target species. The common species are mackerel, flying fish, tuna and tuna-like, manta ray and other pelagic species. These fish species require different mesh and twin sizes as well as material to maximize catch. For mackerel, flying fish, sardines and other small pelagic species the nets are made of nylon monofilament of 0.20 mm

to 0.40 mm diameter with mesh size from 25 mm to 90 mm. For tuna species, the material is nylon multifilament PA 210/12 to 210/18 in the main webbing whole iron rings and/or thicker multifilament nettings (210/30 to 210/36) are used as weights. The mesh size ranges from 50 mm to 90 mm. There are 10 to 20 meshes of thicker netting acting as weights in the lower portion of the webbing. ( [http://map.seafdec.org/Monograph/project/gill\\_net\\_2.php](http://map.seafdec.org/Monograph/project/gill_net_2.php) )

Drift nets have been commonly used by many countries in the coastal waters. This type of net was heavily used by many Japanese, South Korean and Taiwanese fishing fleets on the high seas in the 1980s to target tunas. Generally, fish which are smaller than the meshes of the gill nets are able to pass through unhindered, while those which are too large to push their heads through the meshes as far as their gills are not retained. This gives a selectivity ogive which is skewed towards medium size fish, unlike active fishing gears such as trawl nets in which the proportion of fish entering the nets which are retained increases with length. Although highly selective with respect to size class of the fish captured, practically gill nets are blamed for the impact on non-target species particularly dolphins, turtles and seabirds. In 1993 gill nets were banned by the United Nations in international waters, although their use is still permitted within 200 nautical miles (400 km) of a coast. ( <http://en.wikipedia.org/wiki/Gillnet> )

However, in international waters which generally cover deep water areas, there are only a few types of fishing gear suitable for harvesting fishery resources. The important fishing gears suitable for fishing in deep water areas are purse-seine, pelagic longline and drift gill net (DGN). For pelagic fish commonly distribute in the upper layer, drift gill nets are widely deployed to catch these fishes because of the simplicity in operating. Thus, DGN is chosen to be one of the 3 types of fishing gear, besides pelagic longline and automatic squid jigging, for the survey and study of marine fishery resources in the Bay of Bengal and being the rationale of the sub-project on Marine Resource Surveys by Drift Gill Net in the Bay of Bengal. This sub-project aims to assess the potential of marine fishery resources captured by DGN in terms of species and catch composition, catch per unit effort (CPUE) and stock abundance in term of index of relative importance (IRI). The result from this research will support a useful background for effective fishery resource management in the Bay of Bengal. Furthermore, it will improve capabilities in fish stock assessment of the biologists and researchers in the member countries as well as to develop the academic ability in training the staff of the Faculty of Fisheries, Kasetsart University and establish a good collaboration among member countries in research and academic activities.

## **Materials and Methods**

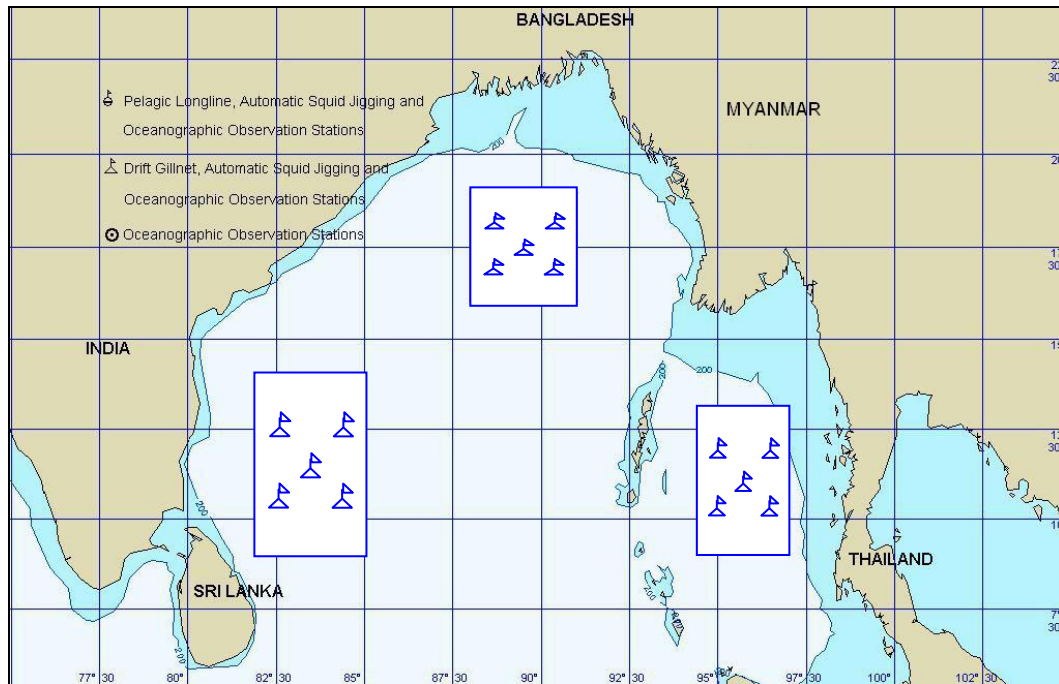
### **Area of Fishing Operation**

The study was carried out in the Bay of Bengal during 25 October to 21 December 2007. Three sub-areas were defined namely area A: latitude 16°N-19°N and longitude 88°E-91°E (5 stations); area B: latitude 9°N-14°N and longitude 82°E-85°E (5 stations); and area C: latitude 10°N-12°N and longitude 95°E-97°E (5 stations) (Fig. 1).

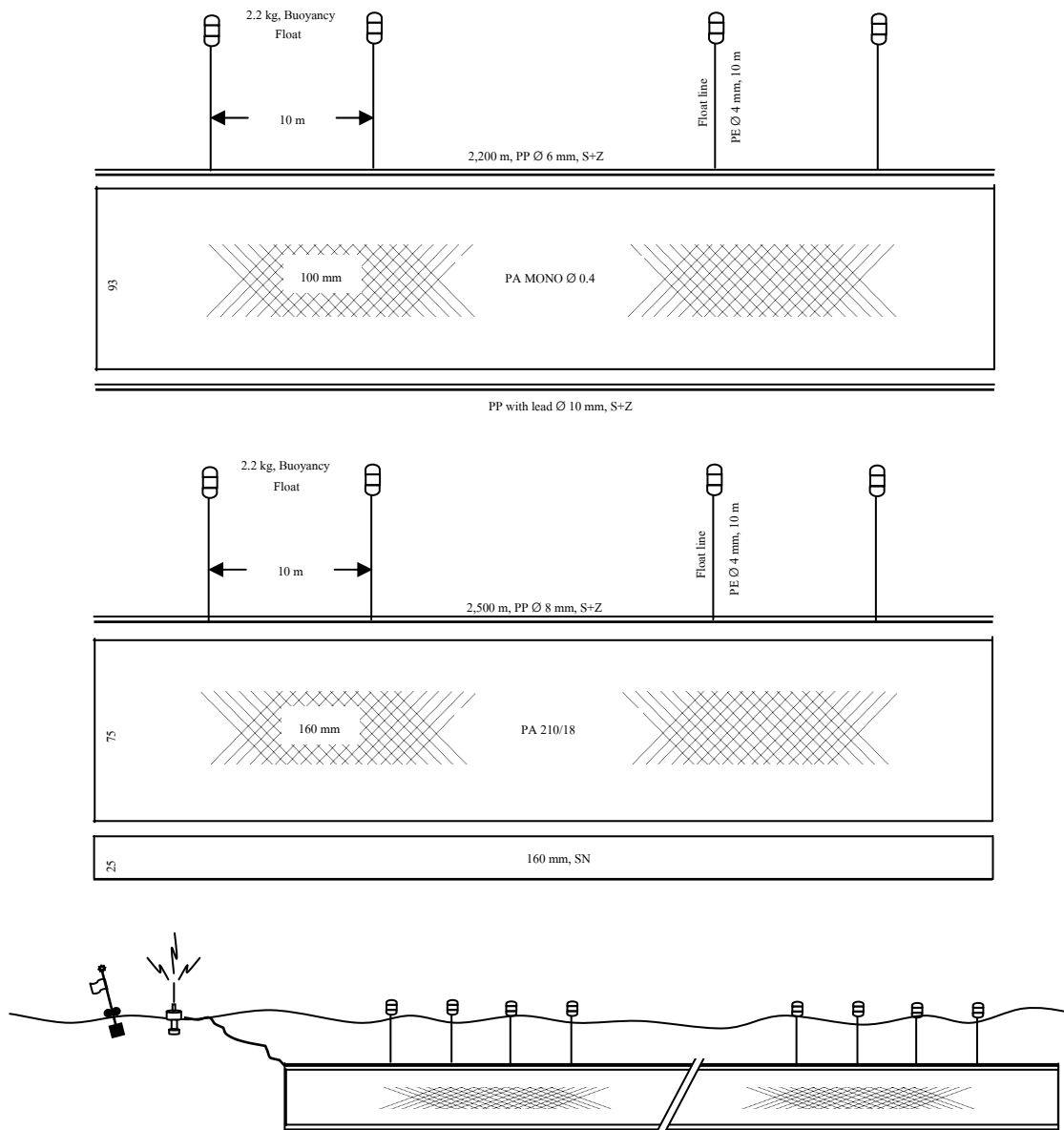
### **Fishing Gear**

The fishery research vessel, M.V. SEAFDEC, of the Southeast Asian Fisheries Development Center (SEAFDEC) was used in this study. Field sampling was conducted using two types of net materials for drift gill net (DGN), monofilament and multifilament nylon twines. Total length of monofilament DGN was 2,200 m with 100 mm of mesh size and 93 meshes at depth. Multifilament DGN was 2,500 m with 160 mm of mesh size and 100 meshes

at depth. Net material composition was separated into 2 types: the first 75 meshes from the head rope was polyamide (PA) and 25 meshes left was Saran. On the head rope of both nets, a float line made by polyethylene (4.0 mm diameter) with a plastic float (350 mm length, 95 mm diameter) was attached at every 10 m interval to keep the net floating. The foot rope composed of polypropylene (PP) (10 mm diameter) combination with lead which also acted as a sinker for stretching the net vertically. Radio buoys, flag and light buoys were attached to the end of the head rope at both sides for marking the net location. The sketch diagrams of DGN were described in fig. 2.



**Figure 1** The assigned survey areas.



**Figure 2** Drift gill net diagrams.

DGN was started shooting at the sun down and leaved overnight. The hauling was started in the next morning around 6.00 A.M. Depth of each sampling station and the immersion period were recorded.

### Field Work and Data Collection

After marine resources were on board, identification was made at the species level. Overall fish size: total length (TL), fork length (FL), standard length (SL), head length (HL) and girth length (GL) were measured to the nearest 0.1 cm. and body weight (BW) was also recorded to the nearest 0.1 g. Primary sexual characters of the fishes were determined by dissecting. Testes were classified into two stages whereas ovaries were classified at least four stages of development. Ripening ovaries were collected in zip-log bags and deep frozen for further analyzed at laboratory. The data were recorded separately by sampling stations and areas.

## Laboratory Study

Paired ovaries were carefully removed from zip-log bags, washed, cleaned with distilled water. Blotting paper was used to help the ovaries as dried as possible before weighting by electronic weighting machine to the nearest 0.01 g. Ovaries were fixed in 10% of buffered formaldehyde solution, shaken vigorously and stored in the dark at least fortnight. Then eggs were counted gravimetrically (Bagenal and Brown, 1978).

## Data Analyses

### 1. Species and catch compositions:

Species composition was calculated in terms of percentages by weight and number. Catch per unit effort (CPUE) was calculated in term of weight per immersion period ( $\text{kg h}^{-1}$ ). Catch per unit area (CPUA) was estimated in term of weight per net area ( $\text{kg m}^{-2}$ ).

To avoid the zero-values in computing the mean and confidence limit of the mean, CPUE and CPUA were transformed applied from Emerson and Stoto (1983) as:

$$Y_i = \ln(x + \text{CPUE}/\text{CPUA})$$

Where  $x$  is a constant value that makes  $Y_i$  be positive.

One-way ANOVA was used for comparing the catches among three sub-areas.

### 2. Stock abundance and distribution:

The percentage of index of relative importance (% IRI; Green, 1979; Pinkas *et al.*, 1971) was applied to identify the importance of species in the community as:

$$\% \text{IRI} = \frac{(\%W_i + \%N_i)\%F_i}{\sum_{i=1}^n \{(\%W_i + \%N_i)\%F_i\}} \times 100$$

Where %W, %N were percentages in weight and percentage in number of the  $i^{\text{th}}$  species, and %F was percentage in frequencies of occurrence of each species.

### 3. Fecundity:

Absolute fecundity was estimated on the basis of total weight of ovaries. The fecundity was obtained using the following ratio (Le Cren, 1951).

$$F = \frac{\text{No. of samples eggs} \times \text{Gonad weight}}{\text{Sample weight}};$$

and gonadosomatic index (GSI) was estimated from the formula:

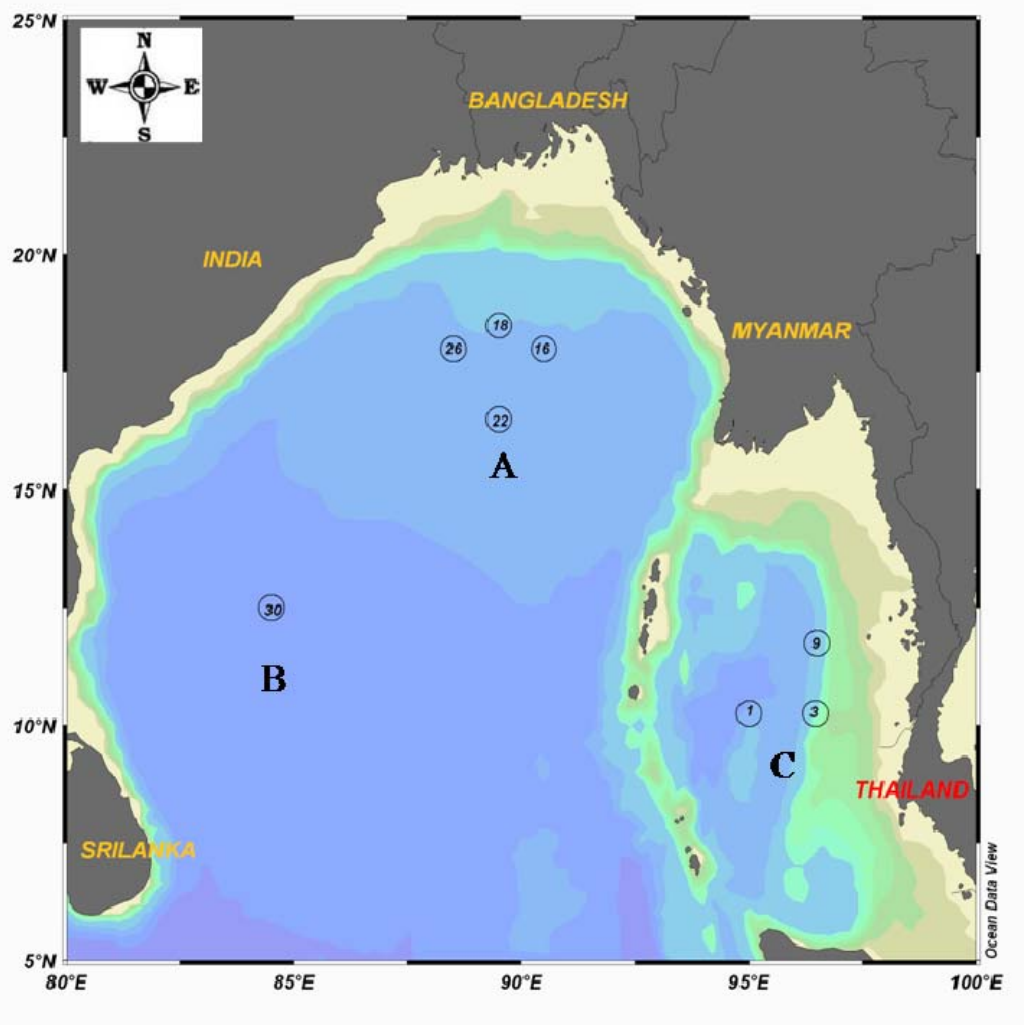
$$\text{GSI} = \frac{\text{Weight of ovary}}{\text{Fish body weight}} \times 100$$



## Results and Discussion

At the first 15 stations, five stations per sub-areas, were assigned to operate by DGN. During the survey, the cyclone disaster ‘Sidr’ affected to the sea condition so rough that the survey stations had been skipped out for safety. Practically DGN could only be operated in eight sampling stations: three in area C, four in area A and one in area B, respectively (Fig. 3).

Multifilament net was operated only both at the first and the second stations. According to the lack of sinkers in multifilament net, it was found that the net could not fully expand. Consequently, the monofilament net was used in the left six stations.



**Figure 3** The practical DGN survey stations.

### Species Composition

Total catch from this study was separated into two major groups: fishes and invertebrate. For fish composition, 15 species in 9 families were identified. For invertebrate, only one piece of diamondback squid, *Thysanoteuthis rhombus* Troschel, 1857 was identified (Table 1).

**Table 1** Species list of marine resources in the Bay of Bengal separated by operations and area.

No.	Family	Species	Operation								
			Area C			Area A				Area B	
			1	2	3	4	5	6	7	8	
1	Carcharidae	<i>Carcharhinus falciformis</i> (Müller & Henle, 1839)		✓					✓	✓	✓
2	Carangidae	<i>Caranx tille</i> Cuvier, 1833		✓							
3	Nomeidae	<i>Psenes cyanophrys</i> Valenciennes, 1833		✓							
4	Coryphaenidae	<i>Coryphaena equiselis</i> Linnaeus, 1758		✓	✓	✓				✓	
5		<i>Coryphaena hippurus</i> Linnaeus, 1758	✓							✓	
6	Echeneidae	<i>Remora remora</i> (Linnaeus, 1758)				✓					
7	Scombridae	<i>Auxis rochei rochei</i> (Risso, 1810)							✓		
8		<i>Auxis thazard thazard</i> (Lacepède, 1800)				✓	✓	✓			
9		<i>Euthynnus affinis</i> (Cantor, 1849)	✓			✓					
10		<i>Katsuwonus pelamis</i> (Linnaeus, 1758)	✓	✓		✓	✓	✓	✓	✓	✓
11		<i>Thunnus albacares</i> (Bonnatere, 1788)	✓								
12		<i>Thunnus obesus</i> (Lowe, 1839)	✓		✓				✓		
13	Gempylidae	<i>Ruvettus pretiosus</i> Cocco, 1833									✓
14	Xiphiidae	<i>Xiphias gladius</i> Linnaeus, 1758									✓
15	Lobotidae	<i>Lobotes surinamensis</i> (Bloch, 1790)									✓
16	Thysanoteuthidae	<i>Thysanoteuthis rhombus</i> Troschel, 1857							✓		

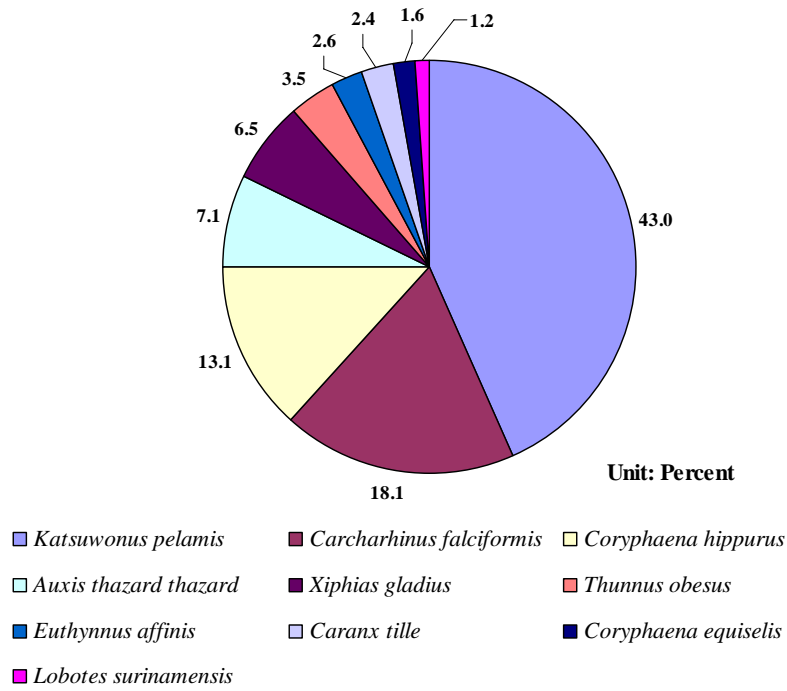
A total number of 93 individuals weighing about 137.6 kg from 108 h 8 min of fishing hours (immersion period) were identified. Among the fish species, *Katsuwonus pelamis* dominated the catch by number followed by *Auxis thazard thazard*, *Coryphaena hippurus*, *Thunnus obesus*, *Euthynnus affinis*, *Carcharhinus falciformis*, *Coryphaena equiselis* etc. The catch by weight, on the other hand, *Katsuwonus pelamis* was the dominant species followed by *Carcharhinus falciformis*, *Coryphaena hippurus*, *Auxis thazard thazard*, *Xiphias gladius* etc (Fig. 5, Appendix 1). In addition, the catch of marine resources had a low positive correlation with water depth ( $r=0.27$ ).

### Catch Composition

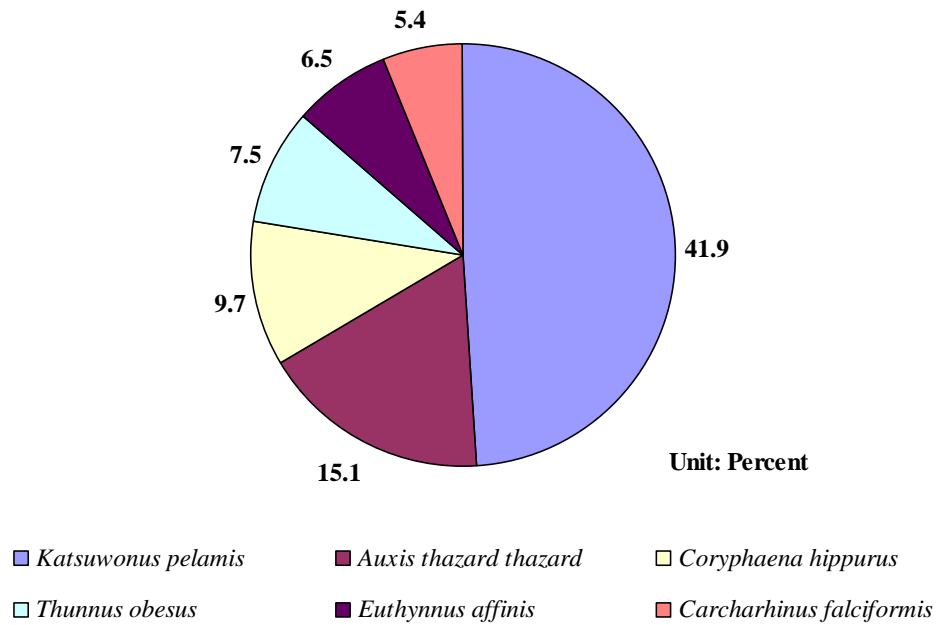
#### 1. Catch per unit effort (CPUE)

The CPUE was varied from 0.15 to 0.28 kg h<sup>-1</sup>. The seventh operation (station 26, area A) was the highest CPUE with 2.08 kg h<sup>-1</sup> (20.21%) even though 4 species of fish were caught. The second highest catch was the fourth operation (station 16, area A) with CPUE 2.04 kg h<sup>-1</sup> (19.83%) and composed of 5 fish species. The detail of catch composition was summarized in Appendix 2.

According to the low value of CPUE, the transformation was used by  $\ln(3+CPUE)$  to compute the mean and 95% C.I. The average CPUE was 1.22 kg h<sup>-1</sup> and gave the 95% C.I. of  $0.61 \leq \bar{U} \leq 1.94$  kg h<sup>-1</sup>.



a.



b.

**Figure 4** Species composition of dominant marine resources in the Bay of Bengal.  
 a. percentage by weight (top-ten)      b. percentage by number (top-six)

## 2. Catch per unit area (CPUA)

The net area of DGN in this study was estimated by rectangular area (length x depth). For the estimation of the net depth (D), hanging ratio (h) and number of meshes (n) was used as the following formula (Prado and Dremiere, 1990).

$$D = \sqrt{(1 - h^2)} \times (n \times m)$$

The hanging ratio of PA both in multifilament and monofilament were 0.5 while Saran was 0.47. Hence, the net area of multifilament was 34,807.44 m<sup>2</sup>. The net area of monofilament, however, was 14,722.43 m<sup>2</sup> for the net length of 1,700 m and 14,722.43 m<sup>2</sup> for the net length of 2,200 m.

The CPUA was ranged from 1.297x10<sup>-4</sup> to 1.651x10<sup>-3</sup> kg m<sup>-2</sup> of net area. According to the low value of CPUA, the transformation was used by ln(7 + CPUA) for computing the mean and 95% C.I. of mean. The average CPUA was 8.809x10<sup>-4</sup> kg m<sup>-2</sup> and gave the 95% C.I. of 3.923x10<sup>-4</sup> ≤  $\bar{A}$  ≤ 1.369x10<sup>-3</sup> kg m<sup>-2</sup>.

## 3. Area-based of catch composition

Overall, area-based of catch composition separated from survey stations were shown in appendix 3.

### 3.1 Area C:

DGN was operated in three survey stations, two for multifilament and one for monofilament. Nine species belong to five families of fish were caught in this area (Table 2). Total number of 17 fishes weighing about 28.91 kg were caught.

**Table 2** Catch composition of marine resources in area C.

No.	Family	Species	Catch			
			No.	%	W (g)	%
1	Carcharinidae	<i>Carcharhinus falciformis</i> (Müller & Henle, 1839)	1	5.88	12,200	42.21
2	Carangidae	<i>Caranx tille</i> Cuvier, 1833	1	5.88	3,300	11.42
3	Nomeidae	<i>Psenes cyanophrys</i> Valenciennes, 1833	1	5.88	260	0.90
4	Coryphaenidae	<i>Coryphaena equiselis</i> Linnaeus, 1758	2	11.76	280	0.97
5		<i>Coryphaena hippurus</i> Linnaeus, 1758	1	5.88	3,700	12.80
6	Scombridae	<i>Euthynnus affinis</i> (Cantor, 1849)	2	11.76	140	0.48
7		<i>Katsuwonus pelamis</i> (Linnaeus, 1758)	4	23.53	6,600	22.83
8		<i>Thunnus albacares</i> (Bonnaterre, 1788)	1	5.88	220	0.76
9		<i>Thunnus obesus</i> (Lowe, 1839)	4	23.53	2,205	7.63
<b>Total</b>			<b>17</b>		<b>28,905</b>	

### 3.2 Area A:

Monofilament net was operated in four survey stations. Nine species belongs to four families of fish and one individual of diamondback squid was caught in this area (Table 3). Total number of 67 fishes weighing about 82.50 kg was caught.

**Table 3** Catch composition of marine resources in area A.

No.	Family	Species	Catch			
			No.	%	W (g)	%
1	Carcharinidae	<i>Carcharhinus falciformis</i> (Müller & Henle, 1839)	3	4.41	10,570	12.73
2	Coryphaenidae	<i>Coryphaena equiselis</i> Linnaeus, 1758	2	2.94	1,880	2.26
3		<i>Coryphaena hippurus</i> Linnaeus, 1758	8	11.76	14,260	17.17
4	Echeneidae	<i>Remora remora</i> (Linnaeus, 1758)	1	1.47	560	0.67
5	Scombridae	<i>Auxis rochei rochei</i> (Risso, 1810)	1	1.47	320	0.39
6		<i>Auxis thazard thazard</i> (Lacepède, 1800)	14	20.59	9,770	11.76
7		<i>Euthynnus affinis</i> (Cantor, 1849)	4	5.88	3,430	4.13
8		<i>Katsuwonus pelamis</i> (Linnaeus, 1758)	33	48.53	40,860	49.20
9		<i>Thunnus obesus</i> (Lowe, 1839)	1	1.47	850	1.02
10	Thysanoteuthidae	<i>Thysanoteuthis rhombus</i> Troschel, 1857	1	1.47	550	0.66
<b>Total</b>			<b>68</b>		<b>83,050</b>	

### 3.3 Area B:

Monofilament DGN was operated in only one survey station due to stormy sea conditions. In one operation six species belong to six families of fish were caught in this area (Table 4). Total number of 6 fishes weighing about 23.85 kg were caught.

**Table 4** Catch composition of marine resources in area B.

No.	Family	Species	Catch			
			No.	%	W (g)	%
1	Carcharinidae	<i>Carcharhinus falciformis</i> (Müller & Henle, 1839)	1	16.67	2,200	9.22
2	Scombridae	<i>Katsuwonus pelamis</i> (Linnaeus, 1758)	2	33.33	11,650	48.85
3	Gempylidae	<i>Ruvettus pretiosus</i> Cocco, 1833	1	16.67	300	1.26
4	Xiphiidae	<i>Xiphias gladius</i> Linnaeus, 1758	1	16.67	8,900	37.32
5	Lobotidae	<i>Lobotes surinamensis</i> (Bloch, 1790)	1	16.67	800	3.35
<b>Total</b>			<b>6</b>		<b>23,850</b>	

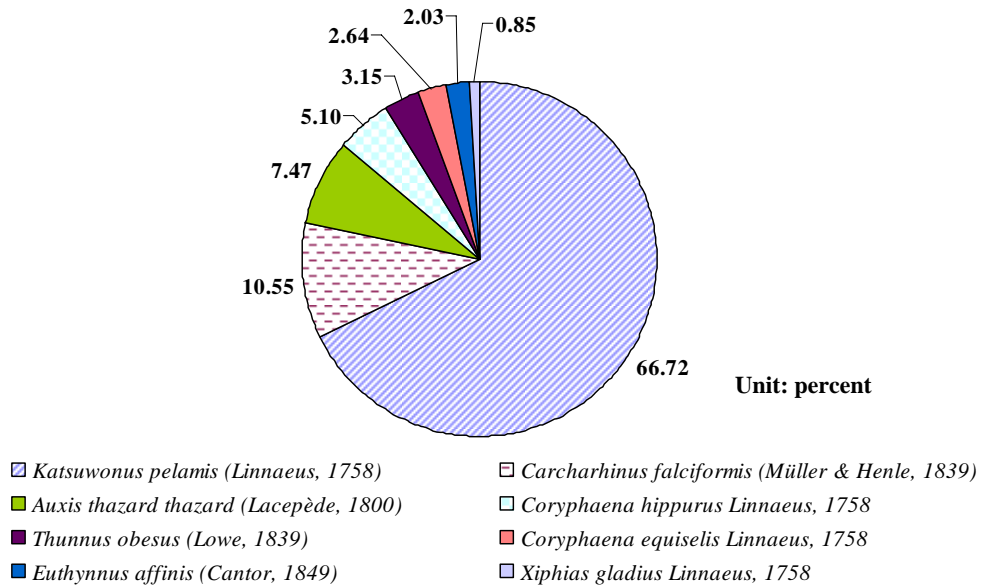
An ANOVA (single factor) was used to test the different catch among three sub-areas in term of CRD with unequal replication (Steel and Torrie, 1986). The result was not shown significantly different among the three sub-areas ( $p > 0.05$ ).

### Abundance and Distribution

Index of relative importance (IRI) was the first mentioned in the study on feeding ecology (Green, 1979; Pinkas *et al.*, 1971). This index shows how importance of food items in fish stomach followed by trophic level. Nowadays, the IRI was applied to explain how important of fish species in the community by multi-dimensions: percentage of weight, number and frequency of occurrence at the same time. IRI also applies for describing spatial stock abundance and distribution.

In this study, the IRI was used to examine the importance of marine resources captured by DGN both in holistic and station-based conditions. For holistic condition, IRI was estimated by summing the catch of all survey stations as represent to the Bay of Bengal

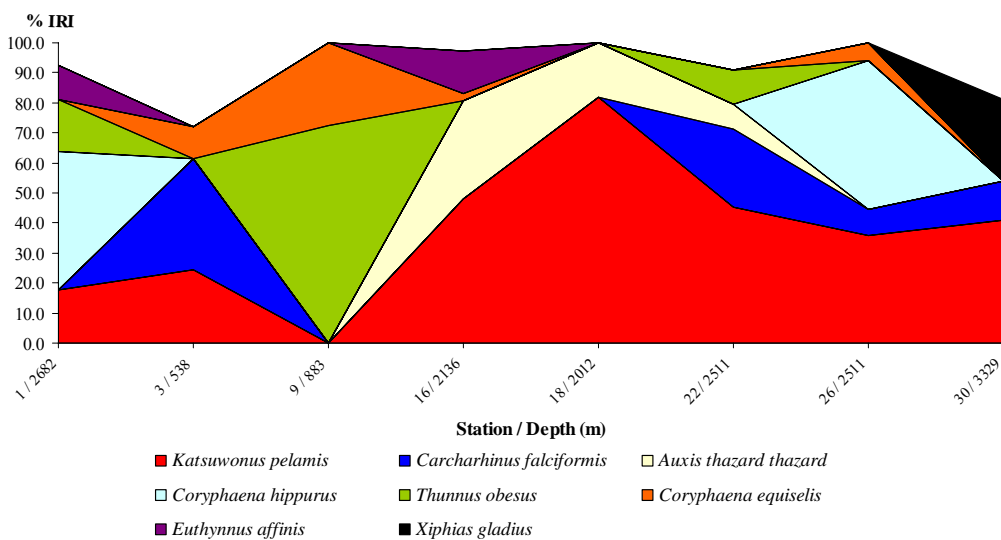
(Appendix 4). The top-eight important species in the Bay of Bengal was described as follow (Fig. 5):



**Figure 5** The top-eight important marine resources in the Bay of Bengal.

The result from fig. 5 revealed that, skipjack tuna was the most important species for DGN fishery in the Bay of Bengal. It can be occurred in every part of the survey area except in station 9 (area C). Silky shark was the second important species. It can be found in 4 survey stations from 3 sub-areas; area C in station 3, area A in station 22 and 26, and area B in station 30, respectively. In holistic view point, most of the important species in the Bay of Bengal were economic fishes.

The station-based IRI of the top-eight important species was explained as the following (Fig. 6):



**Figure 6** The station-based, top-eight important marine resources in the Bay of Bengal.

Fig. 6 shows the important of top-eight species according to survey stations and depths. It can be said that skipjack tuna (red colour), which was the most important species, distributed in every part of the survey area without any correlation with the depth whereas frigate tuna (yellow colour) was mainly important and distribute only in area A with the sea depth over 2,000 m but not more than 2,600 m. The distribution of silky shark (dark-blue colour) was more important in area A than the left but no correlate with the sea depth. Bigeye tuna (green colour) was more important in area C than area A and prefer to live in rather shallower water (<900 m) than other species as well as pompano dolphinfish (orange colour). In contrast with pompano dolphinfish, common dolphinfish (light-blue colour) seemed to prefer the deeper zone in area A and more important than pompano dolphinfish. Kawakawa (purple colour) distributed both in area A and area C in the same degree of important whereas swordfish (black colour) distributed only in area B which was the deepest sea. The area-based size distribution of skipjack tuna can be shown in table 5.

**Table 5** Size distribution of skipjack tuna captured by DGN in the Bay of Bengal.

Area	No. of capture	Size range (FL; cm)	Mean FL (cm)
C	3	17.6 – 68.0	34.6
A	34	35.8 – 51.4	40.8
B	2	66.0 – 70.0	68.0

From the table 5, it was found that small skipjack tuna distributed in area C and the biggest lived in area B. The movement of this species followed by size range seemed to start from area C to area A, then from area A to area B. For further study, it should be concerned on the migratory route supporting from reproductive biology of this species.

### Fecundity

There were 12 samples belonging to 2 species which were frigate tuna and common dolphinfish that could be collected to investigate the ripened ovaries (Table 6). For frigate tuna, all specimens were collected from area A. Fecundity ranged from 57,062 to 273,396 eggs, with a 95% C.I. of mean  $67,226 \leq 184,131 \leq 301,037$  eggs. The mean relative fecundity, however, was 233.59 eggs  $g^{-1}$  body weight. In overall, the size (SL) of frigate tuna in area A (16 pieces) ranged from 23.60 to 36.0 cm with the mean length at 32.11 cm whereas the specimen that gave the ripened ovaries have a size range from 31.5 to 34.5 cm. According to this species, it could be caught only in the area A with some gravid females, area A should be concerned for fishing activities. Nevertheless, the study on reproductive biology and exploring for spawning ground are needed for clarifying the management regime in the Bay of Bengal.

From NOAA ([http://www.nmfs.noaa.gov/habitat/habitat\\_protection/profile/westernpacific/frigate\\_tunahome.htm](http://www.nmfs.noaa.gov/habitat/habitat_protection/profile/westernpacific/frigate_tunahome.htm), 22 July 2008), it is revealed that frigate tuna has fecundity estimates from 78,000 to 717,900 eggs. It will be noted that, even though the fecundity of frigate tuna in this study was not different to NOAA mentioned, but the specimens to investigate were very low number and it need more specimens to study for better comparison.

**Table 6** Fecundity, GSI and relative fecundity of frigate tuna and common dolphinfish.*Auxis thazard thazard*

Area	Fecundity	GSI	Relative fecundity (eggs g <sup>-1</sup> )
A	217,556	3.92	255.95
A	57,062	0.88	71.33
A	114,847	2.08	176.69
A	273,396	4.91	341.75
A	257,794	5.21	322.24

*Coryphaena hippurus*

Area	Fecundity	GSI	Relative fecundity (eggs g <sup>-1</sup> )
A	34,765	0.19	8.82
A	354,928	3.40	236.62
A	338,393	2.71	169.20
A	259,761	2.48	185.54
A	232,184	3.82	145.12
A	124,941	2.47	96.11

For common dolphinfish, on the other hand, all six specimens were collected from Area A. Fecundity ranged from 34,765 to 354,928 eggs, with a 95% C.I. of mean  $93,765 \leq 224,162 \leq 354,560$  eggs. The mean relative fecundity, however, was 140.23 eggs g<sup>-1</sup> body weight. This result conformed to the study of Massuti and Morales-Nin (1997). They reported that the relative fecundity of common dolphinfish ranged from 71 to 197 eggs g<sup>-1</sup> body weight, with a mean value of  $120 \pm 31.3$  eggs g<sup>-1</sup>. The evidence of size distribution of oocytes, with at least two groups of oocytes in the ovaries, suggested that common dolphinfish was a multiple spawner.

In the study on fecundity of fish, it usually has a distinguishable different in the number of eggs at the same length, especially the large fish. Bagenal (1968) pointed out that large fish has a more variable number of eggs since the effect of multiple-spawner in one spawning season has occurred (Bagenal, 1966). Moreover, fecundity also varied with the seasons, climatic conditions, environmental habitat, nutritional status and genetic potential (Bromage *et al.*, 1992).

### Conclusions and Recommendations

It can be concluded from all aspects of this study that skipjack tuna was the dominant species not only in number and weight but also be the most important for DGN fishery in the Bay of Bengal. Most of the capturing fishes were economic species. Area A seemed to be the richest area with the highest degree of species diversity, CPUE and high number of female gravidity. The reproductive biology of some economic species should be prioritized before studying. Area B is the deepest zone, even the catch was very low according to the rough sea condition but the catch here seemed to be composed of the biggest sized fish. Moreover, the migratory routes of fishes among the three sub-areas around the Bay of Bengal should be given precedence to study as well.



The magnitude of the importance of marine resources from this study will serve the understanding of pelagic community in the Bay of Bengal. It will also be beneficial to the DGN fishery management in the future.

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**Appendix 1:** Species composition of marine resources by number and weight.

Family	Local Name	Species	Catch			
			No.	%	W (g)	%
Carcharinidae	Silky shark	<i>Carcharhinus falciformis</i> (Müller & Henle, 1839)	5	5.38	24,970	18.15
Carangidae	Tille trevally	<i>Caranx tille</i> Cuvier, 1833	1	1.08	3,300	2.40
Nomeidae	Freckled driftfish	<i>Psenes cyanophrys</i> Valenciennes, 1833	1	1.08	260	0.19
Coryphaenidae	Pompano dolphinfish	<i>Coryphaena equiselis</i> Linnaeus, 1758	4	4.30	2,160	1.57
Coryphaenidae	Common dolphinfish	<i>Coryphaena hippurus</i> Linnaeus, 1758	9	9.68	17,960	13.05
Echenidae	Common remora	<i>Remora remora</i> (Linnaeus, 1758)	1	1.08	560	0.41
Scombridae	Bullet tuna	<i>Auxis rochei rochei</i> (Risso, 1810)	1	1.08	320	0.23
Scombridae	Frigate tuna	<i>Auxis thazard thazard</i> (Lacepède, 1800)	14	15.05	9,770	7.10
Scombridae	Kawakawa	<i>Euthynnus affinis</i> (Cantor, 1849)	6	6.45	3,570	2.59
Scombridae	Skipjack tuna	<i>Katsuwonus pelamis</i> (Linnaeus, 1758)	39	41.94	59,110	42.96
Scombridae	Yellowfin tuna	<i>Thunnus albacares</i> (Bonnaterre, 1788)	1	1.08	220	0.16
Scombridae	Bigeye tuna	<i>Thunnus obesus</i> (Lowe, 1839)	7	7.53	4,845	3.52
Gempylidae	Oilfish	<i>Ruvettus pretiosus</i> Cocco, 1833	1	1.08	300	0.22
Xiphiidae	Swordfish	<i>Xiphias gladius</i> Linnaeus, 1758	1	1.08	8,900	6.47
Lobotidae	Atlantic tripletail	<i>Lobotes surinamensis</i> (Bloch, 1790)	1	1.08	800	0.58
Thysanoteuthidae	Diamondback squid	<i>Thysanoteuthis rhombus</i> Troschel, 1857	1	1.08	550	0.40
<b>Total</b>			<b>93</b>		<b>137.05</b>	

**Appendix 2:** Catch composition of marine resources in the Bay of Bengal.

Operation	Station no.	Depth (m)	Total Catch (kg)	%Catch by Station	Immersion Time (min)	CPUE (kg h <sup>-1</sup> )	Net Area (m <sup>2</sup> )	CPUA (kg m <sup>-2</sup> )
1	1	2,682	4.52	3.28	803	0.34	34,807.44	1.297x10 <sup>-04</sup>
2	3	538	22.29	16.20	761	1.76	34,807.44	6.404 x10 <sup>-04</sup>
3	9	883	2.10	1.53	846	0.15	14,722.43	1.426 x10 <sup>-04</sup>
4	16	2,136	25.54	18.56	751	2.04	17,718.80	1.441 x10 <sup>-03</sup>
5	18	2,012	11.29	8.21	840	0.81	17,718.80	6.372 x10 <sup>-04</sup>
6	22	2,511	18.75	13.63	805	1.40	17,718.80	1.058 x10 <sup>-03</sup>
7	26	2,511	29.26	21.27	844	2.08	17,718.80	1.651 x10 <sup>-03</sup>
8	30	3,329	23.85	17.33	838	1.71	17,718.80	1.346 x10 <sup>-03</sup>
<b>Total</b>			<b>137.60</b>		<b>108 h 8 min</b>			

**Appendix 3:** Area-based of catch composition separated from survey stations.

Operation no. 1

Station no. 1

No.	Species	Weight (g)	% by wt.	Number	% by no.
1	<i>Coryphaena hippurus</i> Linnaeus, 1758	3,700	81.95	1	10.00
2	<i>Euthynnus affinis</i> (Cantor, 1849)	140	3.10	2	20.00
3	<i>Katsuwonus pelamis</i> (Linnaeus, 1758)	250	5.54	3	30.00
4	<i>Thunnus albacares</i> (Bonnaterre, 1788)	220	4.87	1	10.00
5	<i>Thunnus obesus</i> (Lowe, 1839)	205	4.54	3	30.00
<b>Total</b>		<b>4,515</b>	<b>100</b>	<b>10</b>	<b>100</b>

Operation no. 2

Station no. 3

No.	Species	Weight (g)	% by wt.	Number	% by no.
1	<i>Carcharhinus falciformis</i> (Müller & Henle, 1839)	12,200	54.73	1	20.00
2	<i>Caranx tille</i> Cuvier, 1833	3,300	14.80	1	20.00
3	<i>Psenes cyanophrys</i> Valenciennes, 1833	260	1.17	1	20.00
4	<i>Coryphaena equiselis</i> Linnaeus, 1758	180	0.81	1	20.00
5	<i>Katsuwonus pelamis</i> (Linnaeus, 1758)	6,350	28.49	1	20.00
<b>Total</b>		<b>22,290</b>	<b>100.00</b>	<b>5</b>	<b>100.00</b>

Operation no. 3

Station no. 9

No.	Species	Weight (g)	% by wt.	Number	% by no.
1	<i>Coryphaena equiselis</i> Linnaeus, 1758	100	4.76	1	50.00
2	<i>Thunnus obesus</i> (Lowe, 1839)	2,000	95.24	1	50.00
<b>Total</b>		<b>2,100</b>	<b>100.00</b>	<b>2</b>	<b>100.00</b>

**Appendix 3:** (cont.).

Operation no. 4  
Station no. 16

No.	Species	Weight (g)	% by wt.	Number	% by no.
1	<i>Coryphaena equiselis</i> Linnaeus, 1758	180	0.70	1	3.45
2	<i>Remora remora</i> (Linnaeus, 1758)	560	2.19	1	3.45
3	<i>Auxis thazard thazard</i> (Lacepède, 1800)	7,360	28.82	10	34.48
4	<i>Euthynnus affinis</i> (Cantor, 1849)	3,430	13.43	4	13.79
5	<i>Katsuwonus pelamis</i> (Linnaeus, 1758)	13,160	51.53	12	41.38
6	<i>Thunnus obesus</i> (Lowe, 1839)	850	3.33	1	3.45
<b>Total</b>		<b>25,540</b>	<b>100.00</b>	<b>29</b>	<b>100.00</b>

Operation no. 5  
Station no. 18

No.	Species	Weight (g)	% by wt.	Number	% by no.
1	<i>Auxis thazard thazard</i> (Lacepède, 1800)	1,840	16.30	2	20.00
2	<i>Katsuwonus pelamis</i> (Linnaeus, 1758)	9,450	83.70	8	80.00
<b>Total</b>		<b>11,290</b>	<b>100.00</b>	<b>10</b>	<b>100.00</b>

Operation no. 6  
Station no. 22

No.	Species	Weight (g)	% by wt.	Number	% by no.
1	<i>Carcharhinus falciformis</i> (Müller & Henle, 1839)	7,350	39.20	2	13.33
2	<i>Auxis rochei rochei</i> (Risso, 1810)	320	1.71	1	6.67
3	<i>Auxis thazard thazard</i> (Lacepède, 1800)	570	3.04	2	13.33
4	<i>Katsuwonus pelamis</i> (Linnaeus, 1758)	8,170	43.57	7	46.67
5	<i>Thunnus obesus</i> (Lowe, 1839)	1,790	9.55	2	13.33
6*	<i>Thysanoteuthis rhombus</i> Troschel, 1857	550	2.93	1	6.67
<b>Total</b>		<b>18,750</b>	<b>100.00</b>	<b>15</b>	<b>100.00</b>

\* Diamondback squid

Operation no. 7  
Station no. 26

No.	Species	Weight (g)	% by wt.	Number	% by no.
1	<i>Carcharhinus falciformis</i> (Müller & Henle, 1839)	3,220	11.00	1	6.25
2	<i>Coryphaena equiselis</i> Linnaeus, 1758	1,700	5.81	1	6.25
3	<i>Coryphaena hippurus</i> Linnaeus, 1758	14,260	48.74	8	50.00
4	<i>Katsuwonus pelamis</i> (Linnaeus, 1758)	10,080	34.45	6	37.50
<b>Total</b>		<b>29,260</b>	<b>100.00</b>	<b>16</b>	<b>100.00</b>

**Appendix 3:** (cont.).

Operation no. 8

Station no. 2

No.	Species	Weight	% by wt.	Number	% by no.
1	<i>Carcharhinus falciformis</i> (Müller & Henle, 1839)	2,200	9.22	1	16.67
2	<i>Katsuwonus pelamis</i> (Linnaeus, 1758)	11,650	48.85	2	33.33
3	<i>Ruvettus pretiosus</i> Cocco, 1833	300	1.26	1	16.67
4	<i>Xiphias gladius</i> Linnaeus, 1758	8,900	37.32	1	16.67
5	<i>Lobotes surinamensis</i> (Bloch, 1790)	800	3.35	1	16.67
<b>Total</b>		<b>23,850</b>	<b>100.00</b>	<b>6</b>	<b>100.00</b>

**Appendix 4:** IRI of marine resources captured by DGN in the Bay of Bengal.

No.	Scientific Name	%IRI
1	<i>Katsuwonus pelamis</i> (Linnaeus, 1758)	66.72
2	<i>Carcharhinus falciformis</i> (Müller & Henle, 1839)	10.55
3	<i>Auxis thazard thazard</i> (Lacepède, 1800)	7.47
4	<i>Coryphaena hippurus</i> Linnaeus, 1758	5.10
5	<i>Thunnus obesus</i> (Lowe, 1839)	3.15
6	<i>Coryphaena equiselis</i> Linnaeus, 1758	2.64
7	<i>Euthynnus affinis</i> (Cantor, 1849)	2.03
8	<i>Xiphias gladius</i> Linnaeus, 1758	0.85
9	<i>Caranx tille</i> Cuvier, 1833	0.39
10	<i>Lobotes surinamensis</i> (Bloch, 1790)	0.19
11	<i>Remora remora</i> (Linnaeus, 1758)	0.17
12	<i>Thysanoteuthis rhombus</i> Troschel, 1857	0.17
13	<i>Auxis rochei rochei</i> (Risso, 1810)	0.15
14	<i>Ruvettus pretiosus</i> Cocco, 1833	0.15
15	<i>Psenes cyanophrys</i> Valenciennes, 1833	0.14
16	<i>Thunnus albacares</i> (Bonnaterre, 1788)	0.14
<b>Total</b>		<b>100.00</b>

## Efficiency of the Circle Hook in Comparison with J-Hook in Longline Fishery

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### Abstract

The efficiency of circle hook and J-hook in pelagic longline fishery were determined in 13 fishing stations in three designated areas. The research/training vessel, namely M.V. SEAFDEC, was employed for the fishing operations during 5 November to 4 December 2007. The survey area was mutually defined as area A: latitude 16°N-19°N and longitude 88°E-91°E (5 stations), area B: latitude 9°N-14°N and longitude 82°E-85°E (4 stations), area C: latitude 10°N-12°N and longitude 95°E-97°E (4 stations). The main objective of this work is to evaluate the efficiency of 18/0 10° offset circle hook in comparison with the J-hook using three different types of baits i.e., round scad (*Decapterus* sp.), milk fish (*Chanos chanos*) and Indian mackerel (*Rastrelliger kanagurta*). A total of 6,277 hooks was deployed during the survey program. The results appeared that, using circle hook, the percentage compositions of target fish (tuna and billfish) and by-catch fish were not much different, 46.67% and 53.33% respectively. In contrast, J-hook showed a higher difference between these 2 components, target fish 25.53% and by-catch fish 74.47%. Considering catch rates, in overall CPUE (individual/1,000 hooks) of circle hook was lower than that of J-hook (4.77 versus 7.48). When separated by fish group, for target fish the CPUE of circle hook was a little higher than J-hook (2.23 versus 1.91), but for by-catch fish the CPUE of J-hook was obviously higher (5.58 versus 2.55). Regarding to hooking position, the percentage of hooking position in mouth using circle hook was higher than that of J-hook (73.33% versus 53.19%) but the percentage in digestive system was lower (10% versus 38.3%).

**Key words:** efficiency, circle hook, J-hook, longline fishery

### Introduction

Circle hook are not recent phenomena. Excavations of graves from pre-Columbian Indians in Latin America uncovered hooks made from seashells that resembled modern circle hook. Early Japanese fishermen tied pieces of reindeer horn together in the shape of a circle hook, while a similar design has been found from Easter Island (Moore, 2001). Pacific coast native Americans also used hooks that fished similarly to modern circle hook. The configuration of the tackle promoted hooking as fish tried to expel bait that they could not swallow (Stewart, 1977 cited after Trumble *et al.*, 2002). Modern commercial longline fishermen have used circle hook for many years (Moore, 2001; Prince *et al.*, 2002).

Circle hook are generally circular in shape, with the hook point bent back at the hook shaft. California statute defines a circle hook as, “a hook with a generally circular shape and a point which turns inwards, pointing directly back at the shank at a 90° angle” (Fig. 1)

Prince *et al.* (2002) defined a circle hook as “hook having a point that is perpendicular to the main hook shaft”, whereas J-hook is defined as hook having a point parallel to the hook shaft. When looking at the barb from behind the hook shank, the greater the “offset” angle, the more the barb is visible (the barb and the shank are not in the same plane). The amount of “offset” may be important for the evaluation of hooking location. However, Lukacovic (2001) detected no difference in deep hooking rates in striped bass between offset and non-offset hook.

Circle hook is designed to prevent the exposed barb point from puncturing internal organs if the hook is swallowed. Fish swallow the baited hook and begin to move away. This movement pulls the hook from the throat, decreasing the chance of gut hooking. As the hook shaft begins to exit the mouth, the shape of the hook causes the shaft to rotate towards the corner of the mouth and the barb embeds in the corner of the jaw (Florida Sea Grant College Program, 1999; Artmarina Fishing Fleet, 2002).

A comparison of efficiency between the circle hook and the J-hook in longline fishery is the sub-project under the Ecosystem-Based Fishery Management in the Bay of Bengal Project. The pelagic longline (PLL) operation was conducted in 13 different stations in three designated areas, during 5 November to 4 December 2007, in the Bay of Bengal.

## Objectives

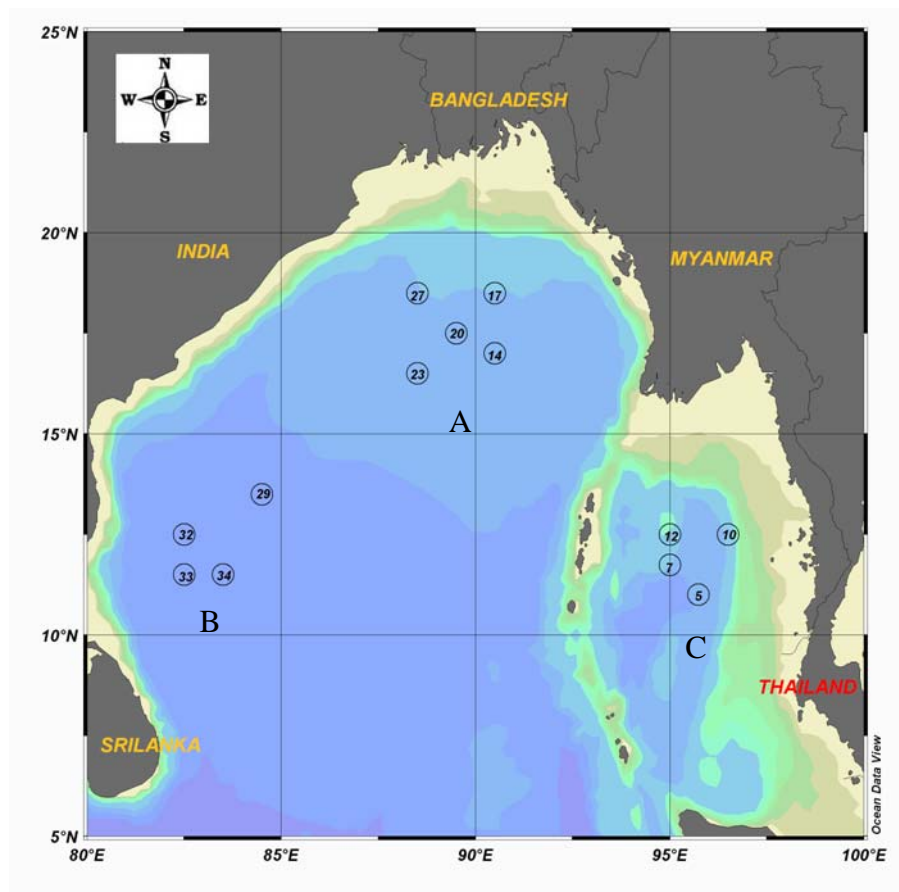
To determine the efficiency of circle hook and J-hook with respect to:

- catch composition
- catch rate
- hooking position
- length frequency distribution of some dominant fishes

## Materials and Method

### Survey Area

The survey area was mutually defined as area A: latitude 16°N-19°N and longitude 88°E-91°E (5 stations) area B: latitude 9°N-14°N and longitude 82°E-85°E (4 stations) and area C latitude 10°N-12°N and longitude 95°E-97°E (4 stations). The depth of the sea at the survey stations was varied between 1,128 m and 3,525 m. (Fig. 1).



**Figure 1** Map showing the survey stations of pelagic longline.

### Fishing Gear

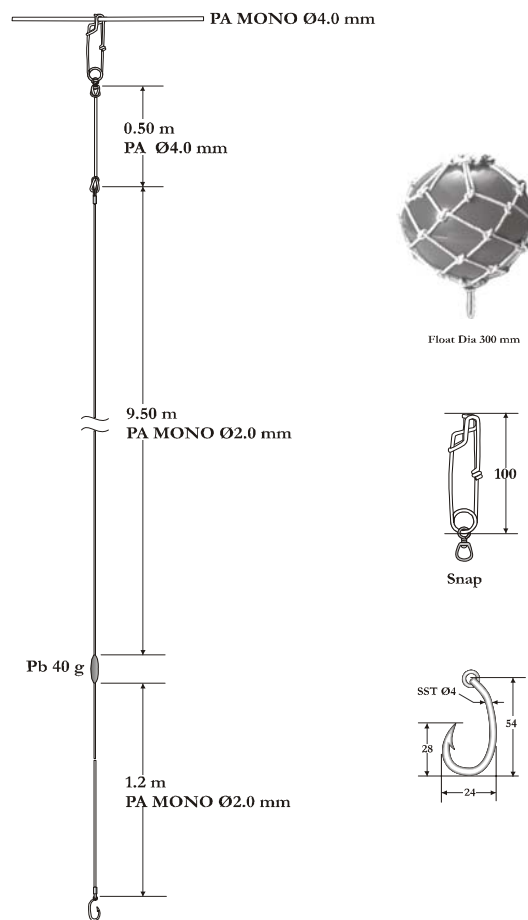
M.V. SEAFDEC has installed an automatic longline system. The system is composed of mainline spool, automatic line shooting machine and branch line setting beeper. Mainline spool is made by aluminum alloy with a diameter of 100 cm and a length of 200 cm. The spool is able to contain monofilament mainline with a diameter of 4 mm and the length is more than 30 km. The mainline shooter is made by aluminum alloy. Function of mainline shooter is to release the mainline from spool with very precise shooting rate in order to control the depth of branch line in the sea. While the controller wants to emergency stop the mainline shooter, mainline spool must be instantly stopped as well. Setting speed of mainline shooter needs to compatible control with the speed of vessel. M.V. SEAFDEC is shooting with a speed of approximately 7-8 knots and setting mainline shooter at a speed of approximately 8-10 knots. In order to control speed of mainline shooter, SEAFDEC/TD technician develops the computer software to command the shooting of branch line and float, as well as counting length of mainline and number of branch line.

Complete set of pelagic longline is composed of mainline, branch line and buoy line (Fig. 2). Mainline is made from nylon monofilament with a diameter of 4 mm. Breaking strength of mainline is more than 0.5 metric ton. The standard operation of pelagic longline carried out onboard M.V. SEAFDEC is set for more than 25 km. Branch line is made by nylon monofilament with a diameter of 2.0 mm and a length of 11 m. There are 2 designs of hooks as shown in fig. 3: stainless circle hook size 18/0 10° offset and J-shape, setting with branch line in order to investigate and compare the efficiency of hook designs. Three hundred to five hundred-twenty hooks per one operation were deployed. Fifteen to twenty hooks are



set per basket, and in each set, the circle hook were set alternate with the J-hook, basket by basket. In general, the length of the float line was 25 m. However, for area: A, the length of float line was longer, that was 40 m, as the hook could not reach the thermocline layer due to the strong current in the area. Two set of temperature and depth sensors (TD sensors) were attached at the branch line no.1 and 10 for 20 hooks per basket and no.1 and 8 for 15 hooks per basket in order to check the actual depth of hook. TD sensors showed that the shallowest branch line was 50-80 m and deepest branch line no.10 and 11 was 90-300 m.

On this cruise, the Indian mackerel, round scads and milk fish were used for baits. Normal size of bait was 8 to 10 individuals per kg but for the milk fish bigger size was used (6-8 individuals per kg).



**Figure 2** Branch line monofilament.

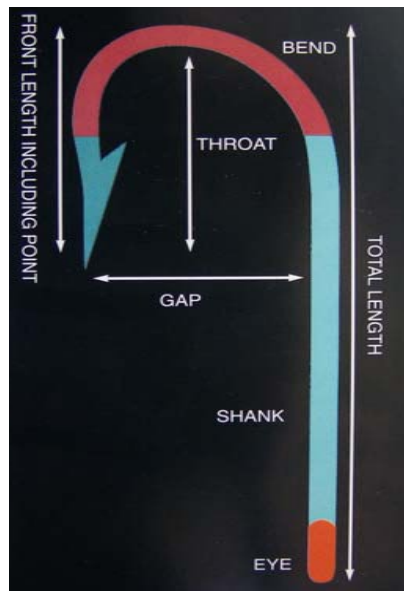


**Figure 3** J-hook and circle hook.

### **Hook Size, Pattern and Part**

The size of a fish hook is determined by its pattern which is given in term of the width of the gap of the hook. The hook sizes of other patterns are bound to differ to some extent; the reference number of a hook should therefore always be quoted together, and regarded as inseparable.

The various parts of a fish hook are shown together with their names as illustrated in fig. 4. The two most important dimensions of the hook are its gap and its throat. The hook shown here is a Mustad saltwater hook. It should be noted that the width of the gap is made for the bigger bite, the distance between point and shank is made for the deeper penetration and the depth of the throat of the hook is made for the better holding power. The weight of the fish is carried high up on the center of the bend (Mustad catalogue, 1995).

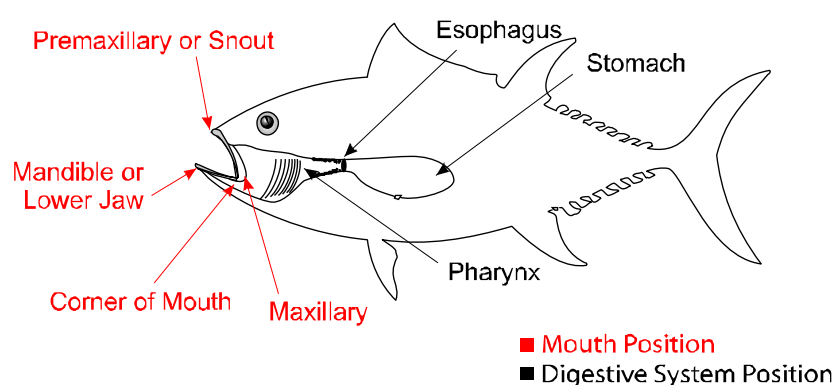


**Figure 4** Illustration of hook parts.

## Data Collection

Species, length, weight, hook type, and hooking position of all target fishes, as well as by-catch fish were recorded. Length of fish that was damaged during haul back on board was estimated. Some sharks and large fishes were released by cutting the branch line and rays were released after finishing the measurement. Small fishes, such as snake mackerels *Gempylus serpens*, were generally hauled onto the deck and hook recovered.

The hooking positions were categorized as shown in fig. 5. “upper jaw”, “lower jaw” and “jaw angle” were considered as “mount”. The hooking positions inside the mount, such as “esophageal sphincter”, “gill arch” were considered as “digestive system”. All other locations “gill slit”, “entangle”, “body” and all of some loosed fishes were considered as “other”.



**Figure 5** Hooking position of fish.

## Result and Discussion

### Catch Composition

All catches from C and J types experiment were mixed up and compared in percentage composition (Table 1). Catches were categorized into 2 groups: target fish and by-catch fish. The target fish comprised 4 species namely yellowfin tuna (*Thunnus albacares*), swordfish (*Xiphias gladius*), black marlin (*Markaira indica*) and sailfish (*Istiophorus platypterus*). All are commercial fish that are most commonly caught by pelagic longline. A total number of 26 of target fish was caught which constituted 33.76 % of the total catches. Among the target group, the highest composition 27.27% was swordfish. When comparing between C and J types, the C-type could catch target fish 18.18% and J-type could catch target fish 16.58 %.

Regarding to by-catch group, there were 51 individuals caught representing 13 species and were 66.23% of the total catch. Within this group, bigeye thresher shark possessed the highest composition of 14.28%. This species was caught in area B and C but none in area A. In contrast, by-catch fish, the catch composition of J-style hook was more than that of circle hook. For J-hook the catch composition was 45.45% whereas for circle hook it was 20.78%.

Based on catch composition of each hook type, for circle hook the percentages of target fish (46.67%) and by-catch fish (53.33%) were not much different, whilst for J-hook the percentage of target fish (25.53%) was much lower than that of by-catch fish (74.47%).

**Table 1** Catch composition by fish group, species and hook type.

Scientific name	Percent composition (n)	Hook type	
		Circle hook	J- hook
<b>Target fish</b>			
<i>Thunnus albacares</i> ( Yellowfin tuna)	3.89 (3 )	2	1
<i>Xiphias gladius</i> ( Swordfish )	27.27(21)	12	9
<i>Makaira indica</i> ( Black marlin )	1.29 (1)	-	1
<i>Istiophorus platypterus</i> ( Sailfish )	1.29 (1)	-	1
<b>% composition (n)</b>	<b>33.76 (26)</b>	<b>18.18 (14)</b>	<b>16.58 (12)</b>
<b>By-catch fish</b>			
<i>Sphyreana barracuda</i> ( Great baraccuda )	2.59 (2)	1	1
<i>Coryphaena hippurus</i> ( Dolphinfish )	2.59 (2)	-	2
<i>Caranx ignobilis</i> ( Giant trevally)	2.59 (2)	-	2
<i>Pteroplatytrygon violacea</i> ( Pelagic stingray )	7.79 (6)	2	4
<i>Alopias superciliosus</i> ( Bigeye thresher shark )	14.28 (11)	2	9
<i>Alopias pelagicus</i> ( Thresher shark )	1.29 (1)	-	1
<i>Galeocerdo cuvieri</i> ( Tiger shark)	1.29 (1)	-	1
<i>Carcharhinus falciformis</i> ( Silky shark)	12.98 (10)	5	5
<i>Iago garricki</i> ( Longnose houndshark)	1.29 (1)	-	1
<i>Lepidocybium flavobrunneum</i> ( Escolar )	5.19 (4)	4	-
<i>Gempylus serpens</i> ( Snake makeral )	10.38 (8)	1	7
<i>Alepisaurus ferox</i> ( Lancet fish)	2.59 (2)	1	1
<i>Promethichythis prometheus</i> ( Roudi escolar)	1.29 (1)	-	1
<b>% composition (n)</b>	<b>66.23 (51)</b>	<b>20.78(16)</b>	<b>45.45 (35)</b>
<b>Total</b>	<b>100 (77)</b>	<b>30</b>	<b>47</b>
<b>% composition</b>		<b>38.96</b>	<b>61.04</b>
<b>% Target fish composition</b>		<b>46.67</b>	<b>25.53</b>
<b>% By-catch fish composition</b>		<b>53.33</b>	<b>74.47</b>

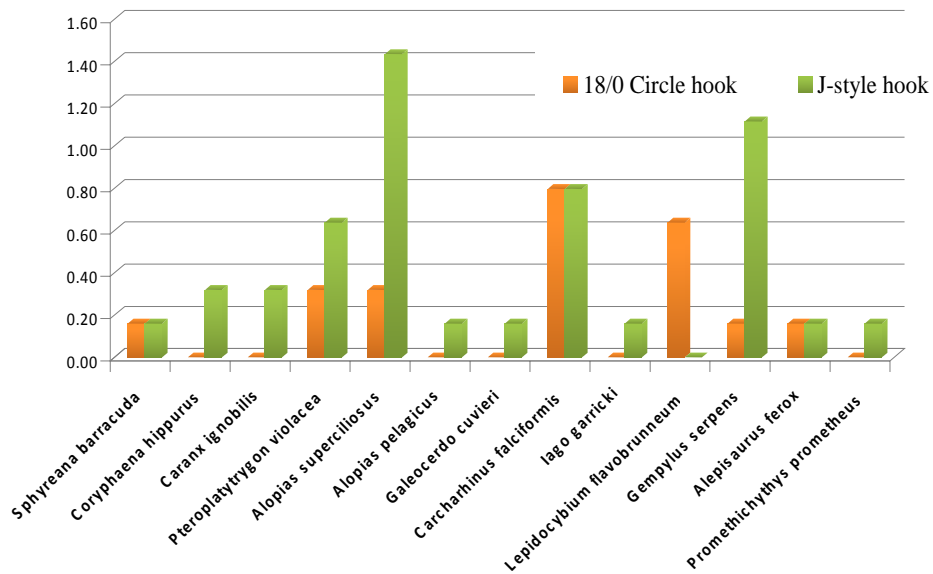
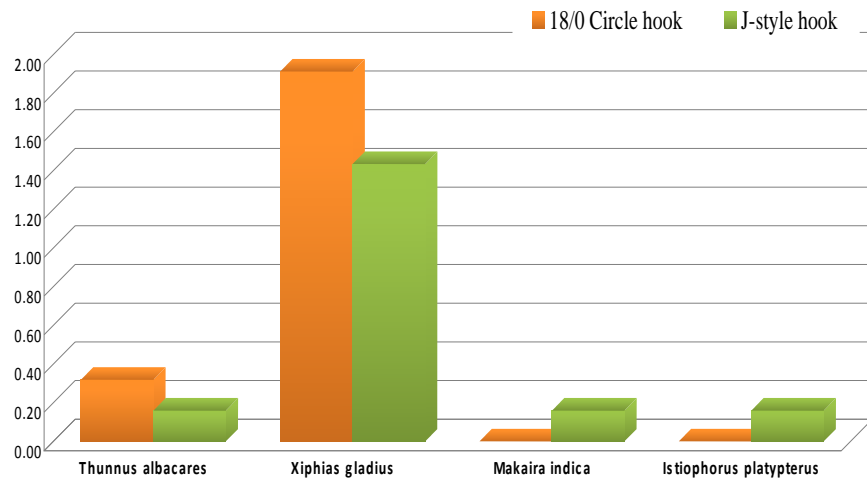
### Catch Rate

A total of 77 by number weighing approximately 1,754.65 kg was caught during the survey. Total numbers of hook deployed were 6,277 hooks. Catch per unit effort (CPUE) of pelagic longline survey separated by areas were 21.68 individuals/1,000 hooks in area C of Myanmar waters, 9.13 individuals/1,000 hooks in area B, and 7.79 individuals/1,000 hooks in area A. The overall CPUE was 12.27 individuals/1,000 hooks. Considering the CPUE by station, the highest CPUE 39.39 individuals/1,000 hooks was found in station 12 (operation no. 4) followed by station 7 (operation no.2) with CPUE of 31.37 individuals/1,000 hooks and station 17 (operation no. 6) with CPUE of 17.65 individuals/1,000 hooks.

Catch rates varied by fish groups and hook types. In overall, the CPUE of circle hook and J-hook were 4.77 and 7.48 individuals/1,000 hooks respectively (Table 2). When separated by fish group the result appeared that the CPUE of total target fish was 4.14 individuals/1,000 hooks of which 2.23 individuals/1,000 hooks belonging to circle hook and 1.91 individuals/1,000 hooks obtained by J-hook. Within this group, sword fish *Xiphias gladius* showed the highest CPUE of 3.35 individuals/1,000 hooks. For total By-catch fish, the CPUE was 8.12 individuals/1,000 hooks of which the significant higher contribution 5.58 individuals/1,000 hooks was from J-hook whilst 2.55 individuals/1,000 hooks belonging to circle hook. Within this group, bigeye thresher shark was remarkable the highest CPUE of 1.75 individuals/1,000 hooks followed by silky shark *Carcharhinus falsiformis* with CPUE of 1.59 individuals/1,000 hooks. Details of catch rate by species and hook types were shown in table 2 and fig. 6.

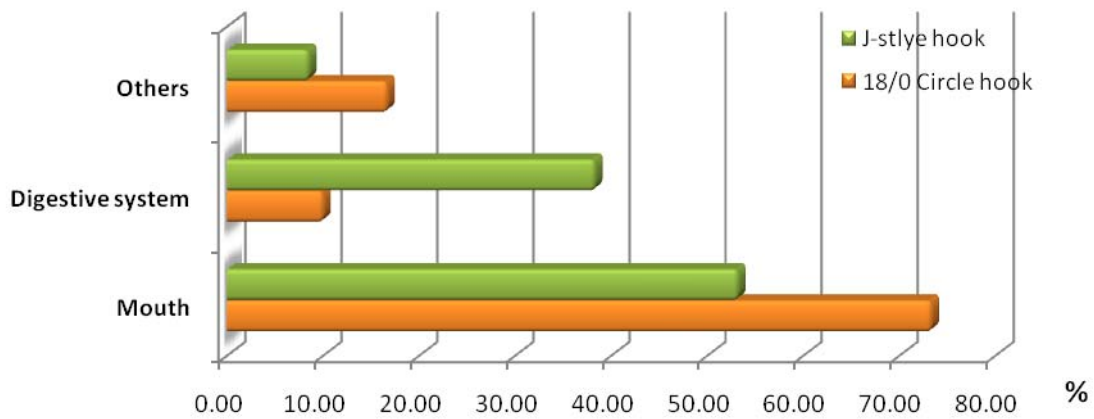
**Table 2** Catch in number and catch rate (CPUE-individual/1,000 hooks) by species and hook type.

Scientific name	Number of fish from 6,277 hooks	CPUE (individual/1,000 hooks)	
		Circle hook	J- hook
<i>Thunnus albacares</i> ( Yellowfin tuna)	3	0.32	0.16
<b>Tunas group</b>	<b>3</b>	<b>0.32</b>	<b>0.16</b>
<i>Xiphias gladius</i> ( Swordfish )	21	1.91	1.43
<i>Makaira indica</i> ( Black marlin )	1	-	0.16
<i>Istiophorus platypterus</i> ( Sailfish )	1	-	0.16
<b>Billfishes group</b>	<b>23</b>	<b>1.91</b>	<b>1.75</b>
<i>Pteroplatytrygon violacea</i> ( Pelagic stingray )	6	0.32	0.64
<i>Alopias superciliosus</i> ( Bigeye thresher shark )	11	0.32	1.43
<i>Alopias pelagicus</i> ( Thresher shark )	1	-	0.16
<i>Galeocerdo cuvieri</i> ( Tiger shark )	1	-	0.16
<i>Carcharhinus falciformis</i> ( Silky shark )	10	0.8	0.8
<i>Iago garricki</i> ( Longnose houndshark )	1	-	0.16
<b>Sharks and rays group</b>	<b>30</b>	<b>1.43</b>	<b>3.34</b>
<i>Sphyreana barracuda</i> ( Great barracuda )	2	0.16	0.16
<i>Coryphaena hippurus</i> ( Dolphinfish )	2	-	0.32
<i>Caranx ignobilis</i> ( Giant trevally )	2	-	0.32
<i>Lepidocybium flavobrunneum</i> ( Escolar )	4	0.64	-
<i>Gempylus serpens</i> ( Snake makeral )	8	0.16	1.12
<i>Alepisaurus ferox</i> ( Lancet fish )	2	0.16	0.16
<i>Promethichythis prometheus</i> ( Roudi escolar )	1	-	0.16
<b>Other fishes groups</b>	<b>21</b>	<b>1.11</b>	<b>2.23</b>
<b>Total</b>	<b>77</b>	<b>4.77</b>	<b>7.48</b>

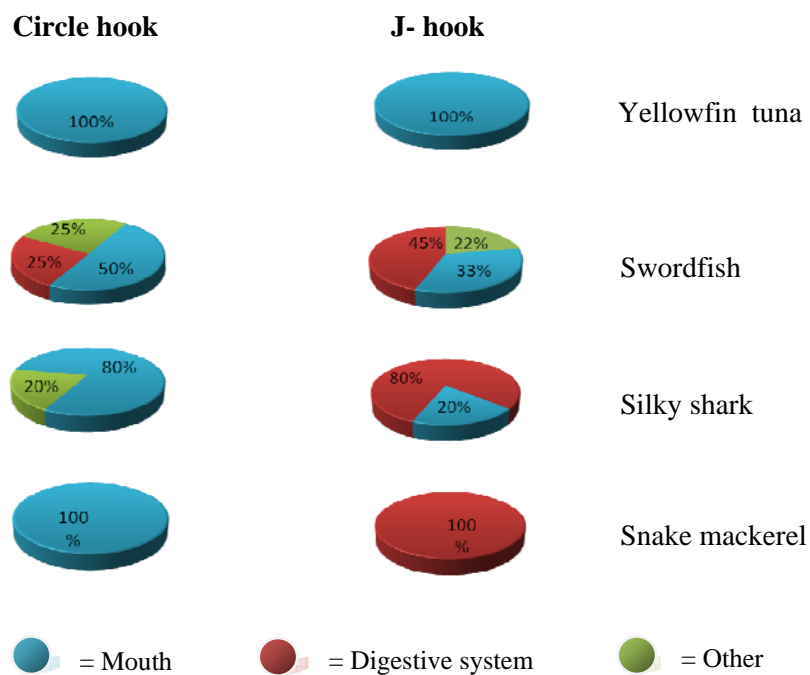


### Hooking Position

From total catches, it was observed that 61.04% of fishes caught were hooked in mouth, 27.27% were found in digestive system and 11.69% were at other. In comparison, when used circle hook, 73.33 % of fishes caught were hooked in mouth and only 10% were found in the digestive system. Using J-hook, the majority of the captured fish were also hooked in mouth 53.19% followed by digestive system 38.3%. (Fig. 7) Details of the observed hooking position were in Appendix 1, and yellowfin tuna, swordfish, silky shark and snake mackerel were chosen as examples for distinguishing comparison illustrated in Fig 8.



**Figure 7** Chosen the hooking positions for circle hook and J- hook.

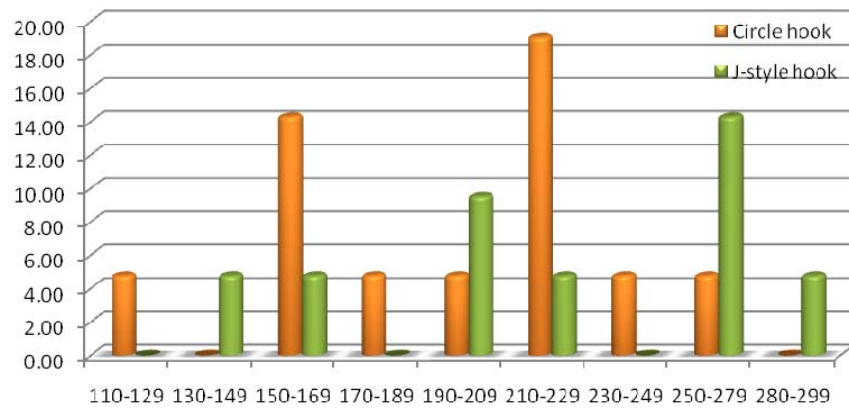


**Figure 8** Percentage of hooking position by species and hook type.

**Length Frequency Distribution of Some Dominant Fishes**

Swordfish *Xiphias gladius* was the most dominant species in the target fish group. The total length of this species, from a total of 21 by number weighing 650 kg, was in the range from 129 to 295 cm. The length of specimens caught by circle hook ranged from 129 to 255 cm with mode of 210-229 cm. Those caught by J-hook were from 139 to 295 cm with mode of 250-269 cm (Fig. 9)

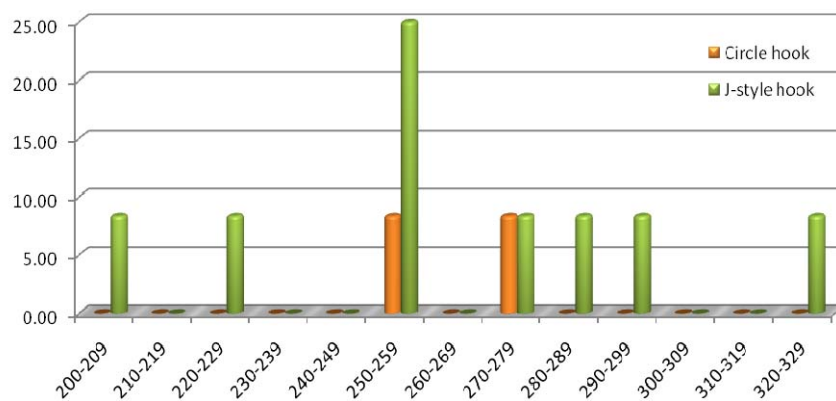
**Catch percentage**



**Figure 9** Length frequency distribution of Swordfish.

Bigeye thresher shark *Alopius superciliosus* was the most dominant species in the by-catch fish group. The total length of this species, from a total of 11 by number weighing 641 kg, ranged from 205 to 329 cm. The length of this species caught by circle hook and J-hook were 250-276 cm and 205-309 cm respectively, with mode of 250-259 cm for J-hook but not remarkable for circle hook (Fig. 10).

**Catch percentage**



**Figure 10** Length frequency distribution of Bigeye thresher shark.

It was found that there was not much difference in the percentage composition between target fish and by-catch fish using circle hook (46.67% versus 53.33%), on the contrary, the J-hook showed a higher difference between these 2 components (25.53% target fish and 74.47% by-catch fish). There was a 3% increasing in total tunas and other target species caught by the 18/0 10° offset circle hook compared to J-hook but there was 22% reduction in total sharks-rays and other non valued by-catch caught by the 18/0 10° offset circle hook compared to J-hook (Siriraksophon *et al.*,2007).

Considering the catch rates (individual/1,000 hooks), the results of this study appeared that the catch rate of target fish, which were tuna and billfish, using the circle hook was a little higher than that of the J-hook (2.23 versus 1.91), on the contrary, the catch rate of



by-catch fish obtained by J-hook was approximately twofold of that belonging to circle hook (5.58 versus 2.55). Thus this result indicates that the catch-ability of circle hook and J-hook are almost equal for target fish but J-hook are more effective for by-catch fish. Furthermore, the effects of circle hook and J-hook on pelagic long line catch rate have been investigated with interesting results. One of the important by-catch fish from pelagic longline fishing is shark. In some areas sharks are non-target fish but in the western North Pacific they are the target fish (Simpfendorfer *et al.*, 2005; Watson *et al.*, 2005). When compared the blue shark catch rates (individual/1000 hooks) using 0° and 10° offset 18/0 circle hook with a combination of squid and mackerel baits to those using 25° offset 9/0 J-hook with squid bait. They used data collected by onboard observer during pelagic longline fishery in the west North Atlantic. Their results appeared that, compared to J-hook, catch rates significantly increased by 8-9% when circle hook were used with squid bait. However, Watson *et al.* (2005) discussed that circle hook might not actually catch more sharks than J-hook, they hypothesized that the results of J-hook might be erroneous because during haul back, sharks that were gut-hooked were more likely to bite off monofilament leaders and thus could escape from detention. In this study the difference in CPUE of bigeye thresher shark between J-hook and circle hook was obvious. The J-hook showed the higher CPUE than circle hook (1.43 versus 0.32). Only the silky shark *Carcharhinus falciformis* was observed a similar CPUE between J-hook and circle hook (0.8 individual/1,000 hooks).

Regarding to hooking position, the use of circle hook has been known to reduce the rate of deep hooking and increase mouth hooking in some pelagic fishes such as Atlantic bluefin tuna (*Thunnus thynnus*), yellowfin tuna (*Thunnus albacares*) and billfish (Prince *et al.*, 2002; Skomal *et al.*, 2002; Kerstetter and Graves, in press). Falterman and Graves (2002) reported that gut, foul and roof hooking events were seen with the J-hook but not with the circle hook. In this study hooking positions varied by hook type and fish species. From all species caught the circle hook were hooked in mouths with 61.04%. For yellowfin tuna both types of the hooks were recorded at 100% in mouths. For swordfish, the circle hook were hooked in mouth 50%, while the J-hook were found in digestive system 45%. Stillwell and Konler (1985) noted that many of the squid and mesopelagic fishes in swordfish gut contents showed an evidence of decapitation or slashing. This feeding behavior may explain the relatively high incidence of bill hooking. Silky sharks caught by the circle hook were hooked 80% in mouth but only 20% was observed from J-hook. In contrast, the hook type found most in digestive system was the J-hook (80%). These results are in good agreement with the observation from Kerstetter and Graves (in press). They reported that the circle hook caught fishes in the mouth more frequently than J-hook, whereas the J-hook hooked more often in the throat of gut. Although the differences in hooking position between hook types were not statistically significant, the yellowfin tuna in the fall fishery was over four times more likely to be hooked in the mouth with the circle hook than with the J-hook.

In considering the length frequency distribution of the 2 dominant species, both types of hooks are capable to detain a very large size of fish (over 100 cm). However, it was noticeable that the sizes caught of swordfish (*Xiphias gladius*) by J-hook were larger than those by circle hook. For bigeye thresher shark (*Alopius superciliosus*), the specimens caught by J-hook had length range wider than that obtained by circle hook.

From such results, it was recommended that for longline fishery, fishermen should use the C-type hook instead of J-type for higher catch of tuna target fish and at the same time the hook can reduce by-catch especially for those sharks and rays. Since shark and ray are distinguished as endanger species. Furthermore if the by-catch was caught, they will be released and still alive due to the hooking position that causes the fish less damage.

## Acknowledgement

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## Appendix

**Appendix 1.** Hooking positions by species with comparison between circle hook and J- hook.

Operation no. / Station	Circle hook				J-hook			
	Species	Total length ( cm )	Weight ( kg )	Hooking position	Species	Total length ( cm )	Weight ( kg )	Hooking position
1 st. 05	<i>Lepidocybium flavobrunneum</i>	60.9	1.65	Lower jaw	<i>Gempylus serpens</i>	103.2	1.2	Esophageal sphincter
					<i>Gempylus serpens</i>	111	1.5	Esophageal sphincter
					<i>Pteroplatytrygon violacea</i>	98	2.5	Lower jaw
2 st.07	<i>Lepidocybium flavobrunneum</i>	61	6.50	Lower jaw	<i>Xiphias gladius</i>	253	60.0	Jaw angle
	<i>Xiphias gladius</i>	242	40.00	Lower jaw	<i>Xiphias gladius</i>	262	60.0	antangle with line
	<i>Lepidocybium flavobrunneum</i>	-	1.50	Jaw angle	<i>Pteroplatytrygon violacea</i>	94	2.2	Gill slit
	<i>Alopias superciliosus</i>	276	53.00	Lower jaw	<i>Gempylus serpens</i>	111	1.5	Esophageal sphincter
	<i>Xiphias gladius</i>	255	61.00	U.jaw to eye socket	<i>Gempylus serpens</i>	97	1.2	Esophageal sphincter
	<i>Lepidocybium flavobrunneum</i>	92	6.00	Upper jaw	<i>Galeocerdo cuvieri</i> *	-	~30	-
	<i>Thunnus albacares</i>	52	2.00	Lower jaw	<i>Promethichythus prometheus</i>	76	1.6	Esophageal sphincter
3 st.10	<i>Pteroplatytrygon violacea</i>	133	9.50	Lower jaw	<i>Gempylus serpens</i>	97	1.1	Esophageal sphincter
					<i>Alopias superciliosus</i>	252	42.0	Jaw angle
					<i>Xiphias gladius</i>	212	22.0	Esophageal sphincter
					<i>Makaira indica</i>	276	80.0	Jaw angle
					<i>Alopias superciliosus</i>	220	31.0	Jaw angle
					<i>Alopias superciliosus</i>	329	100.0	Jaw angle
4 st.12	<i>Xiphias gladius</i> *	170	~15	-	<i>Caranx ignobilis</i>	92	7.6	Jaw angle
	<i>Xiphias gladius</i> *	205	~20	-	<i>Caranx ignobilis</i>	-	~8	Jaw angle
	<i>Xiphias gladius</i> *	212	~30	-	<i>Coryphaena hippurus</i>	80	2.5	Esophageal sphincter
	<i>Pteroplatytrygon violacea</i> *	-	~3	-	<i>Xiphias gladius</i>	202	21.0	Jaw angle
	<i>Carcharhinus falciformes</i>	128	13.00	Jaw angle	<i>Xiphias gladius</i>	207	21.0	Esophageal sphincter
					<i>Carcharhinus falciformes</i>	124	11.0	Esophageal sphincter
5 st.14	<i>Xiphias gladius</i>	215	30.00	Jaw angle	<i>Thunnus albacares</i>	137	35.0	Jaw angle
	<i>Thunnus albacares</i>	140	38.00	Jaw angle	<i>Carcharhinus falciformes</i>	85	3.3	Esophageal sphincter
					<i>Gempylus serpens</i>	102	1.1	Esophageal sphincter
6 st.17	<i>Carcharhinus falciformes</i>	93	4.30	Jaw angle	<i>Carcharhinus falciformes</i>	178	38.0	Jaw angle
	<i>Carcharhinus falciformes</i>	88	3.30	Upper jaw	<i>Coryphaena hippurus</i>	135	13.0	Esophageal sphincter
	<i>Carcharhinus falciformes</i>	101	6.50	Jaw angle	<i>Iago garricki</i>	80	2.1	Lower jaw
	<i>Sphyreana barracuda</i>	88	3.90	Upper jaw	<i>Carcharhinus falciformes</i>	111	7.2	Esophageal sphincter
	<i>Gempylus serpens</i>	91	0.80	Lower jaw				

## Biological Aspects of Economic Fishes in the Bay of Bengal

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### Abstract

Six dominant large sized fish species, *Katsuwonus pelamis*, *Xiphias gladius*, *Auxis thazard*, *Alopias superciliosus*, *Carcharhinus falciformis* and *Coryphaena hippurus* are economic important fishes in the Bay of Bengal which were chosen to study on biological aspect. The fish samples from 21 stations were obtained from drift gill net and pelagic long line operated by M.V. SEAFDEC during 25 October-21 December 2007 in the Bay of Bengal. The results showed that the average size of *K. pelamis* was  $41 \pm 10.19$  cm whereas *X. gladius*, *A. thazard*, *A. superciliosus*, *C. falciformis* and *C. hippurus* were  $211.00 \pm 46.36$ ,  $35.14 \pm 4.86$ ,  $271.00 \pm 40.25$ ,  $111.33 \pm 8.79$  and  $72.94 \pm 12.58$  cm respectively. The relationship between length and body weight showed high significant correlation in all respected species. There was significant difference in sex ratio of *K. pelamis* ( $p < 0.05$ ) but none in others species ( $p > 0.05$ ). The study of gonad development in this survey could not use to indicate the spawning season due to less specimens and the survey did not cover all year round.

**Key words:** Bay of Bengal, economic fishes, sex ratio, gonad development

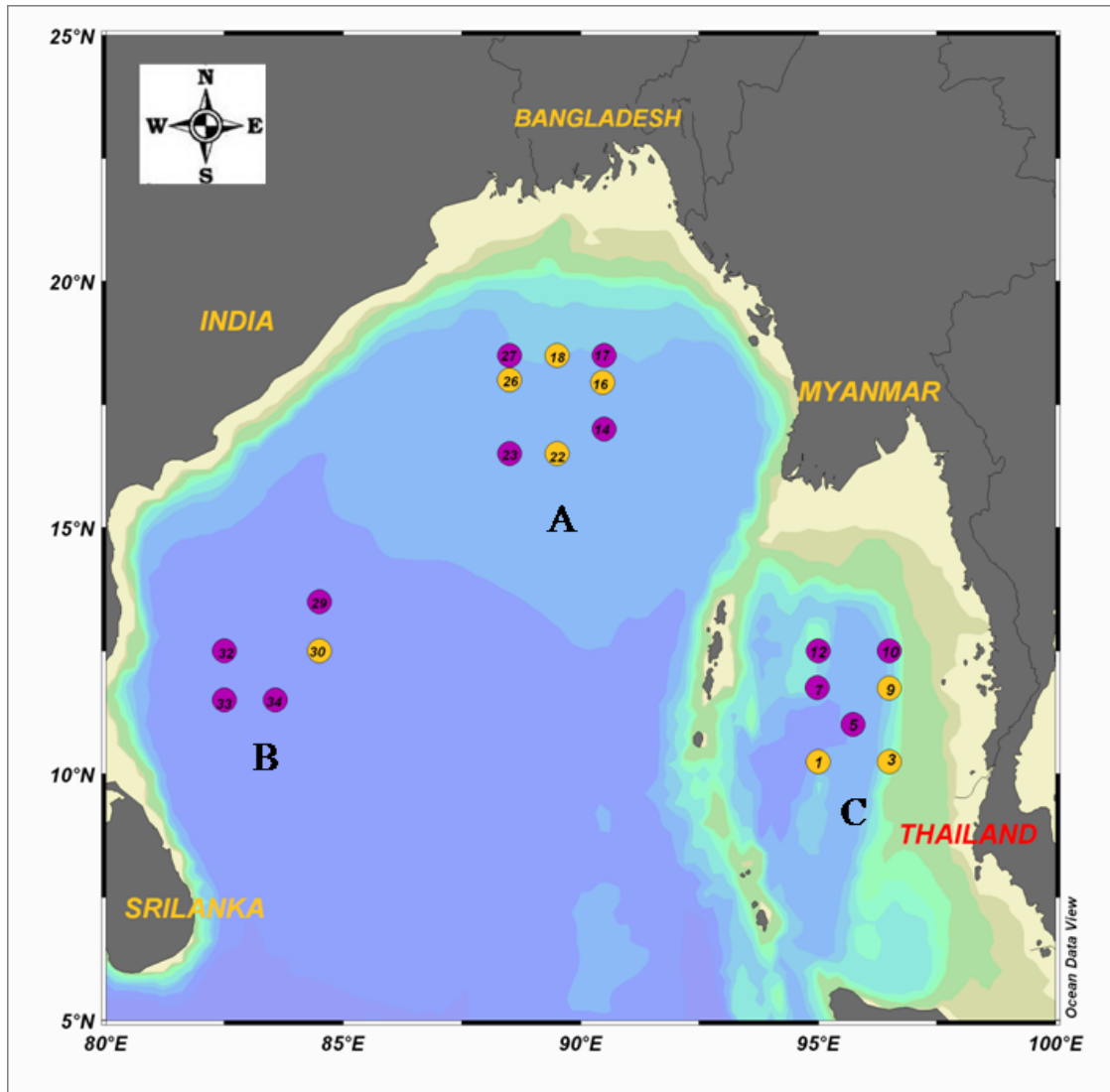
### Introduction

The Bay of Bengal, a sea in the north-east arm of the Indian Ocean, is located between  $5^{\circ}\text{N}$ - $22^{\circ}\text{N}$  latitudes and  $80^{\circ}\text{E}$ - $100^{\circ}\text{E}$  longitudes. Fisheries are of major socioeconomic importance to all countries bordering the bay. The main commercial fish species are shrimp, tuna, yellowfin tuna, bigeye tuna and skipjack tuna. There is a high percentage catch for miscellaneous coastal fishes and pelagic fishes, however shrimp is the major export earner in this region. The Food and Agriculture Organization (FAO) 10 years trend showed a steady increased in catch from 1.4 million tons in 1990 to 2.2 million tons in 1999. An average catch was 2 million tons. Catch trends were quite diverse and it was difficult to identify a pattern due to the fact there was inadequate information on the status of the fishery resources and their exploitations. There were signs that the harvest levels may not be sustainable, especially with regard to tuna fishing in the Maldives, Malaysia, Andaman coast of Thailand and Sri Lanka. Furthermore, the most of countries surrounding the bay are weak in developing clear policies, appropriate strategies and the sustainable management of the fishery resources (NOAA. [http://na.nefsc.noaa.gov/lme/text/lme\\_34.htm](http://na.nefsc.noaa.gov/lme/text/lme_34.htm); FAO, 2003).

Therefore, the study on biological aspects (e.g. length and weight relationship, sex ration and maturation) is very useful and essential for fishery enhancement and management. It will support future fishery development with scientific data for not only conservation of the fishery resources but also appropriate fishery management for sustainable fishery in the Bay of Bengal.

## Materials and Methods

Six dominant species of pelagic fish, *Katsuwonus pelamis*, *Xiphias gladius*, *Auxis thazard*, *Alopias superciliosus*, *Carcharhinus falciformis* and *Coryphaena hippurus* were collected from drift gill net (8 stations) and pelagic longline (13 stations) operated in the Bay of Bengal by M.V. SEAFDEC, a vessel of the Southeast Asian Fisheries Development Center, during 25 October-21 December 2007 (Fig.1). All sampled fishes were examined, measured and weighted on board in a fresh condition. Some biological parameters were recorded and analyzed as follow:



**Figure 1** Survey and sampling stations of the six dominant species in the Bay of Bengal.

- Drift gill net
- Pelagic longline

## 1. Length Frequency Distribution

Both fork length and total length were measured in centimetre (cm) and illustrated as histogram via length interval and frequency. The average, maximum and minimum size of fishes were also figure out.

## 2. Length-Weight Relationship

All sampled fishes were measured and weighted in a fresh condition. Fork length and total length were measured in centimetre (cm) and the weights were recorded in kilogram (kg). The relationship equations of length-weight of these six species were estimated using the regression analysis (Ricker, 1975). In the analysis process, length and weight data were transformed into logarithms.

$$W = a L^b$$

$$\log W = \log a + b \log L$$

$$W = \text{body weight (kg)}$$

$$L = \text{total length or fork length (cm)}$$

$$a, b = \text{output from regression line (b is slope)}$$

## 3. Sex Ratio

Hypothetically, the sex ratio of male to female equals to 1:1 which is significant at 95% of confident level. All data were analysed using Chi-square test.

$$\chi^2 = \frac{\sum(\text{Observed} - \text{Expected} - 0.5)^2}{\text{Expected}} \quad (n < 50)$$

$$\chi^2 = \text{Chi - square}$$

$$\text{Observed} = \text{number of male (female)}$$

$$\text{Expected} = \text{average between male and female}$$

## 4. Maturation

Male and female sexual maturities were determined from gonad development which are categorized into 6 stages.

Stage 1 Virgin. Very small sexual organs close to the vertebral column. Testis and ovary transparent, colorless grey. Egg invisible by naked eye.

Stage 2 Maturing virgin and recovering spent. Testis and ovary translucent, grey red. Length half, or slightly more than half the length of ventral cavity.

Stage 3 Developing. Testis reddish-white. No milt drops appear under pressure. Ovary organ reddish, egg clearly discernible of opaque. Testis and ovary occupy about two-thirds of central cavity.

Stage 4 Developed. Testis and ovary opaque, reddish with blood capillaries, occupy about half of ventral cavity. Eggs visible to eye as whitish granular.

Stage 5 Spawning. Roe and milt with slight pressure. Most eggs translucent with a few opaque eggs left in cavity.

Stage 6 Resting. Testis and ovary empty, red. A few eggs in the stage reabsorption.

Stages 1-3 are immature and stages 4-6 are mature stage.

## Results and Discussion

Six dominant species of pelagic fish obtained from drift gill net and pelagic long line operation in the Bay of Bengal, were consisted of *K. pelamis*, *X. gladius*, *A. thazard*, *A. superciliosus*, *C. falciformis* and *C. hippurus*. They are economic important fish and abundant in the surveyed area these species were taken for biological analyses. The results were as follow:

### 1. Size Frequency Distribution

The analyzed data and histogram are shown in table 1 and fig. 2.

Skipjack tuna, *K. pelamis*, was the top most species caught in this study. Fork length ranged from 17.80-70.00 cm, the average size was  $41.46 \pm 10.19$  cm.

Swordfish, *X. gladius*, was the second dominant species. The average, minimum and maximum sizes were  $211.00 \pm 46.36$ , 129.00 and 295.00 cm respectively. The rest of the caught fishes were observed as shown in table 1 and fig. 2 either.

**Table 1** Size range including mode and mean sizes of the six dominant species.

Species	n	Minimum (cm)	Maximum (cm)	Mode (cm)	Mean $\pm$ SD (cm)
<i>Katsuwonus pelamis</i> (FL)	38	17.80	70.00	40.00	$41.46 \pm 10.19$
<i>Xiphias gladius</i> (TL)	17	129.00	295.00	162.00, 212.00	$211.00 \pm 46.36$
<i>Auxis thazard</i> (TL)	11	25.60	40.00	38.00	$35.14 \pm 4.86$
<i>Alopias superciliosus</i> (TL)	9	205.00	331.00	250.00	$271.00 \pm 40.25$
<i>Carcharhinus falciformis</i> (TL)	9	85.00	178.00	93.00	$111.33 \pm 8.79$
<i>Coryphaena hippurus</i> (TL)	9	62.00	97.00	66.00	$72.94 \pm 12.58$



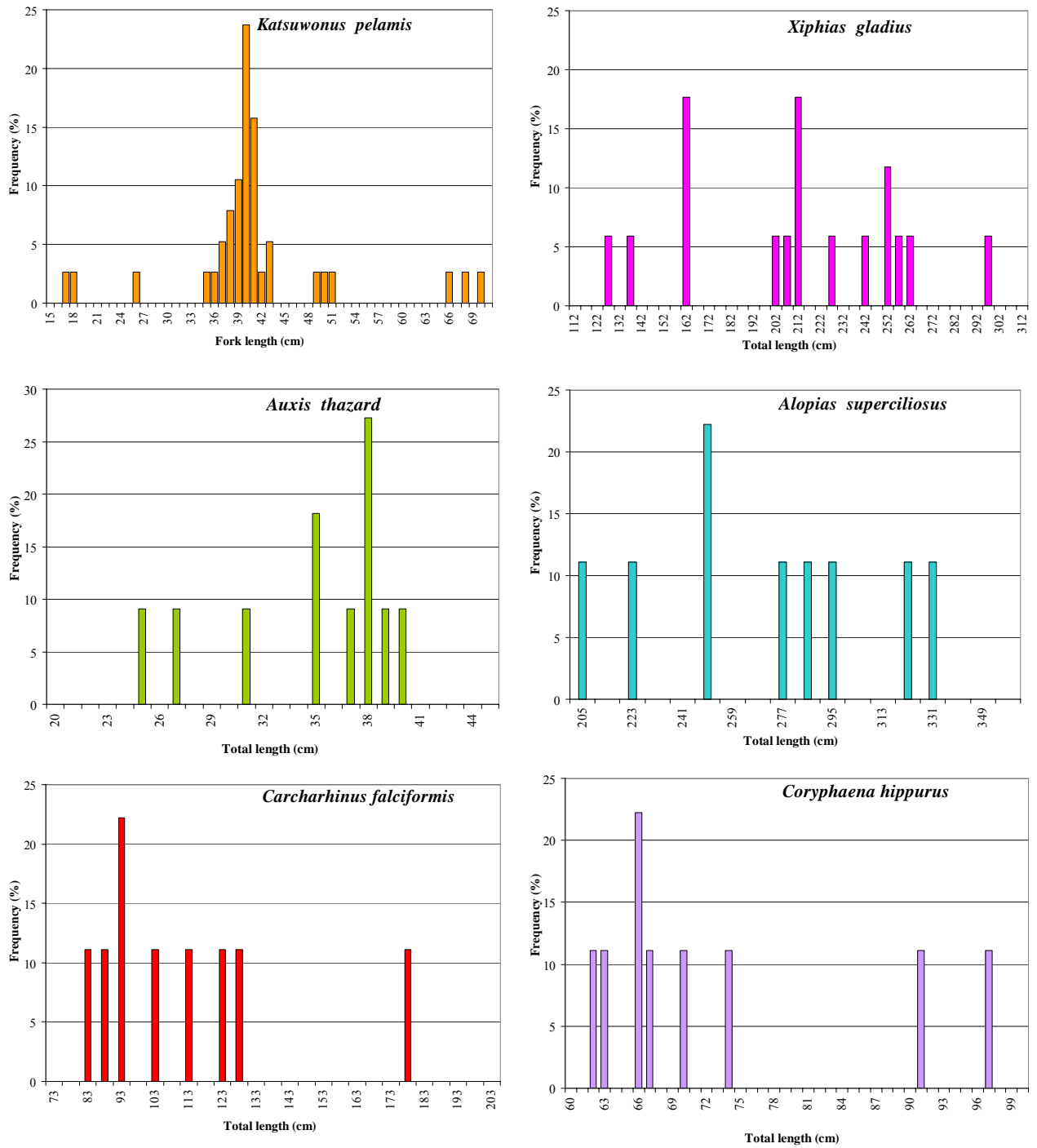
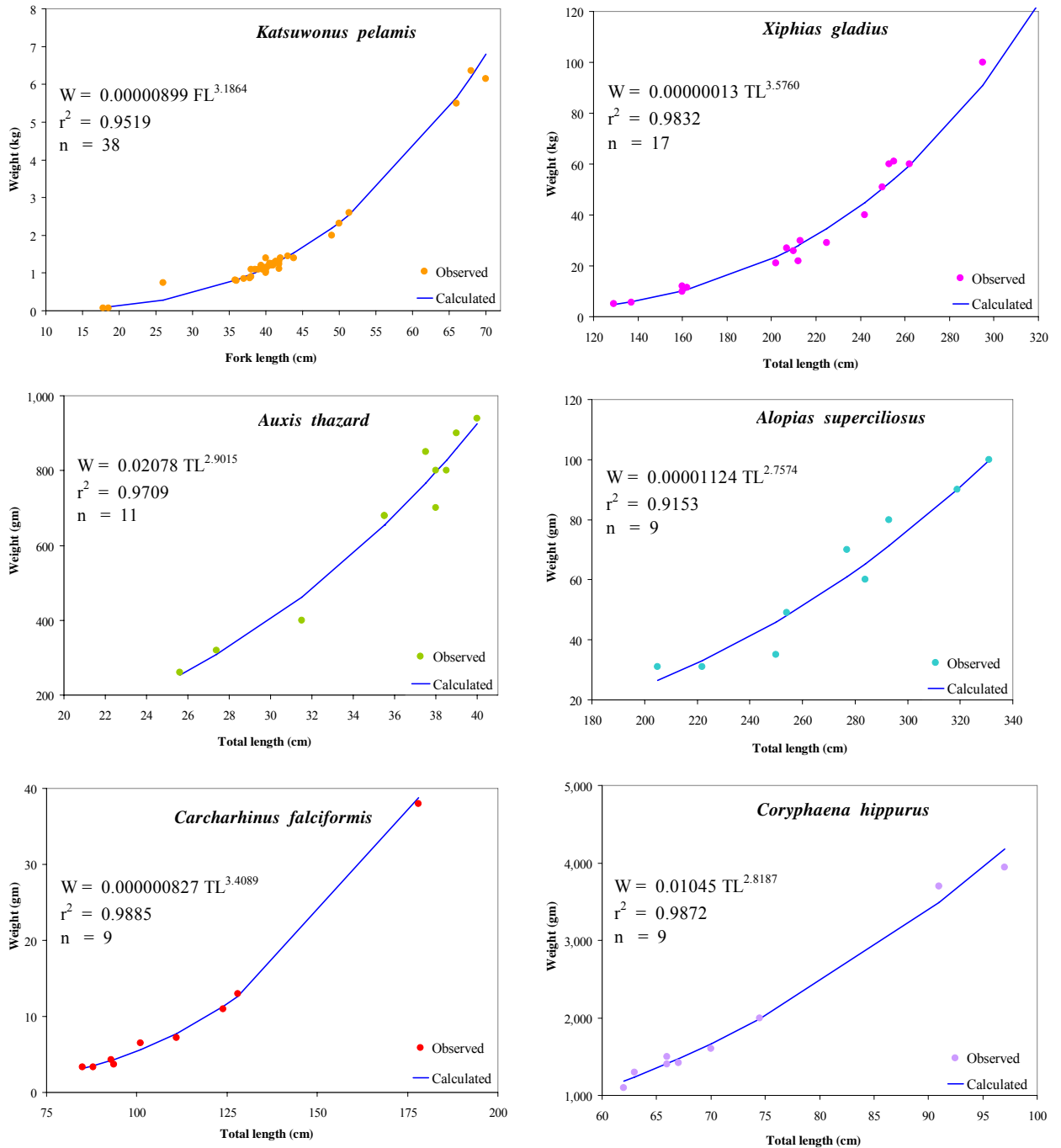


Figure 2 Length frequency distribution of six dominant species in the Bay of Bengal.

## 2. Length-Weight Relationship

The relationship between length and weight of *K. pelamis*, *X. gladius*, *A. thazard*, *A. superciliosus*, *C. falciformis* and *C. hippurus* showed high coefficient of correlation ( $r^2$ ) which meant that weight absolutely increased with length. In addition they were allometric growth because the obtained b values were close to or bigger than 3 (Table 2 and Fig.3).



**Figure 3** The relationship between length and body weight of six dominant species.

**Table 2** The equations of length-weight relationship of six dominant species.

Species	n	Linear equation	Power equation	r <sup>2</sup>
<i>Katsuwonus pelamis</i>	38	log W = 3.1864 log FL-5.0462	W = 0.00000899 FL <sup>3.1864</sup>	0.9519
<i>Xiphias gladius</i>	17	log W = 3.5760 log TL-6.8861	W = 0.00000013 TL <sup>3.5760</sup>	0.9832
<i>Auxis thazard</i>	11	log W = 2.9015 log TL-1.6824	W = 0.02078 TL <sup>2.9015</sup>	0.9709
<i>Alopias superciliosus</i>	9	log W = 2.7574 log TL-4.949	W = 0.00001124 TL <sup>2.7574</sup>	0.9153
<i>Carcharhinus falciformis</i>	9	log W = 3.4089 log TL-6.0825	W = 0.000000827 TL <sup>3.4089</sup>	0.9885
<i>Coryphaena hippurus</i>	9	log W = 2.8187 log TL-1.9809	W = 0.01045 TL <sup>2.8187</sup>	0.9872

### 3. Sex Ratio

The sex ratio of male and female of *K. pelamis*, *X. gladius*, *A. thazard*, *A. superciliosus* were 1:0.48, 1:0.75, 1:0.83 and 1:1.25 respectively whereas both *C. falciformis* and *C. hippurus* were 1:2. The statistic analysis showed that there was significant difference ( $p < 0.05$ ) in sex ratio of *K. pelamis* while there were no significant differences ( $p > 0.05$ ) in the others species (Table 3). In general, it could be concluded that sex ratio of male to female were mostly 1:1. Nevertheless, sex ratio also varied by environmental habitat, mortality, and nutritional status (Wenner, 1972).

**Table 3** Chi-Square test of sex ratio of six dominant species in the Bay of Bengal.

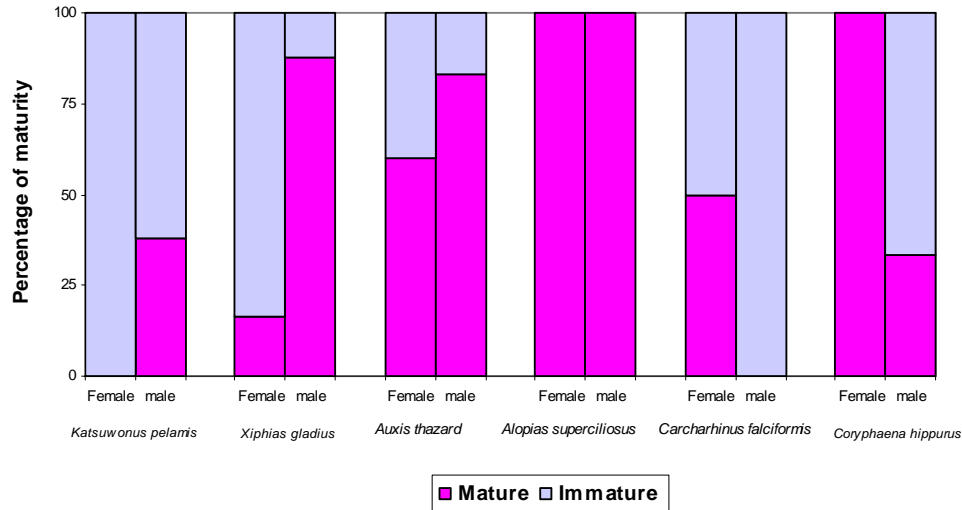
Species	n	Male	Female	Unidentified	Sex ratio M:F	Chi-Square $\chi^2$
<i>Katsuwonus pelamis</i>	38	21	10	7	1:0.48	<b>4.87*</b>
<i>Xiphias gladius</i>	17	8	6	3	1:0.75	1.18
<i>Auxis thazard</i>	11	6	5	-	1:0.83	0.18
<i>Alopias superciliosus</i>	9	4	5	-	1:1.25	0.22
<i>Carcharhinus falciformis</i>	9	3	6	-	1:2.00	1.11
<i>Coryphaena hippurus</i>	9	3	6	-	1:2.00	1.11

Note : Chi-square from Table = 3.84, df = 1 (95% Significant)

\* significance at 95% of confident level

### 4. Maturation

The result showed that the percentage of female maturation of developed, spawning and resting stages were higher than males whereas the percentage of female maturation of virgin, maturing virgin and recovering spent and developing stages were lower. There were over 50% of matured females in samples of *A. thazard* and *C. falciformis* but *C. hippurus* was found 100% of mature females. Both sexes of *A. superciliosus* were found 100% of maturation. Further both *K. pelamis* and *C. falciformis* were found 100% of immature females and males respectively (Fig. 4).



**Figure 4** Percentage of mature and immature stages of the six dominant species.

It was difficult to determine spawning season in this survey because of the small number of captured fish as well as a short period to survey and absence of year round gonadosomatic index (GSI) analysis. Gonadosomatic index is one of important parameters to determine breeding cycle of fish. Sub-tropical and tropical fishes usually have an extended breeding season with females spawning many times and show changes in the amplitude of the gonadosomatic index (Wootton, 1992).

### Conclusions

Generally, the average size of sampled fishes showed larger size fishes. Sex ratio of males to females were approximately 1:1. This was excluding *K. pelamis*. Although there were high percentage of mature male and female but it was difficult to indicate spawning season in this result.

### Acknowledgement

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## Elasmobranches Found in the Bay of Bengal from Pelagic Longline and Drift Gill Net Fishing

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### Abstract

The elasmobranches caught by pelagic longline and drift gill net in the Bay of Bengal were identified into 6 species, 5 genera and 4 families. Two species belonging to family Alopiidae were *Alopius pelagicus* and *A. superciliosus*. Only one species of family Triakidae was *Iago garricki*. The species representing family Carcharhinidae were *Galeocerdo cuvier* and *Carcharhinus falciformis*. The last species, *Pteroplatytrygon violacea*, belonged to family Dasyatidae. The diagnostic characters of these species were the main content of this report.

**Keywords:** elasmobranches, Bay of Bengal, pelagic longline, drift gill net, diagnostic character

### Introduction

The amount of elasmobranches (sharks and rays) killed in large-scale high sea fisheries is poorly known and has not been systematically assessed and an unknown part of the by catch is discarded at sea. Several large-scale fisheries operating in the high seas around the world are known to take a substantial by-catch of elasmobranches, particularly sharks. Although sharks are retained and utilized in some of these fisheries, they usually are dumped, sometimes alive after their fins have been chopped off. The survival of released sharks varies depending on the type of gear used. Trawls and gill nets and perhaps purse seines, almost certainly cause 100% mortality. While longline permit prolonged survival of sharks by allowing limited movement and thus some respiration, survival rates depend on the metabolism and endurance of individual species. Overall, it is believed that most of by-catch of sharks in large-scale fisheries have high mortality. This might not be true for batoids which generally have different mobility requirements in order to respire. However, their catch are normally small in large-scale high sea fisheries due to their more demersal habits (Bonfil, 1994). Eleven species of shark are commonly caught by tuna longlines in the Indian Ocean such as *Isurus oxyrinchus*, *Lamna ditropis*, *Alopias pelagicus*, *A. superciliosus*, *Prionace glauca*, *Galeocerdo cuvier*, *Carcharhinus longimanus*, *C. falciformis*, *C. albimarginatus*, *C. melanopterus* and *Sphyrna* spp. (adapted from Sivasubramaniam, 1964).

This survey was to study the elasmobranches caught in the Bay of Bengal by pelagic longline (PLL) and drift gill net (DGN).

## Method

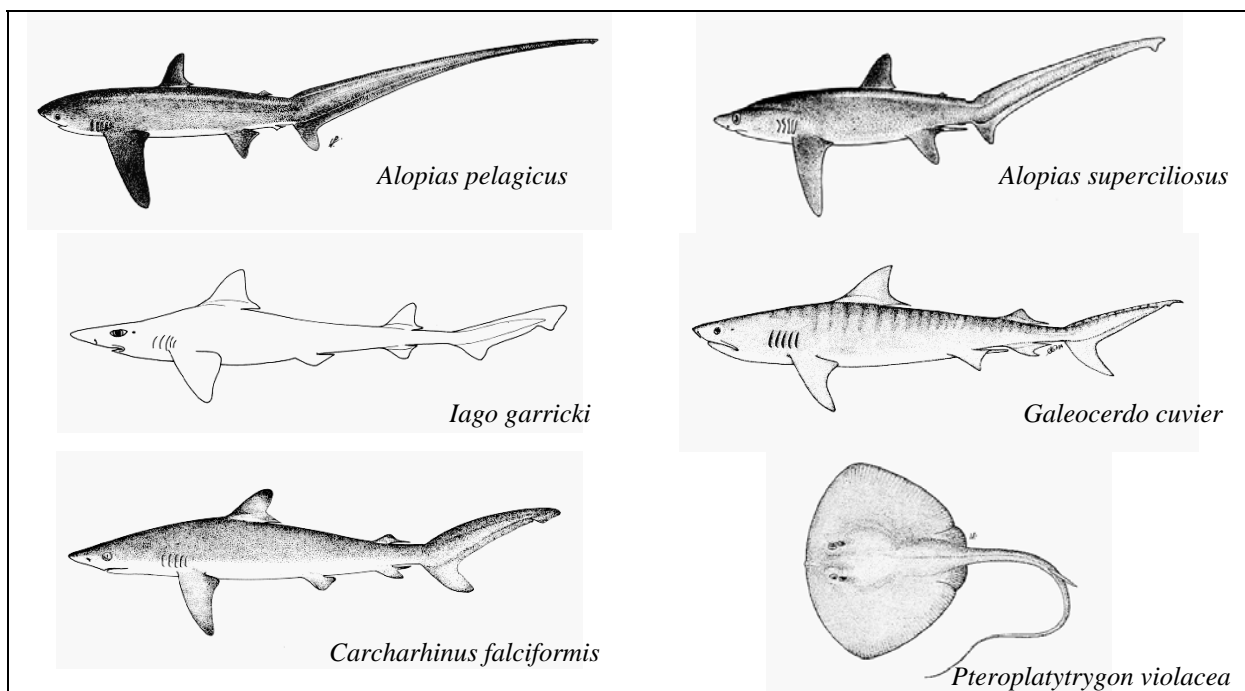
1. The elasmobranches (sharks and rays) were collected after capturing by PLL and DGN.
2. Fish identification was followed Carpenter and Niem (1998, 1999).
3. Measurement of total length (TL) in each specimen was recorded.

## Results

Thirty-five specimens of elasmobranches were identified representing 5 species of shark (29 specimens) and 1 species of ray (6 specimens). They belonged to 4 families and 5 genera as shown in table 1 and fig. 1.

**Table 1** The Elasmobranches caught by PLL and DGN in 3 areas (A, B and C).

Family	Species (n=specimen)	Pelagic longline (PLL)			Drift Gill net (DGN)		
		A	B	C	A	B	C
Alopiidae	<i>Alopias pelagicus</i> (1)			/			
	<i>A. superciliosus</i> (11)		/	/			
Triakidae	<i>Iago garricki</i> (1)	/					
Carcharhinidae	<i>Galeocerdo cuvier</i> (1)			/			
	<i>Carcharhinus falciformis</i> (15)	/	/	/	/	/	/
Dasyatidae	<i>Pteroplatytrygon violacea</i> (6)	/		/			
/ occurred							



**Figure 1** Six species of elasmobranches were found in this survey.

Only twelve specimens of Alopiidae (thresher sharks) were caught by PLL and were identified representing 2 species of *Alopias pelagicus* and *A. superciliosus*. *A. pelagicus* (TL 256 cm) was found only 1 specimen in area C whilst *A. superciliosus* (TL 205-329 cm) was found in area B and C (Fig. 2). The diagnostic characters of these 2 species are as follow:

*A. pelagicus* is a large shark. Head with 5 medium-sized gill slits; snout moderately long and conical; forehead nearly straight in lateral view, broadly arched between eyes; head narrow; no nictitating eyelids; mouth moderately long and semicircular, placed below eyes, with labial furrows rudimentary; teeth small, sharp-edged, with a single narrow. Two dorsal fins, the first moderately large and located equidistant between the pectoral and pelvic fin bases; second dorsal fin minute and positioned well ahead of the small anal fin; pectoral fins narrow, long and nearly straight, broad-tipped, and not falcate; upper lobe of caudal fin very long and strap-like, about as long as the rest of the shark; lower lobe short but strong; terminal lobe very small. Upper precaudal pit present but no caudal keel. Body with bluish or grey above, white below, with a silvery sheen in gill region; white color from belly not expanded over pectoral-fin bases.



**Figure 2** *Alopias superciliosus* was caught by PLL in area C.

*A. superciliosus* is a large shark and look like *A. pelagicus*. Differentiation from *A. pelagicus*, it has a deep horizontal groove on nape on each side from the level of mouth to pectoral fin; eyes very large, expanding onto dorsal surface of head; mouth moderately long and semicircular, placed below eyes, with labial furrows rudimentary; teeth moderately large, sharp-edged, with a single broad. Two dorsal fins, the first moderately large and located just in front of the pelvic fin origins; pectoral fins very narrow, long and falcate, broad-tipped. Upper lobe of caudal fin very long and strap-like. Body with purplish grey above, cream below, light colour of abdomen not expanded over pectoral-fin bases.

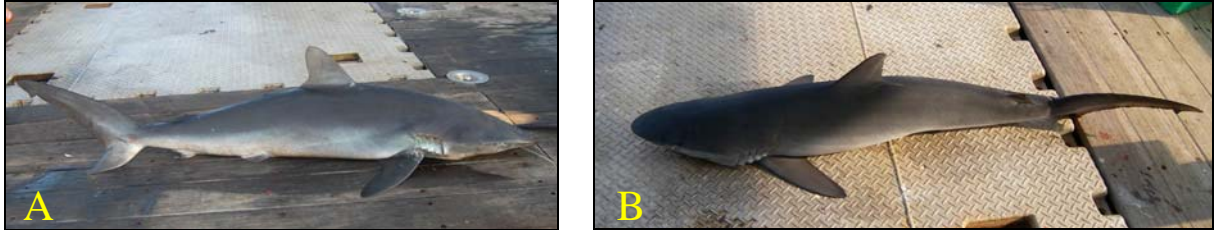
The Triakidae (Hound sharks) was found only *Iago garricki* (TL 80 cm) from PLL in area A. The diagnostic character of this specie is as follow:

*I. garricki* is a small shark. Head with 5 small gill slits; small spiracles present; snout moderately long and conical; eyes lateral oval with nictitating eyelids, subocular ridges obsolete; mouth small and semicircular, placed below eyes, with labial furrows moderately long; teeth small usually similar in both jaws. Two dorsal fins, the first moderately large and located over pectoral fin bases; second dorsal fin medium and located ahead of the small anal fin; pectoral fins large; upper lobe of caudal fin moderately long; lower lobe short.. No caudal keel and precaudal pits. Body with grey above and white below.

The Carcharhinidae (Ground sharks) was found 2 species from PLL and DGN. *Galeocerdo cuvier* (TL 200 cm) was found only 1 specimen in area C, but it could escape from PLL. *Carcharinus falciformis* (TL 85-178 cm by PLL and 55-131 cm by GN) was caught from both gears in area A, B and C (Fig. 3). The diagnostic characters of these 2 species are as follow:

*G. cuvier* is a large and fusiform shark. Head with 5 medium-sized gill slits; snout very short and bluntly rounded, eyes lateral with nictitating eyelids; spiracles small, slit-like, but easily visible; mouth large and semicircular, upper labial furrows as long as snout,

reaching to front of eyes; teeth coarsely serrated. Two dorsal fins, the first moderately large and located nearly pectoral fin bases; second dorsal fin medium and long base, located over the small anal fin; pectoral fins moderately large and falcate; upper lobe of caudal fin long; lower lobe long and point. A low rounded keel on each side of caudal peduncle. Back dark grey or black, rectangular bars on sides and fins.



**Figure 3** *Carcharinus falciformis* was caught by PLL (A) and DGN (B).

*C. falciformis* is a large shark, with elongate and slender body. Head with 5 small-sized gill slits; snout narrowly, rounded, moderately long; eyes lateral with nictitating eyelids; no spiracles; mouth moderately large and semicircular, upper teeth serrated and labial furrows very short. Two dorsal fins, the first moderately high and apex rounded, its origin behind the free rear tips of pectoral fin; second dorsal fin very low, its located over the small anal fin; pectoral fins long and falcate; interdorsal ridge present; upper lobe of caudal fin long. Back dark grey, grayish brown or bluish black; belly grayish or white.

Six specimens of the Dasyatidae (Stingrays) were caught from PLL in area A and C and only one species was found, *Pteroplatytrygon violacea* (TL 94-133 cm, DL 31-51 cm and DW 42-64 cm) (Fig. 4). The diagnostic character of this species is as follow:



**Figure 4** *Pteroplatytrygon violacea* was caught by PLL in area C.

*P. violacea* is a pelagic stingray with thick trapezoidal disc, anterior margin uniformly convex. Body depressed and flattened with denticles and tubercles on the mid-dorsal surface of disc and tail; 5 small gill opening on underside of front half of pectoral disc; eyes dorsolateral on head and just anteromedial to spiracles; pectoral fin very large, originating at anterior tip of snout and ending posterior to pelvic fin origins; low skin fold



present on undersurface of tail; whip-like tail longer than disc with large 2 stinging spine. Dark purple, black on both surfaces (ventral surface almost entirely dark).

### **Discussion and Conclusion**

The result of this survey appeared that only 6 species of elasmobranch were found. Most of them are epipelagic or mesopelagic fish. Catch of elasmobranches were not so many because the types of fishing gear used were selective fishing gear (PLL and GN).

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## Age and Reproduction of *Sthenoteuthis oualaniensis* in the Bay of Bengal

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### Abstract

The specimens of *Sthenoteuthis oualaniensis* were caught by automatic squid jigging machines in the Bay of Bengal from 6 November to 7 December 2007. Fifteen fishing stations were conducted in three areas off Bangladesh waters, India and Sri Lanka waters, and Myanmar waters. Size distribution of *S. oualaniensis* ranged from 105 mm ML to 221 mm ML (169±30.8 mm ML in average and SD, n=32) for females and from 45 mm ML to 124 mm ML (104±28.2 mm ML in average and SD, n=7) for males, respectively. Statoliths from a total of 34 individuals (6 males, 28 females) of specimens (ML ranged from 45 to 221 mm) were used for the age estimation. Age of *S. oualaniensis* estimated from the counting of the statolith increments ranged from 63 days at 175 mm ML to 120 days at 199 mm ML for females and 40 days at 45 mm ML to 114 days at 124 mm ML for males, respectively. The mean age of females and males were 81.1 and 79.2 days old, respectively. The ML-BW relationships for *S. oualaniensis* was expressed as  $BW = 16.183ML^{4.1603}$  ( $r^2 = 0.855$ ,  $n = 32$ , 105-221 mm ML) and  $BW = 2.932ML^{1.4875}$  ( $r^2 = 0.622$ ,  $n = 7$ , 45-124 mm ML) for female and male, respectively. Based on the back calculation on the specimens collected from 6 to 30 November 2007, hatching date of the females *S. oualaniensis* was estimated to be from July to October 2007. There were different growth rates between sexes. Males those hatched in the same period with females grew with slower growth rates and captured in a smaller size than females.

**Key words:** *Sthenoteuthis oualaniensis*, age, reproduction, Bay of Bengal

### Introduction

The purpleback flying squid *Sthenoteuthis oualaniensis* (family Ommastrephidae) is widely distributed in the tropical and subtropical areas of the Indo-Pacific and Indian Ocean (Nesis, 1977; Voss, 1973; Carpenter and Niem, 1998). The biomass of *S. oualaniensis* in the Indian Ocean was estimated to be about two million tons by the counting of the squid at the surface at night light stations (Zuev *et al.*, 1985). Pinchukov (1989) and Zuev *et al.* (1985) had been reported the biomass of *S. oualaniensis* in the Indian Ocean was generally ranged from 50 to 75 kg per square km and high concentration from 4 to 42 ton per square km was mainly found in the Arabian Sea. The latest assessment of the total biomass of those squid throughout its range was about 8 to 11 million tons (Nigmatullin, 1990). Recent studies had been suggested that *S. oualaniensis* is probable under exploited resources and could sustain higher exploitation levels in the future (Dunning, 1998; Xinjun *et al.*, 2007; Yatsu, 1997).

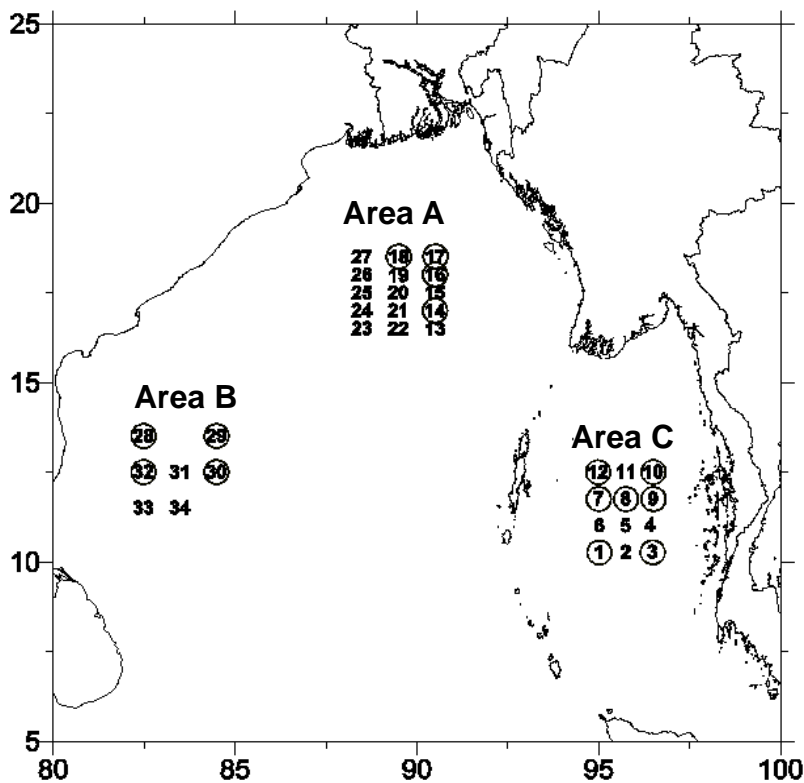
Since the statolith microstructure is useful for age determination of squids as otoliths in teleost fishes, the age and growth of *S. oualaniensis* are relying on the indirect validation studies, assuming the daily deposition of increments (Arkhipkin and Bizikov, 1991; Takagi *et al.*, 2002). The squid was reported a short life span (1-1.5 years), high growth rates and complex population structure at least three main forms are distinguishable with and

without a large dorsal photophore, and different by the structure of the gladius (Zuev and Nesis, 1971; Nesis, 1977; Zuev *et al.*, 1985; Nesis, 1993; Yatsu, 1997; Yatsu *et al.*, 1998). As a consequence of those important component of *S. oualaniensis* in the marine ecosystem and has been interested from the view point of target of commercial fisheries of the Indian Ocean. More information on the fishery biology of *S. oualaniensis* needs more attention. The present study is objective to provide information on age and reproduction of *S. oualaniensis* collected during the BIMSTEC survey in the Bay of Bengal from 6 November to 7 December 2007.

## Materials and Methods

### Data Ccollection and Method of Analysis

The specimens of *Sthenoteuthis oualaniensis* were caught by automatic squid jigging machines in the Bay of Bengal from 6 November to 7 December 2007. Fifteen fishing stations were conducted in three areas off Bangladesh waters (area A; latitude 16°N-19°N, longitude 88°E-91°E), India and Sri Lanka waters (area B; latitude 09°N-14°N, longitude 82°E-85°E), and Myanmar waters (area C; latitude 10°N-12°N, longitude 95°E-97°E) (Fig. 1 and Table 1).



**Figure 1** Map of survey stations in the Bay of Bengal. The station numbers in the circle show the fishing stations of the automatic squid jigging.

Squids were sexed using the presence or absence of the male sex organ called hectocotylus. Measurements were made on dorsal mantle length to the nearest 0.1 mm (ML in mm) and wet body weight (BW in g) to the nearest 0.1 g. A total number of individuals of *S. oualaniensis* was examined, and the mantle length ranged from 45 to 124 mm ML (n=7) and from 105 to 221 mm ML (n=32) for male and female, respectively (Table 1).

After dissection of mantle, sexual maturity stages were determined based on the

definition of stages I to VI of Lipinski and Underhill (1995), stages I and II were defined as immature stage, stage III as maturing stage, stages IV and V as mature stage, and stage VI as spent in the present study.

### Statolith Handling and Ageing Technique

Paired statoliths were collected from specimens and stored in liquid paraffin until preparation following the method of Dawe and Natsukari (1991). The right statolith was used for counting increments. If the increment definition of the right statolith was poor, the left one was also examined. Anterior side of statolith was ground with 3M slim rubbing film sheet No. 4000-15000. Statolith increments were observed under an optical microscope (x400) (with digital camera attached). The image of increments were taken by digital camera and transferred to personal computer for counting on the number of growth increments. Counting of increments was made from the nucleus to the dorsal dome.

Statoliths from a total of 34 individuals (6 males, 28 females) of specimens (ML range from 45 to 221 mm) were readable and used for the age estimation.

### Size at Age and Ggrowth

Since the daily deposition of statolith increments had been validated in Ommastrephid squids (*Todarodes pacificus*, *Illex argentinus*, *Ommastrephes bartramii*, *Sthenoteuthis oualaniensis*, *Dosidicus gigas*), age in the present study was estimated relying on the assumption that the increments of *S. oualaniensis* statoliths were estimated as daily increment (Rodhouse and Hatfield, 1990; Arkhipkin and Bizikov, 1991; Jackson, 1994; Yatsu, 1997; Yatsu *et al.*, 1998; Takagi *et al.*, 2002).

Recently, the non-asymptotic growth models, included linear, exponential and power curves have been applied in many studies (*I. illecebrosus*, Balch *et al.*, 1988; *S. oualaniensis*, Arkhipkin and Bizikov, 1991; *O. bartramii*, Bower, 1996; *D. gigas*, Matsuda *et al.*, 1998). In the present study, the linear regression was applied to the relationship between the estimated age (t in day) and mantle length (ML in mm) (Arkhipkin and Bizikov, 1991; Yatsu, 2000) as follows;

$$ML = ML_0 + at$$

Where  $ML_0 = 2.0$  (since the smallest paralarvae of *S. oualaniensis* is 2.0 mm in ML was collected during the survey); ML = Mantle length (in mm); t = estimated age (in day); a = least-squares linear regression coefficient.

The relationship between the mantle length (ML in mm) and total body weight (BW in g), expressed as  $BW = aML^b$ , were fitted by the least-squares linear regression of log transformed variables.

**Table 1** Summary of the survey areas and sample collection of *Sthenoteuthis oulaniensis* between 6 November to 7 December 2007.

Survey station no.	Fishing operation no.	Date	Fishing position		No. of jig	No. of line	Immersion time (hrs.)	Sea depth (m)	Angling depth (m)	Total catch (individual)		ML range (mm)	Weight range (g)	Total weight (g)
			Latitude	Longitude						Female	Male			
<b>Area C</b>														
1	1	6/11/2007	10_18.2 N	095_01.0 E	100	4	4.0	2,365	75-100	5	1	124-180	90-280	1,030
3	2	7/11/2007	10_14.4 N	096_32.8 E	100	4	4.0	538	75-100	-	1	116	75	75
7	3	10/11/2007	11_04.9 N	095_36.3 E	100	4	3.3	513	75-100	4	-	175-210	220-410	1,220
8	4	11/11/2007	11_54.5 N	095_06.7 E	110	4	3.3	2,841	75	5	1	121-202	20-420	980
9	5	12/11/2007	11_45.6 N	096_32.4 E	110	4	3.5	883	75-100	6	1	122-216	90-500	2,020
10	6	13/11/2007	12_04.5 N	096_23.4 E	110	4	4.0	1,128	75-100	2	1	109-175	20-200	260
12	7	15/11/2007	12_29.5 N	094_54.5 E	110	4	4.0	1,418	75	3	-	105-190	50-300	450
<b>Area A</b>														
14	8	17/11/2007	16_49.5 N	090_20.9 E	110	4	4.0	2,353	50	3	1	45-221	18-650	798
16	9	18/11/2007	18_01.4 N	090_35.7 E	110	4	4.0	2,136	75	4	-	163-181	100-180	500
17	10	19/11/2007	18_27.0 N	090_28.3 E	100	4	0.4	2,353	75	-	-	operation cancelled due to the damage of jigging lines		
18	11	20/11/2007	18_30.8 N	089_28.9 E	100	4	3.0	2,012	75	-	-	-	-	-
<b>Area B</b>														
29	12	28/11/2007	13_25.0 N	084_27.0 E	100	4	4.0	1,418	75	-	-	-	-	-
30	13	29/11/2007	12_25.0 N	084_25.0 E	100	4	4.0	3,329	75	-	-	-	-	-
28	14	30/11/2007	13_30.6 N	082_29.8 E	100	4	4.0	2,353	75	-	1	93	20	20
32	15	1/11/2007	12_27.6 N	082_18.9 E	100	4	4.0	3,425	75	-	-	-	-	-

### **Hatching Time and Spawning Period**

Date of the hatching was estimated by back-calculation from the data of the capture of the specimen using statolith increment counts.

## **Results**

### **Variation in Size and Age Distribution**

Size distribution of *S. oualaniensis* ranged from 105 mm ML to 221 mm ML (169±30.8 mm ML in average and SD) for females and from 45 mm ML to 124 mm ML (104±28.2 mm ML in average and SD) for males, respectively.

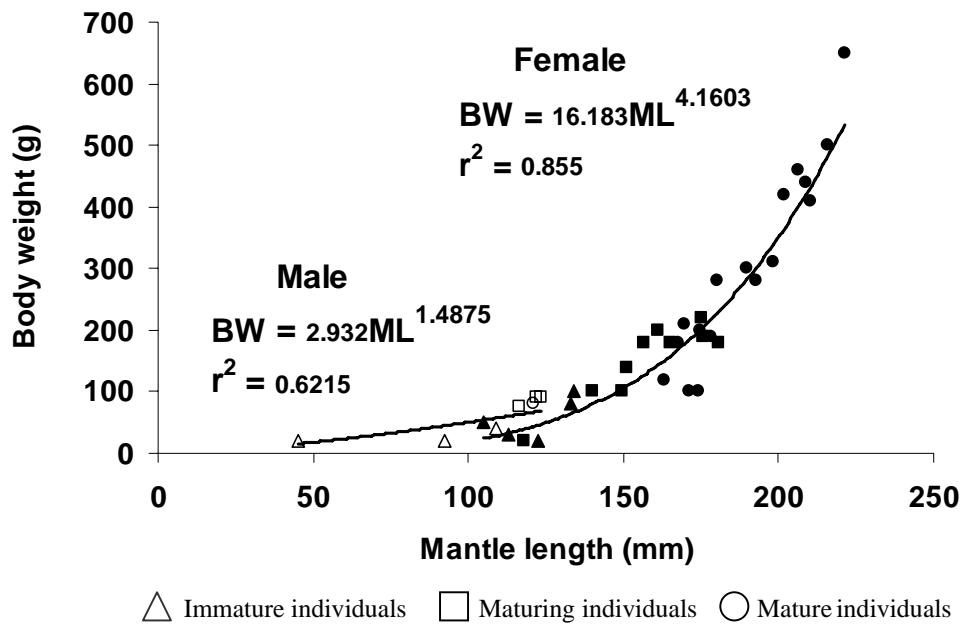
Age of *S. oualaniensis* estimated from the counting of the statolith increments ranged from 63 days at 175 mm ML to 120 days at 199 mm ML for females and 40 days at 45 mm ML to 114 days at 124 mm ML for males, respectively. The mean age of females and males were 81.1 and 79.2 days old, respectively.

### **ML-BW Relationships**

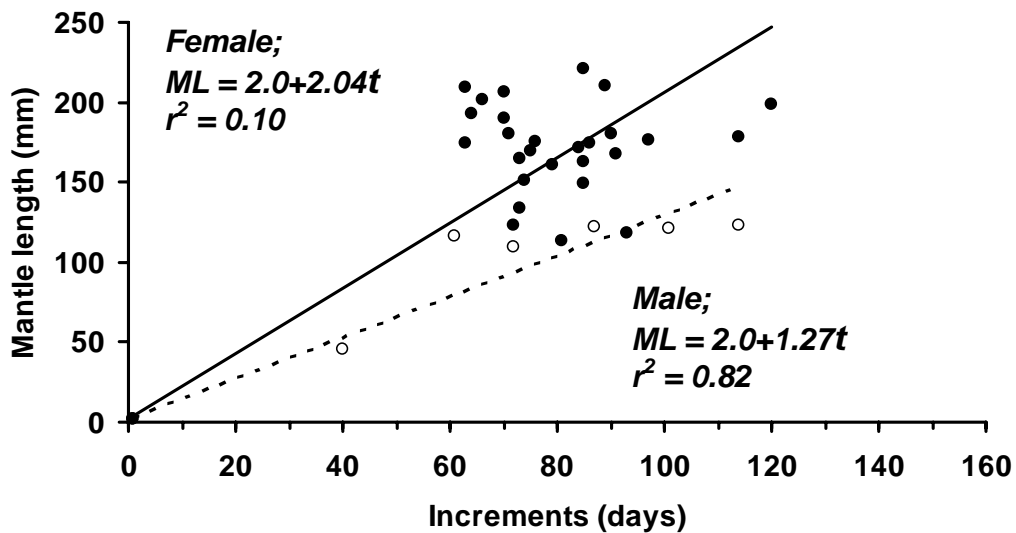
The ML-BW relationships for *S. oualaniensis* was expressed as  $BW = 16.183ML^{4.1603}$  ( $r^2 = 0.855$ ,  $n = 32$ , 105-221 mm in ML) and  $BW = 2.932ML^{1.4875}$  ( $r^2 = 0.622$ ,  $n = 7$ , 45-124 mm in ML) for female and male, respectively (Fig. 2).

### **Size and Age at Sexual Maturation**

Length distribution of each maturity stage of female squid ranged in size from 105 mm ML to 134 mm ML for immature stages (stage I and II combined), ranged size of 118-181 mm ML for maturing stage (stage III), and a range size of 168-221 mm ML for mature stage (stage IV). There were differences in male maturities as immature and maturing individuals were smaller than female and ranged in size of 45-109 mm ML and 116-124 mm ML, respectively. A single specimen of mature male at mantle length of 121 mm was found in this study.



**Figure 2** The relationship between mantle length (mm) and body weight (g) for male (open symbol) and female (closed symbol) *S. oualaniensis*.



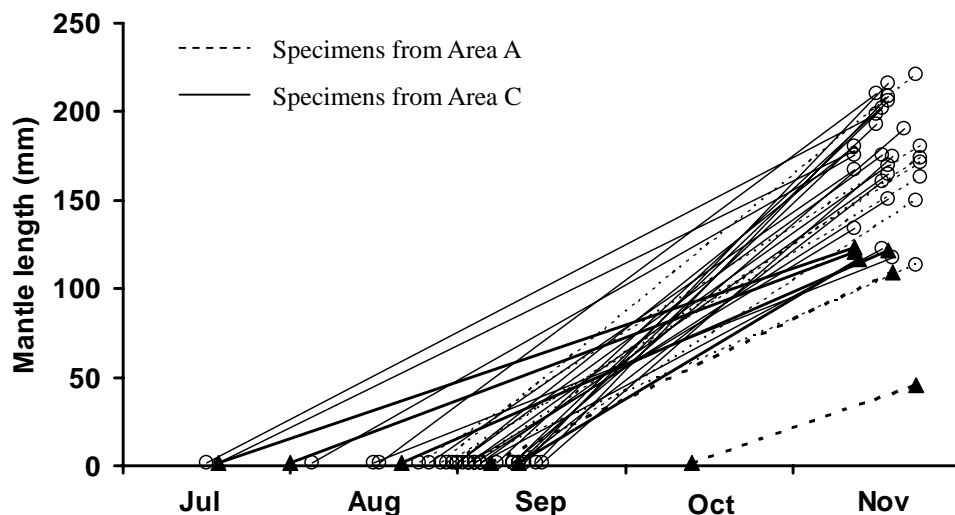
**Figure 3** The relationship between statolith increments (days) and mantle length (mm) for male (open circle) and female (closed circle) *S. oualaniensis*.

The age of immature females varied between 72 and 81 days, and that of the males varied between 40 and 70 days old. The age of maturing females were younger than males with a range of 73-97 days old, and that of the males ranged between 61 and 114 days old. Wide range of age at matured females was found between 63 and 120 days old. The biggest squid analyzed was a mature female of 221 mm ML (85 days) whereas the mature male of 121 mm ML was age 101 days old.

### Size at Age and Hatching Date

The relationship between the number of increments (days) and ML was plotted in fig. 3. The linear regression lines show that females had progressively faster growth than males (Fig. 3).

Based on the back calculation on the specimens collected from 6 to 30 November 2007, hatching date of the females *S. oualaniensis* was estimated to be from July to October 2007. Fig. 4 indicated the relationships between estimated hatching date and ML at the date of capture. An individual growth lines for each male and female squid hatched in July and early of August had the shallower individual growth slopes indicating a slower rate of growth (Fig. 4). There were differences growth rate between sexes. Likewise those males hatching in the same period of females had lower growth rates and be captured in a smaller size than females.



**Figure 4** The relationships between estimated hatching date and ML at the date of captured for male (closed triangle) and female (open circle) *S. oualaniensis*.

### Discussion

The size distribution of the *S. oualaniensis* specimens in the present study was recognized two forms according to Nesis (1993). First form is the dwarf immature and early maturing males of 45-124 mm ML and females of 105-176 mm ML, without dorsal photophore. Second form is the middle-sized maturing and early mature female of 163-221 mm ML with dorsal photophore. All the squids caught in the eastern Bay of Bengal (area A and C) tended to be smaller than those caught in the Red Sea, Arabian Sea and around the area of the northwestern



Indian Ocean (Nesis, 1977b, 1985, 1993; Yatsu, 1997; Xinjun *et al.*, 2007). The *S. oualaniensis* specimens contain form 1 (Nesis, 1993) was also reported in the Andaman Sea of Thailand by Nateewathana (1997). These specimens were lack of dorsal photophores, but the females were much longer than 120 mm ML (the biggest specimen, PMBC no.11795, 323 mm ML; Nateewathana, 1997). The size distribution of *S. oualaniensis* in the present study is consistent with the previous results from the former USSR research in summer of the West Indian Ocean. The ML was mainly in the range of 90-180 mm ML, and 80-270 mm ML, and in the winter mainly ranged from 90 mm to 180 mm ML (Trotsenko and Pinchukov, 1994). The size ranged from 74 mm to 321 mm ML with the dominant group in the range of 110-250 mm ML was also reported as by-catch in the Chinese trawling boats (Yang, 2002).

The complex population of *S. oualaniensis* had been described three major and two minor forms by Nesis (1993). Those characters were important and attempt was made many times to describe as a separated species (Clarke, 1965 and Wormuth, 1976). The dwarf form was also suggested to be a separate species that could only be identified as an adult (Xinjun *et al.*, 2007). Snyder (1998) suggested that the giant form resulted from a plastic phenotype in the species. A new study based on RADP DNA (Random Amplified Polymorphic DNA) analysis is being done in Marine Science and Technology of Shanghai Fisheries University, and preliminary findings suggest a large variation in biology among the groups (Xinjun *et al.*, 2007).

The development of dorsal photophore and the structure of the hectocotylus were suggested to be affected by the combination of growth and maturation (Nesis, 1977b). The photophore is being to develop when squid reaches a ML of approximately 10 cm, but if maturation does not begin, the photophore development will be blocked. However, this hypothesis was cited but not verified (Nesis, 1993).

Many studies indicate that *S. oualaniensis* had its life span less than 1 year (Nesis, 1993; Dong, 1991; Trotsenko and Pinchukov, 1994). However, the result from age determination based on daily increments of statoliths which samplings were different both in locations and time. Yatsu (2000) determined growth curves for both sexes and reported a female of 120 mm ML at 51 days old which contrast to the data of Zaidi bin Zakaria (2000), which places a 115 mm ML female at an age of 95 days. This may suggests that environmental conditions such as temperature and food availability are the main factors influencing to growth rates, lifespan and fluctuations of relative gonad investment. Lastly the process to count the daily increment might suggest a bit different output since there has no verification from several counters in the same specimens.

*S. oualaniensis* has been subjected to commercial exploitation in the northwestern Indian Ocean by the Chinese squid jigging boats (Xinjun *et al.*, 2007). The species also commercially fished off Okinawa, Taiwan and Hawaii as a tuna bait and human consumption (Okutani and Tung, 1978). Although this species is abundant in the South China Sea region but the fishery has never succeeded. It is low value for human consumption relatively to other squids and due to its toughness. A wide ecological amplitude character, complex intraspecific structure, high fecundity, short life cycle, high growth rate and significant production (Zuev and Nesis, 1971; Nesis, 1977; Zuev *et al.*, 1985; Xinjun *et al.*, 2007) make this species an interesting for further study on life history. However, the prior needs to the development of a commercial fishery for this species in the survey area especially in the eastern Bay of Bengal, are more data collection and information on distribution and fishery biology. At present this species has not been yet exploited in the Andaman Sea of Thailand.

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## **Stomach Content of the Large Pelagic Fishes in the Bay of Bengal**

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### **Abstract**

Investigation of stomach contents of apex predator; frigate tuna (*Auxis thazard*), skipjack tuna (*Kasuwonus pelamis*), yellowfin tuna (*Thunnus albacares*), bigeye (*Thunnus obesus*) and swordfish (*Xiphias gladius*) were undertaken during November to December 2007. These fishes were caught in the Bay of Bengal with pelagic longline and drift gillnet from the survey cruise by MV. SEAFDEC.

Thirty five percent of 68 stomach samples of tuna and tuna-like species were found diet. The diet content were reported cephalopod (60.70% by weight and 44.83% by number), fish (38.85% by weight, 5.75% by number), and parasite (0.45% by weight, 49.42% by number). Prey fish composed of 3 families; Ostraciidae, Bramidae and Diretmidae, and 1 unidentified fish. Cephalopod was represented by Teuthoidea and *Histioteuthis celetaria pacifica*, Octopoda. Parasite was reported Nematode (black and white) and Digenea. Diet data were compared between surface and deep swimmer predators, the result showed higher the number of prey fish and parasite from deep swimmers (4.79 prey fish and 5.07 parasite per stomach) than that from surface swimmers (1.62 prey fish and 1.15 parasite per stomach).

Community of predator, prey and parasite was categorized into 3 assemblages upon species of such components and habitat (depth of water) of those species. It was found significant differences between groups. Groups B and C had the highest total number of taxon whilst the highest average number of parasite was found in group B, followed by groups C and A.

The preliminary structure of tuna trophic ecology in the Bay of Bengal was explained from the result of the present study. Future development on commercial deep-water fisheries and the taxonomy and field guide of deep-sea fishes and cephalopod beak have been suggested for the study in the Bay of Bengal.

### **Introduction**

The predator-prey interactions play an important part in the structure and the dynamics of multispecies communities. Facing the dramatic increase of the catches of tuna and related species in the Indian Ocean, especially the eastern Indian Ocean, it becomes necessary to assess the impact of the fisheries on the pelagic ecosystems. The implement of research activities leading to a better knowledge of trophic ecology of apex predators will provide such an ecosystem point of view that has to be considered nowadays in the high seas fisheries management.

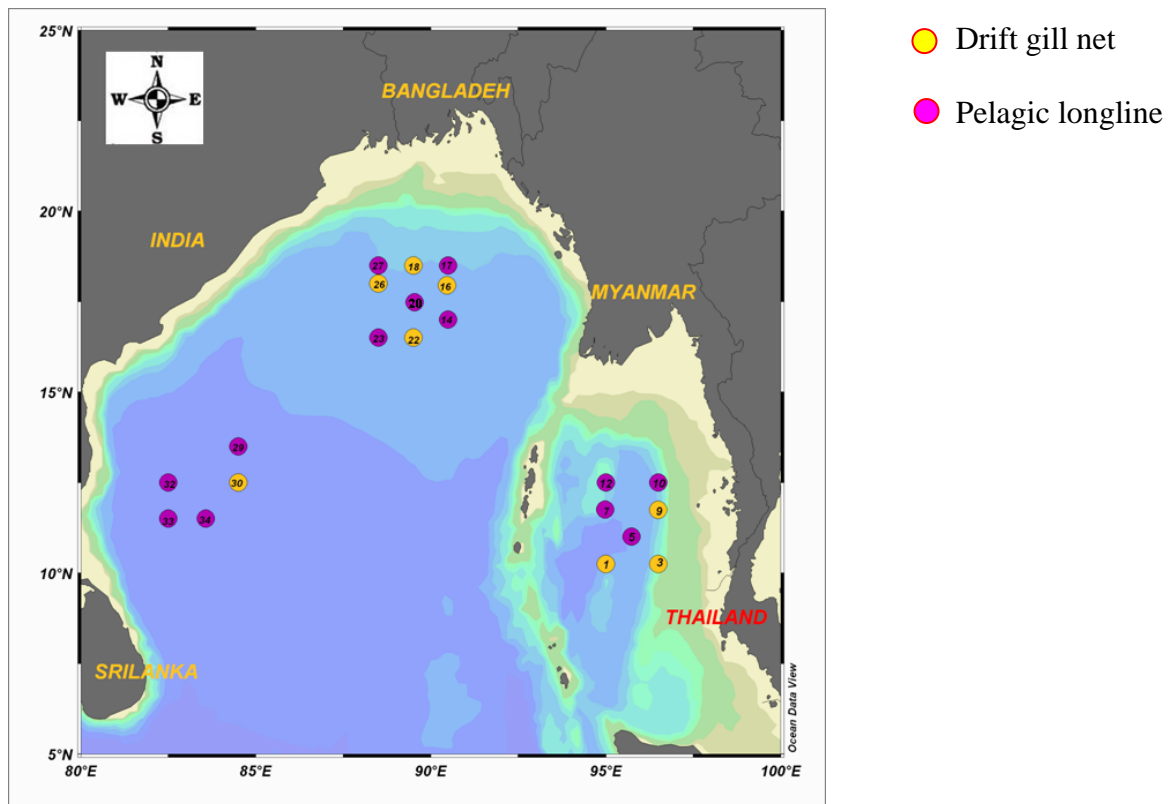
Feeding studies of tunas and sharks have already been conducted in the western Indian Ocean during the THETIS program (Potier *et al.*, 2004) whereas the tunas feeding habit in the eastern Indian Ocean is still rarely studied, only the reports on stomach content of tropical tunas in the Andaman Sea (Nootmorn *et al.*, 2007 and Panjarat, 2006) are available.

The purpose of this study considers on the stomach content of large pelagic fish, apex predator, in the Bay of Bengal.

## Materials and Methods

### On Board

During M.V. SEAFDEC cruise two fishing gears, namely pelagic longline and drift gillnet, were operated for large pelagic fish catching in 3 areas of the Bay of Bengal (Fig. 1); area A (Bangladesh, latitude 16°N-19°N, longitude 88°E-91°E), area B (Indian, latitude 9°N-14°N, longitude 82°E-85°E) and area C (Myanmar, latitude 9°N-13°N, longitude 95°E-97°E). Large pelagic fish sample from pelagic longline and drift gillnet fishing were collected where the sampling sites are presented in table 1. Sixty eight fish samples comprised mainly 28 skipjack tuna (*Kasuwonus pelamis*), followed by 15 swordfish (*Xiphias gladius*), 10 frigate tuna (*Auxis thazard*), 7 kawakawa (*Euthynnus affinis*), 5 yellowfin tuna (*Thunnus albacares*) and 3 bigeye tuna (*Thunnus obesus*). The entire stomach was removed from the freshly caught fish when hauled on board. Sizes of the predator in fork length (FL,cm) and weight (kg) were recorded for each fish. The collected stomach was put in a sealed plastic bag and stored in M.V. SEAFDEC's freezer at -20°C. A label with the main characteristics was enclosed with the bag.



**Figure 1** Map of pelagic longline (PLL) and drift gill net (DGN) operated in the Bay of Bengal.

**Table 1** The sampling site in the Bay of Bengal.

Station	Operation	Date	Time	Lat	Long
5	PLL1	10-11/Nov/07	18.20	11°05'.80 N	095°41'.80E
7	PLL2	11-12/Nov/07	18.20	11°46'.00 N	094°58'.90E
10	PLL3	13-14/Nov/07	17.46	12°34'.30 N	096°26'.70E
12	PLL4	15-16/Nov/07	17.31	12°30'.30 N	094°59'.70E
14	PLL5	17-18/Nov/07	17.31	16°55'.60 N	090°25'.90E
17	PLL6	19-20/Nov/07	17.32	18°31'.10 N	090°26'.70E
20	PLL7	21-22/Nov/07	18.00	17°31'.50 N	089°28'.20E
23	PLL8	23-24/Nov/07	17.31	16°30'.70 N	088°24'.50E
27	PLL9	25-26/Nov/07	17.30	18°30'.40 N	088°28'.30E
29	PLL10	28-29/Nov/07	18.03	13°30'.00 N	084°30'.10E
32	PLL11	1-2/Dec/07	18.27	12°32'.90 N	082°24'.90 E
33	PLL12	2-3/Dec/07	18.00	11°31'.80 N	082°26'.10 E
34	PLL13	3-4/Dec/07	18.28	11°29'.60 N	083°28'.10 E
1	DGN1	6-7/Nov/07	17.55	10°18'.60 N	095°00'.30 E
3	DGN2	7-8/Nov/07	18.21	10°14'.80 N	096°29'.40 E
9	DGN3	12-13/Nov/07	18.54	11°45'.20 N	096°30'.00 E
16	DGN4	18-19/Nov/07	18.49	17°59'.30 N	090°32'.00 E
18	DGN5	20-21/Nov/07	17.45	18°28'.00 N	089°29'.00 E
22	DGN6	22-23/Nov/07	18.38	16°30'.00 N	089°30'.90 E
26	DGN7	26-27/Nov/07	17.30	18°03'.10 N	088°27'.40 E
30	DGN8	29-30/Nov/07	17.57	12°27'.40 N	084°23'.70 E

Remark: PLL= Pelagic longline, DGN= drift gill net

### At the Laboratory

The stomachs were defrosted before analysis in three steps.

1. The stomach content was sorted into large categories as fishes, cephalopods or parasite.

2. The different items constituting the categories were sorted and counted for each, remarkable organ are used to determine the number of item in the stomach such as upper or lower beaks of cephalopods. Specimens of fish were preserved in a 10% buffer formalin solution for 24 hour then change to 70% alcohol. However the beaks of the cephalopods were kept in 70% alcohol at the initial step to prevent decalcification.

3. Prey and other item were identified to group, family and, whenever possible, to species level. The identification of fishes was based on descriptions given in a variety of FAO Volume 2, 4, 5 and 6 (2001a, 2001b, 2001c and 2001d), cephalopods and beak of cephalopod was base on Clarke (1962 and 1986) and Kubodera (2003). The parasite was identified to group based on Smith *et al.* (2007).

Analysis of full and empty stomachs was calculated in percentage of each taxon/group of tunas. Cluster analysis (Kruskal and Wish, 1978) was carried out based on a Bray-Curtis similarity matrix of appropriately transformed species abundance data (only number of prey taxon/group). Analysis of similarities (ANOSIM) and Similarity percentages (SIMPER) were used for analysis of tunas and prey species similarity and species ranking of average dissimilarity between assemblages, respectively (Carr, 1997).

## Results and Discussion

### Size Distribution

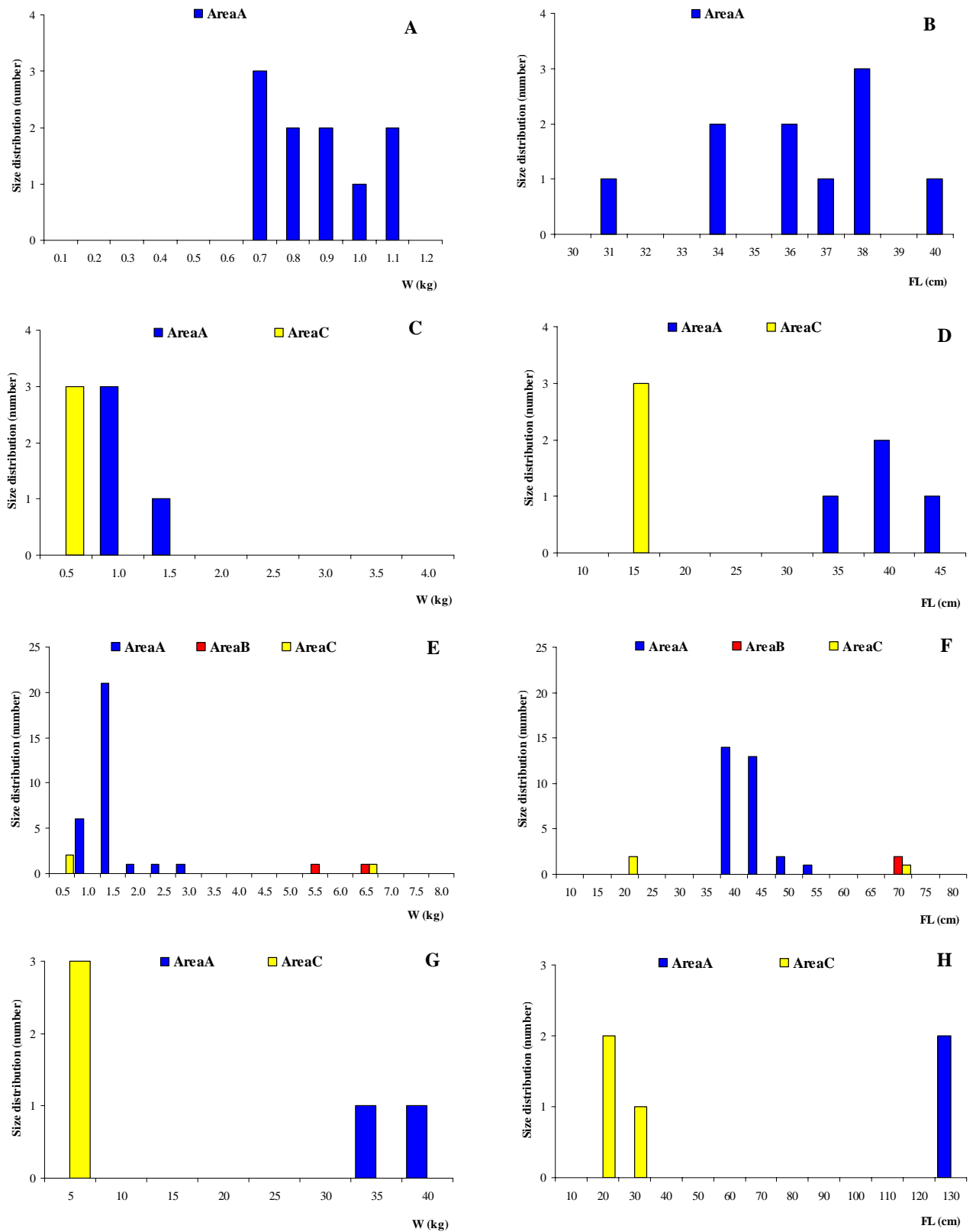
The sizes distribution (length and weight) of frigate tuna from area A and kawakawa from areas A and C, caught with drift gillnet, ranged in length 30.5 to 39.8 cm and 17.3 to 41.0 cm, respectively and in weight 0.56 to 1.15 kg and 0.07 to 1.05 kg, respectively (Figs. 1A-1D). Kawakawa in area C is smaller than fish caught from area A. Skipjack tuna caught with drift gillnet in areas A, B and C was between 17.6 to 70.0 cm in length and 0.07 to 6.35 kg in weight (Figs. 1E-1F). Skipjack tuna caught from area B is bigger sizes than areas A. Yellowfin tuna was caught with pelagic longline in area A and drift gillnet in area C, range of sizes was reported 17.30 to 129.0 cm and 0.06 to 38 kg (Figs. 1G-1H). Fish caught with longline is bigger sizes than fish from drift gillnet fishing, the stomach content was found only fish from drift gillnet fishing in area C. Bigeye tuna was caught with drift gillnet in areas A and C, range of sizes was reported 24.4 to 46.0 cm and 0.22 to 2.0 kg (Figs. 1I-1J). This species was found only juvenile fish. Size range of swordfish was 120 to 280 cm and 5 to 100 kg (Figs. 1K-1L), this species was caught with both gears in areas A, B and C. Size of fish from area C was the biggest, followed by fish from area A and B.

### Stomach Content

From 68 stomach samples of tunas and tuna-like species, it was found 44 empty stomachs (Table 2). All of kawakawa (7 specimens) was found empty stomachs, the rest fish samples which constituted 35% of the total fish samples were found prey and parasite in their stomachs. The stomach content was identified to be 3 groups, namely cephalopod (60.70% by weight and 44.83% by number), fish (38.85% by weight and 5.75% by number), and parasite (0.45% by weight and 49.42% by number) (Fig. 3). This study found the percentage of prey and parasite in the stomach (35 %) less than the previous study from Nootmorn *et al.* (2007) in the Andaman Sea. They reported 94% of non-empty stomach of tunas and tuna-like species from tuna longline fishing in the Andaman Sea, the main forage of tuna were reported cephalopods, followed by fishes and deep-sea shrimps.

Usually it is difficult to collect tuna's stomach content from commercial fisheries, especially in the eastern Indian Ocean. As tunas from longline fishing were eviscerated, and from the purse seine fishing most of tunas's stomach samples were empty this might be due to that the fishing times were in very early morning when tunas had not yet feeding (Panjarat, 2006; Nootmorn *et al.*, 2001).





**Figure 2** Size distribution of frigate tuna (A and B), kawakawa (C and D), skipjack tuna (E and F), yellowfin tuna (G and H), bigeye tuna (I and J) and swordfish (K and L).

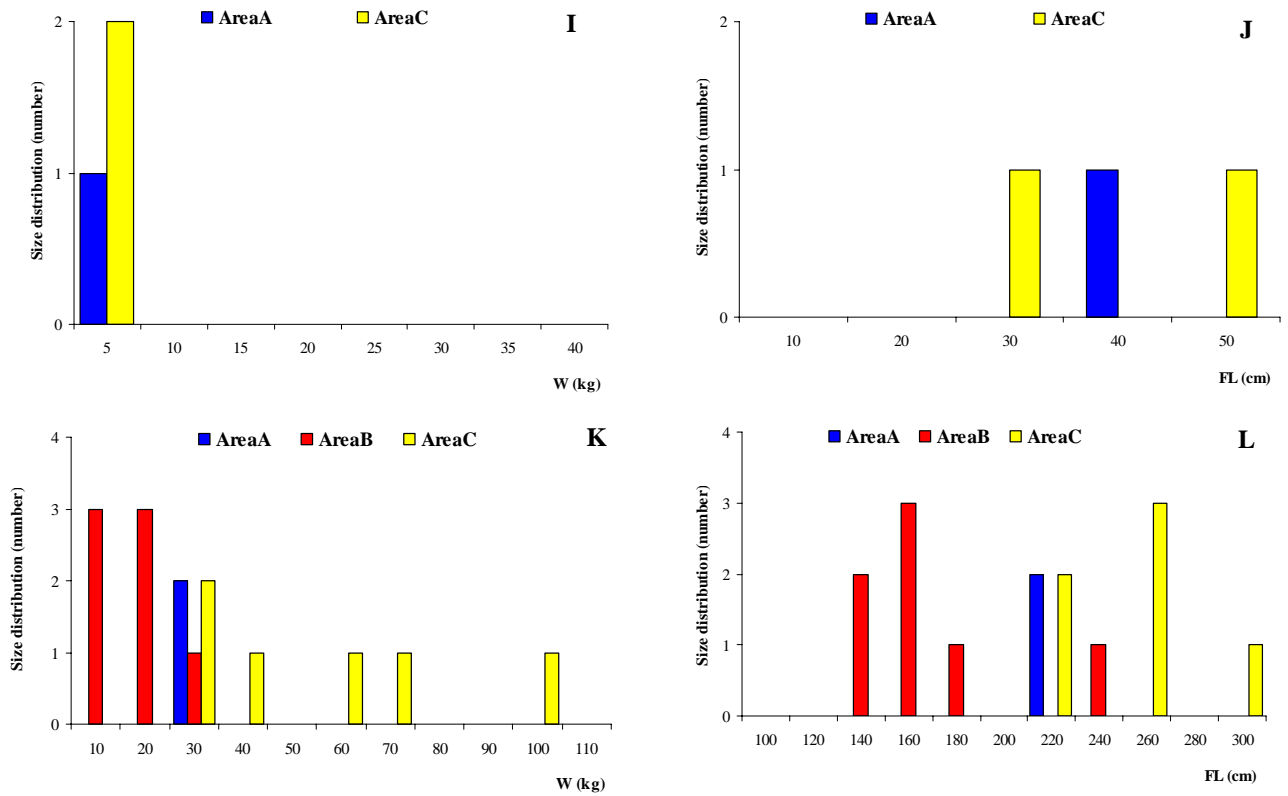


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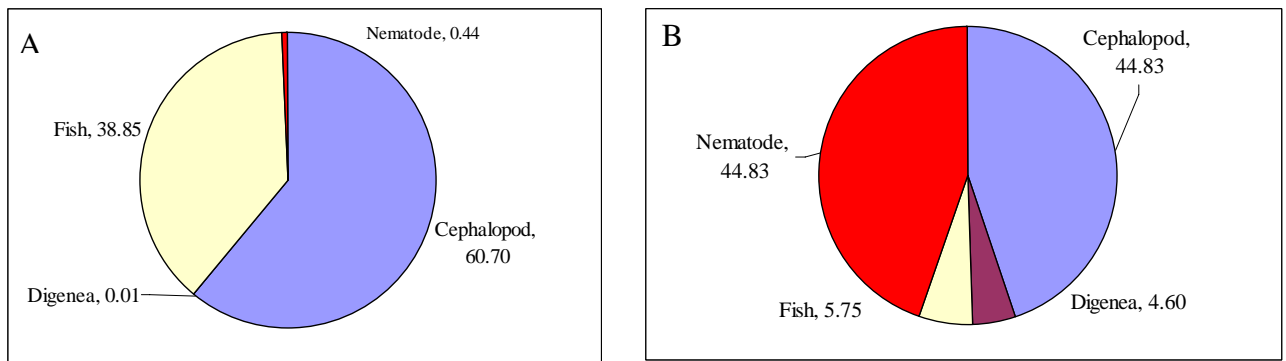
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**Table 2** Tunas and tuna like species samples with stomach content observation.

Tunas and tuna like species	Stomach		Total
	Non-empty	Empty	
<i>Auxis thazard</i>	5	5	10
<i>Euthynnus affinis</i>	0	7	7
<i>Kasuwonus pelamis</i>	3	25	28
Yellowfin Tuna	4	1	5
Bigeye Tuna	1	2	3
Swordfish	11	4	15
<b>Total</b>	<b>24</b>	<b>44</b>	<b>68</b>



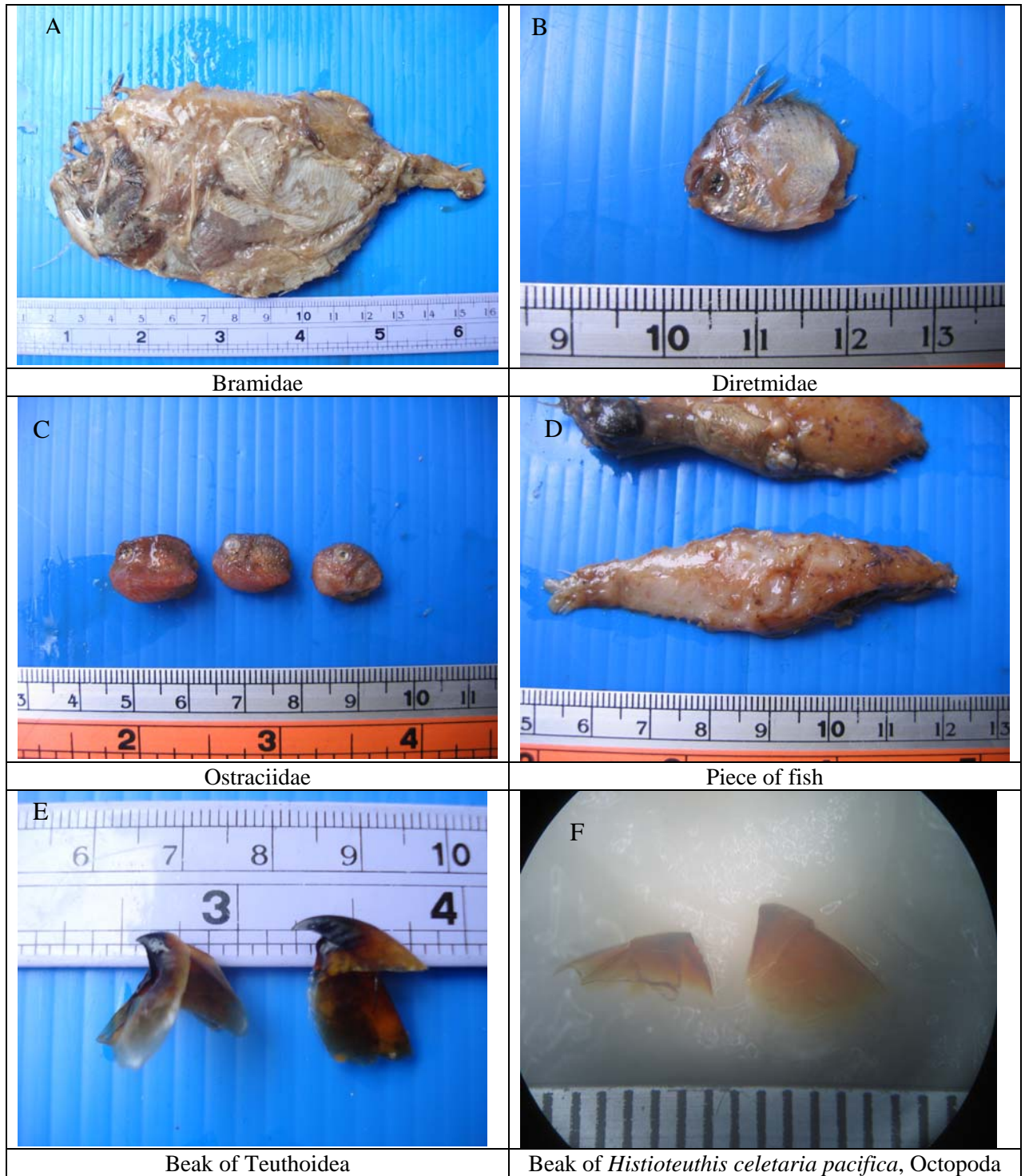
**Figure 3** Percentage of prey and parasite composition of tunas and tuna-like species in the Bay of Bengal (A = in weight and B = in number).

Prey fishes were identified 3 families, Bramidae, Ostraciidae, Diretmidae and 1 unidentified fish (Figs. 4A-4D). They contributed, respectively, 13.49, 0.37, 0.11 and 24.88% by weight to the total content. (Remarkable, this study found Indo Pacific mackerel and round scad in stomach of tunas; we checked from the fishing operations, these fishes were used as bait for catching pelagic fishes and so they were excluded from calculation of diet composition.) Cephalopod was identified 2 families and 1 species, namely Teuthoidea and Octopodidae. Their compositions were Teuthoidea (include beak, pen and eye) 60.69% and beak of *Histioteuthis celetaria pacifica*, Octopoda 0.01% of the total sample weight (Figs. 4E and 4F).

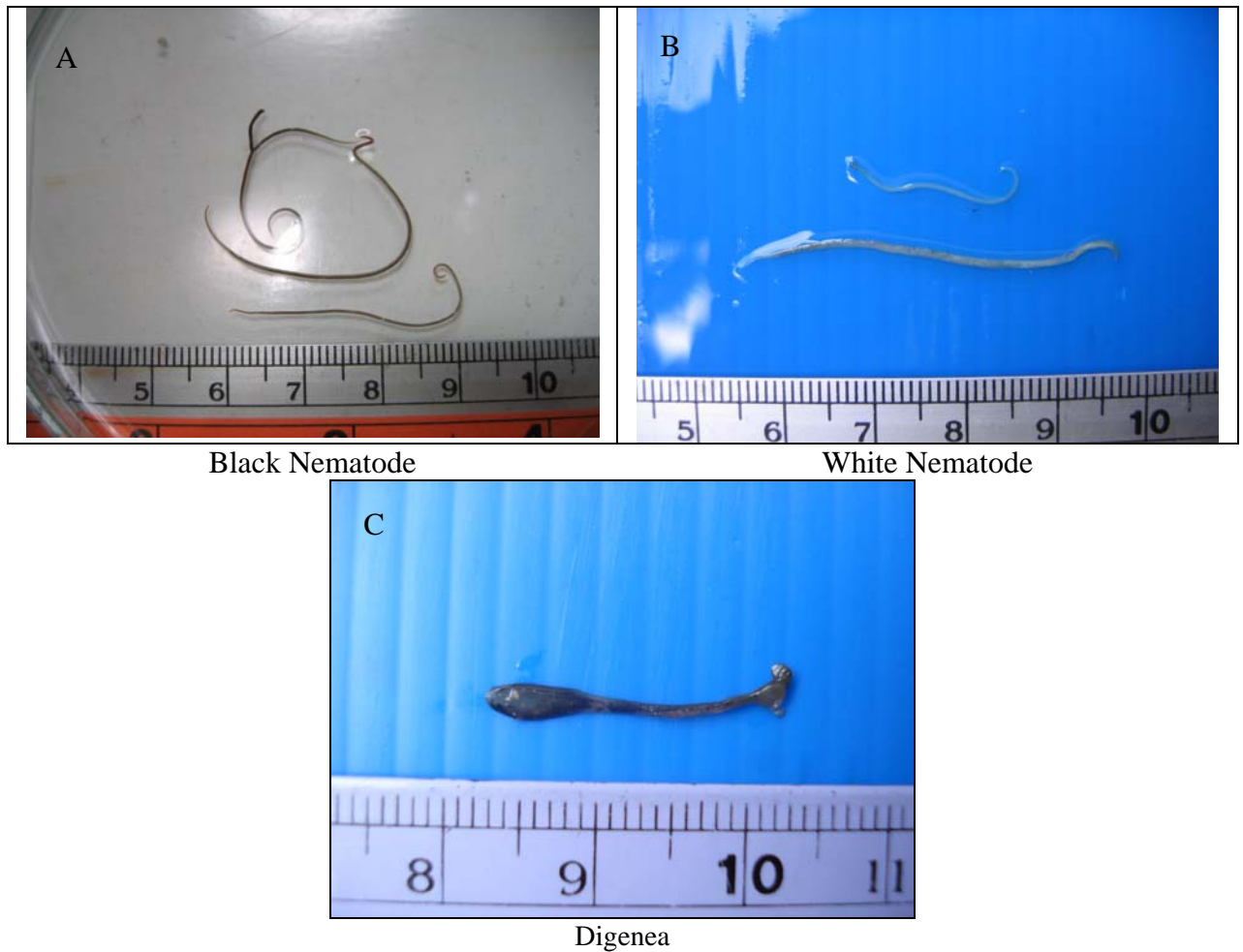
Parasite was identified to be 2 groups, namely Nematode (black and white Nematodes) and Digenea which constituted 0.44% and 0.01% of the total sample weight. Figs. 5A, 5B and 5C are illustration of parasites.

The diet composition in number was found cephalopod as the main composition, followed by fishes and Nematode (Fig. 3B). Cephalopod was observed beak of Tuethoidae as the main composition, followed by beak of *Histioteuthis celetaria pacifica*, Octopoda (count all upper and lower beaks). Whilst, the fish component was represented by Ostraciidae, Bramidae, Diretmidae and 1 unidentified fish (1.72, 0.57, 0.57 and 2.87 % of total number of samples, respectively).

The result from this study showed that cephalopod (in number and weight) and fish (in number and weight) were the main prey of tunas in the Bay of Bengal, the same as the previous study in the Andaman Sea (Nootmorn *et al.*, 2007).



**Figure 4** Fish and cephalopod found in stomach content of tunas and tuna-like species in the Bay of Bengal.



**Figure 5** Parasite of tunas and tuna-like species in the Bay of Bengal.

Table 3 show the stomach content of frigate tuna, skipjack, yellowfin tuna, bigeye tuna and swordfish.

**Frigate tuna** caught in area A, stomach content was found 2 groups, namely Teuthoidea and fish. This species is epipelagic in neritic and oceanic waters. Feeds on small fish, squids, planktonic crustaceans (megalops), and stomatopod larvae. Because of their abundance, they are considered an important element of the food web, particularly as forage for other species of commercial interest. Preyed upon by larger fishes, including other tunas (Fishbase, 2008).

**Skipjack tuna** was found Teuthoidea as the main forage, followed by fish (unidentified species) and 2 groups of parasites, Digenea and Nematode (black). Skipjack tuna caught from area A was found only Digenea in the stomach, whereas in area B the diet composition composed of Teuthoidea and unidentified fish, in area C it was found Teuthoidea as forage and Nematode (black) as parasite. Fishbase (2008) reported that skipjack tuna was found in offshore waters; larvae restricted to waters with surface temperatures between 15°C to 30°C. Exhibit a strong tendency to school in surface waters with birds, drifting objects, sharks, whales and may show a characteristic behavior like jumping, feeding, foaming, etc. Feed on fishes, crustaceans, cephalopods and mollusks; cannibalism is common. Spawn throughout the year in the tropics, eggs released in several portions. Preyed upon by large pelagic fishes. Also taken by trolling on light tackle using plugs, spoons, feathers, or strip bait.

**Juvenile of yellowfin tuna** caught in area A, stomach content was found 2 groups, namely Teuthoidea and unidentified fish. FAO (2001c) reported yellowfin tuna in the western central Pacific, as oceanic species; large fish found below the thermocline. They feed on

many kinds of organisms, particularly fishes, squids and crustaceans. Nootmorn *et al.* (2007) reported this species were caught in the Andaman Sea at depth of water ranging from 41-80 m. Size of fish in length and weight was 120-138 cm and 20-31 kg. Stomach content was found fish (unidentified fish (1), Ostraciidae), cephalopod (Octopoda) and deep-sea shrimp (Aritridae). Panjarat (2006) reported the diet of this species, in the same area, composed of fishes (Tetraodontidae, Priacantidae, Balistidae and Syngnathidae) and cephalopod (Loliginidae and Teuthoidea). The previous studies reported high diversities of prey than this study because those fish samples were from pelagic longline fishing.

**Juvenile of bigeye tuna** caught in area C, the forage comprised of Teuthoidea, Ostraciidae, Diretmidae and unidentified fish. Fishbase (2008) reported that this species occur in areas where water temperatures range from 13°-29°C, but the optimum is between 17° and 22°C. Variation in occurrence is closely related to seasonal and climatic changes in surface temperature and thermocline. Juveniles and small adults school at the surface in mono-species groups or mixed with other tunas, may be associated with floating objects. Adults stay in deeper waters. Feed on a wide variety of fishes, cephalopods and crustaceans during the day and at night.

**Swordfish** was found 6 groups in the stomach content; the main composition was Teuthoidea, followed by Bramidae, unidentified fish, Octopoda (*Histioteuthis celetaria pacifica*), Nematode (black) and Nematode (white) in all areas. In area A the stomach content was found 4 groups; Teuthoidea, Bramidae, unidentified fish and Nematode (black), area B found 4 groups; Teuthoidea, Octopoda, Nematode (black) and Nematode (white), whilst area C found 3 groups; Teuthoidea, Nematode (black) and Nematode (white). Swordfish are widely distribution throughout the study area at water depth range 10-132 m. Nootmorn *et al.* (2007) reported the diet of this species composed of cephalopod (Teuthoidea, Argonautidae and Octopoda), deep-sea shrimp (Aritridae) and fish (*Thyrziles atun*, *Cubiceps caeruleus*, Gempylidae). Their study found higher diversity of prey however the groups of prey were the same as this study. FAO (2001c) reported that swordfish in the western central Pacific are an epi- and mesopelagic, oceanic species, usually found in surface waters until 550 m. Adults are opportunistic feeders, known to forage for their food from the surface to the bottom over a wide depth range. They feed on pelagic squids wherever abundant, that is same as this study.

**Table 3** Stomach content of tuna and tuna-like species by Area in the Bay of Bengal.

Tunas	Area	Group	Family	Weight (gram)	Number
Frigate tuna	A	Cephalopod	Teuthoidea	10	1
		Fish	Pieces of fish	40.05	-
Skipjack tuna	A	Digenea	Digenea	0.08	8
	B	Cephalopod	Teuthoidea	15.1	2
		Fish	unidentified	53	2
	C	Cephalopod	Teuthoidea	2.83	7
Nematode		Nematode(black)	0.07	5	
Yellowfin tuna	A	Cephalopod	Teuthoidea	6.67	1
		Fish	unidentified	10.3	1
Bigeye tuna	C	Cephalopod	Teuthoidea	25.8	2
		Fish	Diretmidae	0.68	1
		Fish	unidentified	1.07	1
		Fish	Ostraciidae	2.23	3
Swordfish	A	Cephalopod	Teuthoidea	57.49	26
		Fish	Bramidae	81	1
		Fish	unidentified	45	1
		Nematode	Nematode(black)	0.96	18
	B	Cephalopod	Teuthoidea	32.09	25
		Cephalopod	Octopoda	0.07	1
		Nematode	Nematode(black)	0.3	3
	C	Nematode	Nematode(white)	0.21	3
		Cephalopod	Teuthoidea	214.48	13
		Nematode	Nematode(black)	1.03	41
Nematode	Nematode(white)	0.06	8		
<b>Total</b>				<b>600.57</b>	<b>174</b>

Table 4 show the stomach content of tunas by type of fishing gears. Stomach content from drift gillnet fishing was found 3 families of prey and 2 groups of parasite were identified. Most of these prey items were Teuthoidea (14 individuals), followed by Ostraciidae (3 individuals), Diretmidae (1 individuals) and unidentified fish (3 individuals), whilst the parasite was found Digenea (8 individuals) and Nematode (black) (7 individuals). On average, 1.62 prey and 1.15 parasite were found per stomach. Cephalopod dominated the diet by occurrence and number. Stomach content from longline fishing was found 3 families of prey and 2 groups of parasite were identified. Most of these prey items were Teuthoidea (63 individuals), followed by Bramidae (1 individuals) and unidentified fish (2 individuals), whilst the parasite was found Nematode (black) (60 individuals) and Nematode (black) (11 individuals). On average, 4.79 prey and 5.07 parasite were found per stomach. Cephalopod dominated the diet by occurrence and number, the same as that of stomach from drift gillnet fishing.

**Table 4** Stomach content of tuna and tuna-like species by fishing gears in the Bay of Bengal.

Fishing Gears	Tunas	Prey					Parasite		
		Cephalopod		Fish			Nematode (white)	Nematode (black)	Digenea
		Octopodidae	Teuthodide	Bramidae	Diretmida	Ostraciida			
Drift gillnet	Bigeye tuna		2		1	3	1		
	Skipjack		9				2		8
	Swordfish		2						2
	Frigate tuna		1						
Longline	Swordfish	1	62	1			1	11	60
	Yellowfin tuna		1				1		

### Community Structure of Tunas, Prey and Parasite

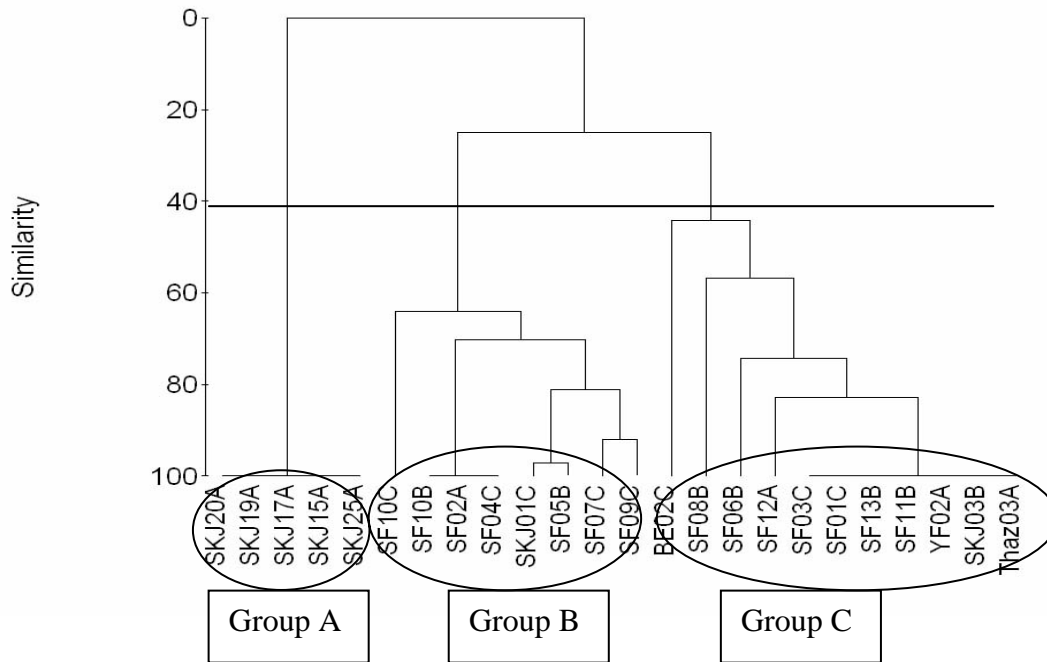
Ordination analysis categorized tunas, prey and parasite taxon/group into 3 assemblages (Fig. 6 and Table 5). Group A composed of Digenea in stomach of skipjack caught with drift gillnet in water depth range 10-26 m in area A, group B found Nematode (black) in stomach of skipjack tuna and swordfish from drift gillnet fishing in water depth range 10-26 m in areas B and C, and swordfishes from pelagic longline fishing in water depth range 80-132 m in all areas. Group C found Teuthoidea from bigeye tuna caught with drift gillnet in area C (water depth range 10-20 m), frigate tuna caught with drift gillnet in area A (water depth range 10-20 m), yellowfin tuna caught with pelagic longline in area A at water depth 69 m, swordfishes from pelagic longline fishing in all areas in water depth range 60-110 m. Among these 3 groups, group C was the highest in number and diversity of predator. ANOSIM showed significant differences between groups ( $R = 1$ ; groups A and B, A and C ;  $R = 0.908$  group B and C). Table 5 showed the species list and average number of prey and parasite based on a breakdown of average similarity for each assemblage. Groups B and C had the higher total number of prey and parasite group more than group A. The result present abundance in number of parasites and cephalopod, it will be one indicator for grouping the community of large pelagic fish in the Bay of Bengal. Nootmorn *et al.* (2007) reported that the community of tunas and prey taxon in the Andaman Sea was categorized into 5 assemblages, group 1 composed of unidentified fish (1), Teuthoidea, Octopoda, Gempylidae and *Cubicepe caeruleus* in stomach of swordfish and sail fish in Thai waters, group 2 found Teuthoidea, Argonautidae, Octopoda, Aristridae and Carangidae in stomach of blue marlin, sailfish, yellowfin tuna in Thai waters and swordfish in Myanmar waters. Group 3 found Aristridae, Teuthoidea, *Cubicepe caeruleus*, other cephalopod, Octopoda from swordfish in Myanmar waters and swordfish and yellowfin tuna in Thai waters. Group 4 found only unidentified fish from sailfish caught in Myanmar waters. Group 5 found *Thyrziles atun* and *Gympylus serpens* in stomach of sail fish and sword fish in Thai waters. Their study showed higher assemblages and diversity of prey than this study. Type of prey in the previous study is key to divide the groups of fish community because the previous study didn't identify the group of parasite and so it was not included in the analysis.

**Table 5** Breakdown of average similarity between group 1, 2, 3 into contributions from taxon list and average number of prey and parasite in the Bay of Bengal.

Prey Taxon	Group A	Group B	Group C
Teuthoidea		1.5	4
Nematode (black)		8.25	0.09
Digenea	1.6		
Number of predator	5	8	11



### Stomach Content of Bay of Bengal



**Figure 6.** Dendrogram using group-average linking on Bray-Curtis taxon similarities. The 3 groups defined at arbitrary similarity level of 40 % are indicated. A, B and C fill in the behind of label samples, as Bangladesh, Indian and Myanmar waters.

### Conclusion and Future Direction

The vertical distribution of large pelagic fish, tunas and tuna-like is known to differ. The depth of hook level in present study suggests that the distribution patterns of all tunas overlap considerably. Frigate tuna and kawakawa are neritic tuna, they distributed in the depth of water range 10-30 m. Skipjack tuna distributed in all areas at the depth of water range 10-30 m. Yellowfin tuna distributed off Bangladesh and Myanmar waters at depth of water range 10-69 m. Whereas, juvenile of bigeye tuna was found in the same areas of yellowfin tuna in the depth of water range 10-26 m. Swordfish exhibit horizontal and vertical distribution widely over the Bay of Bengal (10-132 m). In fact, all these species were caught with drift gillnet and pelagic longline in the Bay of Bengal, diet of these fishes occurred in 35 % of total stomach samples. The prey composition was identified to be 2 groups, namely fish and cephalopods. Parasite was identified to be 2 groups, Nematode and Digenea. The forage of tuna in the entire study area was mainly cephalopods, followed by fish. Prey fish composed of 3 families; Ostraciidae, Bramidae, Diretmidae, and 1 unidentified fish. Cephalopod was identified 1 family and 1 species, namely Teuthoidea and *Histioteuthis celetaria pacifica*, Octopoda. Diet data were compared between surface and deep swimmer predators caught with drift gillnet and pelagic longline, respectively. The result showed higher the number of prey and parasite from deep swimmers (4.79 prey and 5.07 parasite per stomach) than surface swimmers (1.62 prey and 1.15 parasite per stomach). Cephalopod dominated the diet by occurrence and number in predator stomach from both gears.

Community of predator, prey and parasite was categorized into 3 assemblages and significant differences between groups, group A composed of Digenea in stomach of skipjack caught with drift gillnet in Bangladesh waters, group B found Nematode (black) in stomach of skipjack tuna and swordfish from drift gillnet fishing in Indian and Myanmar waters, swordfishes from pelagic longline fishing in all areas. Group C found Teuthoidea from bigeye tuna caught with drift gillnet in Myanmar waters, frigate tuna caught with drift gillnet and

yellowfin tuna caught with pelagic longline in Bangladesh waters, swordfishes from pelagic longline fishing in all areas. Groups B and C showed higher in total number and diversity of predator, prey and parasite groups than group A. The result from this study present abundance in number of parasites and cephalopod, it will be indicator to grouping the community of large pelagic fish in the Bay of Bengal.

The results of present study provide an example of interesting questions concerning tuna trophic ecology that may be answered. These data will provide a more complete picture of complex trophic dynamics of mixed-species tuna aggregation, as well as seasonal trends in feeding and aggregation behavior. The preliminary picture of pelagic fish ecology in the Bay of Bengal during November and December 2007 was investigated. **Predator:** frigate tuna is neritic species. The stomach content was found Teuthoidea and fish. Skipjack tuna was widely distributed throughout the study area at water depth range 10-30 m. This species was found Teuthoidea as the main forage, followed by fish (unidentified species), whereas 2 groups of parasites were recorded; Digenea and Nematode (black). Skipjack tuna caught from Bangladesh waters was found only Digenea in the stomach, in Indian waters found Teuthoidea and unidentified fish, in Myanmar waters found Teuthoidea as forage and Nematode (black) as parasite. Yellowfin tuna (juvenile fish) caught from Myanmar waters, prey was found Teuthoidea and unidentified. Juvenile of bigeye tuna caught in Myanmar waters at depth of water range 10-26 m, the forage comprised of Teuthoidea, Ostraciidae, Diretmidae and unidentified fish. Swordfishes are widely distributed throughout the study area at water depth range 10-132 m. The diet was reported cephalopod (Teuthoidea and Octopoda) and fish (Bramidae and unidentified fish). **Prey:** pelagic squid, Teuthoidea was the main composition of cephalopod, it was high abundant and widely distributed in the water depth 10-120 m. *Histioteuthis celetaria pacifica*, Octopoda was distributed in water depth 60 m. Deep-sea fish: Ostraciidae showed the highest abundance in water depth range from 10-20 m in Myanmar waters, whilst Diretmidae was also found in same area as Ostraciidae. Bramidae was at water depth range 40 m in Bangladesh waters. **Parasite:** nematode (black) was the main composition, mostly found in stomach of swordfish caught with both gears at water depth range 10-132 m. Nematode (white) was found in stomach of swordfish caught from pelagic longline fishing at water depth range 60-120 m in Indian and Myanmar waters. Digenea was parasite of skipjack caught with drift gillnet at water depth range 10-20 m in Bangladesh waters.

The Bay of Bengal is recognized as one of the area where fisheries resources are under-exploited status. Lack of the field guide and taxonomy of deep-sea species, such as fishes, cephalopods (whole body and beak) is recognized in present study. The taxonomy key will be useful and support for study on the tropic dynamics of large pelagic fish in the Bay of Bengal. Up to date the knowledge of ecosystem to be based on for fisheries management is insufficient. The tropic dynamics of pelagic fish and prey will provide the information on quality of ecology. None/under-exploited tunas and pelagic squid in the Bay of Bengal are very interesting for commercial fishery because there is virtually no deep-sea fishery in the area. Nevertheless, the fact that some species reach a large size and are commonly taken on the basis of exploratory deep-water trawling, jigging and longline fishing suggests that they may have future commercial potential whenever the suitable deep-sea fishing gears are used in the area.

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## An Assessment of Mercury Concentration in Fish Tissues Caught from Three Compartments of the Bay of Bengal

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### Abstract

To assess mercury (Hg) contamination in fishery resources of the Bengal Bay, a total of 78 specimens of 11 pelagic fish species were obtained during the joint survey of BIMSTEC member countries on Assessment and Management of Marine Resources, in November to December 2007. Individual specimen was coded, measured and weighed. The white flesh samples for Hg analyses were taken from the abdominal area of most fishes, and from the caudal area for sharks. Total Hg concentrations (expressed in ng/g wet weight) in the samples were as follow; 514±187 for bigeye thresher shark (*Alopias superciliosus*), 251±128 for copper shark (*Carcharhinus brachyurus*), 122±35 for silky shark (*Carcharhinus falciformis*), 48 for unidentified shark, 886±104 for tille travelley (*Caranx tille*), 64±62 for frigate tuna (*Auxis thazard*), 63±16 for kawakawa (*Euthynnus affinis*), 110±153 for skipjack tuna (*Katsuwonus pelamis*), 92±32 for yellowfin tuna (*Thunnus albacares*), 201 for bigeye tuna (*Thunnus obesus*), and 478 ± 416 for swordfish (*Xiphias gladius*). In general, the relationship between Hg levels in muscles and fish size was observed. Five of 8 bigeye thresher shark, only one tille travelley, 2 of 29 skipjack tuna and 5 of 16 swordfish had Hg concentrations in their flesh exceeded the EU's upper limit of 0.5 µg/g. Moreover, the swordfish that weighed over 40 kg contained Hg in their tissues higher than 1 µg/g.

Key words: mercury, fish tissues, Bay of Bengal.

### Introduction

Effect of mercury (Hg) and its compounds are currently well documented. Hg from either natural or anthropogenic sources enters the environment mainly as Hg vapor, is converted to organic form in aquatic environments by bacteria and phytoplankton (WHO, 1990 and 1991). It was found that total Hg found in fish tissue is chiefly present as methylmercury (MeHg) (Riisgard and Hansen 1990; Spry and Wiener, 1991; Bloom, 1992; Windom and Cranmer, 1998; Kehrig *et al.*, 2002; Branco *et al.*, 2007). MeHg is soluble, mobile, and quickly enters the aquatic food chain. It absorbed by fish when they eat smaller aquatic organisms and its binds to proteins in the fish tissue. MeHg then becomes biomagnified in the food chain through passage from bacteria, plankton, macroinvertebrates, herbivorous fish, piscivorous fish and finally, to humans (WHO, 1990 and 1991). The biomagnification of MeHg has been demonstrated by the elevated levels found in piscivorous fish compared with fish at lower levels of the food chain (Jackson 1991; Watras and Bloom

1992; Porcella 1994). Hg levels in animals may end up being 10,000–100,000 times higher than the initial concentration in the water (WHO 1990 and 1991; ATSDR, 1999).

Fish appear to accumulate MeHg from both food sources and the water column as it passes over the gills during respiration. MeHg can also be produced within the fish's gastrointestinal tract and on the external slime layer but the amount of MeHg contributed to tissue concentrations by these processes has not been quantified and is assumed to be insignificant. However, food was found to be the predominant source of Hg uptake in fish (Hall *et al.*, 1997).

The consumption of fish is recommended because it is a good source of omega-3 fatty acids, which have been associated with health benefits due to its cardio-protective effects. However, the content of heavy metals, especially Hg, discovered in some fish makes it difficult to establish clearly the role of fish consumption on a healthy diet. Currently, dietary intake of fish and fish products is recognized as the most important route of non-occupational exposure to Hg, with fish and other seafood products being the dominant source of Hg in the diet (WHO, 1990 and 1991). Tissues of long-lived, slow-growing and highly migratory oceanic fishes, such as tunas, billfishes and pelagic sharks accumulate high concentrations of Hg, often exceeding the limit recommended for human consumption (Barber and Whaling, 1983; Adams, 2004; Branco *et al.*, 2004).

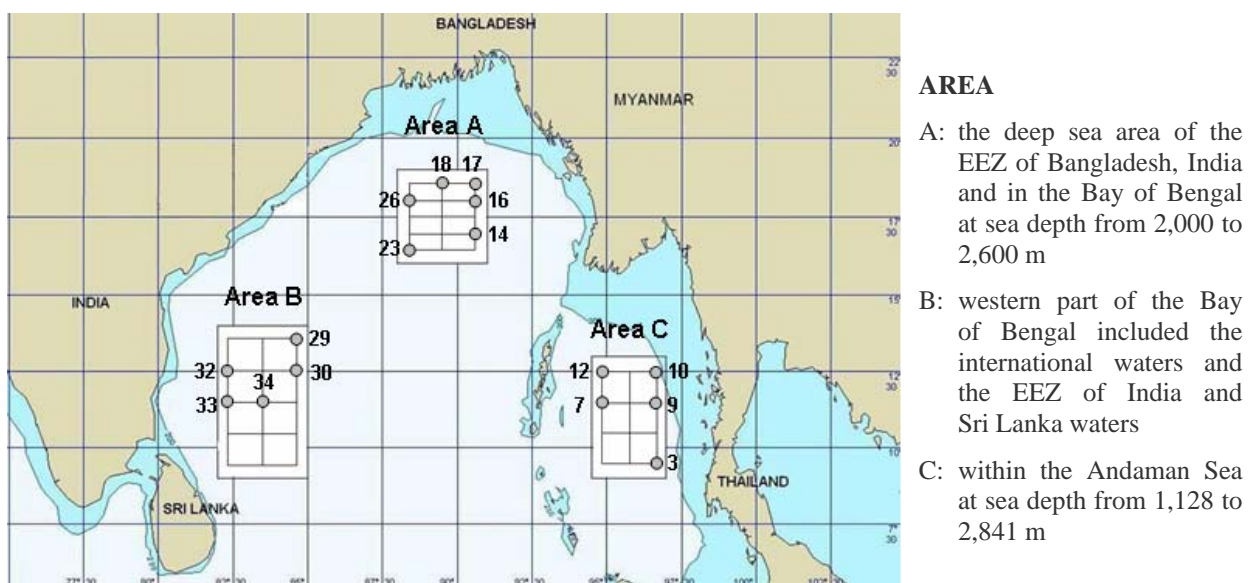
Therefore, contamination of Hg in top predators of pelagic food webs and large fish are of widespread interest and concern. The accumulation of Hg in swordfish (*Xiphias gladius*), a piscivorous fish, is widely recognized (Monteiro and Lopes, 1990; Mendez *et al.*, 2001; Storelli *et al.*, 2005; Kojadinovic *et al.*, 2006; Chien *et al.*, 2007). The presence of Hg in swordfish seems to be a fact independent of human pollution, since values in the range 0.45 and 0.9 µg/g were found in museum specimens caught between 1878 and 1909, that is before industrial activities began to pollute the ambient sea (Miller *et al.*, 1972).

To date, there have been very few published studies on Hg in fish from the Bay of Bengal. The objectives of this study were hence to analyze and interpret the total Hg content in the pelagic fish species collected from the Bay of Bengal during November to December 2007. This study will provide baseline data of Hg levels in the fleshy tissues of swordfish, tille trevally, 5 species of tunas (skipjack tuna, kawakawa, yellowfin tuna, frigate tuna and bigeye tuna) and 4 species of shark originating from 3 geographically area of the Bay of Bengal. Because Hg levels almost consistently increase with the size of the fish (Bloom, 1992; Windom and Cranmer, 1998; Gilmour and Riedel, 2000; Stafford and Haines, 2001), relationship between Hg levels and fish sizes (length and weight) was investigated. Hg burden in the same species caught in different area was also compared.

## Material and Methods

### Sample Collection

Seventy eight specimens of 11 predatory fish species, caught by pelagic longline and drift gill net, were obtained from the joint survey of BIMSTEC member countries on Assessment and Management of Marine Resources during November to December 2007 in 3 compartments of the Bay of Bengal (Fig. 1). Species identification and measuring of fish sizes (length and weight) were carried out on board of M.V. SEAFDEC.



**Figure 1** Sampling stations in 3 geographically distant sites in the Bay of Bengal.

For practical reasons, white flesh in the abdominal area of the fish was sampled for Hg analysis, except caudal flesh and fin were sampled for all sharks. We considered that Hg is uniformly distributed in fish edible muscle as it has been shown for swordfish (Freeman and Home, 1973). The sampled muscle was conserved frozen and was shipped to the laboratory for Hg analysis.

### Sample Digestion and Mercury Determination

All laboratory material was previously decontaminated overnight with 10% (v/v)  $\text{HNO}_3$  and washed with deionized water nanopure level (resistivity  $>18 \text{ M}\Omega \text{ cm}$ ). Nanopure water was used throughout this work. Thawed samples were dissected under clean atmosphere in Laminar Flow Cabinet Class-100, only flesh were taken off and homogenized with stainless steel knife and laboratory spatula, then immediately kept frozen until analysis. Samples were digested based on wet weight with method modified from AOAC (1990) and US-EPA (2001). Briefly, homogenized subsample (approx. 300 mg) was accurately weighed in a 50-ml plastic lined screw-capped Pyrex tube, 1.5 ml of a 1 : 2 (v/v) mixture of concentrated  $\text{H}_2\text{SO}_4\text{-HNO}_3$  was added and the tubes were placed in a heating box at  $90\text{-}95^\circ\text{C}$  for 30 minutes. After cooling, 38.5 ml of 0.02 N BrCl was added and was mixed thoroughly. The solution was then left to stand overnight. Immediately prior to the determination of Hg concentration, 1 ml of  $\text{NH}_2\text{OH.HCl}$  solution (prepared by dissolving 12 g NaCl and 12 g  $\text{NH}_2\text{OH.HCl}$  in 100 ml nanopure water) was added and vortex mixed until disappearance of the yellow-brown color. The determination was carried out by a Flow Injection Mercury Analyzer (Perkin-Elmer model FIMS<sup>TML</sup>400). This instrument based on cold vapor atomic absorption spectrometric technique using 0.2% (w/v)  $\text{NaBH}_4$  in 0.05% NaOH (prepared by dissolving 2 g  $\text{NaBH}_4$  in 1 l of 0.05% NaOH) as reducing agent, 3% (v/v) HCl as carrier solution, and argon stream as an inert carrier to transport Hg vapor into the cell. Detection limit of the instrument is  $<0.01 \mu\text{g/l}$ . The relative accuracy for the measuring of Hg was evaluated comparing to the certified values for the National Research Council of Canada Certified Reference Materials (NRCC-CRM) DORM-2 (dogfish muscle) and DOLT-2 (dogfish liver). All blanks and the CRM were prepared in the same manure as the samples. Total Hg concentrations in fish flesh are reported

as ng/g wet weight. Linear regression was used to describe relationship between fish size and total Hg concentration.

The method validation results are reported in table 1. Analytical precision of the analysis was determined by analyzing every tenth sample in duplicate. The coefficient of variation (SD/mean) for the duplicate samples was less than 10%.

## Results and Discussion

A total of 78 specimens of 11 pelagic predatory fish species including 8 bigeye thresher shark (*Alopias superciliosus*), 1 copper shark (*Carcharhinus brachyurus*), 3 silky shark (*Carcharhinus falciformis*), 1 unidentified shark, 12 frigate tuna (*Auxis thazard*), 1 tille travalley (*Caranx tille*), 4 kawakawa (tuna) (*Euthynnus affinis*), 29 skipjack tuna (*Katsuwonus pelamis*), 2 yellowfin tuna (*Thunnus albacares*), 1 bigeye tuna (*Thunnus obesus*) and 16 swordfish (*Xiphias gladius*) were analyzed. The concentrations of Hg range from 48-862 ng/g wet weight for 4 species of shark flesh, 5-625 ng/g wet weight for 5 species of tuna and 23-1245 for swordfish. The mean concentrations of Hg in ng/g wet weight of the fresh tissue were 514±187 for bigeye thresher shark, 251±128 for copper shark, 125±35 for silky shark, 48 for unidentified shark, 886±104 for tille travalley, 64±42 for frigate tuna, 63±16 for kawakawa, 110±153 for skipjack tuna, 92±32 for yellowfin tuna, 201 for bigeye tuna and 478±416 for swordfish. Summary statistics for Hg levels in the fish flesh of each species are presented in table 2.

In skipjack tuna and swordfish, Hg levels were found to be positively correlated with the length and weight of the fish (Fig. 2). This indicates that these fishes can accumulate relatively high levels of Hg with increasing size. This relationship can not be seen in bigeye thresher shark and frigate tuna. Because of too small number of individuals for each species, the rest species are not interpreted.

Box-and-Whisker diagram (Fig. 3) is used to compare Hg concentration in different species and to compare with the CODEX and EU guideline level for total Hg concentration of 0.5 µg/g (or 500 ng/g) for all fish except some predatory fish which a higher level of 1 µg/g is permitted (EU, 2001). According to the median of Hg level in fish tissue, most fish species had Hg contents less than 500 ng/g wet weight, except bigeye thresher shark and tille travalley. Some swordfishes, weighed over 40 kg, contained Hg higher than the EU and CODEX upper limit of 1 µg/g.

To answer the question “would there still be differences in Hg burden among species if there all had the same average size?,” mean Hg content against mean sizes (weight and length) has been plotted as shown in fig. 4. Tille trevally (CT) had high Hg levels with respect to their sizes when compared to the other species. In contrast, yellowfin tuna (TA) had low Hg levels with respect to their sizes. The inter-specific differences in Hg levels were probably linked to differences in each species physiology, feeding rate, growth rate, lifespan, migratory patterns, foraging habits and diet. According to fig. 4, the fillets from fish smaller than approx. 15 kg (or 150 cm in length) are not expected to have Hg exceed the EU and CODEX limit of 0.5 µg/g. However, both tille trevally and yellowfin tuna contain excessively small sample size, as well as some other species. A more extensive sampling would be necessary to better estimate Hg levels in these species.

In comparison among 3 different geographically sites of the Bay of Bengal, samples from area C (the Andaman Sea) showed the highest Hg level in all 3 species (bigeye thresher shark, skipjack tuna and swordfish) (Fig. 5). As compare with weight and length, the probably reason for the high Hg level might due to the fish caught in this area was generally larger than those of other areas.

**Table 1** Validation of digestion methods for determination of Hg (mean±standard deviation) in µg/g dry weight against NRCC-CRM DORM-2 and DOLT-2.

NRCC-CRM	n	Certified values (µg/g dry weight)	Obtained values (µg/g dry weight)	% Recovery
DORM-2	20	4.64±0.26	4.314±0.324	92.9
DOLT-2	20	2.14±0.28	2.136±0.123	99.8

**Table 2** Mean±standard deviation and range of total length (cm), body weight (kg) and Hg levels (ng/g wet weight) in predatory fish flesh collected from the Bay of Bengal during November to December 2006.

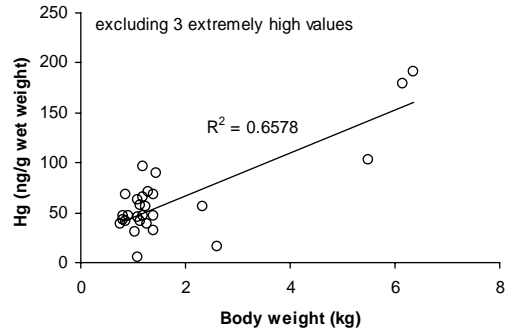
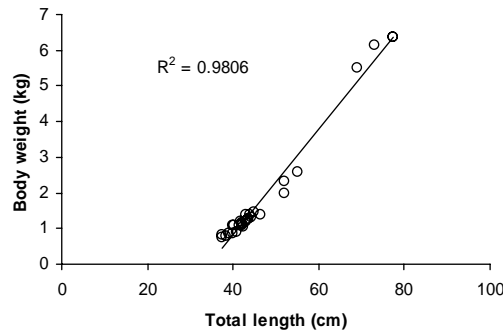
Scientific name (Common name)	Code	n	Tissue	Total length (cm) (min-max)	Weight (kg) (min-max)	Hg (ng/g) (min-max)
<i>Alopias superciliosus</i> (Bigeye thresher shark)	AS	8	Caudal and fin	265.8±31.8 205-319	56.3±20.1 31- 90	514±187 198-862
<i>Carcharhinus brachyurus</i> * (Copper shark)	CB	1	Caudal and fins	131.1	12.2	251±128 108-419
<i>Carcharhinus falciformis</i> (Silky shark)	CF	3	Caudal and fin	101.9±7.1 93.6-111.0	5.8±1.5 3.7-7.2	122±35 74-158
Shrk (Unidentified shark)	Shk	1	Caudal and fin	87.6	3.2	48
<i>Caranx tille</i> ** (Tille trevally)	CT	1	Caudal/Abdominal	66.8	3.3	886±104 (782-990)
<i>Auxis thazard</i> (Frigate tuna)	AT	12	Abdominal	37.5±2.3 (31.5-40.0)	0.8±0.1 (0.4-1.0)	64±42 (39-202)
<i>Euthynnus affinis</i> (Kawakawa)	EA	4	Abdominal	39.1±2.2 (37-42)	0.9±0.1 (0.75-1.05)	63±16 (46-88)
<i>Katsuwonus pelamis</i> (Skipjack tuna)	KP	29	Abdominal	46.2±10.1 (37.4-77.5)	1.7±1.5 (0.75-6.35)	110±153 (5-625)
<i>Thunnus albacares</i> (Yellowfin tuna)	TA	2	Abdominal	138.5±1.5 (137-140)	36.5±1.5 (35-38)	92±32 (61-124)
<i>Thunnus obesus</i> (Bigeye tuna)	TO	1	Abdominal	52.0	2.0	201
<i>Xiphias gladius</i> (Swordfish)	XG	16	Abdominal	198.3±43.3 (129-262)	25.7±17.9 (5-60)	478±416 (23-1245)

\* analysis of 3 parts in one fish

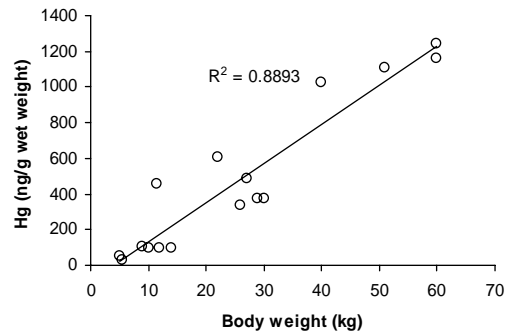
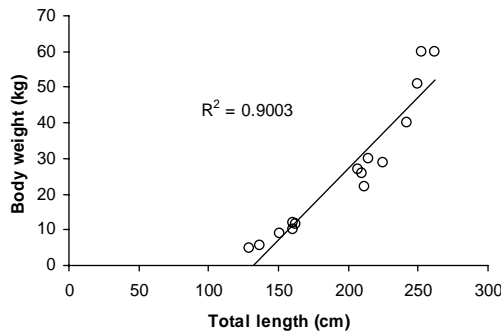
\*\* analysis of 2 part in one fish



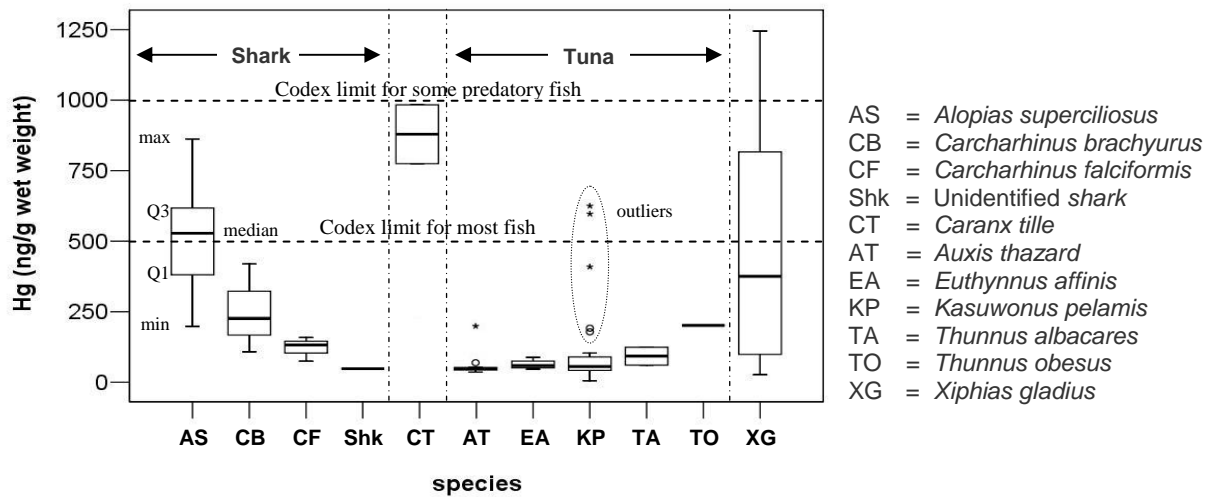
*Katsuwonus pelamis* (skipjack tuna)



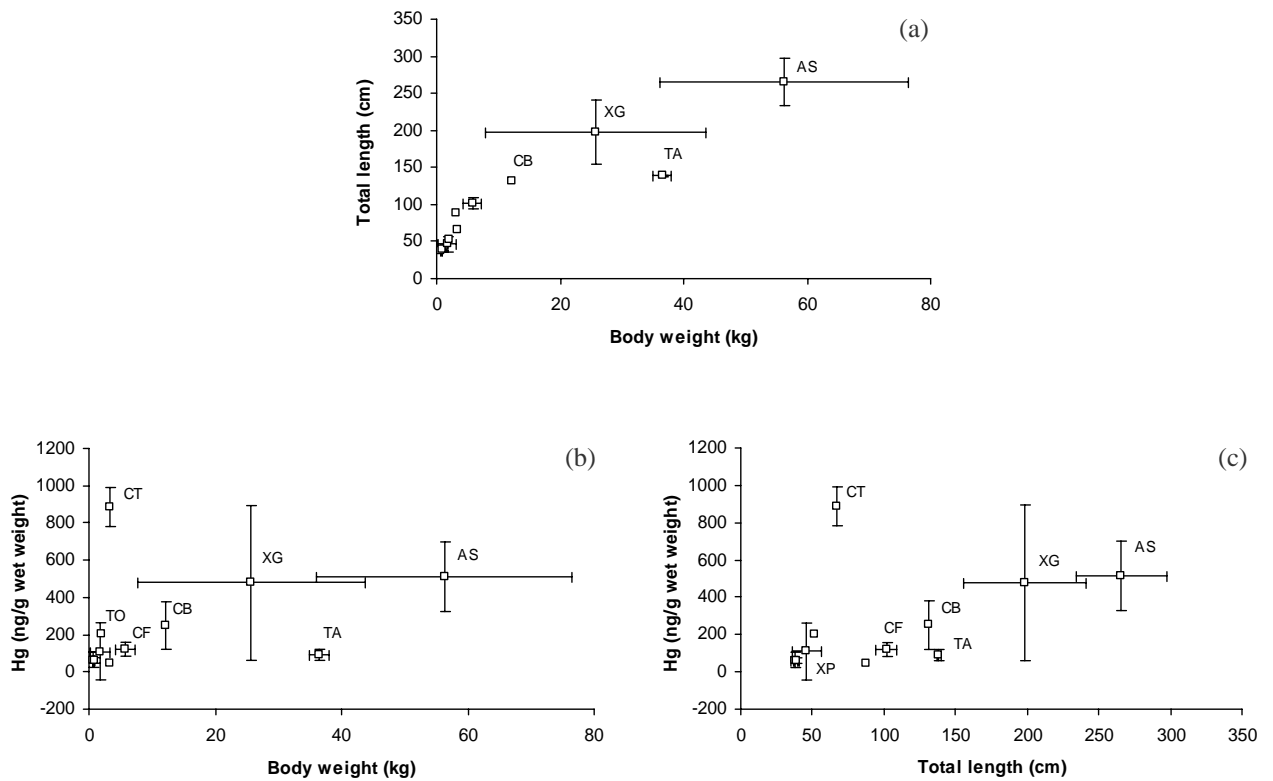
*Xiphias gladius* (swordfish)



**Figure 2** Relationships of body weight against total length (left), and Hg levels against body weight (right) of *Katsuwonus pelamis* (skipjack tuna) and *Xiphias gladius* (sword fish).

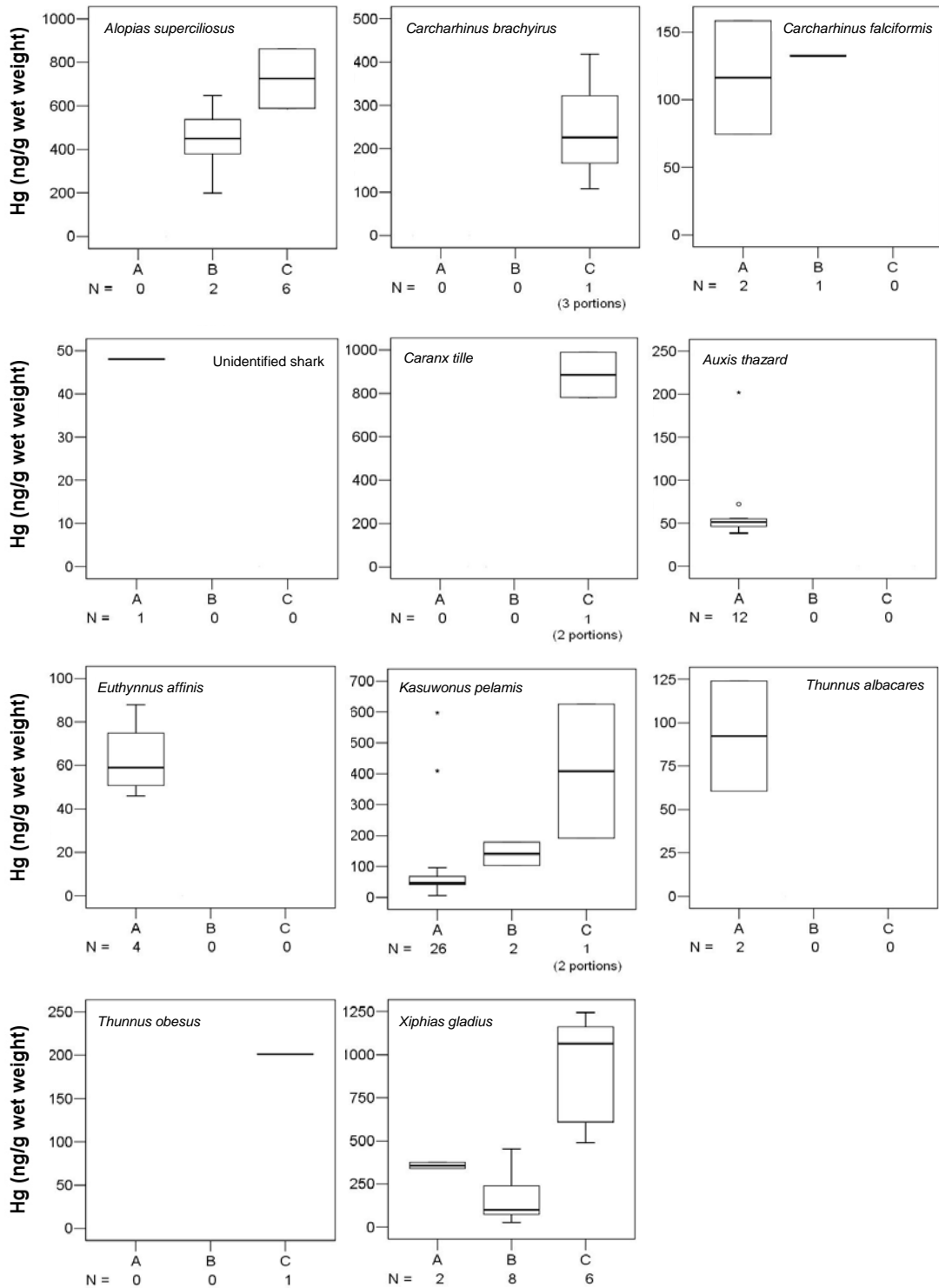


**Figure 3** Box-and-Whisker diagram showing a comparison of total Hg concentration in fish flesh of different 11 pelagic fish species in the Bay of Bengal. (The spacing between the different parts of the box indicates the degree of dispersion and skewness in the data, and identifies outliers. )



**Figure 4** Position of species in relation to their mean value: (a) mean length against mean body weight; (b) mean Hg levels against mean body weight; and (c) mean Hg levels against mean length. (Error bars represent the standard deviation.)

The highest Hg concentration was found in swordfish caught in area C, particularly in the fish that larger than 40 kg, which contained Hg in their tissues over 1000 ng/g wet weight. Swordfish are quite different to tuna and to other billfish, such as blue marlin. They have a wider geographical distribution than those other species and regularly move between surface waters down to great depths where they tolerate extreme cold. They move with prevailing currents and use their superior eyesight to locate prey. They can grow to enormous sizes. Male and female swordfish grow at different rates and have different distributions. In some areas they regularly descend from the sea surface down to depths of 1000 m or more (Carey and Robinson 1981). Juvenile swordfish are most abundant in tropical and subtropical waters. They migrate to lower latitudes as they mature (Yabe *et al.*, 1959). Adult swordfish are opportunistic feeders, taking a wide variety of prey. Their diet varies with location and the species available. A major portion of swordfish diets is comprised of squid, fish and occasionally crustaceans and octopus (Palko *et al.*, 1981). The daily ration of food required by adult swordfish has been estimated at 0.9% to 1.6% of body weight, with their yearly consumption ranging from 3-6 times their average body weight per year (Stillwell and Kohler, 1985). Because swordfish is long-lived fish and being top predator with a relatively high metabolic rate, high concentrations of heavy metals, especially Hg, may accumulate in the flesh (Monteiro and Lopes, 1990).



**Figure 5** Box-and-Whisker diagrams showing a comparison of total Hg concentration in 11 predatory fish species in 3 different geographically sites of the Bay of Bengal. The spacing between the different parts of the box indicates the degree of dispersion and skewness in the data, and identifies outliers.

In comparison with published data, the Hg levels detected in pelagic fishes during this study were quite similar to phylogenetically related species from oceans around the world as shown in table 3.

**Table 3** Mercury levels (mean±standard deviation or minimum-maximum in µg/g wet weight) in muscle of marine fish from various geographical areas.

Species	Origin	n	Hg (µg/g wet weight)	References
<i>Alopias superciliosus</i> (Bigeye tresher shark)	Bay of Bengal (area B)	6	0.444±0.144	This study
	Andaman Sea (area C)	2	0.726±0.137	This study
<i>Carcharhinus brachyurus</i> (Copper shark)	Andaman Sea (area C)	1	0.251±0.128	This study
<i>Carcharhinus falciformis</i> (Silky shark)	Bay of Bengal (area A)	2	0.116±0.040	This study
	Bay of Bengal (area B)	1	0.133	This study
<i>Prionace glauca</i> (Blue shark)	Atlantic Ocean, near Azores	37	0.22-1.3	Branco <i>et al.</i> (2007)
	Atlantic Ocean, equator	27	0.68-2.5	Branco <i>et al.</i> (2007)
Unidentified shark	Bay of Bengal (area A)	1	0.048	This study
4 species of shark	Andaman Sea		0.057-0.478	Menasveta and Siriyong (1977)
Shark	Sea around Taiwan	41	0.73±0.54	Chien <i>et al.</i> (2007)
<i>Auxis thazard</i> (Frigate tuna)	Bay of Bengal (area A)	12	0.064±0.042	This study
<i>Caranx tille</i> (Tille trevally)	Andaman Sea (area C)	1	0.886±0.104	This study
<i>Euthynnus affinis</i> (Kawakawa)	Bay of Bengal (area A)	4	0.063±0.016	This study
	Sea around Malaysia	5	0.01±0.01	Hajeb <i>et al.</i> (2009)
<i>Katsuwonus pelamis</i> (Skipjack tuna)	Bay of Bengal (area A)	26	0.085±0.125	This study
	Bay of Bengal (area B)	2	0.141±0.038	This study
	Andaman Sea (area C)	1	0.408±0.217	This study
	Reunion Island*	39	0.19±0.66	Kojadinovic <i>et al.</i> (2006)
	Indian Ocean	1	0.53	Kureishy <i>et al.</i> (1979)
<i>Thunnus albacares</i> (Yellowfin tuna)	Seuchells	5	0.34±0.11	Matthews (1983)
	Bay of Bengal (area A)	2	0.092±0.032	This study
	Andaman Sea		0.026-0.234	Menasveta and Siriyong (1977)
	Seychells	5	0.23±0.10	Matthews (1983)
	Pacific Ocean	105	0.21±0.11	Kraepiel <i>et al.</i> (2003)
<i>Thunnus obesus</i> (Bigeye tuna)	Atlantic Ocean	56	0.25±0.12	Adams (2004)
	Mozambique Channel*	20	0.13±0.09	Kojadinovic <i>et al.</i> (2006)
	Reunion Island*	19	0.21±0.15	Kojadinovic <i>et al.</i> (2006)
<i>Parathunnus sibi</i> (Bigeye tuna)	Andaman Sea (area C)	1	0.201	This study
<i>Thunnus thynnus</i> (Bluefin tuna)	Andaman Sea		0.027-0.233	Menasveta and Siriyong (1977)
	Mediterranean Sea	73	0.20±0.07	Storelli <i>et al.</i> (2005)
<i>Xiphias gladius</i> (Swordfish)	Bay of Bengal (area A)	2	0.357±0.018	This study
	Bay of Bengal (area B)	8	0.163±0.149	This study
	Andaman Sea (area C)	6	0.939±0.286	This study
	Atlantic Ocean, near Azores	88	0.93±0.07	Monteiro and Lopes (1990)
	Atlantic Ocean, near Azores	48	1.30±0.17	Monteiro and Lopes (1990)
	Southwest Atlantic Ocean	192	0.62±0.35	Mendez <i>et al.</i> (2001)
	Mediterranean Sea	58	0.07±0.04	Storelli <i>et al.</i> (2005)
	Mozambique Channel*	37	0.38±0.26	Kojadinovic <i>et al.</i> (2006)
	Reunion Island*	7	1.24±0.83	Kojadinovic <i>et al.</i> (2006)
	Atlantic Ocean, near Azores	29	0.031-2.4	Branco <i>et al.</i> (2007)
	Atlantic Ocean, equator	23	0.90-2.3	Branco <i>et al.</i> (2007)
Sea around Taiwan	58	0.77±0.83	Chien <i>et al.</i> (2007)	

\* the western Indian Ocean

## Conclusion

The study provided baseline data for Hg accumulated in fishery resources of the Bay of Bengal. Most fish analyzed in this study still had Hg concentration in the tissue within the EU and CODEX limit of 0.5 µg/g, particularly when fish size not exceeding approx. 15 kg in weight or 150 cm in length. As a predator fish of such longevity, bigeye thresher shark and swordfish are expected to bioaccumulate Hg. The Hg burden in the tissue of both fishes reported in this study was the highest. In addition, swordfish which weighed more than 40 kg accumulated very high Hg contents in their flesh exceeding 1 µg/g wet weight which over the upper limit of the CODEX and EU guideline levels. From the data of 3 species (bigeye thresher shark, skipjack tuna and swordfish) that distributed in all 3 different geographically areas of the Bay of Bengal, fishes caught in the Andaman Sea seems to have higher Hg concentration than those of other areas. The most likely reason might due to the age of fish caught in the Andaman Sea which may be older than those of other areas as compared with length and weight.

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## Heavy Metal Contents in Purpleback Squid (*Sthenoteuthis oualaniensis*) from the Bay of Bengal

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### Abstract

Oceanic purpleback squid (*Sthenoteuthis oualaniensis*) were sampled at nine locations in the Bay of Bengal by the fishery research vessel M.V. SEAFDEC, of the Southeast Asian Fisheries Development Center (SEAFDEC). The squid were captured by jigging machines, and samples were kept frozen until analysis of heavy metal. Three individual squid were randomly chosen from each location and the edible parts of the squid (mantle, arm and tentacle) were separated from the visceral mass. The samples were homogenized and a portion from each individual was digested in a microwave digester. Hg concentration in the digested solution was determined by cold vapor atomic fluorescence spectrophotometer. Cd, Cu, Zn and Pb concentrations in the digested solution was determined by atomic absorption spectrophotometer. Results from this study show that purpleback squid from the Bay of Bengal accumulate high concentrations of Cd, Cu and Zn. Levels of heavy metals were similar across all sampling stations. These metals accumulate mainly in the visceral mass, which includes the ink sac, digestive gland, gills, and gonads, whereas accumulation in the edible part (mantle, arm and tentacle) is significantly lower. Cd was the only heavy metal in mantle tissue found to exceed safety standards. The concentrations of Cd and Cu in visceral mass were also higher than safety standards. The concentrations of Hg, Pb and Zn in both mantle and visceral mass were lower than safety standards. Our sampling indicates that purpleback squid is not safe for human consumption based on the degree of Cd contamination. Close monitoring is necessary in order to follow changes in Cd contamination. Further study to investigate sources of the heavy metals, especially Cd, may provide a better view on contaminant sources.

**Key words:** heavy metal, purpleback squid, *Sthenoteuthis oualaniensis*, Bay of Bengal

### Introduction

The Ecosystem-Based Fishery Management in the Bay of Bengal, a collaborative survey project of the BIMSTEC member countries (Bangladesh, India, Myanmar, Sri Lanka, Nepal and Thailand) carried out a 58-day survey trip in the Bay of Bengal from 25 October to 21 December 2007. The objectives were to assess the potential of fishery resources, collect biological data (species composition, distribution and catchability) of fishes and oceanic squids as well as study the physico-chemical and hydrological aspects of the survey area.

Purpleback squid (*Sthenoteuthis oualaniensis*) is an oceanic squid widely distributed in the equatorial and tropical waters of the Indo-Pacific Ocean. The squid is characterized by a wide ecological amplitude, complex intraspecific structure, high fecundity, short life cycle,



high natural mortality, high growth rate and significant production (Nesis, 1977). It is very abundant and recognized as one of the main squid resources in the South China Sea and especially the northwestern Indian Ocean (Chesalin, 1997; Chesalin and Zuyev, 2002). Similar to all other squid, purpleback squid is carnivorous, feeding mainly on crustaceans, small fish, and other cephalopods (Collins *et al.*, 1994; Collins and Pierce, 1996; Quetglas *et al.*, 1999). Xinjun *et al.* (2007) found that stomach contents of purpleback squid from the northwestern Indian Ocean contained three major diet groups: fish, cephalopods and crustaceans, mainly *Cypselurus* spp. and *S. oualaniensis*. More than 60% of the stomachs of squid larger than 400 mm ML had evidence of cannibalism.

Squid are themselves important prey items for large fish, sea birds, and marine mammals (Pierce and Santos, 1996; Santos *et al.*, 2001). Squid (and other cephalopods) are very efficient accumulators of various trace elements (Martin and Flegal, 1975; Miramand and Bentley, 1992; Bustamante *et al.*, 2002). Toxic metals such as cadmium and mercury are bioaccumulated and retained in squid (Bustamante *et al.*, 1998, 2006) and consequently passed on to predators, thus potentially increasing the contaminant load in higher trophic levels, including humans (Bustamante *et al.*, 1998; Lahaye *et al.*, 2005; Storelli *et al.*, 2005, 2006).

The purpleback squid population in the Bay of Bengal has been recognized as a potential fishery resource for human consumption. Hence, information on heavy metal concentrations in this squid is important for future policy regarding exploitation of this species. The aims of this study were to determine and compare heavy metal concentrations in the edible portion (mantle, arm and tentacle) and visceral mass of purpleback squid (*Sthenoteuthis oualaniensis*) from nine sampling stations in the Bay of Bengal.

## Materials and Methods

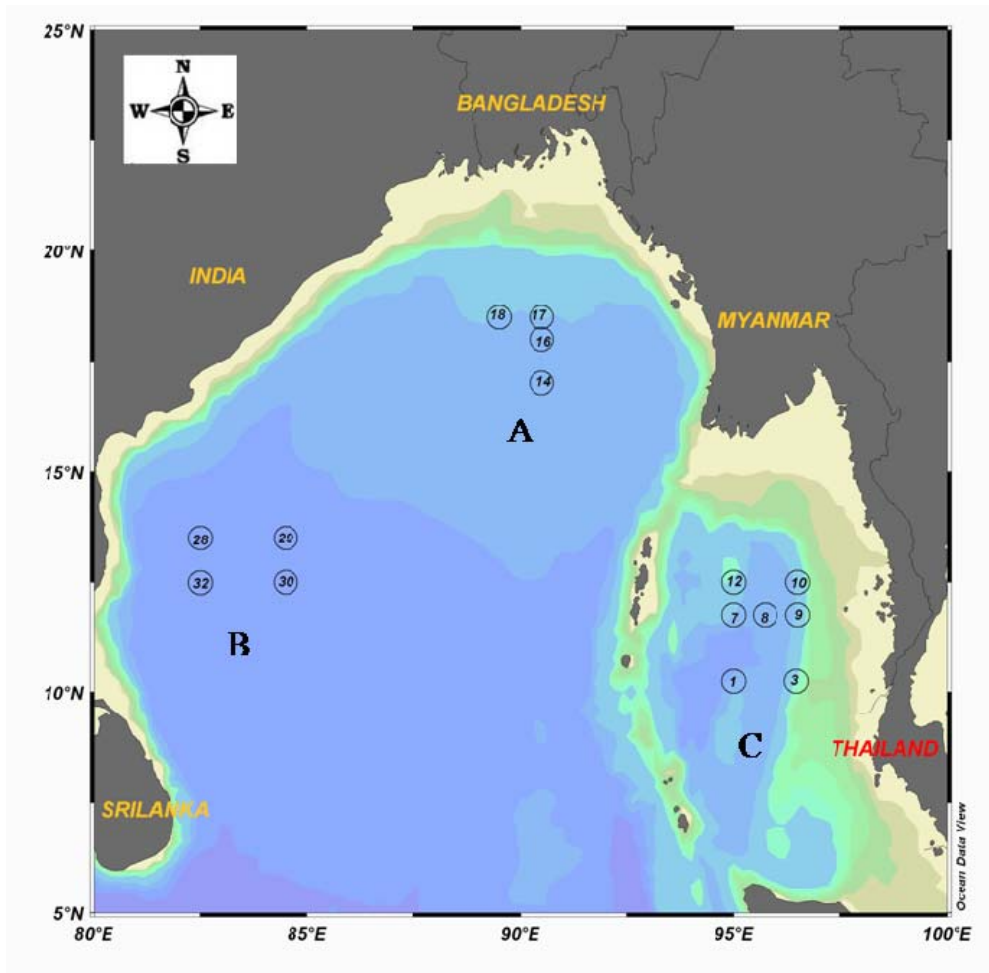
Samples of purpleback squid were caught by two Japanese automatic squid jigging machines which were fixed and operated at the starboard side of the fishery research vessel M.V. SEAFDEC, of the Southeast Asian Fisheries Development Center (SEAFDEC). The squid were attracted by 500 kilowatt light from 15 halogen lamps fixed along the starboard side of the ship at a height of 10 m from the surface of water. The lights were switched on 60 minutes before the start of sampling. Collection occurred from 20.00-24.00 PM. for every sampling event. Seven of the sampling stations (1, 3, 7, 8, 9, 10 and 12) were located within area C, or the eastern part of the Bay of Bengal (Fig. 1). Two other sampling stations (14 and 16) were located at the northern part of the Bay (area A; Fig. 1). A list of sampling stations and their positions can be found in Table 1.

Mantle length and body weight of each individual caught were measured and recorded. Sex of the squids was determined by the presence or absence of a hectocotylus (modified ventral arm of the male). Each squid was placed in a plastic zip-log bag and kept frozen until analysis.

Prior to heavy metal analysis the samples of purpleback squid were thawed at room temperature. Three individuals from each sampling station were randomly chosen for the analysis, except for station 3, in which only one squid was available. The edible body parts (mantle, arm and tentacle) and visceral mass of each squid were separated. The samples were cut and homogenized in a blender. A 1.0-1.5 g portion of each homogenized sample was carefully weighed in a Teflon vessel. Hydrogen peroxide and sub-boiled distilled nitric acid was added to the vessels. The vessels were then closed tightly and placed in a microwave digester (CEM; Mar5x). Afterwards, the samples were cooled down and diluted with deionized water. Mercury (Hg) concentration in the digested sample was determined by Cold Vapor Atomic Fluorescence Spectrometer (PSAnalytical; Merlin) whereas Cd, Pb, and Cu were determined by Graphite Furnace Atomic Absorption Spectrophotometer (Unicam;

Solars). Zinc concentration was determined by Flame Atomic Absorption Spectrophotometer (Varian; SpectrAA-50).

To validate the analytical technique, a certified reference material for trace metals, DORM-3 (National Research Council, Canada), was digested and heavy metal levels were determined in the same manner as for our samples. Limit of detection of each heavy metal was calculated from three standard deviations of eight method blanks.



**Figure 1** Map depicting the stations of automatic squid jigging in each area.

**Table 1** Dates of jigging operation, positions of sampling stations and depth of sampling stations.

Area	Jiggin operation no.	Survey st. no.	Date	Position		Sea depth
				latitude (N)	longitude (E)	
C	1	01	06/11/2007	10°18.20'	95°01.00'	2,628
	2	03	07/11/2007	10°14.40'	96°32.80'	538
	3	07	10/11/2007	11°04.90'	95°36.30'	513
	4	08	11/11/2007	11°54.50'	95°06.70'	2,884
	5	09	12/11/2007	11°45.60'	96°32.40'	883
	6	10	13/11/2007	12°04.50'	96°23.40'	1,128
	7	12	15/11/2007	12°29.50'	94°54.50'	1,418
A	8	14	17/11/2007	16°49.50'	90°20.90'	2,353
	9	16	18/11/2007	18°01.40'	90°35.70'	2,136

## Results and Discussion

### Validity of Methods

Table 2 shows determined values, certified value and % recovery of Hg, Cd, Cu, Pb, and Zn of certified reference material (DORM-3; National Research Council, Canada) and limit of detection of each heavy metal. The recovery levels of all heavy metals from this study were within an acceptable range (96-103%).

**Table 2** Determined value, certified value and percent (%) recovery of heavy metal contents of the DORM-3 (n=4) as validation for analytical technique.

Metals/description	Hg (ug/g)	Cd (ug/g)	Cu (ug/g)	Pb(ug/g)	Zn (ug/g)
Determined value	0.415±0.011	0.292±0.024	16.03±0.34	0.405±0.025	49.51±0.39
Certified value	0.409	0.290	15.5	0.395	51.3
% recovery	101.58±2.78	100.59±8.16	103.43±2.19	102.74±6.56	96.50±0.768
Detection limit <sup>b</sup>	0.001	0.003	0.030	0.012	0.090

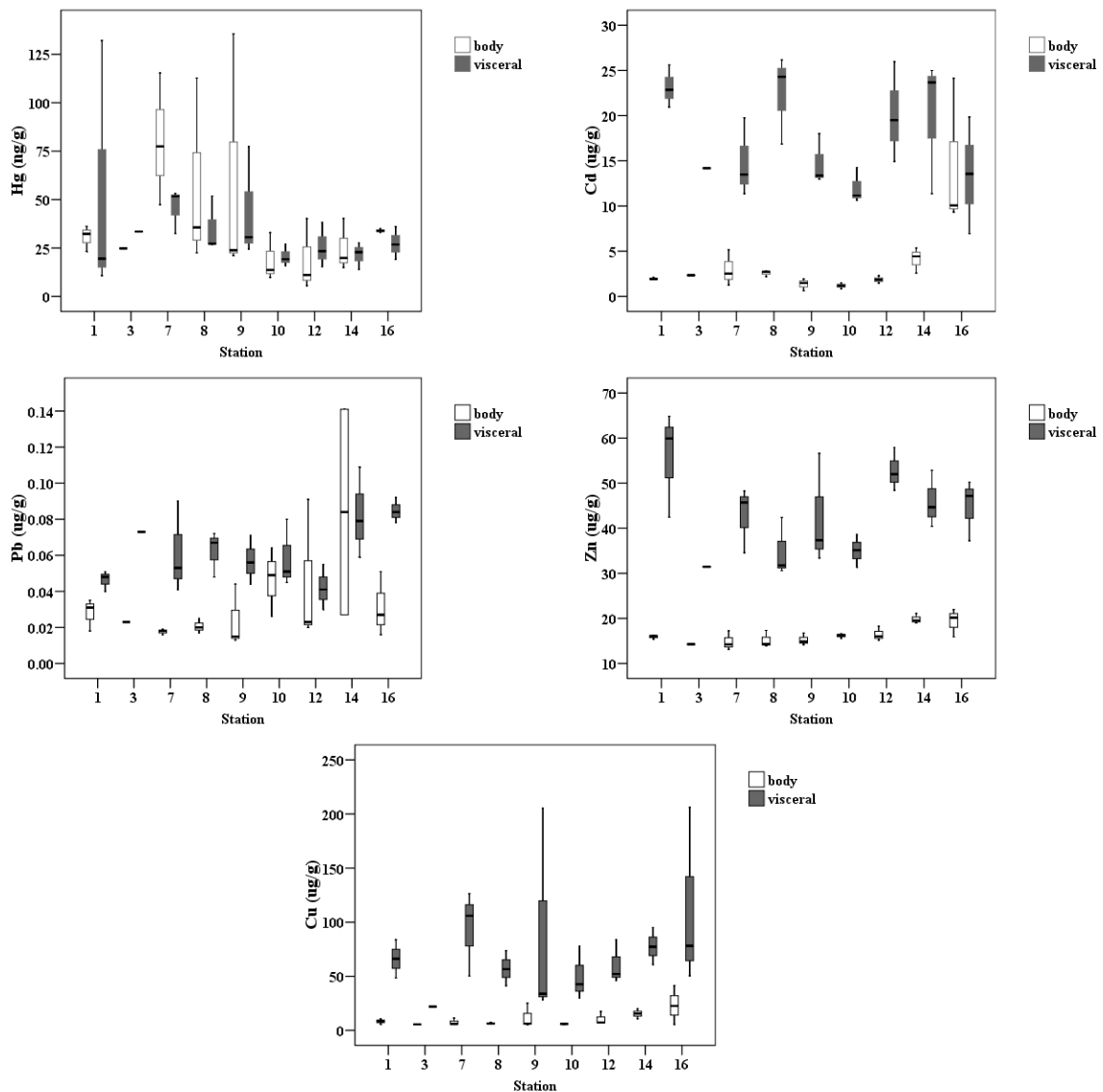
### Heavy Metal Contents in Squid

Heavy metal concentrations in the edible portion (mantle, arm and tentacle) and visceral mass of purpleback squid (*Sthenoteuthis oualaniensis*) from each station in the Bay of Bengal are shown in table 3. Mean concentrations±standard deviation of Hg, Cd, Pb, Zn and Cu in the edible parts of purpleback squid from all sampling stations were 39.92±34.10 (ng/g), 3.759±4.856, 0.035±0.029, 16.54±2.32 and 10.99±8.60 (µg/g), respectively. Mean concentrations ± standard deviation of Hg, Cd, Pb, Zn and Cu in the visceral mass of purpleback squid from all sampling stations were 34.26±25.32 (ng/g), 17.47±5.70, 0.062±0.020, 43.82±9.86 and 73.68±47.07 (µg/g), respectively.

Panutrakul (unpublished data) determined heavy metal concentrations in mantle tissue of marbled octopus (*Octopus aegina*) and pharaoh cuttlefish (*Sepia pharaonis*) collected from the upper Gulf of Thailand. For octopus, concentrations of Hg, Cd, Pb, Zn and Cu were 12.12±5.59 (ng/g), 0.020±0.037, 0.126±0.169, 19.88±4.42 and 11.48±5.10 (µg/g), respectively. For cuttlefish, concentrations of the same group of metals were 15.39±8.03 (ng/g), 0.055±0.072, 0.061±0.029, 13.5±2.47, 5.76±1.69 (µg/g), respectively. Mean concentrations of Hg, Pb, Zn and Cu in edible tissue of purpleback squid from the Bay of Bengal were similar to concentrations found in octopus and cuttlefish in Thailand. However, Cd concentrations in purpleback squid were much higher than in the other two species.

Pierce *et al.* (2008) measured Hg and Cd concentrations in tissues of two loliginid (*Alloteuthis* sp. and *Loligo forbesi*) and two ommastrephid (*Todarodes sagittatus* and *Todaropsis eblanae*) squid species collected in UK waters during 2004-2005. They found concentrations of Hg and Cd in muscle tissue of the squid to be in the range of 17-80 ng/g and 0.021-0.256 µg/g, respectively. However, the authors also reported that the digestive gland is the main storage organ of Cd in these squid species. Hg and Cd concentrations in the digestive gland of these squid varied from 17-110 (ng/g) and 0.16-3.31 (µg/g), respectively. In comparing results from this study with those of Pierce *et al.* (2008) we found that squid from UK waters show slightly higher Hg concentration in both muscle and digestive gland than our samples from the Bay of Bengal. Meanwhile, Cd concentrations in both muscle and digestive gland of squid from UK waters were lower than our samples.

Bioconcentration and bioaccumulation experiments of Cd by oval squid (*Sepioteuthis lessoniana*) run by Koyama *et al.* (2000) showed that oval squid can take Cd up via diffusion of Cd ions from the water and from their diet. After 14 days of exposure to 0.2 mg Cd/l seawater, the mean Cd concentrations in the liver, gill, digestive tract, mantle, ink sac and the remaining parts of the squid were 49.3, 19.2, 7.08, 0.79, 1.35 and 1.62  $\mu\text{g/g}$  wet weight, respectively. In another experiment, squid were reared in 0.12 mg Cd/l seawater, and also fed a diet of fish raised in the same seawater. The mean Cd concentrations in the liver, gill, digestive tract, mantle, ink sac and remaining parts of the squid were 58.8, 19.4, 13.0, 1.10, 3.30 and 1.13  $\mu\text{g/g}$  wet weight, respectively. Their results showed that oval squid can bioconcentrate and bioaccumulate waterborne and dietary Cd in a short period of time. Cd tends to accumulate primarily in liver whereas Cd concentration in the mantle is lower than in the other tissues.



**Figure 2** Box plot of heavy metal concentrations in edible parts (mantle, arm and tentacle) and visceral mass of purpleback squid (*Sthenoteuthis oualaniensis*) collected from the Bay of Bengal.

**Table 3** Heavy metal concentrations in edible body parts (mantle, arm and tentacle) and visceral mass of purpleback squid (*Sthenoteuthis oualaniensis*) collected from the Bay of Bengal.

Station	Hg (ng/g)		Cd (µg/g)		Pb (µg/g)		Zn (µg/g)		Cu (µg/g)	
	Body	Visceral	Body	Visceral	Body	Visceral	Body	Visceral	Body	Visceral
1	30.58±6.66	54.09±67.66	1.975±0.095	23.136±2.345	0.028±0.009	0.046±0.005	15.92±0.43	55.74±11.76	8.28±5.54	66.12±17.63
3	24.77	33.50	2.336	14.186	0.023	0.073	14.27	31.46	5.54	21.97
7	80.07±34.06	45.74±11.59	2.980±1.999	14.87±4.36	0.018±0.002	0.061±0.026	14.85±2.13	42.84±7.29	7.76±3.12	94.15±39.42
8	56.9±48.75	35.31±14.32	2.570±0.326	22.44±4.92	0.021±0.004	0.062±0.013	15.19±1.87	34.93±6.50	6.65±0.70	57.21±16.24
9	60.17±65.31	44.19±29.00	1.348±0.686	14.78±2.81	0.024±0.017	0.057±0.014	15.27±1.336	42.46±12.41	12.17±11.26	89.21±100.76
10	18.82±12.36	20.69±5.70	1.180±0.332	12.02±1.95	0.046±0.019	0.058±0.019	16.15±0.049	35.04±3.61	5.77±0.37	49.99±24.76
12	18.90±18.58	25.59±11.62	1.865±0.413	20.13±5.57	0.045±0.040	0.042±0.013	16.48±1.57	52.78±4.80	10.54±5.97	60.63±20.32
14	24.99±13.42	21.44±7.01	4.117±1.410	20.01±7.52	0.084±0.080	0.082±0.025	19.86±1.10	45.98±6.33	15.35±4.50	77.80±17.12
16	33.96±0.90	27.32±8.53	14.511±8.347	13.45±6.46	0.031±0.018	0.085±0.007	19.35±3.12	44.87±6.78	23.23±17.95	111.60±83.01

**Table 3** Heavy metal concentrations in edible body parts (mantle, arm and tentacle) and visceral mass of purpleback squid (*Sthenoteuthis oualaniensis*) collected from the Bay of Bengal.

Station	Hg (ng/g)		Cd ( $\mu\text{g/g}$ )		Pb ( $\mu\text{g/g}$ )		Zn ( $\mu\text{g/g}$ )		Cu ( $\mu\text{g/g}$ )	
	Body	Visceral	Body	Visceral	Body	Visceral	Body	Visceral	Body	Visceral
1	30.58±6.66	54.09±67.66	1.975±0.095	23.136±2.345	0.028±0.009	0.046±0.005	15.92±0.43	55.74±11.76	8.28±5.54	66.12±17.63
3	24.77	33.50	2.336	14.186	0.023	0.073	14.27	31.46	5.54	21.97
7	80.07±34.06	45.74±11.59	2.980±1.999	14.87±4.36	0.018±0.002	0.061±0.026	14.85±2.13	42.84±7.29	7.76±3.12	94.15±39.42
8	56.9±48.75	35.31±14.32	2.570±0.326	22.44±4.92	0.021±0.004	0.062±0.013	15.19±1.87	34.93±6.50	6.65±0.70	57.21±16.24
9	60.17±65.31	44.19±29.00	1.348±0.686	14.78±2.81	0.024±0.017	0.057±0.014	15.27±1.336	42.46±12.41	12.17±11.26	89.21±100.76
10	18.82±12.36	20.69±5.70	1.180±0.332	12.02±1.95	0.046±0.019	0.058±0.019	16.15±0.049	35.04±3.61	5.77±0.37	49.99±24.76
12	18.90±18.58	25.59±11.62	1.865±0.413	20.13±5.57	0.045±0.040	0.042±0.013	16.48±1.57	52.78±4.80	10.54±5.97	60.63±20.32
14	24.99±13.42	21.44±7.01	4.117±1.410	20.01±7.52	0.084±0.080	0.082±0.025	19.86±1.10	45.98±6.33	15.35±4.50	77.80±17.12
16	33.96±0.90	27.32±8.53	14.511±8.347	13.45±6.46	0.031±0.018	0.085±0.007	19.35±3.12	44.87±6.78	23.23±17.95	111.60±83.01

### Differences in Heavy Metal Concentrations between Body Parts and among Sampling Location

Two-way analysis of variance was used to test the effects of body part and sampling station on Hg, Cd, Pb, Zn and Cu concentrations of purpleback squid (Table 4). Body part and sampling location were significant factors for Cd, and Zn concentrations. Cd concentration in visceral mass of squid in every station, except station 16, was significantly higher ( $p < 0.01$ ) than the edible portion (mantle, arm and tentacle) (Fig. 2). Zn concentrations in visceral mass of squid in every station was significantly higher ( $p < 0.01$ ) than the edible portion. However, the difference found for station 1 was larger than in the other stations (Fig. 2). Body part was the only significant factor for Pb and Cu concentrations. Mean concentrations of these two metals in visceral mass of squid were significantly higher ( $p < 0.01$ ) than the edible portion for every station. Hg concentrations were similar for both body parts and similar among stations (Table 4). Generally speaking, there were no significant differences of heavy metals among sampling stations. Cd, Pb, Zn and Cu show higher accumulation levels in visceral mass compared to the edible portion. No significant correlations between heavy metal concentration in edible tissues and either mantle length or total body weight were found.

**Table 4** Comparisons of Hg, Cd, Pb, Zn and Cu concentrations in purpleback squid (*Sthenoteuthis oualaniensis*) collected from the Bay of Bengal by sampling station and by body part (edible vs. visceral mass).

Metals	Source	df	F	P
Hg	Station	8	1.465	ns
	Part	1	0.256	ns
	Station * Part	8	0.477	ns
Cd	Station	8	36.144	ns
	Part	1	2034.466	**
	Station * Part	8	65.623	**
Pb	Station	8	2.017	ns
	Part	1	15.568	**
	Station * Part	8	1.148	ns
Zn	Station	8	2.958	*
	Part	1	233.191	**
	Station * Part	8	2.276	*
Cu	Station	8	0.830	ns
	Part	1	29.543	**
	Station * Part	8	0.401	ns

Ns =  $p > 0.05$ ; \*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$

Pierce *et al.* (2008) showed that Cd concentration in digestive gland of two loliginid (*Alloteuthis* sp. and *Loligo forbesi*) and two ommastrephid (*Todarodes sagittatus* and *Todaropsis eblanae*) squid species collected from research cruise and fishery (market) samples in UK waters during 2004-2005 is higher than in muscle. Seixas *et al.* (2005) also found that concentration of Hg in digestive gland of common octopus (*Octopus vulgaris*) is higher than in the other tissue. Experimental work of Koyama *et al.* (2000) shows that oval squid (*Sepioteuthis lessoniana*) can bioconcentrate and bioaccumulate Cd from water and dietary. They also show that liver is the main storage organ for Cd in the oval squid (Koyama *et al.*, 2000). Concentrations of Cd, Zn and Cu in digestive gland of cephalopods from the various works has been summarized and reported in table 5 (notice: concentrations of the

heavy metals are reported based on dry weight whereas results from this work is based on wet weight).

Hence, results from this study and those previously reported suggest that internal organs, especially digestive gland and liver, are the main storage organs for most heavy metals. Cd, Cu and Zn are the three metals that have been found highly accumulated in digestive gland and liver of cephalopods. The high contamination levels of Cd, Cu and Zn found in Japanese common squid waste (Omid and Hiroyuki, 2005) resulted from highly contaminated visceral mass which is the major component of squid waste.

### Sources of Cd and Other Metals Residue in Squid

It has long been recognized that squid and other cephalopods can accumulate high levels of cadmium and other metals. Squid also play a major role in transferring these metals through the food chain (Martin & Flegal, 1975; Smith *et al.* 1984; Miramand & Guary 1980; Finger & Smith 1987; Miramand & Bentley 1992; Bustamante 1998; and Bustamante *et al.*, 1998a). However, the sources of Cd and other metal residues in squid and other cephalopods has never been clear. Squid and most other cephalopods are characterized by high growth rate, high mortality rate, high fecundity and short life. Xinjun *et al.* (2007) reported that most purpleback squid from the northwestern Indian Ocean have a life span of 0.5-1.0 year. Thus, high levels of heavy metals in these organisms are not a result of long term accumulation.

Koyama *et al.* (2000) concluded from their experimental work that accumulation of Cd in oval squid (*Sepioteuthis lessoniana*) can occur via diffusion from seawater into the body and by ingestion. Xinjun *et al.* (2007) found that stomach contents of purpleback squid from the northwestern Indian Ocean contained three major diet groups: fish, cephalopods and crustaceans, mainly *Cypselurus spp.* and *S. oualaniensis*. More than 60% of the stomachs had evidence of cannibalism for the squid larger than 400 mm ML.

Data on dissolved heavy metals in the Bay of Bengal are rare. Therefore, it is difficult to make any conclusion on the sources of the heavy metals in purpleback squid. Since purpleback squid is carnivorous and even cannibalistic (Xinjun *et al.* 2007), it appears that residues of these heavy metals come at least in part via ingestion.

**Table 5** Cd, Cu and Zn concentrations ( $\mu\text{g/g}$  dry wt) determined in the digestive gland of cephalopods from the literature.

Species	Cd	Cu	Zn	Authors
<i>Sepia officinalis</i>	12.67±0.35	315±3	571±47	Miramand & Bentley (1992)
<i>Loligo opalescens</i>	85.0±51.6	5350±3210	247±131	Martin & Flegal (1975)
<i>L. opalescens</i>	121.5±57.9	8370±3130	449±201	"
<i>N. gouldi</i>	50±25	246±298	696±295	Smith <i>et al.</i> (1984)
<i>Ommastrephes bartrami</i>	287±202	195±212	163±55	Martin & Flegal (1975)
<i>Stenoteuthis oualaniensis</i>	782±255	1720±151	513±288	"
<i>Eledone cirrhosa</i>	24.00±1.75	456 ±11	646±86	Miramand & Bentley (1992)
<i>Benthoctopus thielei</i>	215	42	416	Bustamante <i>et al.</i> (1998a)
<i>Graneledone sp.</i>	369	1092	102	Bustamante <i>et al.</i> (1998a)
<i>Octopus vulgaris</i>		2550		Ghiretti-Magaldi <i>et al.</i> (1958)
<i>O. vulgaris</i>	50±10	2500±700	1450±400	Miramand & Guary (1980)



## Safety Issues for Human Consumption

Table 6 compares the mean concentration of heavy metals of squid with the safety limits from several countries. The Hg, Pb and Zn concentrations in both edible tissue and visceral mass were within the safety limits at every sampling station. Mean Cu concentration in visceral mass of squid were higher than the safety limits, whereas concentrations in the edible portion were below the safety limit. Mean Cd concentration in both edible tissue and visceral mass of the squid exceeded all of the proposed safety limits at every sampling station. Cadmium concentrations in visceral mass were in fact many times higher than the safety standards. Therefore, due to Cd contamination, purpleback squid from the Bay of Bengal may not be a proper food source for humans.

## Conclusion

Results from this study show that purpleback squid (*Sthenoteuthis oualaniensis*), an oceanic squid widely distributed in the Indian Ocean and the Bay of Bengal, accumulate high concentrations of Cd, Cu and Zn. The levels of heavy metals in the squid from all sampling stations were within the same range. Accumulation of these metals takes part mainly in visceral mass which contains the digestive gland, gill, and gonad, whereas accumulation in the edible portion (mantle, arm and tentacle) is lower. The concentration of Hg, Pb and Zn in both edible tissue and visceral mass were lower than safety standards. The concentration of Cd and Cu in visceral mass were higher than the safety standard. Cd was the only heavy metal found in mantle tissue to exceed safety standards, and is thus the most immediate concern for human consumption of purpleback squid. Close monitoring is necessary to follow changes of contamination levels. Further investigation may also provide a better view of contaminant sources, particularly for cadmium.

**Table 6** Mean concentrations ( $\mu\text{g g}^{-1}$ ) of heavy metals found in the edible portion (mantle, arm and tentacle) and visceral mass of purpleback squid (*Sthenoteuthis oualaniensis*) from all sampling stations in the Bay of Bengal and recommended safety limits.<sup>1-5</sup>

Heavy metals	Edible part	Visceral mass	Safety limit ( $\mu\text{g/g}$ )	References
Hg	0.040±0.034	0.034±0.025	0.5	1, 2
Cd	3.759±4.856*	17.47±5.70*	2, 3, 0.5	1, 3, 4
Pb	0.035±0.029	0.062±0.020	0.5, 1.5, 0.5	2, 3, 5
Zn	16.54±2.32	43.82±9.86	≤100	2
Cu	10.99±8.60	73.68±47.07*	≤20	2

<sup>1</sup>Australia and New Zealand Food Authority Amendment No. 53. (2000).

<sup>2</sup>Minsitry of Public Health, Thailand (1986).

<sup>3</sup>US Food and Drug Administration (2001).

<sup>4</sup>FAO. Report of the Codex Committee on Food Additives and Contaminants. Draft Guideline level for Cadmium in Food (<http://www.fao.org/docrep/meeting/005/x7137e/x7137e20.htm>)

<sup>5</sup>FAO. Report of the Codex Committee on Food Additives and Contaminants. Draft Maximum level for Lead (<http://www.fao.org/docrep/meeting/005/x7137e/x7137e1z.htm#TopOfPage>)

\*indicates maximum concentration was higher than safety limit for at least one of the agencies listed.

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## Appendix 1

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26	Male nurse / THAILAND	PO <sub>1</sub> Somchai Koknote	-
27	Assistant Cook / THAILAND	Mr. Visut Tonghong	-
28	Oiler / THAILAND	Mr. Surasak Krainate	<a href="mailto:k.surasak@hotmail.com">k.surasak@hotmail.com</a>
29	Steersman / THAILAND	Mr. Wanchai Pae-thong	-

**II. Ship's Personnel of M.V. SEAFDEC and SEAFDEC/TD.**

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10	Boatswain	Mr. Somkiat Phetrasatien	
11	Able Seaman	Mr. Yuttachai How-harn	
12	Able Seaman	Mr. Jaroon Po-U	
13	Fitter	Mr. Kittinai Sukdit	
14	Oiler	Mr. Dum Tanyacharoen	
15	Oiler	Mr. Nuttapon Chaitanavisut	
16	Oiler	Mr. Teeradat Jantana	
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## Appendix 2

**Opening ceremony of the Ecosystem-Based Fishery Management in the Bay of Bengal on 30 October 2007 in Phuket Province.**



### Appendix 3

#### Oceanographic and drift gillnet operation on M.V. SEAFDEC



## Appendix 4

### Pelagic longline operation





## Appendix 5

**Closing ceremony of the Ecosystem-Based Fishery Management in the Bay of Bengal on 14 December 2007 in Phuket Province.**





Offshore Seismic Campaign YWB Block

Appendices – 11/14

**APPENDIX D** CV of Experts

# Armeline Dimier

Stakeholder Relationship and Social Impact Consultant

Water & Environment | RSE

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- Master II in Geopolitics and International Relations, International Trade, International Law, Humanitarian Rights, Development Issues, Institut d'Etudes politiques de Toulouse, 2008
- Political Sciences Diploma (equivalent to a Master level), Politics and International Relations, Geopolitics, Institut d'Etudes Politiques de Grenoble and Sussex University in Brighton, 2007

Professional trainings:

- *Societal Management in Oil and Gas Operations*, Total, February 2011
- *Management of the relationships between NGOs and corporations*, WWF Training Center, Paris, 2010

Languages: French (mother tongue), English (bilingual), Indonesian (bilingual), German (academic)

Date of employment in Artelia: July 2014

## CAREER SUMMARY | SKILLS

5-year experience in the field of corporate CSR strategy development in the oil & gas world, covering stakeholder mapping, consultation and engagement; social impact assessment, social management plan implementation and follow-up, community grievance management, and tools development.

- **Stakeholder mapping, consultation and engagement:** mapping of stakeholders from the business, authorities and civil society groups based on their interests and expectations, stakeholders' priority ranking, development of consultation tools (interview questionnaire and guidelines), realization of consultations, development of engagement action plans in line with operational priorities.
- **Social impact assessments and Social Management Plan implementation and follow-up:** contribution to the realization of ESBS (Environmental and Social Baseline Assessment) and ESIA (Environmental and Social Impact Assessment) as well as Indonesian environmental and social studies (UKL-UPL and RKL-RPL), covering consultant identification, briefing, field survey preparation, quality control, and final hand-over. Development, implementation and follow-up of Social Management Plan.
- **Community grievance management:** development of claim registration database and claim handling procedure.
- **Tools development:** integration of social data into GIS system, installation of societal management software (MOST).

## PROFESSIONAL EXPERIENCE

2014  
MYANMAR

### **Environmental and Social Impact Assessment for the Offshore Seismic Acquisition Campaign on the Block YWB**

- Coordination of the social impact assessment study realization with Artelia team in Myanmar
- Desk review of existing data and literature on the socio-economic context in which the project takes place
- Description of key socio-economic characteristics in the study area
- Stakeholder mapping (business, authorities, civil society)
- Analysis of stakeholders' expectations and concerns
- Assessment of social impacts
- Development of appropriate mitigation measures and of the social management plan

**Client: Total E & P Myanmar**

Position held: Social impact specialist

2014  
SOUTH SUDAN

### **Environmental and Social Screening of 7 dam options for the Hydropower Expansion Plan and Regional Integration Plan of South Sudan into Regional Electricity Grid**

- Identification and selection of an appropriate set of criteria for measuring the economic and social impacts (and performance) of each dam option
- Desk review of existing data and literature on each criteria identified in order to define current context and related sensitivities (example of criteria include land acquisition, physical displacement, health, food security, ethnic groups, public services, etc.)
- Assessment of potential impacts for each criteria and proposition of associated mitigation measures
- Ranking of impact importance for each criteria and each dam option
- Final assessment of all the criteria for each dam option, in order to identify the most performing option environmentally and socially

**Client: Nile Equatorial Lakes Subsidiary Action Program**

Position held: Social impact specialist

2014  
BULGARIA

### **Preliminary Environmental and Social Impact Assessment for the offshore drilling of two exploration wells on Block 1-21 Han Asparuh**

- Coordination of the social impact assessment study realization with Artelia Bulgarian team
- Desk review of existing data and literature on the socio-economic context in which the project takes place
- Description of key socio-economic characteristics in the study area
- Stakeholder mapping (business, authorities, civil society)
- Analysis of stakeholders' expectations and concerns
- Assessment of social impacts

Development of appropriate mitigation measures and of the social management plan

**Client: Total E & P Bulgaria**

Position held: Social impact specialist

2014  
MAURITANIA

### **Environmental and Social Impact Assessment for the offshore drilling of one exploration well on Block C9**

- Desk review of existing data and literature on the socio-economic context in which the project takes place
- Data collection of key socio-economic characteristics in the study area
- Stakeholder mapping and analysis of stakeholders' expectations and concerns
- Assessment of social impacts
- Development of appropriate mitigation measures and of the social management plan

**Client: Total E & P Mauritanie**

Position held: Social impact specialist

## **PUBLICATIONS**

"*Re-engaging with Local Stakeholders in the Mature Oil and Gas Mahakam Block*", Technical paper presented during the Society of Petroleum Engineering (SPE) Conference on HSE in Long Beach, CA, on 20<sup>th</sup> March 2014

## **PROFESSIONAL EXPERIENCE**

2011 - 2014

### **Stakeholder Relationship and Social Impact Consultant, Total E&P Indonesia, Indonesia**

#### - East Kalimantan District operations:

- Stakeholder mapping and implementation of a consultation plan (interviews with 45 local and regional stakeholders);
- Creation of a societal action plan, in line with company's requirements and stakeholders expectations in the fields of dialog, impact management, local content and community development projects;
- Technical assistance for environmental and social baseline and impact studies: preparation of field work/surveys with the consultants, quality control and improvement of studies for Total Head Office and the Indonesian Ministry of Environment;
- Improvement of internal societal procedures and standards in line with Head Office instructions: management of claim and grievances from local communities, mapping of social data using the company GIS
- Implementation of mitigation measures for social impacts generated by specific operations (drilling, rig movement, seismic acquisition, etc.).

- West Papua District operations: stakeholder mapping, consultation preparation, including training of the field survey team.

- Mentawai District operations: as a complementary study to the ESIA, stakeholder identification, mapping and ranking, Social Management Plan development and implementation, particularly related to control of contractors.

2010-2011

### **Societal Officer, Total Marketing France**

- Organizing cooperation between Total entities that have operations or projects in the region;
- Preparing consultation of relevant external stakeholders, to identify how Total can contribute in achieving the region's priorities in terms of economic and social development.

FEB 2010 –  
NOV 2010

**Societal Coordination Officer, Total Supply & Marketing - Africa/Middle East Division, France**

- Realizing two annual surveys in the subsidiaries: AIDS Survey, aiming at assessing the progress of the policy of fight against AIDS and Societal Survey, aiming at collecting information on the CSR actions of the subsidiaries;
- Improving the health policy (fight against AIDS and malaria) through awareness-raising campaigns;
- Creating communication tools on the division CSR strategy, and particularly a brochure presenting the Division CSR strategy as well as subsidiaries projects in favor of social and economic local development.

SEPT 2008 –  
MAY 2009

**Societal Coordination Officer, Total Supply & Marketing - Africa/Middle East Division, France**

- Realizing two annual surveys in the subsidiaries: AIDS Survey, aiming at assessing the progress of the policy of fight against AIDS and Societal Survey, aiming at collecting information on the CSR actions of the subsidiaries;
- Improving the health policy (fight against AIDS and malaria) through awareness-raising campaigns;
- Organization of an annual internal sponsorship committee supporting initiatives from NGOs operating in our perimeter.

MAR 2008 –  
AUG 2008

**Public Fundraising Officer, Planet Finance**

- Monitoring of all the potential public donors and their related strategies/policies;
- Research of public fundings: identification of donors and of their call for proposals;
- Writing of proposals for big donors (EUROPEAID, USAID, IFC, Development Banks, etc);
- Project management (logical framework, budget...);
- Support to private fundraising team and contribution to the research of potential NGO and private companies partnerships.

# Frédéric Mathieu

Project Manager

Water and Environment | Risks Society Environment Unit

Date of birth: 1966

Nationality: French

Education and Training:

- Doctor in Ecology (Paris 7 University) : Chemical ecology of phytophagous insects, DEA Postgraduate Degree in Spectrochemistry and analytical organic chemistry (Paris 7 University),
- MST Degree in Management and Protection of the Environment (Paris 7 University).
- Geographic Information System (ArcGis and 3D Analyst, ESRI 2001-2002)
- Acoustics modeling (01dB Metravib: Mithra v5, 2001 and CadnaA, 2007-2010).
- Hydraulic modeling (HEC RAS and River 2D)
- GC-MS (Gas Chromatography and Mass Spectroscopy) (INRA, 1995-1997)
- Health & Safety: First aid worker, CASC (firemen), 2008;
- Health & Safety: Helicopter Underwater Escape Training (HUET), CETIS (Centre d'entraînement aux Techniques Incendie et Survie des Marins-Pompiers de Marseille), 2008.

Languages: French (native language), English (medium), Spanish (basic)

Date of employment in Artelia: 2007

## CAREER SUMMARY | SKILLS

Frederic MATHIEU is a project manager with various environmental specializations: ecology, acoustic modeling, flooding area modeling, GIS (Geographical Information Systems), environmental discharges and insect control. His main responsibilities include performing environmental baseline surveys (EBS) and environmental impact assessments (EIA) in natural, urban or industrial contexts, acoustic modeling studies (often for inclusion in EIAs), GIS production and analysis, and natural area planning (tourism, education and preservation purposes).

He has experience in the following fields:

### ▪ Management and project management

- Team management (up to 10 people), meeting facilitation, training, ...
- Business Management: budget, technical responsibility, quality control, customer relationship management, tendering, technical and commercial proposals ...
- Supervision and carrying out technical studies, assistance in project management and programming ...
- Intervention for private and public sector in France, the TOM (French overseas territories) and abroad (Algeria, French Polynesia, New Caledonia, Nigeria, Angola, Congo, Cameroon, Romania, Myanmar, Uganda ...)

### ▪ Intervention areas: natural and industrial environments

- Baseline studies and field investigation (ecosystem / biodiversity, pollution, degradation of the environment, heritage, landscape, tourism potentials).
- Environmental Impact Assessment of industrial and development projects (subdivisions, roads, airports, tourist attractions, natural and urban areas...).
- Modelling the acoustic contribution of infrastructure and industrial projects. Sizing baffles, estimate overruns and isolation constraints.
- Creation of GIS (mapping and databases).
- Flow modelling (1D and 2D) of streams for rehabilitation, flood risk and bank protection issues.
- Calculation of supply and 2D hydraulic modelling applied to the design of artificial lagoons of big hotels on the motu in Bora Bora.

- Development of natural environment and signalling.
- Environmental management plan and environmental management system for industrial projects.
- **Tools: mapping, modelling, measurement and office softwares**
  - Acoustic Modelling: Mithra v5 CadnaA. Acoustic measurements: dBTrait frequency analyzer and sound level meters.
  - ArcGIS Arc Map, Arc Catalog, 3D Analyst, Spatial Analyst and geodatabase in Access.
  - HEC RAS modelling and 2D River and all rules of thumb calculation (design flow, bank protection, storm basin, thrust, and effluent dilution).
  - Sampling methods, probes and interpretation for many investigation settings of the natural and industrial environment (surface water, groundwater, air and soil). Use of many national and international standards.
  - Data analysis: STATISTIQUE: ANOVA, regression, factor analysis, parametric and nonparametric tests.
  - Gas chromatography and mass spectrometry.
  - CAO: Microstation, PAO: Photoshop, GIMP, COREL suite, Office: Office and openoffice pack; Database: Access.

## PROFESSIONAL EXPERIENCE

### MAIN ENVIRONMENTAL IMPACT ASSESSMENT AND ENVIRONMENTAL BASELINE STUDIES

#### INDUSTRIAL PROJECTS IN NATURAL ENVIRONMENTS

2013-ONGOING  
CAMEROON

**Assessment of socio-economic and environmental conditions related to electricity and interconnection production options**

This study, conducted within the framework of the hydroelectricity expansion plan and the South Sudan regional integration plan in the regional electricity network, aims at: (i) carrying out an environmental assessment for the various projects and (ii) implementing a set of quantifiable environmental and social criteria/indicators helping to rank supply options.

**Client : NILE BASIN INITIATIVE / NELSAP (supported by the World Bank)**

Position : Project manager/environment expert

2013-ONGOING  
CAMEROON

**Lom Pangar hydropower project, independent environmental and social technical audit**

The objective of this audit is to control independently the compliance of the implementation of the environmental and social obligations during the construction and the implementation phases. These obligations are mainly included in the project's Environmental and Social Management Plan (ESMP), but other instructions **can be established during the project, and the ESMP can be slightly modified.**

**Client : EDC**

Position : Support expert for the impact assessment and building site follow-up

2014-ON GOING  
DRC

**Environmental study of five oil fields in operation  
Field missions, control of water and environment quality, modelling framework of air quality (source terms and reference values)**

**Client : Perenco**

Position : Environment expert for water and air contamination (including field mission)



2014 – ON GOING  
FRANCE

### **Risk assessment of ODC pipeline network**

Analysis of national reference documents (BSS, LISA, ADES, CARTHAGE, protected areas...) by geoprocessing to assess the environmental seriousness of the leak scenarios on the 1,300-km pipeline (in compliance with GESIP guide appendix 11).

**Client : TRAPIL**

Position : Project manager of the chapter concerning the environmental seriousness of the study

2013-2014  
CAMEROON

### **Follow-up of sea turtles in Cameroon within the framework of CLNG project environmental assessment**

The preliminary ESIA of CLNG project underlines the potential cumulative impact on sea turtles of the projects related to Kribi port complex. Specific studies are required before the ESIA is conducted

Activities: Implementing the study on site: choosing the team by taking into account methodological and social aspects, reinforcing the team skills with training session and selecting the sites.

**Client : GDF Suez**

Position : Project manager

2012-ONGOING  
DRC

### **Environmental and Social Impact Assessment (ESIA) for the Graben Albertine – Block III Seismic Project (Nord Kivu and Province Orientale)**

The Project comprises a 2D seismic survey in Easter Congo DRC. Block III of Graben Albertine extends over 3200 km<sup>2</sup> and close to the Virunga national parc.

Position held: Project Manager of the ESIA, Field mission, stakeholder consultation and village inquiry

- Social field survey
- ESIA/ESMP report
- Public consultation
- Management of the GPS mapping with a local NGO

**Client : Total**

Position : Project Manager of the ESIA, Field mission, stakeholder consultation and village inquiry

2013  
CONGO

### **Environmental and Social Impact Assessment (ESIA) for Moho North and Phase 1 bis Oil and Gas exploitation Project**

Moho Nord and Moho Phase 1 bis are two oil and gas development project in deep offshore. Project includes: (i) drilling activities, (ii) construction/operation of the facilities such as Tension leg platform and Floating Production Unit, (iii) construction/operation of an export pipeline and (iv) on shore equipment in the existing oil treatment/export complex of Djéno.

- Assessment of Best Available Technology
- TDR of the project and associated stakeholders consultation
- ESIA/ESMP of the two projects and public consultation activities
- Health study

**Client : Total E&P Congo**

Position : Project manager of the TDR, ESIA/ESMP also involved in public consultation

2012-ONGOING  
CAMEROON

### **Environmental and Social Impact Assessment of CLNG project (Cameroon Liquefied Natural Gas)**

Conduct studies on the impact of assessment activities onsite, on the liquefaction plant and gas pipeline. The mission also includes carrying out field investigations and public consultation throughout the process.

**Client : GDF Suez**

Position : Senior Engineer, Head of field mission

- 2012-ONGOING  
CONGO DRC **Environmental and Social Impact Assessment and Resettlement Action Plan (RAP) for pilot industrial area project**  
ESIA and RAP conducted according to World Bank processes.  
**Client : World Bank**
- Position : Responsible for scoping mission
- 2012  
UGANDA **Environmental Impact Assessment for a drilling exploration campaign for TOTAL**  
Quality control and knowledge transfer for local engineering office  
**Client : Air Water Earth (AWE) Engineers**
- Position : Field investigation supervision, review of reports and training
- 2009 – 2015  
FRANCE **Baseline study and definition of an environmental monitoring program for CO2 geological storage of, site of Rouse**  
Inventory of fauna and flora, monitoring of groundwater and surface water quality (chemical and biological).  
Phase 2: monitoring plan implementation (2010-2015)  
**Client : TOTAL**
- Position : Project manager
- 2011  
OMAN **Baseline study for an incinerator and a hospital waste landfill site on a deserted site**  
Field operation, sampling and results analysis (soil, air, noise)  
**Client : Sogreah Oman**
- Position : Environment expert
- 2010  
FRANCE **Environmental statement to support permit application in 4 blocks (oil and gas)**  
Environmental statement for onshore exploration drilling project and seismic acquisition survey of the shale gas reserves in four departments in northern France. The study was undertaken in compliance with French legislation.  
Development of a specific GIS database  
**Client : Elixir**
- Position : Project manager
- 2011  
FRANCE **Environmental statement to support permit application in 3 blocks (oil and gas)**  
Environmental statements undertaken in compliance with French legislation..  
**Client : Basgas**
- Position : Project manager
- 2010  
MYANMAR **Environmental monitoring survey of Yadana offshore TEPM platform**  
Environmental survey of site and surrounding areas, including seawater and sediment analysis, and identification of benthic fauna species. The study is conducted in accordance with Total internal standards. Evaluation of potential impacts of Yadana platform activities on marine environment and comparison of the results with previous studies.  
**Client : TOTAL**
- Position : Project manager
- 2011  
MYANMAR **EIA and Environmental Management Plan (EMP) of offshore seismic acquisition campaign of Yadana block**  
Environmental baseline study of project area; Environmental Impact Assessment in accordance with client standards. Acoustical issues related to marine mammals  
**Client : TOTAL**
- Position : Project manager and acoustic expert

- 2012  
MYANMAR
- EIA and EMP of subsidence project of Yadana offshore TEPM platform**  
Relocation of activities and quarters as a result of subsidence on the Yadana Complex offshore platform due to gas extraction.
- EIA for the decommissioning of existing facilities
  - Assessment for the installation of new infrastructure.
- Client : TOTAL**
- Position : Project manager and acoustic expert
- 2010  
ALGERIA
- EBS (Environmental Baseline Survey) for an exploration project in the Ahnet block**  
Environmental Baseline Study (EBS) of Ahnet block, in particular future drilling sites. The study included:
- Evaluation of soil, groundwater and surface water contamination and air quality tests;
  - Investigation of the biological environment (fauna-flora) and archaeology;
  - Meeting with stakeholders.
- Client : TOTAL**
- Position : Project manager
- 2010  
ALGERIA
- EIA for a drilling exploration project in the Ahnet block**  
Environmental Impact Assessment performed in compliance with Algerian legislation based on the previous EBS.  
The study included:
- Baseline environmental assessment (landscape, fauna, flora);
  - Evaluation of environmental and social impacts;
  - Definition of mitigation measures;
  - Preparation of a Management Plan
- Client : TOTAL**
- Position : Project manager
- 2009-2010  
ALGERIA
- EBS (Environmental Baseline Survey) for exploration project in Rhourde Yacoub block**  
Involved of an Environmental Baseline Study (EBS) including:
- Field survey: air quality measurement, water and soil quality analysis, flora and fauna investigation,
  - Fauna and flora identification and archaeological inventory;
  - Satellite image analysis;
  - Assessment of environmentally sensitive elements;
  - Meeting with stakeholders.
- Client : Ruhrgas**
- Position : Project manager
- 2009-2010  
ANGOLA
- Pre-EIA for the implementation of a FPSO (Floating, Production, Storage and Offloading) for the CLOV development project in block 17**  
Preliminary Environmental Impact Assessment (pre-EIA) for the development of 4 fields on block 17. The CLOV project situation is offshore Angola in a water depth of approximately 1,100 m to 1,400 m, and includes: a FPSO (Floating, Production, Storage and Offloading), a Subsea Production System (SPS), and drilling of 34 wells.  
The tasks performed included:
- Environmental impact assessment;
  - Definition of mitigation measures;
  - Energy efficiency and greenhouse gases emissions assessment;
  - Preparation of an Environmental Management Plan.
- Client : TOTAL**
- Position : Project manager

- 2009  
CONGO
- EIA (Oil & Gas) 3D seismic acquisition survey in Block "Haute Mer"**  
Preparation of Environmental Impact Assessment for exploration seismic survey in "Haute Mer" C block including:
- Preparation of the EIA in accordance with Congolese legislation
  - Recommendations for seismic survey methodology to minimize impact on marine mammals.
- Client : TOTAL**
- Position : Project manager
- 2010  
NIGERIA
- Preliminary Environmental Impact Assessment (PIAR) for the extension of the Amenam platform**  
Preliminary Environmental Impact Assessment (PIAR) in accordance with Nigerian regulation and Total standards.  
The PIAR includes:
- Environment description;
  - Waste, discharge and emission inventory;
  - Evaluation of the impacts and mitigations measures;
  - Environmental Management Plan.
- Client : TOTAL E&P**
- Position : Project manager
- 2009-2012  
ANGOLA
- EIA and EMP for the offshore seismic acquisition campaign in block 32 (deep sea)**
- Description of the baseline environment
  - Preparation of the environmental impact assessment in compliance with Angolan legislation
- Client : TOTAL**
- Position : Project manager
- 2008  
ALGERIA
- Pre EIA and EMP for Timimoun licence development project**  
The socio-economic baseline survey was carried out on 3 Daïras and 2 Wilayas around the Timimoun block. The aim was to understand the socio-economic context of human development within the project area, and improve local community involvement. The mission included:
- A detailed description of the human, social, economic and cultural context;
  - A number of interviews of main stakeholders including local administrative authorities, associations, NGOs, religious and educational organizations, etc.
  - Stakeholder mapping;
  - Client support for the regulatory public consultation process with participation;
  - Impact assessment and definition of mitigation measures;
  - Social Management plan.
- Client : TOTAL**
- Position : Project manager and acoustical expert
- 2010  
ALGERIA
- ESIA for 3D seismic acquisition survey in Timimoun Block**
- Description of the environmental baseline study
  - Preparation of the environmental impact assessment in compliance with the Algerian laws
- Client : TOTAL**
- Position : Project manager
- 2008-2011  
NIGERIA
- EIA (Oil & Gas industry)**  
Pre- Environmental and Societal Impact Assessment (ESIA) for the EGINA field development project
- Client : TOTAL**
- Position : Project manager

- 2008  
ANGOLA
- EIA and EMP for the 4D seismic acquisition campaign in block 32 (offshore)**
- Description of the environmental baseline study
  - Preparation of the environmental impact assessment in compliance with Angolan legislation
- Client : TOTAL**
- Position : Project manager
- 2008  
ANGOLA
- EIA and EMP for the 4D seismic and electromagnetic acquisition campaign for block 17 (offshore)**
- Description of the environmental baseline study
  - Preparation of the environmental impact assessment in compliance with Angolan legislation
- Client : TOTAL**
- Position : Project manager
- 2009-2010  
FRANCE
- Pre EIA and EIA for the installation of a solar power plant**
- Field surveys, EIA in compliance with French regulation.  
Issues: landscape and viticulture
- Client : Photowatt**
- Position : Project manager
- 2009-2010  
FRANCE
- Pre EIA for the implementation of a solar power plant**
- Field surveys, pre EIA in compliance with French regulations.  
Issues: landscape and flora
- Client : POWEO**
- Position : Project manager
- 2010-2012  
FRANCE
- EIA for the wind farm installation project in the Forez Mountains**
- Landscape, acoustic and faunal issues. Field survey organization, acoustic measures and modelling, landscape integration
- Client : ENR du Forez**
- Position : Acoustic expert
- 2007  
FRENCH  
POLYNESIA  
TUAMOTU
- EIA for the installation of a wind farm on Makemo Island**
- Field baseline study, acoustic measures and modelling, landscape integration
- Client : Private**
- Position : Project manager and acoustic expert
- 2003  
FRENCH  
POLYNESIA  
TAHITI
- EIAs for Development of Faratea Harbour**
- Field investigation, preparation and sampling of the environmental and social baseline study in compliance with Polynesian legislation
- Client : Établissement des Grands Travaux de Tahiti**
- Position : Project manager

INDUSTRIAL PROJECTS IN INDUSTRIAL AND URBAN ENVIRONMENTS

- 2009-2010  
ALGERIA
- EIA, ESBS (Environmental and Social Baseline Survey), EMP for a Gas Liquefaction Plant in Arzew**
- Environmental and Social Baseline Survey (ESBS);
  - Environmental Impact Assessment (EIA);
  - Noise Assessment;
  - Environmental Management Plan;
  - Risk assessment including HAZID review, calculation using PHAST software, fault tree and event tree analysis, risk acceptability analysis.
- Client : Saipem-Sonatrach**
- Position : Project manager
- 2007-2008  
ALGERIA
- EIAs and EMP for construction of thermal power plants**  
Harbour of Algiers, Harbour of Annaba, Koudiet
- Environmental Impact Assessment (EIA),
  - Environmental Baseline Survey (EBS),
  - Risk Assessment,
  - Environmental Management and Monitoring Plan,
  - Waste Management Plan
  - Restoration Plan
- Client : General Electric, Iberinco**
- Position : Project manager and acoustic expert
- 2006  
TAHITI
- Environmental Studies for industrial and port development in Taravao**  
Field study, sampling and preparation of the environmental and social baseline study in compliance with Polynesian legislation
- Client : Établissement des Grands Travaux de Tahiti**
- Position : Project manager
- 2003  
TAHITI
- Identification of environment discharges and characterization studies of Papeete Harbour / Emissaries inventory**  
Database development, GIS of physic-chemical and biological measures over a 20 year period. Analysis and interpretation of current-meter, physic-chemical and biological data. Analysis of main elements.  
Supervision of a diving mission to conduct the inventory and visit all the emissaries of the bay.
- Client : The Port Authority of Tahiti**
- Position : Project manager and GIS expert
- 2008  
FRANCE
- Diagnosis of soil pollution, Paris airport**  
Field operations, analysis interpretation and report
- Client : Veolia**
- Position : Project manager and GIS expert

#### OTHER EIAs OF DEVELOPMENT PROJECTS IN URBAN CONTEXTS

- 2000-2007  
FRENCH  
POLYNESIA  
TAHITI
- 10 EIAs for the construction of housing estates  
3 EIAs for the construction of commercial centers  
2 EIAs for high school constructions  
2 EIAs for the creation of commercial activity areas
- Client: Private and public sectors**
- Position: Project manager, Study engineer and acoustic expert

## EIAS OF DEVELOPMENT PROJECTS IN NATURAL CONTEXTS

- 2013-ONGOING  
MALI, BURKINA  
FASO AND GHANA
- West African Power Pool**  
Interconnexion project of the electrical network of Ghana, Burkina and Mali  
Update of ESIA and RAPs of the 3 countries taking into account comments of BAD (African Bank of development) and BEI (European Bank of Investment. Proposal of a shared ESIA and RAP background for the 3 countries to homogenise requirements and reports.  
ESIA and RAP of an extension of the main project in Ghana (section Ham Tumu and Ham Bolgatanga)  
Preliminary ESIA/RAP and TDR/Budget of the ESIA/RAP for a section of the electrical network in Mali.  
**Client : Economic Community of West African States**
- Position: Project Management and Environmental expert.
- 2013-ONGOING  
BURUNDI
- Environmental and Social Impact Assessment (ESIA) and Resettlement Action Plan (RAP) for Jiji and Mulembwe Hydroelectric projects, including outgoing power line to Bujumbura and secondary networks connections surrounding urban centres.**  
The project, named "Jiji and Mulembwe Hydroelectric Complex", comprises 3 components: the Mulembwe hydroelectric project (16,5 MW), the Jiji project (31,5MW), and the network of 220/110/33 kV transmission lines conveying the energy produced.  
(i) Policy framework of the population resettlement, (ii) ESIA/ESMP and RAP for each dam and HT power line projects and (iii) policy framework of the environmental and social management of the secondary electrification project.  
Involved in the ESIAs, ESMPs, RAPs completion and public consultations  
**Client : Ministry of Energy and Mines – Régie de production et distribution d'eau et d'électricité (REGIDESCO)**
- Position : ESIA expert
- 2012-ONGOING  
MALI
- Hydroelectric project - Felou**  
Monitoring of mitigation measures of impacts on the environment during the construction phase.  
**Client : OMVS (Organisation for the Promotion of Rivers in Senegal)**
- Position : Environmental expert
- 2011  
CAMEROUN
- EIA for Nachtigal dam project**  
Organization and participation in the field survey (10 days, 12 people) for soil occupation, health, fishing and socio-economic aspects.  
Update of 2006 EIA report. Presentation of the impact study during public update meetings  
**Client : ALUMINIUM PECHINEY (Rio Tinto Alcan)**
- Position : Environmental expert
- 2006  
FRENCH  
POLYNESIA  
TAHITI
- EBS for a dam construction project in endemic rain forest with a rich archeological importance - Papeiha**  
Baseline study in an area of high biodiversity in French Polynesia  
**Client : Projet privé**
- Position : Environmental expert

- 2005  
FRENCH  
POLYNESIA  
NUKU HIVA
- EIA for road construction in the endemic rainforests of Toovii Ridge**  
Flora description in a forest of rich biodiversity in French Polynesia.  
The analysis resulted in the modification of the road layout  
**Client : Direction of Urban planning**
- Position : Project manager
- 2003  
FRENCH  
POLYNESIA  
TAHAA
- EIA for the construction of the Dassin hotel Domain in Tahaa**  
- Description of natural resources  
- Impact assessment  
- Suggestion for natural wealth enhancement and protection  
**Client : Private project**
- Position : Environmental expert
- 2005  
FRENCH  
POLYNESIA  
BORA BORA
- EIA for the construction of the Saint-Régis Bora Bora Resort hotel**  
- Description of natural resources  
- Impact assessment  
- Suggestion for natural resources enhancement and protection  
- Development of a particular impact analysis methodology on landscape thanks to a cartographical approach  
- Implementation of artificial reefs in the lagoon to develop coral colonies and increase biomass  
**Client : Private project**
- Position : Project manager
- 2000  
FRENCH  
POLYNESIA  
BORA BORA
- EIA for the construction of the Eden Garden hotel in Bora Bora**  
- Description of natural resources  
- Impact assessment  
- Suggestion for landscape optimization to limit pesticide use in mosquito treatments  
**Client : Private project**
- Position : Project manager
- 2002  
FRENCH  
POLYNESIA  
BORA BORA
- EIA for the construction of the Bora Bora Nui Resort hotel**  
- Description of natural resources  
- Impact assessment  
- Suggestion for natural resources enhancement and protection  
- Displacement of the coral colonies doomed to destruction to create submarine landscapes  
- Implementation of artificial reefs to restore marine biomass  
**Client : Private project**
- Position : Engineer
- 2007  
FRENCH  
POLYNESIA  
HUAHINE
- EIA for hotel construction at Bali Hai Resort**  
- Description of natural resources  
- Suggestions for the restoration of unsanitary lagoon  
**Client : Private**
- Position : Project manager



1999-2005  
TAHITI

**EIAs for airfield construction on isolated islands such as Kahuehi, Rimatara and Atuona Islands**

- Natural resource description
- Impact assessment
- Recommendations
- Public consultation

**Client : French Polynesian Ministry of Planning**

Position : Engineer

2004  
FRENCH  
POLYNESIA  
HUAHINE

**EBS for a golf course in a region of rich archeological and natural importance**

- Natural resource description (biodiversity, landscape, historic sites)
- Risk assessment related to facilities
- Enhancement recommendations, suggestions

**Client : Private project**

Position : Project manager

2000  
FRENCH  
POLYNESIA

**Analysis of physic-chemical elements in Maeva Lake**

Bibliographical synthesis, specific solutions to take lagoon sediment samples and interpretation of further sediment analyses

**Client : Board of environment**

Position : Project manager

**NATURAL AND URBAN AREAS PLANNING**

2006  
FRENCH  
POLYNESIA

**GIS design of the main biodiversity and cultural heritage spots of French Polynesia**

- Collection of data : fauna, flora and ecosystems thanks to taxonomist experts
- Information coding
- Data base setup
- GIS data formatting

**Client : Board of the environment**

Position : Project manager

2006  
FRENCH  
POLYNESIA

**Tetiaroa atoll**

Phase 1: synthesis and compilation of existing scientific documents

Phase 2 : GIS organization, compilation and synthesis of the expertise mission (biodiversity, archaeology, landscape, coral ecosystem, fishing areas), multi-criteria analysis of ranked results and recommendations

**Client : Board of the environment**

Position : Project manager

2005  
FRENCH  
POLYNESIA

**Participation in Papeete waterfront development architecture contest**

- Management of environmental aspects with the architecture
- Environmental suggestions
- Participation in the report presentation

**Client : Établissement des Grands Travaux**

Position : Environment expert

- 2006  
FRENCH  
POLYNESIA
- Design of the GIS geodatabase for the dead bodies development study in French Polynesian lagoons**  
Development of the geodatabase and need survey interpretation  
**Client : Board of the environment**
- Position : GIS expert
- 2005  
FRENCH  
POLYNESIA
- Feasibility study for the EcoParck implementation on Pearson domain site**  
Eco Parck is the project of an association helped by the government for the implementation and promotion of sustainable development thanks to demonstrators in field such as energy, cultural practices, eco-construction, sorting and waste treatment.
- Natural resource description
  - Facilities environmental risks assessment
  - Suggestion for a project management structure
  - Sustainable development concept presentation
- Client : Board of the environment**
- Position : Project manager
- 2004-2005  
FRENCH  
POLYNESIA
- Environmental Baseline Survey and planning proposal for 7 tourism spots**  
Areas of interest concerning landscape, archeology, biodiversity and recreational activities
- Natural resource description
  - Suggestion for enhancement regarding historical, biological, legacy and landscape constraints
- Client : Board of the environment**
- Position : Project manager
- 2002-2008  
FRENCH  
POLYNESIA
- Planning of Ane Ane land and creation of pedagogic paths**
- Natural resource description (biodiversity, landscape and historic sites)
  - Risk assessment related to facilities
  - Suggestions to enhance and use a natural area
  - Programming
  - Planning design and construction (paths, shelters, signage and panoramic views)
- Phase 1: Baseline study  
Phase 2: Planning program  
Phase 3 : APS for the design and construction of an educational path  
Phase 4 : signage design (visual, educational content, selection of construction methods)  
Phase 5: project management, works ending in 2008
- Client : City of Arue**
- Position : Project manager
- 2003-2004  
TAHITI
- Re-creation of an urban square “le parc de Bougainville” with garden and landscape design**  
Project management  
**Client : City of Papeete**
- Position : Project manager

2000-2004  
FRENCH  
POLYNESIA

**Planning of Fautaua valley**  
Archeological, geological, hydrogeological biodiversity and natural resources

- Natural resource description
- Environmental and social assessment approach
- Environmental hazards
- Sustainable development policy

Phase 1: EDL  
Phase 2: planning program  
Phase 3: pilot study, content and GIS  
**Client : Papeete Municipality**

Position : Project manager

## ACOUSTIC MODELING

MOST OF THE EIAs PERFORMED SINCE 2001 INVOLVE ACOUSTIC MODELING USING MITHRA (CSTB) OR CADNA A (DATAKUSTIK).

2012-ONGOING  
REUNION ISLANDS

**Acoustic study for ZAC Renaissance project**  
Initial state modeling (CadnaA), Project modeling (CadnaA), Assistance with the ground-plan optimization  
**Client : Public institution in the Reunion Islands**

Position : Acoustic expert and project manager

2012  
FRANCE

**Acoustic study for an EIA: Bus of a high level of service between Place Castellane and the Luminy university**  
Initial state modeling (CadnaA), Project modeling (CadnaA)  
**Client : Public institution in Marseille**

Position : Acoustic expert and project manager

2011-2012  
FRANCE

**High Speed Line, PACA region**  
Acoustic constraints study for PACA train line route selection (halos method)  
**Client : RFF**

Position : Acoustic expert

2011  
FRANCE

**Noise black spot reduction**

- Baseline measurements
- NF S31-085 measurements
- Modelling of the selected option (using CadnaA)
- Assistance with public presentation

**Client : ASF**

Position : Project manager and acoustic expert

2011-2012  
FRANCE

**Noise study for ZAC Saclay project**

- Baseline measurements (NF S31-010)
- Baseline modelling using CadnaA
- Help with the ground plan optimization

**Client : Public institution Paris-Saclay**

Position : Project manager and acoustic expert

2011  
ALGERIA

**Gas pipeline project from Algeria to Italy**  
Noise study of the compression center within the framework of an EIA  
**Client : Galsi**

Position : Acoustic expert

- 2011  
FRANCE  
**Acoustic pre diagnosis for a windmill installation in Saint-Louis, Marie-Galante island**  
Environmental initial measures and modelling  
**Client : VAKUOENERGY**  
  
Position : Acoustic expert
- 2011  
FRANCE  
**Acoustic pre-diagnosis for a wind farm installation in Saint-Joseph, La Réunion**  
Environmental initial measures and modelling  
**Client : VAKUOENERGY**  
  
Position : Acoustic expert
- 2011-2012  
REUNION ISLANDS  
**Acoustic study for ZAC Saint-Paul project**  
- Initial measures NF S31-010  
- Initial state modelling (CadnaA)  
- Project modelling (CadnaA)  
- Assistance with the ground-plan optimization  
**Client : Artelia**  
  
Position : Acoustic expert
- 2006-2008  
FRENCH  
POLYNESIA  
**Noise mapping of Papeete**  
Methodology in accordance with the European directive EC/ 2002/49:  
- Road noise and significant industrial contribution  
- Calibration with field measurements  
**Client : Papeete**  
  
Position : Project manager and acoustic expert
- 2003  
FRENCH  
POLYNESIA  
**Acoustic modelling for a motocross training field**  
- Baseline measurements NF S31-010  
- Project modelling (Mithra)  
- Impacts on neighbouring houses  
**Client : Papeete**  
  
Position : Project manager and acoustic expert
- 2001-2010  
FRENCH  
POLYNESIA  
**Acoustic measurements and modelling of rock crushing stations, treatment stations and cold rooms**  
**Client : Private client**  
  
Position : Acoustic expert

## DECONTAMINATION – HYDRAULIC – HYDROGEOLOGY

- 2007  
NEW-CALEDONIA  
**Creek Liliane study**  
- Field prospecting  
- 2D modelling  
- Remediation measure suggestions for the river damaged by mining activities.  
**Client : DAVAR**  
  
Position : Project manager
- 2007  
NEW-CALEDONIA  
**Swamp bridge construction**  
Dimension hydrologic and hydraulic technic study  
**Client : SLN**  
  
Position : Project manager

- 2006  
NEW-CALEDONIA  
**Hydrologic and hydraulic note regarding Potiaai housing building project**  
1D modelling (HAC RAS)  
Flooding hazards  
Bank protection  
**Client : Teva I Uta town**  
  
Position : Project manager
- 2006  
NEW-CALEDONIA  
**Sizing of four storm basins for Pukalia Nui apartment complex construction**  
Sizing of storm basins regarding EIA recommendations  
**Client : Punaauia city**  
  
Position : Project manager
- 2006  
NEW-CALEDONIA  
**Paul Creek study**  
- Field prospecting  
- 2D modelling  
- Remediation measure suggestions for the river damaged by mining activities.  
**Client : Le Nickel**  
  
Position : Project manager
- 2006  
FRENCH  
POLYNESIA  
**Assessment of well watershed in Bora Bora**  
Research setting up the watershed protection perimeters  
- Environmental baseline study  
- Possible contamination pathways  
- Groundwater body flows  
- Suggested protection zones for the entire island  
- Identification of affected cadastral parcels  
**Client : Bora Bora city**  
  
Position : Project manager
- 2006  
ROMANIA  
**Supervision of a testing pump for the establishment of the capture of an industrial site**  
Identification of the hydraulic characteristics of the well  
**Client : Renault**  
  
Position : Field engineer
- 2006  
NEW-CALEDONIA  
**Hydrologic and hydraulic memo for Toofa housing project**  
1D modelling (HAC RAS)  
Flood hazards  
Bank protection  
**Client : Renault**  
  
Position : Field engineer
- 2004-2006  
FRENCH  
POLYNESIA  
**Hydraulic modelling (2D, river 2D and empiric method) to design artificial lagoons and beaches for the development of high luxury hotel in Bora-Bora**  
Within the framework of an artificial lagoon design, the water carriage system is created according to a particular approach.  
- Level study regarding the lagoon and the submarine plateau  
- System sizing according to renewal constraints related to artificial biotope  
**Client : Intercontinental hotel and Saint-Régis hotel**  
  
Position : Field engineer

## PUBLICATIONS

**Seven publications in international research bulletins, eleven publications in international conferences and other research on internal communications concerning ecological chemistry of insects:** Semiochismic relationships inter and intra specific, orientation behavior, population dynamic, set up of emission/extraction devices (Dynamic and static head space, solid phase micro extraction,) and analysis of volatile and semi volatiles organic compounds emitted by plants and insects (GC-MS)

## EMPLOYMENT RECORD

- |           |   |
|-----------|---|
| 1998-2007 | Environment project manager, SNC Pae Tai Pae Uta (French Polynesia).<br>Responsible for the development of new technical skills (GIS, hydraulics and acoustics) |
| 1991-1997 | Researcher in the chemical ecology of plant-eating insects, IRD and INRA  |

# Christophe DERRIEN

HSE Project Director / Head of RSE department

Water & Environment | Risk, Society and Environment department (RSE)

Date of Birth : 20/05/1973

Nationality : French

Education and Training:

- MSC in Industrial Risks & Environment at the University of Lyon
- BSC in Environmental Engineering at the University of Lyon (with Honors)
- Helicopter Underwater Escape Training (HUET) valid until October 2013
- Occupational Risk Prevention and Safety (INRS)
- Safety Management / ISRS (DNV)
- Environmental expert in chemical industries (UIC)
- HAZOP reviews (ARTHUR D. LITTLE)
- Environment and Quality internal Audit – ISO 14001 (APAVE)
- Safety Engineering (ENSPM FI)

Languages : French (mother tongue), English (fluent), Spanish (beginner) and Italian (intermediate)

Start date in Artelia group : 2008

## CAREER SUMMARY | SKILLS

- HSE management of industrial projects
- HSE management : contingency plans, crisis management, management systems and audits (SGS SEVESO 2 ; ISO 14001, OHSAS 18001 ; ISRS ; MASE ; ILO OHS 2001)
- Risk and industrial environment assessment (SEVESO safety reports, environmental impact assessments, pipeline assessment, permits and authorisations...)
- Industrial risk analysis (APR, HAZID, AMDEC, ...)
- Occupational safety
- Training conception and hosting, University teaching

## PROFESSIONAL EXPERIENCE

### INDUSTRIAL RISKS ASSESSMENT (ENVIRONMENT & SAFETY)

2012-2015

CAMEROON

#### **Cameroon LNG project**

Environmental and social impact assessment (LNG plant, Offshore feed pipelines network), environmental and social management plan, offshore environmental, baseline survey, public consultations, biodiversity action plan, ...

**Client : GDF Suez**

Position : Project director

2013

ALGERIA

#### **GPL pumping station ELR-1, Hassi Messaoud**

Environmental and societal impact assessment, technological risk assessment (including HAZID and Fire & Explosion Risk Analysis)

**Client : ABB Spa Process & Automation pour SONATRACH TRC**

Position : Mission manager

- 2007-2014  
GABON  
**Emergency management, Large Scale Exercises**  
Organization of more than 5 Large scale exercises and training sessions on site (Cap Lopez, Atora, Mboumba, Anguille, and other off-shore sites,...)  
**Client : TOTAL GABON**  
Position : Project director
- 2013  
CYPRUS  
**Offshore seismic campaign (block 11)**  
Environmental and societal impact assessment  
**Client : TOTAL E&P CYPRUS**  
Position : Mission manager
- 2013  
ALGERIA  
**Seismic campaign, exploration drilling, Hassi-Bir-Rekaiz block**  
Environmental and societal impact assessment  
**Client : PTTEP**  
Position : Mission manager
- 2013  
ALGERIA  
**Rhourde-Er-Rouni block**  
Environmental baseline study, environmental liabilities study  
**Client : CEPESA**  
Position : Mission manager
- 2012-2014  
CONGO  
**Moho Nord Project : submarine wells, FPU, TLP, integration N’Kossa, integration to Djeno terminal**  
Environmental and societal impact assessment, health risk assessment  
**Client : TOTAL E&P Congo**  
Position : Mission manager
- 2013  
MAURITANIA  
**Subsidiary Emergency Plan Development, organizing a full scale exercise**  
**Client : TOTAL EP MAURITANIE**  
Position : Mission manager, HSE senior consultant
- 2012  
MAURITANIA  
**Offshore oil exploration program on block C9 project (seismic, bathymetry, coring)**  
Environmental & Social Baseline Survey  
**Client : TOTAL E&P Mauritania**  
Position : Project Director
- 2012  
BRUNEI  
DARUSSALAM  
**ML South project : platform well construction, drilling, sea line installation, and extension of the onshore gas treatment plant**  
Environmental & Social Baseline Survey  
**Client : TOTAL E&P Borneo**  
Position : Project Director
- 2012  
DRC  
**Maluku Special Economic Zone project**  
Environmental & Social Baseline Survey, resettlement Action Plan (IFC standards and RDC regulations)  
**Client : World Bank / IFC**  
Position : Project Director



- 2011-2012  
ALGERIA  
**GALSI Project : natural gas compression facility and underwater gas export pipeline Algeria-Sardinia-Italy**  
Risk assessment, Site Contingency Plan, Atex survey, lightning assessment, environmental impact assessment, Environmental Management Plan  
**Client: GALSI : SONATRACH – EDISON – ENEL**  
Position : Project Director
- 2011  
CHINA  
**Modified starch synthesis workshop**  
Risk assessment, HAZID  
**Client: ROQUETTE**  
Position : Project Director
- 2011  
ALGERIA  
**Rhourde Nouss Gas Treatment Facility**  
Risk assessment of the 4th compression train  
**Client: JGC / SONATRACH**  
Position : Project Director
- 2010-2011  
BAHREIN  
**TATWEER project**  
Production water treatment : HAZID, Fire Risk Review, HAZOP  
**Client: VEOLIA WATER SOLUTIONS OIL & GAS**  
Position : Project Director
- 2010  
ALGERIA  
**Gassi Touil Production Center (CPF)**  
Risk assessment  
**Client: JGC / SONATRACH**  
Position : Project Director
- 2009-2010  
ALGERIA  
**Guellala and Haoud Berkaoui Production Center Revamping (CPF)**  
Risk assessment  
**Client: ABB / SONATRACH**  
Position : Project Director
- 2009  
ALGERIA  
**LPG-LDHP project in Hassi-Messaoud**  
Environmental Impact Assessment  
**Client: SAIPEM /SONATRACH**  
Position : Project Director
- 2009  
ALGERIA  
**GNL-3Z project**  
Liquefaction, storage and offsite train at Arzew  
Risk assessment and Environmental Impact Assessment  
**Client: SAIPEM-SNAMPROGETTI / SONATRACH**  
Position : Project Director
- 2009  
CONGO  
**HMC permit**  
Offshore seismic survey on HMC permit  
**Client: TOTAL E&P CONGO**  
Position : Project Director

2008  
ANGOLA  
**FPSO Dalia**  
Environmental assessment on FPSO Dalia loading buoy incident  
**Client: TOTAL E&P ANGOLA**  
Position : Project Director

2009-2010  
ANGOLA  
**FPSO CLOV project**  
Environmental Impact Assessment  
**Client: TOTAL E&P ANGOLA / CLOV PROJECT GROUP**  
Position : Project Director

2009  
France  
**Risk analysis of an industrial waste grouping facility**  
**Client: SITA SUEZ**  
Position : Project Director

2009-2010  
CONGO  
**Liambou industrial waste management facility**  
Detailed fore project, Company consultation file, Risk assessment  
**Client: TOTAL E&P CONGO**  
Position : Project Director

## **HSE MANAGEMENT, CONTINGENCY MANAGEMENT & SITE CONTINGENCY PLAN PREPARATION**

2012  
ALGERIA  
**Groupement TFT Gas Treatment Facility**  
Site Contingency Plan review according to new ARH canvas  
**Client: Groupement TFT (SONATRACH – TOTAL – REPSOL)**  
Position : Project Director

2011-2012  
FRANCE AND  
EUROPE  
HSE Regulatory context study of the exploration-production of shale gas and intranet tool development:  
**Client: Confidential**  
Position : Project Director

2011  
FRANCE  
Risk Management Training to French site directors  
**Client: NEXANS**  
Position : Trainer

2011  
ALGERIA  
**Rhourde Nouss Gas treatment facility**  
Site Contingency Plan of the gas treatment facility  
**Client: JGC / SONATRACH**  
Position : Project Director

2011-2012  
FRANCE  
**Rewriting of the antipollution development plan methodological guide**  
**Client: TOTAL/DGEP/HSE/ENV**  
Position : Project Director

SINCE 2008  
FRANCE  
**Training and tabletop exercise for the members of the Emergency Management Unit (4 missions)**  
**Client: TOTAL Exploration & Production, General Management**  
Position : Project Director

- SINCE 2006  
WORLD
- Emergency Management Unit training and organization of emergency simulation exercises**  
Indonesia, USA, Russia (3 missions), Italy (5 missions), GTFT (Algeria, 5 missions), Congo (2 missions), Gabon (4 missions), Yemen, France (2 missions), Nigeria, Angola, ...  
**Client: TOTAL E&P**  
Position : Project Director
- 2009  
MALAYSIA
- Subsidiary Emergency Plan Development**  
**Client: TOTAL E&P Malaysia**  
Position : Project Director
- 2009  
ALGERIA
- Site Contingency Plan of the Gas Turbine Plant at the port in Alger**  
**Client: GENERAL ELECTRIC / SONELGAZ**  
Position: Project Director
- 2009  
ALGERIA
- Site Contingency Plan of the Gas Turbine Plant in Annaba**  
**Client: GENERAL ELECTRIC / SONELGAZ**  
Position : Project Director

#### **INDUSTRIAL RISKS ASSESSMENT (ENVIRONMENT & SAFETY) – JANUARY 2000 TO JULY 2008**

- FRANCE
- Port-la-Nouvelle Oil Terminal**  
Major risks assessment, pipeline and sea line risk assessments  
**Client: TOTAL RM**  
Position : Project Manager
- FRANCE
- Ajaccio Oil Terminal**  
Major risks assessment, safety engineering, pipeline risk and environmental impact assessment  
**Client: TOTAL RM**  
Position : Project Manager
- FRANCE
- Nantes Oil Terminal**  
Risk assessment  
**Client: TOTAL RM**  
Position : Project Manager
- FRANCE
- Cournon-d’Auvergne Oil Terminal**  
Environmental impact assessment, ICPE regulatory report  
**Client: TOTAL RM**  
Position : Project Manager
- FRANCE
- Risk assessment of the perchloric acid production facilities**  
**Client: ATOFINA**  
Position : Project Manager
- FRANCE
- Risk assessment of the ammonia unloading and storage facilities**  
**Client: ATOFINA**  
Position : Project Manager

- FRANCE      **Safety report and Onsite risk assessment, HAZID review**  
**Client: TOTAL ACS**  
Position : Project Manager
- FRANCE      **Risk assessment of butadiene and styrene polymerization unit, HAZID review**  
**Client: MICHELIN**  
  
Position : Project Manager
- FRANCE      **Grandpuit Refinery**  
Toxic dispersion modelling, risk analysis (UFIP methodology) of alkylation facilities, safety report  
**Client: TOTAL RM**  
  
Position : Project Manager
- FRANCE      **KETEC Pediatric project, HAZOP review**  
**Client: SANOFI**  
Position : Project Manager
- FRANCE      **SEVESO Permit**  
Industrial risks assessment (environment and safety)  
**Client: RHODIA**  
Position : Project Manager
- FRANCE      **Brest port silos**  
AMDEC, Risk assessment  
**Client: CCI de Brest**  
Position : Project Manager

## **EMERGENCY MANAGEMENT : TRAINING SESSIONS AND ASSISTANCE IN ORGANIZING FULL SCALE EXERCISES**

- ALGERIA      2 missions  
**Client: GROUPEMENT TFT SONATRACH-TOTAL-REPSOL**  
Position : Project Manager
- GABON, ITALY,  
RUSSIA, FRANCE      **Client: TOTAL EXPLORATION PRODUCTION**  
Position : Project Manager
- FRANCE      **Client: BASF AGRO (agro-chemistry)**  
Position : Project Manager
- FRANCE      **Client: TOTAL ACS (special fuels and additives)**  
Position : Project Manager
- FRANCE      **Client: DISTILLERIES RYSSEN (alcohol distillation)**  
Position : Trainer
- FRANCE      Pipeline contingency plan of the Montoir plant in Bretagne  
**Client: DIESTER INDUSTRIE ATLANTIQUE**  
Position : Project Manager

- FRANCE Contingency plan of the slopes treatment plant of the Port of Brest  
**Client: CCI BREST**  
 Position : Project Manager
- FRANCE Contingency plan of the distillation units, the tank farm, and the off-site facilities  
**Client: DISTILLERIES RYSSSEN**  
 Position : Project Manager
- FRANCE Contingency plan of the pipeline of Ajaccio terminal  
**Client: TOTAL RM (oil storage)**  
 Position : Project Manager

## HSE MANAGEMENT SYSTEMS & AUDITS

- FRANCE **Assistance to the implementation of Safety Management Systems complying with the MASE standard in more than 200 critical contractors, design of a specific web site dedicated to the project**  
**Client: LAFARGE Ciments**  
 Position : Project Manager
- WORLD **Preparation of the corporate environmental audit questionnaire (French & English)**  
**Client: ERAMET**  
 Position : Project Manager
- FRANCE **Implementation of a Security Management System (following Seveso regulation)**  
**Client: DISTILLERIES RYSSSEN**  
 Position : Project Manager
- FRANCE **Oil Terminal, special fuels, additives and specialised chemistry**  
 Implementation of a SMS (following Seveso regulation and ISRS standard)  
**Client: TOTAL ACS**  
 Position : Project Manager
- FRANCE **Implementation of a EMS following ISO 14001 standard**  
**Client: HOUGHTON**  
 Position : Project Manager
- FRANCE **4 regulatory compliance audits on Gravelines and Genay plants**  
**Client: BASF**  
 Position : Project Manager
- FRANCE **2 EMS ISO 14001 audits on Tavaux plant**  
**Client: SOLVAY**  
 Position : Project Manager
- FRANCE **ICPE regulatory compliance audit**  
**Client: MICHELIN**  
 Position : Project Manager

FRANCE **6 ISO 14001 audits, 1 OHSAS 18001 audit, 1 occupational safety audit**  
**Client: ALSTOM Transport**  
Position : Project Manager

FRANCE **EMS ISO 14001 audit**  
**Client: ALSTOM T&D**  
Position : Project Manager

FRANCE **Fire protection audit**  
**Client: ATMEL**  
Position : HSE engineer

FRANCE **Safety management system audit**  
**Client: SOLLAC**  
Position : HSE engineer

FRANCE **3 EMS ISO 14001 and regulatory compliance audits**  
**Client: HOUGHTON SA**  
Position : Project Manager

FRANCE **EMS ISO 14001 audit and EMS internal audit training**  
**Client: SYGMAKALON**  
Position : Project Manager

1997  
FRANCE **Implementation of an Environmental Management System, HSE training for operators & engineers, HSE audits, Regulation follow-up**  
**Client: Elf Antar France – Port Pétrolier de Givors**  
Position : HSE engineer

## **SAFETY ENGINEERING**

2006  
UNITED ARAB  
EMIRATES **Management of the Central Complex firewater network optimization project, off-shore technical audit; technical specification and bid follow-up for a breathing “cascade system”**  
**Client: TOTAL ABK**  
Position : HSE engineer

2002  
FRANCE **HSE assistance on « Special Fuels » project design reviews**  
**Client: TOTAL ACS**  
Position : HSE engineer

2004  
FRANCE **Safety Concept**  
**Client: SHELL / BUREAU VERITAS**  
Position : HSE engineer

2000  
FRANCE **HSE general safety and environmental concept, fire protection engineering safety audit**  
**Client: ATMEL**  
Position : HSE engineer

2002  
FRANCE

### **Project management and safety engineering on petrochemical semi-industrial facilities**

**Client: MICHELIN**  
Position : HSE engineer

#### **TRAINING CONCEPTION AND DEVELOPMENT**

- MASE standard: LLOYD's REGISTER, FLOWTECH
- OHSAS 18001 : THALES
- HSE Management : TOTAL, ERAMET, HOUGHTON
- Trainers instruction : TOTAL
- Chemical risks : ALSTOM
- ISO 14001 internal audit : HOUGHTON, SYGMAKALON
- UNIVERSITY LYON I : in charge of teaching : French safety and environmental regulations (ICPE), Occupational and Industrial safety regulations, risk assessment, seminar management

#### **RISK ASSESSMENT, SAFETY ENGINEERING**

1998  
IRAN

**Consequence analysis, fire and gas safety**  
**Client: TOTAL SOUTH PARS**  
Position : HSE engineer

1999  
POLAND

HAZOP reviews  
**Client: PETROCHEMIA REFINERY**  
Position : HSE engineer

1998  
EGYPT

**Environmental impact assessment review**  
**Client: MIDOR**  
Position : HSE engineer

1999  
QATAR

**HAZOP reviews, reliability analysis on instrumentation, fire and gas protection**  
**Client: QATAR VINYL COMPANY**  
Position : HSE engineer

#### **PROFESSIONAL MEMBERSHIP**

Member of the French Association for the Oil Technicians and Professionals (AFTP)

#### **EMPLOYMENT RECORD**

2005-2008 **ODZ CONSULTANTS** (Lyon, HSE consulting for process industry, assignments in France and overseas)  
Operations Manager, project engineer: Management of 28 engineers and technicians, technical coordination and HSE consulting

2003-2005 **ODZ CONSULTANTS** (Lyon, HSE consulting for process industry, assignments in France and overseas)  
Business development Manager, project engineer : Marketing and sales management, Development of new services, assessment projects and HSE consulting

- 2000-2003 **ODZ CONSULTANTS** (Lyon, HSE consulting for process industry, assignments in France and overseas)  
HSE engineer, head of environmental consulting team : major hazards assessments, HSE management, HSE training, Safety engineering
- 1998-1999 **TECHNIP** (France and Italy, engineering for O&G industry)  
HSE engineer : process safety, Fire & Gas protection, accident modeling
- 1997 **ELF ANTAR France** (PPG, currently TOTAL ACS)  
HSE engineer, HSE System Management



# Anne-Charlotte DUFAURE

Project Engineer

Water & Environment | Risks, Society and Environment Unit (RSE)

Date of birth : 08/11/1986

Nationality : French

Education : Water Engineer

- Master in Environmental Services Management and Engineering – 2012
- Polytech Montpellier Engineering School - Water sciences and technologies – 2010
- University Diploma (2 years) in Life Science, major in marine biology – 2006

Languages: French (mother tongue), English, Spanish

Date of employment in Artelia: 01/03/12

## CAREER SUMMARY | SKILLS

### Marine Mammal Observer (JNCC agreement - 2013)

#### Environmental Services

- Risk Management
- Territory and sustainable governance
- Performance monitoring and management
- Development strategy of range of services
- Thesis on Differentiated contract management

#### Water Sciences and Technologies

- Management and protection of the resource in water
- Hydraulics
- Hydrology
- Processes' Engineering and Water Treatment (sewage water/ drinking water)
- Hydrobiology
- Hydrogeology

#### Life Sciences – Marine biology

- marine ecology
- coastal and benthic environment
- oceanology/ oceanography
- Plant and animal biology
- Biochemistry
- Joint approach of the littoral ecosystems

## PROFESSIONAL EXPERIENCE

- 2014  
EUROPA ISLAND,  
FRANCE (TAAF)
- Performance of a n Impact review for an exclusive 5-year-license to hydrocarbon exploration projects**  
Development of an environmental and social impact assessment for offshore exploration projects  
**Client : SAPETRO JDN SAS**
- Position held : Project engineer
- 2014  
BULGARIA
- Impact Assessment for the drilling of an exploration well in the Black Sea**  
Development of the environmental and social impact assessment for an offshore exploration drilling  
**Client : Total E&P Bulgaria**
- Position held : Project engineer
- 2014  
MAURITANIA
- Impact Assessment for the drilling of an ultra deep water exploration well (block C9)**  
Development of the environmental and social impact assessment for an offshore exploration drilling  
**Client : Total E&P Mauritania**
- Position held : Project engineer
- 2014  
ALGERIA
- Environmental and social Impact Assessment for the construction and the operation of two combined cycle power plants (Jijel and Biskra)**  
Participation in the environmental and social impact assessments for the implementation of two power plants in two different environments (urban/desert).  
**Client : Hyundai Engineering Co Ltd.**
- Position held : Project engineer
- 2014  
ALGERIA
- Environmental and social Impact Assessment for a power plant in a desert environment (El Borma, Ouargla)**  
Environmental and social impact assessment for the implementation of a new gas turbogenerator.  
**Client : General Electric (GE)**
- Position held : Project engineer
- 2014  
MADAGASCAR
- Environmental and social Impact Assessment for an offshore seismic acquisition project (block Amilobe) in Nosy Be**  
Environmental and social impact assessment for a seismic acquisition project in a very tourist area and with major environmental issues.  
**Client : Sterling Energy PLC**
- Position held : Project engineer
- 2014  
UNION OF  
MYANMAR
- Environmental Impact Assessment for Badamayar field development and Offshore Yadana infrastructure replacement in Burmese waters**  
Environmental impact assessment for Badamayar offshore gas field development and the implementation of new oil facilities (jacket, pipeline, etc.)  
**Client : Total E&P Myanmar**
- Position held : Project engineer

- 2014  
FRANCE  
**Environmental Impact Assessment for reconstruction project of 30 manual dam of the Aisne and Meuse rivers**  
Participation in the development of the water regulation file, the declaration of public utility and environmental impact assessment  
**Client: Vinci**  
  
Position held : Project engineer
- 2014  
UNION OF  
MYANMAR  
**Environmental Impact Assessment for an Offshore Seismic and Bathymetric Campaign (M5-M6 block) in Burmese waters**  
Environmental impact assessment for the performance of an offshore seismic and bathymetric campaign  
**Client : Total E&P Myanmar**  
  
Position held : Project engineer
- 2013  
CYPRUS  
**Environmental Impact Assessment for an Offshore Seismic Campaign in Cyprus waters**  
Participation to an environmental impact assessment for the performance of a seismic campaign  
**Client : Total E&P Cyprus**  
  
Position held : Project engineer
- 2013  
EGYPT  
**Environmental Impact Assessment for the drilling of an exploration well in Egypt**  
Execution of the environmental impact assessment for the performance of an offshore exploration well  
**Client : Total E&P Egypt**  
  
Position held : Project engineer
- 2013  
MADAGASCAR  
**Environmental and Social Impact Assessment concerning a seismic campaign in Madagascar**  
Execution of the impact assessment for a seismic campaign in 4 study area (North, West, and South) in Madagascar  
Achieved 17 public consultations in Madagascar during 1 month; stakeholders: fishermen, mayors and regional representatives.  
  
**Client : TGS Nopec**  
  
Position held: Project engineer
- 2013  
FRANCE  
**Environmental study concerning impacts of a wind farm decommissioning**  
Regulatory synthesis, impacts methodology development, impacts identification and proposition of mitigation measures.  
  
**Client : EDF**  
  
Position held: Project engineer
- 2013  
ALGERIA  
**Environmental and Social Impact Assessment concerning the implementation of LPG pumping station with electric line, gas conduit and road**  
Execution of the impact assessment for the building of LPG pumping station  
  
**Client : ABB SpA**  
  
Position held: Project engineer

- 2012  
FRANCE  
**Environmental Impact Assessment and Water Legislation Act in regards to dike in Manosque, France**  
Execution of the impact assessment and the Water Legislation Act for a dike rebuilding project close to an industrial zone.  
**Client : Manosque district**
- Position held: Project engineer
- 2012  
FRANCE  
**Impact Survey for the drilling of an exploration well in Algeria**  
Execution of part of the environmental and societal impact assessment for the creation of an exploration well  
**Client : E On Ruhrgas**
- Position held : Project engineer
- 2012  
MAURITANIA  
**Impact Assessment for drilling exploration wells in Mauritania**  
Realization of part of the ESIA for the construction of exploration wells  
**Client : Total E&P Mauritania**
- Position held : Project engineer
- 2012  
FRANCE  
**Updating an impact statement for offshore seismic exploration**  
Updating an impact statement on a 3D seismic exploration at sea  
**Client : SAPETRO**
- Position held : Project engineer
- 2012  
FRANCE  
**Impact survey for a gas compressor station**  
Assessment of the impact of the extension of a gas compressor station, Saint-Martin-de-Crau, in France  
**Client : GRT Gaz**
- Position held : Project engineer
- 2012  
BURUNDI/RWANDA/  
TANZANIA  
**Resumption of an impact assessment for a dam over water in Burundi / Rwanda / Tanzania - Rusumo Falls**  
The project initially planned involved a large-scale dam, the choice of the project has evolved into a dam over water, a full resumption of the impact study was conducted.  
**Client : NEL SAP**
- Position held : Project engineer
- 2010  
ALGERIA  
**Environmental and societal impact survey**  
Onshore gas and crude oil platform – Writing of the environmental and societal impact assessment report  
**Client : Bir Seba**
- Position held : Project engineer (internship)

## EMPLOYMENT RECORD

- 04/10/10 –  
31/12/11  
FRANCE  
**Veolia Water**  
**Project Manager for planning and reporting activity set up of two agencies**  
Project officer: set up activity reporting and planning tools.  
Change management – performance management

15/06/09 –  
15/09/09  
SCOTLAND

**Veolia Water**

**Implementation of a thermophilic digester**

Internship : transition from a mesophilic to a thermophilic digester; Polymer optimisation ;  
Energy balance

2006-2007  
FRANCE

**JEPI : junior enterprise Polytech'Montpellier**

**Biotic index (IBGN) survey**

Technician: Quality control and assessment of river water. Identification of the biological  
criteria distinctive of the quality of water in a river.



Offshore Seismic Campaign YWB Block

Appendices – 11/14

**APPENDIX E** HSE Charter of TEPM



# SAFETY HEALTH ENVIRONMENT QUALITY CHARTER

Total has based its policy in matters pertaining to safety, health, environment and quality on the following ten principles:

## ARTICLE 1

Total considers people safety and health protection, safety in regard to operations, respect for the environment, customer satisfaction and listening to stakeholders as paramount priorities.

## ARTICLE 2

Total strives to comply with applicable laws and regulations wherever it conducts its business and supplements them, when appropriate, with its own specific requirements.

## ARTICLE 3

Total promotes among its employees a shared culture whose core components are skills management, incident feedback, information and dialogue. This process is driven by the leadership and exemplary conduct of management.

## ARTICLE 4

Total favors the selection of its industrial and business partners on the basis of their ability to comply with its policy on safety, health, environment and quality.

## ARTICLE 5

Total implements, for all its operations, appropriate management policies regarding safety, health, environment and quality risks which are regularly assessed. No project development or product launch may be undertaken without a risk assessment covering the entire life of the project or product.

## ARTICLE 6

Appropriate safety, health, environment and quality management systems for each business undergo regular assessment involving measurement of performance, setting milestones, formulating relevant action plans and instituting suitable control procedures.

## ARTICLE 7

In order to respond effectively in the event of accidents, Total equips itself appropriately and establishes emergency procedures that are periodically reviewed and regularly tested during exercises.

## ARTICLE 8

Each person, at all levels, must be conscious in his or her job of his or her personal responsibility, giving due consideration to the prevention of risks of accident, harm to health, environmental damage or adverse impacts on product and service quality. Vigilance and professionalism in these fields are important criteria in evaluating the performance of each member of personnel, in particular for those in positions of responsibility.

## ARTICLE 9

In matters of safety, health, environment and quality, Total adopts a constructive attitude based on open dialogue with stakeholders and outside parties. Through its social commitment, It focuses on developing its activities in harmony with the neighbouring communities.

## ARTICLE 10

Total monitors and controls the Group's energy consumption, greenhouse gas emissions, production of final waste and impact on biodiversity. The Group develops new processes, products and customer services in order to enhance energy efficiency and reduce environmental footprints. The Group is engaged in exploring for and developing additional energy resources. Total thus actively contributes to sustainable development.

A handwritten signature in blue ink, appearing to read "C. de Margerie".

**Christophe de Margerie**  
Chairman and CEO



## HEALTH, SAFETY AND ENVIRONMENT POLICY

**TOTAL E&P MYANMAR CONSIDERS HEALTH AND SAFETY, RESPECT FOR THE ENVIRONMENT, AND ENGAGEMENT WITH OUR STAKEHOLDERS AS PARAMOUNT PRIORITIES. WE ARE THEREFORE COMMITTED TO:**

- **HEALTH AND SAFETY OF PERSONNEL.**
- **ENVIRONMENTAL PROTECTION.**
- **SECURITY AND ASSETS INTEGRITY.**
- **TRANSPARENCY AND DIALOGUE WITH AUTHORITIES, PARTNERS, AND STAKEHOLDERS.**

---

We comply with Myanmar, international, and industrial laws and regulations.

Our principles, responsibilities and commitments are set out in TOTAL 'Health, Safety, Environment, Quality' and 'Security' Charters, TOTAL 'Code of Conduct', HSE Policy of Exploration & Production and Total E&P Myanmar management system.

Total E&P Myanmar implements the guidelines of the Voluntary Principles on Security & Human Rights.

**TO DEVELOP A STRONG HSE CULTURE, WE ARE DETERMINED TO FOSTER:**

- Transparency and communication within the company and with the authorities, our partners, and our stakeholders.
- Management's leadership through exemplarity.
- Active supervision of all HSE matters.
- Commitment from all personnel to our 14 'HSE Management Principles' and 12 'Golden Rules'.
- Vigilance and professionalism of every one at all times.

**IN ORDER TO ACHIEVE OUR STANDARDS WE SHALL, IN ALL OUR ACTIVITIES:**

- Manage risks to personnel, environment and assets through appropriate risk assessments.
- Ensure effective preparedness for any emergency situation.
- Develop ambitious, measurable HSE objectives and indicators in order to improve our performances.
- Maintain a high level of reporting and analysis for every anomaly and incident that may happen, in order to implement corrective actions.
- Maintain and develop the competencies of our personnel through appropriate trainings.
- Continuously improve our management system through regular monitoring, audits and inspections, and ensure its implementation.
- Protect the environment (sea, land, forest, ecosystems...) in all our operations.
- Ensure that contractors and suppliers are able to conform to our policies and standards.
- Contribute to the economic and social development, and welfare of local communities, and of Myanmar.

---

**I trust that each and every one of you will, in your daily activities, be conscious of your personal responsibilities in implementing a sound Health, Safety, and Environment policy, and behave in an exemplary manner at all times.**

September 2014

*Xavier Prével*  
General Manager



## ETHICS CHARTER

TOTAL IS COMMITTED TO GROWING ITS BUSINESS BASED ON SHARED VALUES AND COMMON PRINCIPLES THAT CLEARLY ASSERT ITS ETHICAL STANDARDS AND ACCOUNTABILITY FOR ALL ITS BUSINESSES.

### IN PARTICULAR, TOTAL IS ACCOUNTABLE TO:

- Its shareholders, with the objective of striving to ensure a good return on their investment and providing them complete and transparent information on a regular basis.
- Its customers, with the commitment to supplying quality products and services in strict compliance with accepted safety and environmental standards.
- Its employees, with attention to their professional development and the promotion of health and safety in the workplace.
- Its suppliers and partners, in accordance with clear contract terms and conditions. The Group expects them to comply with the principles and behaviours described in its Code of Conduct.
- The civil society. Total contributes to the social and economic development of the countries in which it operates, in compliance with local legislation and regulation. It is committed to protecting the environment and respecting local cultures.

### MORE GENERALLY, TOTAL STANDS FOR:

- The principles of the 1948 Universal Declaration of Human Rights.
- The principles of the International Labour Organization.
- The OECD guidelines for Multinational Enterprises.
- The Principles of the United Nations Global Compact.

TOTAL RESPECTS THE PRINCIPLES OF FREE COMPETITION AND REJECTS ANY FORM OF CORRUPTION.

IT DOES NOT INTERVENE IN THE POLITICAL PROCESSES OF THE COUNTRIES IN WHICH IT OPERATES.

IT IS ACTIVELY INVOLVED IN ENVIRONMENTAL STEWARDSHIP AS PART OF ITS CLEAR-CUT COMMITMENT TO SUSTAINABLE DEVELOPMENT.

Total expects the Group's employees to make a positive contribution to the Group's ethics policy, which they carry out in the course of their daily routine. It therefore requires the Group's employees to adhere to the core values and principles expressed in the Code of Conduct. In particular, this involves:

- To strictly abide by all applicable legislation and regulation.
- To diligently apply the health, safety and environment rules.
- To build clear and honest relationships with customers, suppliers and associates.
- To ensure confidentiality of business information.
- To act with loyalty and integrity towards the Group by avoiding conflicts of interest and insider trading.
- To refrain from intervening in the political arena of the countries in which they have no civil rights.
- To contribute to a positive working team environment.



**Christophe de Margerie**  
Chairman and CEO

## CODE OF CONDUCT

**TOTAL E&P MYANMAR IS COMMITTED TO INSTIL THE VALUES OF RESPECT, RESPONSIBILITY, AND EXEMPLARITY. THE FOLLOWING THREE 'PRIORITY BUSINESS PRINCIPLES' ARE EQUALLY CRITICAL TO TEPM SUCCESS AS A RESPONSIBLE COMPANY:**

- **COMMITMENT TO HEALTH, SAFETY AND ENVIRONMENT.**
- **COMPLIANCE WITH THE HIGHEST INTEGRITY STANDARDS.**
- **RESPECT FOR HUMAN RIGHTS STANDARDS.**

---

We uphold and respect the highest applicable norms of international, local laws, and industry standards.

We apply a 'zero tolerance' compliance program designed to prevent and detect violations of applicable anti-trust, anti-fraud, anti-bribery, and anti-corruption laws.

We adhere to the 'Universal Declaration of Human Rights', support the United Nations Global Compact, and follow the 'United Nations guiding principles on Business and Human Rights', as well as the International Labor Organization standards. We also implement the 'Voluntary Principles for Security and Human Rights'.

We respect the environment, the cultural values, and the local communities of Myanmar. We do not intervene in the political processes of Myanmar.

Total E&P Myanmar code of conduct is a common reference document for all our managers and employees as well as for all our different stakeholders, including local authorities, local communities, partners, suppliers and contractors.

### **TOTAL E&P MYANMAR MANAGERS' RESPONSIBILITIES:**

- Refer to the Code of Conduct with their team members, and make sure they understand it.
- Create a speak-up climate, prevent harassment, and provide fair remuneration and equitable treatment for their employees.
- Ensure that our business principles are implemented and respected.
- Behave in an exemplary manner.

### **TOTAL E&P MYANMAR EMPLOYEES SHALL INDIVIDUALLY ENSURE THAT THEY:**

- Understand and respect the business principles contained in the 'Code of Conduct'.
- Declare any conflict of interest that may arise.
- Avoid insider trading, and ensure confidentiality of business information.

### **TOTAL E&P MYANMAR SUPPLIERS AND CONTRACTORS ARE EXPECTED TO:**

- Apply equivalent standards to their employees and guarantee that their suppliers and subcontractors respect equivalent principles to those of Total E&P Myanmar.

### **TOTAL E&P MYANMAR PARTNER'S SHALL:**

- Abide by our 'Code of Conduct'.

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**I trust that each and every one of you will act and behave in line with this Code of Conduct. If any concern rises related to its application, you can contact the Group Ethic Committee or TEPM Ethics coordinator.**

September 2014



*Xavier Prével*  
General Manager



**APPENDIX F** Picture of marine species potentially present in the study area



**Blue Whale** (Source: <http://us.whales.org/species-guide>, 2014)



**Fin Whale** (Source: WWF Global, 2014)



**Indo-Pacific Finless Porpoise** (Source: [us.whales.org/species-guide](http://us.whales.org/species-guide), 2014)



**Irrawaddy Dolphin** (Source: [us.whales.org/species-guide](http://us.whales.org/species-guide), 2014)



**Sperm Whale** (Source: Wikipedia, 2014)
















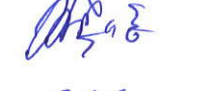









**Indo-Pacific Humpback Dolphin** (Source: [us.whales.org/species-guide](http://us.whales.org/species-guide), 2014)



**Dugong** (Source: Wikipedia, 2014)



**APPENDIX G** Attendance sheet for the public consultation organized in Myeik on December 16<sup>th</sup>, 2014 (in Burmese language)

နာမည် (Name)	အလုပ်အကိုင် (Occupation)	လက်မှတ် (Signature)
ဦးကျော်စွာ	အထွေထွေ - ဆေးရုံဆေးခန်း	
ဦးကျော်စွာ	မြို့နယ်အဖွဲ့ဝင်	
ဦးကျော်စွာ	ကျေးဇူးတင်	
ဦးကျော်စွာ	ကျေးဇူးတင်	
ဦးကျော်စွာ	ကျေးဇူးတင်	
ဦးကျော်စွာ	ကျေးဇူးတင်	
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ဦးကျော်စွာ	ကျေးဇူးတင်	
ဦးကျော်စွာ	ကျေးဇူးတင်	
ဦးကျော်စွာ	ကျေးဇူးတင်	
ဦးကျော်စွာ	ကျေးဇူးတင်	
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ဦးကျော်စွာ	ကျေးဇူးတင်	
ဦးကျော်စွာ	ကျေးဇူးတင်	
ဦးကျော်စွာ	ကျေးဇူးတင်	
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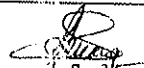
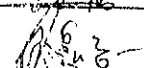
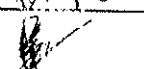
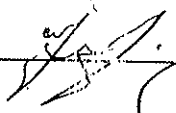
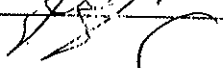

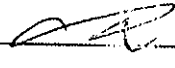
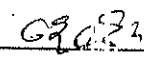
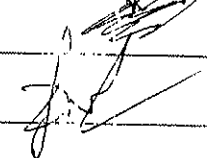
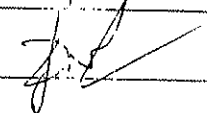
**APPENDIX H** MoM and attendance list for the public consultation organized in Dawei on January 27<sup>th</sup>, 2015 (in Burmese language)

၂၀၁၅ခုနှစ်၊ ဖေဖော်ဝါရီလ(၃)ရက်နေ့ နံနက်(၀၉:၀၀)အချိန် တနင်္သာရီတိုင်းဒေသကြီး  
ငါးလုပ်ငန်းဦးစီးဌာနရုံးအစည်းအဝေးခန်းမ၌ Totalရေနံတူးဖော်ရေးကုမ္ပဏီမှ  
တိုင်းဒေသကြီးငါးလုပ်ငန်းအဖွဲ့ချုပ်၊ ရေလုပ်ငန်းလုပ်ကိုင်နေသည့် ကမ်းဝေးငါးဖမ်း  
ရေယာဉ်ပိုင်ရှင်၊ ကုမ္ပဏီများအားတွေ့ဆုံ၍ ပင်လယ်ပြင်ရေနံရှာဖွေတူးဖော်ဆောင်ရွက်  
ရေးနှင့်ပတ်သက်သည်များအား ချပြရှင်းလင်းဆောင်ရွက်မှုအစီအစဉ်  
အစီအစဉ်

- (၁) တနင်္သာရီတိုင်းဒေသကြီးငါးလုပ်ငန်းဦးစီးဌာနမှူး(ကိုယ်စား) ဦးအောင်နိုင်  
(ဦးစီးအရာရှိ)မှ Totalကုမ္ပဏီနှင့် ရေလုပ်ငန်းရှင်များတွေ့ဆုံ၍ ရေနံရှာဖွေတူးဖော်  
မှုလုပ်ငန်းများ ချပြရှင်းလင်းမှုဆောင်ရွက်ခြင်းကိစ္စပြောကြားခြင်း
- (၂) Totalရေနံတူးဖော်ရေးကုမ္ပဏီတာဝန်ရှိသူများမှ ရေနံတူးဖော်မှုလုပ်ငန်းစဉ်နှင့်  
ပတ်သက်၍ Power Point ဖြင့် ရှင်းလင်းပြောကြားခြင်း
- (၃) တက်ရောက်လာသူများမှ ၎င်းလုပ်ငန်းနှင့်ပတ်သက်၍ သိရှိလိုသည့်များမေးမြန်း  
ခြင်းနှင့် Total ရေနံတူးဖော်ရေးကုမ္ပဏီမှ ပြန်လည်ရှင်းလင်းခြင်း
- (၄) အစီအစဉ်ပြီးမြောက်ခြင်း

Total ရေနံတူးဖော်ရေးကုမ္ပဏီမှ ကမ်းဝေးငါးဖမ်းရေယာဉ်ပိုင်ရှင်များ၊ ကုမ္ပဏီများ၊ တနင်္သာရီတိုင်းဒေသကြီးငါးလုပ်ငန်းအဖွဲ့ချုပ်အား တွေ့ဆုံရှင်းလင်းပွဲတက်ရောက်သူစာရင်း

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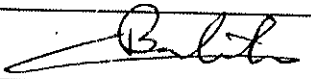
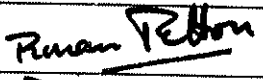
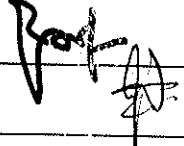
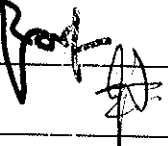
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၃	ဦးအောင်မြင်	"	လှကတ်-ခေတ်စား	
၄	ဦးအောင်ကျော်	"	ရေပိုင်	
၅	ကျော်သက်	ကမ်းဝေးအိုင်ငါးလုပ်ရေးအဖွဲ့ချုပ်	ဥက္ကဋ္ဌ	
၆	ဦးပုလဲအောင်	ဦးတင်အောင်	မန်ဂျေးဂျင်း-ကုမ္ပဏီ	
၇	စာအောင်	"	တရားရေး-ဌာန	
၈	အောင်	"	"	
၉	ဦးဖြူစိန်	"	"	
၁၀	အောင်	ကမ်းဝေးအိုင်ငါးလုပ်ရေး	မန်ဂျေးဂျင်း	
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စဉ်	အမည်	လုပ်ငန်း/အဖွဲ့အစည်း	ရာထူး	လက်မှတ်
၁	CHARLES BOUHELIER	ARTELIA	ENVIRONMENTAL ENGINEER	
၂	Ronan PETTON	TOTAL	Geosciences Manager	
၃	JAW HUDSON		Env Engineer	
၄	Dr. နန္ဒကော့ဝေ		KBK prog. leader	
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Offshore Seismic Campaign YWB Block

Appendices – 11/14

**APPENDIX I** Polarcus' Garbage Management Plan



Fleet/ Environmental  
Management  
**Garbage  
Management Plan  
Asima**

Revision date: 21-Sep-14  
Version: 12.0  
Author: Tom Parker  
Approver: Simon Hodgkinson

## ***Authority***

The Master has overall responsibility for ensuring compliance with this procedure. It is also the Master's responsibility to pass on information on local area regulations to onboard personnel and ensure compliance.

All crew shall be made aware of the regulations that discharge of all garbage into the sea is prohibited.

The Chief Officer is responsible for carrying out the plan and shall be assisted by the GPT (Green Protection Team) and departmental heads to ensure that the collection, separation and processing of garbage is efficient in all areas of the vessel and relevant procedures are carried out in accordance with the Garbage Management Plan.

## ***EHSQ Significant Aspects***

In following this procedure we ensure our vessel follows the requirements of MARPOL 73/78 and amendments and 'Our Commitment to the Environment' to prevent:

- Mishandling of shipboard garbage which could,
  - cause unsanitary conditions,
  - spread disease
  - attract rodent / insect infestation
- Mishandling of shipboard garbage which could cause further damage / burden the ecosystem and environment in which we live.
- Breach of the MARPOL Regulations, potentially threatening the company Explore Green agenda and Polarcus reputation.

Polarcus accepts the responsibility for tracking our generated waste through to its final destination.

## ***Procedure Description***

### **SECTION - A**

#### **1. Purpose**

This procedure is written to give guidance to, and ensure uniformity in the implementation of the vessels Garbage Management Plan and to ensure compliance with the requirements of MARPOL 73/78 Annex V.

#### **2. Scope**

This procedure covers aspects of garbage collection, storing and disposal from vessels both at sea and whilst in port.



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Revision date: 21-Sep-14  
Version: 12.0  
Author: Tom Parker  
Approver: Simon Hodgkinson

### 3. Management Commitments

Reference is made to Polarcus – Our Commitment to Environment.

### 4. Language

As required by the regulations this Garbage Management Plan is written in “English”, in the working language of the vessel.

### 5. Definitions

**Cooking oil** – any type of edible oil or animal fat used or intended to be used for the preparation or cooking of food, but does not include the food itself that is prepared using these oils.

**Food wastes** – any spoiled or unspoiled food substances and includes fruits, vegetables, dairy products, poultry, meat products and food scraps generated aboard ship.

**GMP** – Garbage Management Plan

**GPT** – Green Protection Team

**Garbage** – all kinds of food wastes, domestic wastes and operational wastes, all plastics, cargo residues, incinerator ashes, cooking oil, fishing gear, and animal carcasses generated during the normal operation of the ship and liable to be disposed of continuously or periodically except those substances which are defined or listed in other Annexes to the present Convention.

**Incinerator ashes** – ash and clinkers resulting from shipboard incinerators used for the incineration of garbage.

**Operational wastes** – all solid wastes (including slurries) not covered by other Annexes that are collected on board during normal maintenance or operations of a ship, or used for cargo stowage and handling. Operational wastes also includes cleaning agents and additives contained in cargo hold and external wash water. Operational wastes does not include grey water, bilge water, or other similar discharges essential to the operation of a ship, taking into account the guidelines developed by the Organization.

**Plastic** – a solid material which contains as an essential ingredient one or more high molecular mass polymers and which is formed (shaped) during either manufacture of the polymer or the fabrication into a finished product by heat and/or pressure. Plastics have material properties ranging from hard and brittle to soft and elastic. For the purposes of this annex, "all plastics" means all garbage that consists of or includes plastic in any form, including synthetic ropes, synthetic fishing nets, plastic garbage bags and incinerator ashes from plastic products.



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**Special area** – a sea area where for recognized technical reasons in relation to its oceanographic and ecological condition and to the particular character of its traffic the adoption of special mandatory methods for the prevention of sea pollution by garbage is required.

For the purposes of Annex V the special areas are the Mediterranean Sea area, the Baltic Sea area, the Black Sea area, the Red Sea area, the Gulfs area, the North Sea area, the Antarctic area and the Wider Caribbean Region, including the Gulf of Mexico.

## 6. Actions

### 6.1 Collecting garbage

Garbage collection points should be at appropriate sites around the vessel with separation into the waste streams indicated in this document. Various bins distinguished by color and/or name are placed around the vessel. Garbage is sorted by different categories and type.

The following should be observed as a minimum:-

- Food waste shall be collected in designated bins with cover. Black bins
- Non combustibles – Plastics except food wrapping, Packaging Material, Metal, Glass etc. to be collected in designated bins for storage until discharging to an approved shore reception facility. Green bins
- Alkaline Batteries Grey Bin
- Combustible garbage i.e.; oily rags, shall be collected in fire proof designated bins. Red Steel Bins with cover.
- Lithium batteries when discharged are to be stored in special storage containers and returned to the manufacturer – Lithium batteries do not form part of the vessel waste stream.

### 6.2 Storing of garbage

Garbage shall be stored in suitable means in designated places on board, and be sorted at least as follows:

- Plastics and plastics mixed with non plastic garbage
- Metals, aerosol cans, cans of unusable paints, chemicals and other solvents
- Food waste including materials contaminated by such wastes
- Other garbage which can be incinerated paper, wood and food waste.
- Used lithium batteries (See procedure [Lithium Battery Storage and Handling](#))

### 6.3 Onboard Processing of garbage

Garbage shall be stored in suitable means in designated places on board, and be sorted at least as follows:

Overboard – macerated food waste.



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Incinerator – food waste, wood, paper, cardboard, plastic food wrapping (to reduce contamination), sludge.

Disposal Ashore – All other items.

Operation of the incinerator shall be limited to approved operators only and the list of approved operators shall be clearly displayed.

**Incineration** - Please note that MARPOL 73/78 Annex VI (Air Pollution) also prohibits the incineration on board ship of certain products, such as contaminated packaging materials and polychlorinated biphenyls (PCBs).

The use of the Incinerator onboard may at times be restricted due to national requirements which prohibit the use of shipboard incinerators. When operating from such countries all onboard incinerators must be tagged and locked out.

The disposal of incinerator ashes at sea is prohibited. Incinerator Ash shall be retained on board to be:

- Shipped via supply vessels ashore and then sent to an appropriate waste reception facility
- Landed ashore during port calls and sent to an appropriate waste reception facility.

#### **6.4 Disposing of garbage according to Marpol 73/78 Annex V and in relation to Polarcus operations**

MARPOL 73/78 Annex V indicates the legal minimum standard for disposal of garbage at sea. The company requirements or local regulations may indicate a higher standard.

The vessel will always operate to the highest standard or regulation in force.

**Special note outside of Marpol 73/78 requirements:** One must take into consideration food waste disposed of overboard will attract sharks, which may result in shark bites on the streamers.

#### **Regulation 3 - General Prohibition on Discharge of Garbage into the Sea.**

States the following:

- Discharge of all garbage into the sea is prohibited.

#### **Regulation 4 - Discharge of Garbage Outside Special Areas**

States the following:

Discharge of the following garbage into the sea outside special areas shall only be permitted while the ship is en route and as far as practicable from the nearest land, but in any case not less than:



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- 3 nautical miles from the nearest land for food wastes which have been passed through a comminuter or grinder. Such comminuted or ground food wastes shall be capable of passing through a screen with openings no greater than 25 mm.
- 12 nautical miles from the nearest land for food wastes that have not been treated in accordance with subparagraph in bullet point above.
- When garbage is mixed with or contaminated by other substances prohibited from discharge or having different discharge requirements, the more stringent requirements shall apply.

### **Regulation 6 – Discharge of Garbage within Special Areas**

States the following:

Discharge of the following garbage into the sea within special areas shall only be permitted while the ship is en route and as follows

- Discharge into the sea of food wastes as far as practicable from the nearest land, but not less than 12 nautical miles from the nearest land or the nearest ice shelf. Food wastes shall be comminuted or ground and shall be capable of passing through a screen with openings no greater than 25 mm. Food wastes shall not be contaminated by any other garbage type. Discharge of introduced avian products, including poultry and poultry parts, is not permitted in the Antarctic area unless it has been treated to be made sterile
- Cleaning agents or additives contained in deck and external surfaces wash water may be discharged into the sea, but only if these substances are not harmful to the marine environment, taking into account guidelines developed by the Organization

The following rules (in addition to the rules in paragraph 1 of this regulation) apply with respect to the Antarctic area.

- Each Party at whose ports ships depart en route to or arrive from the Antarctic area undertakes to ensure that as soon as practicable adequate facilities are provided for the reception of all garbage from all ships, without causing undue delay, and according to the needs of the ships using them.
- Each Party shall ensure that all ships entitled to fly its flag, before entering the Antarctic area, have sufficient capacity on board for the retention of all garbage, while operating in the area and have concluded arrangements to discharge such garbage at a reception facility after leaving the area.
- When garbage is mixed with or contaminated by other substances prohibited from discharge or having different discharge requirements, the more stringent requirements shall apply

### **Regulation 7 – Exceptions**

States the following:

Regulations 3, 4, 5 and 6 of this Annex shall not apply to:



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- The discharge of garbage from a ship necessary for the purpose of securing the safety of a ship and those on board or saving life at sea; or
- The accidental loss of garbage resulting from damage to a ship or its equipment, provided that all reasonable precautions have been taken before and after the occurrence of the damage, to prevent or minimize the accidental loss.

#### Exception of *en route*

- The *en route* requirements of regulations 4 and 6 shall not apply to the discharge of food wastes where it is clear the retention on board of these food wastes presents an imminent health risk to the people on board

### 7. Minimization efforts

Reference is made to - Procurement departments procedure where suppliers are asked to supply goods in Eco friendly packaging material to reduce pollution at source.

When goods are received in port they are unpacked while vessel is in port – time permitting so that packaging material etc. are not carried out to sea.

The company has made efforts to minimize waste through such endeavors as limiting the purchases of individual bottled waters and promoting the consumption of the vessel produced potable water. Bottled water is now supplied onboard as an emergency type supply versus the previous program of being the main source of drinking water. A reduction in approximately 500,000 plastic water bottles annually.

Environmental awareness of the crew is raised on the vessel during EHSQ meetings and encouraged to adhere to GMP. Company has a reward recognition program for crew member's effort to reduce garbage and suggesting new ideas for pollution prevention.

The Company focuses on the use of the most environmentally friendly products to reduce pollution and emissions and also the minimization of waste.

### 8. Training

The Company commitment towards Environmental responsibility will be communicated to the crew.

During the familiarization of the vessel a special mention is made of the Garbage Management Plan and an overview of the system given.

Personnel are informed about garbage segregation and correct disposal techniques for various types of garbage on board.

The vessel operates a "NOTHING OVERBOARD" policy in regards to waste other than the permissible wastes identified in MARPOL Regulations.





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Placards are placed at various garbage collection locations and main areas of the vessel to further reinforce the GMP and raise awareness.

Crewmembers involved in the collection of garbage and disposal on board, will receive special instructions in sorting, handling and storage prior to disposal.

Oil pollution prevention drills are carried out at regular intervals.

Onboard training shall be carried out to ensure that all personnel are familiar with the requirements of the Garbage Management Plan. Training shall also be given to people requiring approval as operators of hazardous equipment (e.g. incinerator or comminuter/macerator) The Master will ensure that all personnel are compliant with local training requirements.

## **9. Garbage record book**

A Bahamas approved Garbage Record Book shall be maintained on board.

The Garbage Record Book shall be used to record the following operations and be signed for on the date of the incineration or discharge by the officer in charge:

- Each discharge operation
- Each completed Incineration
- Accidental discharge as described in Marpol 73/78 Annex V, regulation 7
- The entry for each incineration or discharge shall include the following:
  - Date and time
  - Position of the ship
  - Description of the garbage
  - Estimated amount

## **SECTION – B**

### **1. Vessels Particulars**

Official/IMO Number:	9538127
Flag/Port of Registry:	Bahamas
Classification Society:	DNV
Call Sign:	C6XK6
Length (m):	92.0 m
Breadth (m):	21.0 m
Draft (m):	7.5 m
Gross Tonnage:	7894
Net Tonnage:	N.A
Deadweight:	N.A
Passengers:	N.A
Freeboard (m):	N.A



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## 2. Garbage types and discharge provisions

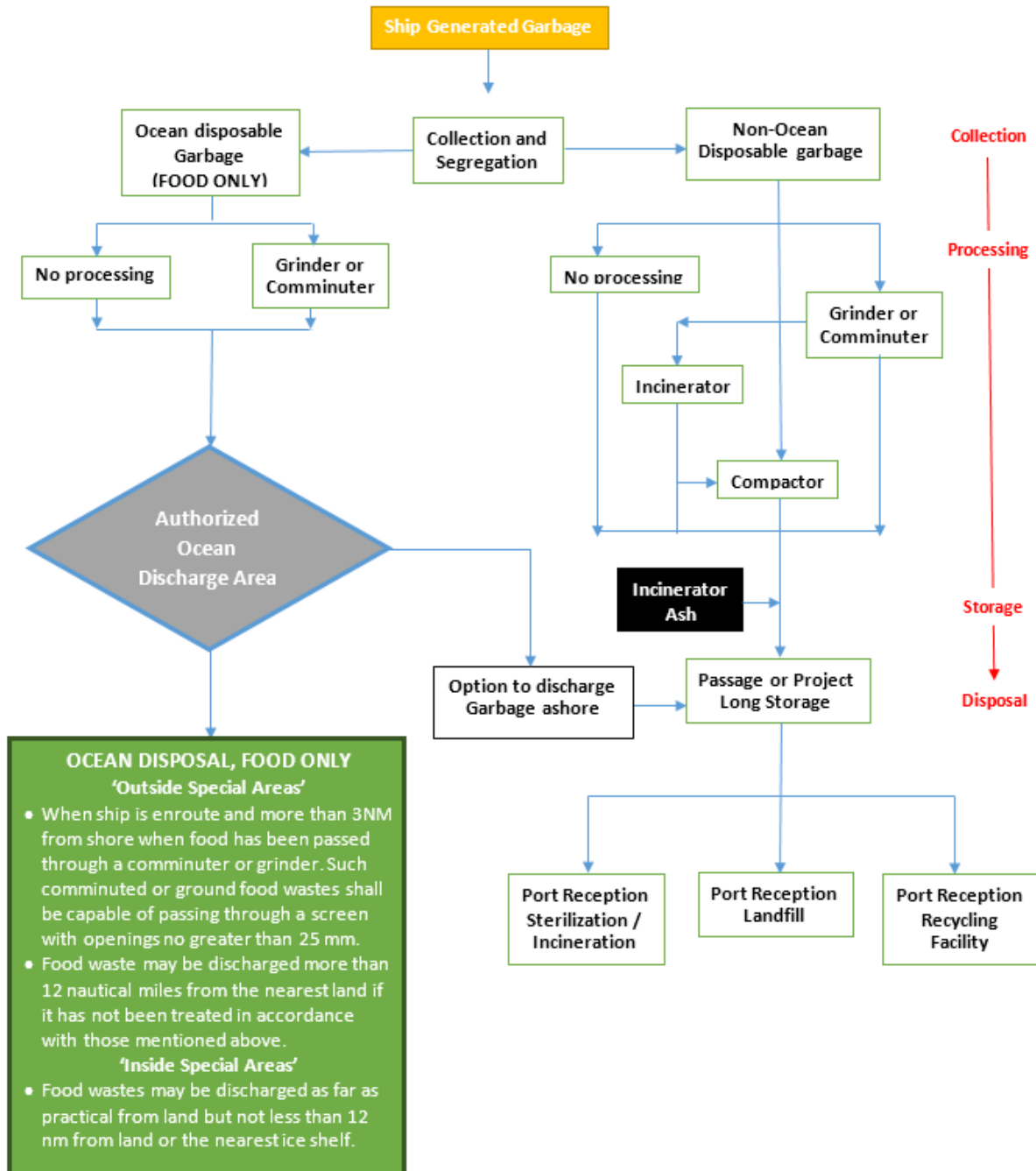
Simplified overview of the discharge provisions of the **revised MARPOL Annex V (resolution MEPC.201(62))** which entered into force on 1 January 2013 (for the full text of the respective discharge requirements please refer to the text of the **revised MARPOL Annex V**, and for more detailed guidance please consult the **2012 Guidelines for the Implementation of MARPOL Annex V (resolution MEPC.219(63))**)

Type of Garbage	Ships outside special areas	Ships within special areas	Offshore Platforms and all ships within 500m of such platforms
Food waste comminuted or ground	Discharge permitted $\geq 3$ nm from the nearest land and en route	Discharge permitted $\geq 12$ nm from the nearest land and en route	Discharge permitted $\geq 12$ nm from the nearest land
Food waste not comminuted or ground	Discharge permitted $\geq 12$ nm from the nearest land and en route	Discharge prohibited	Discharge prohibited
Cargo residues <sup>1</sup> not contained in wash water	Discharge permitted $\geq 12$ nm from the nearest land and en route	Discharge prohibited	Discharge prohibited
Cargo residues <sup>1</sup> contained in wash water		Discharge only permitted in specific circumstances <sup>2</sup> and $\geq 12$ nm from the nearest land and en route	Discharge prohibited
Cleaning agents and additives <sup>1</sup> contained in cargo hold wash water	Discharge permitted	Discharge only permitted in specific circumstances <sup>2</sup> and $\geq 12$ nm from the nearest land and en route	Discharge prohibited
Cleaning agents and additives <sup>1</sup> contained in deck and external surfaces wash water		Discharge permitted	Discharge prohibited
Carcasses of animals carried on board as cargo and which died during the voyage	Discharge permitted as far from the nearest land as possible and en route	Discharge prohibited	Discharge prohibited
All other garbage including plastics, domestic wastes, cooking oil, incinerator ashes, operational wastes and fishing gear	Discharge prohibited	Discharge prohibited	Discharge prohibited
Mixed Garbage	When garbage is mixed with or contaminated by other substances prohibited from discharge or having different discharge requirements, the more stringent requirements shall apply.		

1. These substances must not be harmful to the marine environment.
2. According to regulation 6.1.2 of MARPOL Annex V, the discharge shall only be allowed if: (a) both the port of departure and the next port of destination are within the special area and the ship will not transit outside the special area between these ports (regulation 6.1.2.2); and (b) if no adequate reception facilities are available at those ports (regulation 6.1.2.3).

### 3. Schematic

**POLARCUS SHIPBOARD HANDLING AND DISPOSAL OF GARBAGE ACCORDING TO MARPOL 73 / 78 ANNEX V**  
(Including 2011 Amendments entered into force on 1 January 2013)





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#### 4. Effects of incineration

Typical examples	Special handling by Vessel personnel Before incineration	Incineration characteristics				Onboard Storage Space
		Combustibility	Reduction of Volume	Residual	Exhaust	
Paper packaging, food and beverage containers	Minor – easy to feed into hopper	High	Over 95%	Powder ash	Possibly smoky and not hazardous	Minimum
Fiber and paper board	Minor- reduce material to size for feed; minimum manual labor	High	Over 95%	Powder ash	Possibly smoky and not hazardous	Minimum
Plastic packaging, food and beverage containers, etc.	Minor- easy to feed into hopper	High	Over 95%	Powder ash	Possibly smoky and hazardous based on incinerator design	Minimum
Plastic sheeting, netting, rope and bulk material	Moderate manual labor time for size reduction	High	Over 95%	Powder ash	Possibly smoky and hazardous based on incinerator design	Minimum
Rubber hoses and bulk pieces	Major manual labor time for size reduction	High	Over 95%	Powder ash	Possibly smoky and hazardous based on incinerator design	Minimum
Metal food and beverage containers, etc.	Minor – easy to feed into hopper	Low	Less 10%	Slag	Possibly smoky and not hazardous	Moderate
Metal cargo, bulky containers, thick metal items	Major manual labor time for size reduction (not easily incinerated)	Very Low	Less 5%	Large metal fragments and slag	Possibly smoky and not hazardous	Maximum
Glass food and beverage containers, etc.	Minor – easy to feed into hopper	Low	Less 10%	Slag	Possibly smoky and not hazardous	Moderate
Wood, cargo containers and large wood scraps	Moderate manual labor time for size reduction	High	Over 95%	Powder ash	Possibly smoky and not hazardous	Minimum

### References

MARPOL

Res MEPC.219(63) Guidelines for the Implementation of MARPOL Annex V

Res MEPC.201(62) Amendment to MARPOL 73/78 Annex V

Form of Garbage Record Book

Port & Flag State Inspections

DNV Environmental Clean Design

Lithium Battery Storage and Handling



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***Attachments***

ASIMA Waste Management  
International Pollution Regulations Placard  
Record of Garbage Discharge  
UMCC call Incinerator  
Waste Compactor

***Table of Amendments***

Rev Date	Rev No.	Amendments
21-Sep-14	12	Updated EHSQ Significant Aspects – About generated waste.



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**APPENDIX J** Polarcus' Oil Spill Procedure

## ***Authority***

The Master has overall responsibility for the safety of the vessel and personnel.

The Chief Engineer is responsible for ensuring all spill recovery and containment equipment and stores held or controlled by the Engineering department are ready for use at all times (Including for use in cold weather conditions).

## ***EHSQ Significant Aspects***

In general, apart from small localized spills, such as those caused by failed deck fittings and/ or transfer incidents, it is highly unlikely for the crew to be directly involved in deploying heavy response gear (booms and skimmers) or implementing specialized tactics such as in-situ burning or dispersants.

## ***Procedure Description***

### **General**

Those spills that that may occur at or near a company's own facilities as a consequence of its own activities are classed by the Petroleum Industry Environmental Conservation Association (IPIECA) as Tier 1 level spills.

All commercial ships are required to have a shipboard oil pollution emergency plan (SOPEP) to deal with Tier 1 level spills and details of plan requirements vary with the nation involved.

The more common sources of Tier 1 spills are those resulting from bunkering operations.

### **Tier 1 Spills**

In the event of a Tier 1 spill or release, all oil spill response activities will be performed in accordance with the vessel's (MARPOL73/78 compliant) Shipboard Oil Pollution Emergency Plan (SOPEP).

SOPEP response activities are designed to ensure:

- Protection of life (vessel crew, public, etc.)
- Securing the safety of the vessel and protection of property
- Protection of the environment

### **Major Spills**

Spills classified as Tier 2 or Tier 3 major spills will be subject to government direction and controls.

It is important to note that due to the limited quantities of oil and fuel carried onboard Polarcus vessels and accompanying support vessels (if any), Tier 3 spills are not possible.



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Preparedness  
Oil Spill Procedure

Revision date: 08-Sep-14  
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Author: Tom Parker  
Approver: Simon Hodgkinson

## **Oil Pollution Prevention Team**

Bunkering operations present the highest risk for a spill to occur. The shipboard Oil Pollution Prevention Team is responsible for both prevention and response activities, detailed instructions for the team are listed in the Vessel specific 'Shipboard Oil and Pollution Emergency Plan (SOPEP)'.

## **Reporting**

Article 8 and Protocol I of MARPOL 73/78 require that the nearest coastal state should be notified of actual or probable discharges of oil to the sea. The intent of the requirement is to ensure that coastal states are informed without delay of any incident giving rise to pollution, or threat of pollution, of the marine environment, as well as the need for assistance and salvage measures, so that appropriate action may be taken.

The reporting to be followed by the master or other person in charge of the ship after an oil pollution incident is based on guidelines developed by the International Maritime Organization.\*

If the ship is involved in a pollution incident reports must be made both to coastal state or port contacts, as appropriate, and to contacts representing interest in the ship.

The P. & I. underwriters' handbooks for pollution abatement, also current and valid Flag state rules and requirements give detailed information about international and national regulations in connection with oil release.

\*\*General principles for ship reporting system and ship reporting requirements, including Guidelines for reporting incidents involving dangerous goods, harmful substances and/or marine pollutants" adopted by the International Maritime Organization by resolution A.851(20).

## **Arctic Considerations**

Responding to oil spills in remote areas such as the Arctic, often under extreme climate conditions, will always be a major challenge that is further complicated by the lack of any basic infrastructure.

Regional spill response organization and preparedness in the Arctic varies between the Arctic nations. Framework agreements are in place for cooperative measures in relation to pollution incidents involving oil.

Any Tier 2 or Tier 3 spill response in the Arctic will be regionally coordinated by the relevant national government agencies.

## **References**

SOPEP  
Vessel Incident Reporting Procedure

## **Attachments**

Arctic Council National Contact List





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Preparedness  
Oil Spill Procedure

Revision date: 08-Sep-14  
Version: 8.0  
Author: Tom Parker  
Approver: Simon Hodgkinson

***Table of Amendments***

Rev Date	Rev No.	Amendments
08-Sep-14	8	Changes made to "Authority" subheading



Offshore Seismic Campaign YWB Block

Appendices – 11/14

**APPENDIX K** Polarcus' Extreme Weather Procedure

## ***Authority***

The Master of the vessel will take whatever action he feels necessary to prevent the vessel from navigating through extreme weather conditions. The Master is responsible for all actions taken.

The Party Manager is responsible for all seismic towed equipment and the decisions taken regarding it but this will never supersede the directions and decisions of the vessel Master when preparing for such extreme weather avoidance.

## ***EHSQ Significant Aspects***

In following this Procedure we can ensure our vessels are prepared for extreme weather conditions when they occur and are managed safely in a way to;

- Prevent fatalities, and life threatening injuries due to the hazards associated with extreme weather.
- Prevent damage to our vessels and equipment during the periods of extreme weather.

## ***Procedure Description***

Use the Extreme Weather Checklist for guidance

### **Weather Alert Levels**

In determining a :

**Green Alert:** Hurricane, Typhoon or Cyclone confirmed, but more than **1000 nm** from location. No action necessary other than monitoring and plotting the storm movements.

**Yellow Alert:** Hurricane, Typhoon or Cyclone reported in or approaching location and at a distance of **800 - 1000nm**. Continue monitoring and plotting. Secure all loose items.

**Orange Alert:** Hurricane, Typhoon or Cyclone developing or entering within a radius of **800 nm** of location. Weather reports to be obtained at 3 hourly intervals. The vessel Master will prepare plans for evacuating the survey area.

**Red Alert:** Hurricane, Typhoon or Cyclone developing or entering within a radius of **500 nm** of location. The Master will advise Polarcus Management of the situation and the plan for evacuation. (Decision or time line to pick up gear should be agreed upon at this stage since further delay could cause problems).

### **Hurricane, Typhoon or Cyclone Evacuation**

If the Hurricane, Typhoon or Cyclone enters within **300nm** of location, the vessel is to cease operations and prepare to leave area for shelter. If there are circumstances that may hamper evacuation, or the recovery of the seismic equipment, the evacuation should be initiated earlier. In such a situation, the Vessel Manager should be kept up to date with the position and status of the vessel.



Fleet/ Emergency  
Preparedness  
Extreme Weather  
Procedure

Revision date: 21-Jan-15  
Version: 7.0  
Author: Chris Griffin  
Approver: Simon Hodgkinson

When the **Hurricane, Typhoon or Cyclone** has passed, a status report of the vessel and crew is to be made.

### Standing by for weather in the Project Area

If it is necessary to stand by during periods of extreme weather when the in-sea seismic equipment is deployed the Master will identify an area considered safe for the vessel and in-sea equipment.

This area shall be:

- Identified within 24 hours of arrival on project.
- Of adequate size for the vessel to maneuver freely in.
- In close proximity to the project.
- Free of surface and sub-sea obstructions which would potentially endanger the vessel or in-sea towed seismic equipment.
- Away from charted sea lanes with minimal or no shipping traffic.
- Of adequate water depth to provide sufficient clearance for the safety of the vessel and in-sea equipment while standing by and maneuvering during the periods of extreme weather.

### References

EHSQ Project Plan

### Attachments

Extreme Weather Checklist

### Table of Amendments

Rev Date	Rev No.	Amendments
21-Jan-15	7.0	Reviewed with minor changes.



Offshore Seismic Campaign YWB Block

Appendices – 11/14

**APPENDIX L** Polarcus' Equipment

**Exhibit C**

**CONTRACTOR'S PERSONNEL and EQUIPMENT**

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## EXHIBIT C – PERSONNEL AND EQUIPMENT

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## 1 CONTRACTOR'S PERSONNEL

The following positions marked as “(\*)” are defined as CONTRACTOR'S KEY PERSONNEL.

### 1.1. Seismic vessel(s) geophysical CONTRACTOR'S PERSONNEL

Refer also to [Exhibit A \(Health, Safety and Environment general specifications\)](#) of CONTRACT for safety dedicated personnel, and to Annexe 17 of this Exhibit, containing the CVs of all key personnel (project manager, party manager, deputy party manager, department chiefs and senior personnel).

Project organisation chart during operation phase to be filled by CONTRACTOR following Annexe 21 template.

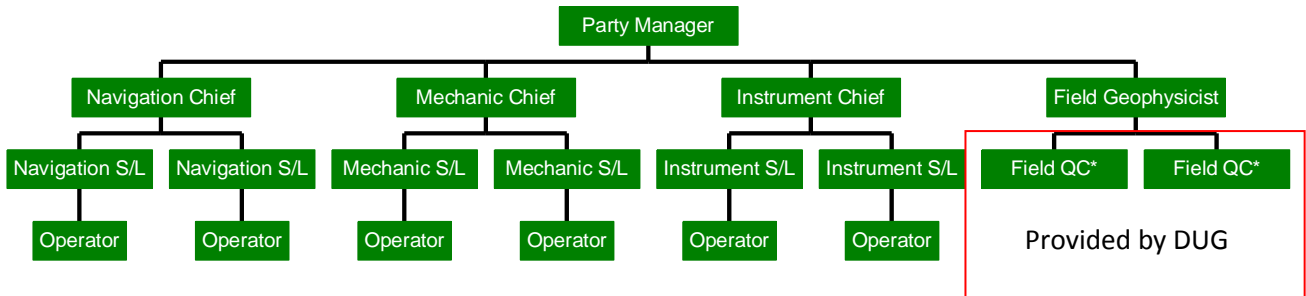
The following numbers relate only to the permanent staff positions excluding CONTRACTOR's specialists who may perform short time interventions.

POSITION	NUMBER
<b>Party Manager (*)</b>	1
<b>Deputy Party Manager (*)</b>	NA
<b>Chief Observer (*)</b>	1
<b>Senior Observer or Shift Leader</b>	2
<b>Observer (1 per shift)</b>	2
<b>Chief Gun Mechanic</b>	1
<b>Gun Mechanic (2 per shift)</b>	2

EXHIBIT C – PERSONNEL AND EQUIPMENT

Chief Navigator (*)	2
Senior Navigator or Shift Leader	2
Navigator	2
Positioning Data Processing Analyst	Chief Navigator responsible
Chief Seismic Data QC and Processing Analyst or Field Geo (*)	1
Senior Seismic Data QC and Processing Analyst	2
Seismic Data Processing Analyst	2
HSE advisor (*)	1
Gravimetry / Magnetometry Data Acquisition Operator (*)	1

Seismic Crew Model



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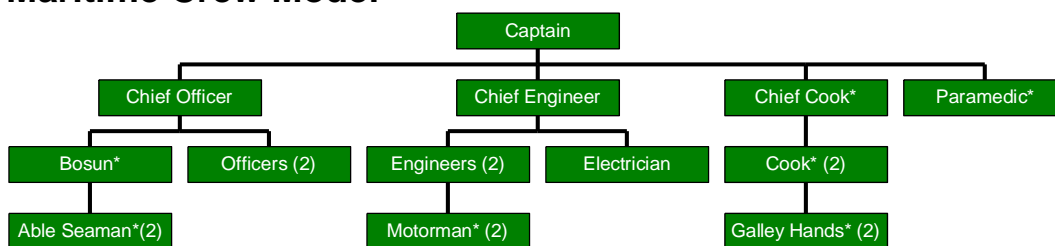
1.2. Seismic vessel(s) marine CONTRACTOR’s PERSONNEL

POSITION	NUMBER
Captain (*)	1
	1
	2

EXHIBIT C – PERSONNEL AND EQUIPMENT

	4
	1
	5
	4

**Maritime Crew Model**



**1.3. Support vessel(s) marine CONTRACTOR’s PERSONNEL**

POSITION	NUMBER
Captain (*)	1
Mate	2
Engineer	3
Seamen	3

**1.4. Onshore support CONTRACTOR’s PERSONNEL**

POSITION	NUMBER
Project Manager (*)	
Project Logistic Coordinator	

**FUTURE CONTRACTOR**

**EXHIBIT C – PERSONNEL AND EQUIPMENT**

**2D Marine Seismic Data Acquisition SERVICES  
FOR BLOCKS MD2, AD10 & YWB**

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EXHIBIT C – PERSONNEL AND EQUIPMENT

1.5. Additional and optional CONTRACTOR’s PERSONNEL

1.5.1 Additional CONTRACTOR’s PERSONNEL

POSITION	NUMBER
PAM	1
Marine Mammal Observer	1
Fishing Activity Representative	1

1.5.2 Optional CONTRACTOR’s PERSONNEL

POSITION	NUMBER

2 Operational considerations

<b>Average recording speed</b>	4.0 – 4.5knots (depending on the sea currents and weather)
<b>Maximum sea state for workboat streamer maintenance</b>	Streamer work (in roller) <1.5m Streamer work (out of roller) <2m
<b>Barnacle growing monitoring and barnacle cleaning methods</b>	Streamer Tension will be utilized to monitor the growth of the barnacles in the streamer. Contractor will assure the clean of the streamer during deployment. Contractor will have standard regular cleaning to the insea equipment.
<b>Will crew changes and resupply operations interrupt the survey?</b>	Contractor will endeavour to keep in production during the crew-x or re-supply. Only for special circumstances (due to for HSE issue, will then Contractor to abort the production to be able to carry on the crew-x or re-supply.

3 Vessel(s)

## EXHIBIT C – PERSONNEL AND EQUIPMENT

Note: All vessels engaged by COMPANY for seismic operations must be registered in OVID (Offshore Vessel Information Database) of OCIMF (Oil Companies International Marine Forum). Acceptance of any vessel by COMPANY is dependent on an OVID inspection. The OVID inspection is the CONTRACTOR's responsibility.

## 3.1. Seismic vessel(s) main characteristics

Vessel name	M/V Polarcus Asima
Vessel owner	Polarcus Ltd. 5
Flag	Bahamas
Port of registry	Nassau
Registry number	9538127
Type	Seismic Vessel
Classification	DNV 1A1, SF, E0, DYNPOS-AUTR, CLEAN DESIGN, COMF-V (3), ICE-1A, WINTERIZED BASIC, NAUT-AW, HELDK, BWM-T
Year built and year rebuilt	2010
Length LOA/LBP	92 m
Breadth	21 m
Maximum draft of fully loaded vessel	7.5 m
Maximum draft of in-water equipment	Deployment dependant
Turning radius with specified streamer configuration	5600m – configuration dependant
Run in with specified streamer configuration	1.2 – 1.5 x Streamer Length
Estimated streamer deployment time	12 Streamers : 5 days
Estimated streamer recovery time	12 Streamers : 1.5 days
Cruising speed	12.0 Knots to 15.0 Knots
Average recording speed	4.4 - 4.6Knots (current dependant)
Endurance at sea	42 days – 44 days (configuration dependent)
Bunkering capability at sea	Yes
Accommodation	69, including 23 single cabins

## EXHIBIT C – PERSONNEL AND EQUIPMENT

Client's office description (size, equipment...)	Monitor, Desktop, Printer, Full modern facilities available
--	---

**3.2. Seismic vessel(s) propulsion and power**

Number and type of engines	4 x Wartsila 9L20 + 2 x Wartsila 9L26
Power of individual engine	12,900kW @ 1000 RPM
Number and type of propellers	2 x conventional CPP propellers at 3.75MW
Number of bow thrusters	1 x Brunvoll tunnel thruster at 1200kW 1 X 850 Kw retractable azimuth thruster

**3.2.1 Seismic vessel(s) communications**

Number and type of VHF units for marine communication	9 x Fixed (RT2048 & RT5022 and 9 Portable x Sailor Tron TR-20
Number and type of VHF units for helicopter communication	1 x ICOM ICA-200
Number and type of satellite communication links	INMARSAT-C w/EGC; INMARSAT-FLEET 77
Type of dedicated satellite data link	SpaceTrack 4000 stabilized VSAT system
Flow rate of the satellite data link	256Kb
Type of safety and distress watch receiver	Sailor CU5000
Type of helicopter homing beacon	Helibeacon, Model TS-1B

Refer also to [Exhibit A \(Health, Safety and Environment general specifications\)](#) of CONTRACT.

**3.3. Seismic vessel(s) navigation**

Number and type of radars	1 x Furuno FCR 28378 S-Band, 1 x ARPA Furuno FAR 2827 X- Band
Type and frequency of echo sounder	Atlas DESO 35 : 12 KHz , 33 KHz , 210 KHz
Depth range of the echo sounder	6000m at 12 KHz
Type of current meter	Nortek

## EXHIBIT C – PERSONNEL AND EQUIPMENT

Type of speed log	Furuno
-------------------	--------

**3.4. Seismic vessel(s) helideck**

Helideck landing capacity:

Helicopter maximum weight	(Sikorsky S-61N / S-92)
Helicopter maximum dimensions	(Sikorsky S-61N / S-92)

Refer also to [Exhibit A \(Health, Safety and Environment general specifications\)](#) of CONTRACT.**3.5. Seismic vessel(s) auxiliary boats**

type of work boat(s)	1x Westplast WP950SW
Number and type of mob (man over board) boat(s)	1 Norsafe Magnum 750

**3.6. Safety equipment**Refer to [Exhibit A \(Health, Safety and Environment general specifications\)](#) of CONTRACT.**3.7. Support vessel(s) main characteristics**

Vessel name	TBC
Vessel owner	
Flag	
Port of registry	
Registry number	
Type	
Classification	
Year built and year rebuilt	
Length LOA/LBP	
Maximum draft	



<b>Cruising speed</b>	
<b>Endurance at sea</b>	
<b>Accommodation</b>	

**3.8. Support vessel(s) propulsion and power**

<b>Number and type of engines</b>	
<b>Power of each individual engine</b>	

**3.9. Support vessel(s) communications**

<b>Number and type of VHF units :</b>	
<b>Number and type of satellite communication links</b>	
<b>Type of safety and distress watch receiver</b>	

Refer also to [Exhibit A \(Health, Safety and Environment general specifications\)](#) of CONTRACT.

**3.10. Support vessel(s) navigation**

<b>Number and type of radars</b>	
<b>Type and frequency of echo sounder</b>	

*In case of shallow water survey only:*

<i>Type of RGPS</i>	
---------------------	--

**3.11. Support vessel safety equipment**

Refer to [Exhibit A \(Health, Safety and Environment general specifications\)](#) of CONTRACT.

**3.12. Pre-assessment of the vessel (documentation required)**

EXHIBIT C – PERSONNEL AND EQUIPMENT

<p>1</p>	<p>Independent FMEA Study of complete unit including control, engineering and electrical subsystems Hard copy of FMEA (latest version) should be submitted along with description of action list closeout response. This will be reviewed in detail. As a minimum, the vessel FMEA must meet following standards:</p> <ul style="list-style-type: none"> <li>• DP Class :IMO 645 &amp; IMCA standards</li> <li>• Redundancy Class : Redundancy notation</li> </ul> <p><u>FMEA shall include failure mode proving analysis of:</u></p> <ul style="list-style-type: none"> <li>• Engineering auxiliaries</li> <li>• Electrical HV including single worst case HV failure</li> <li>• Electrical MV</li> <li>• Electrical LV</li> <li>• UPS systems</li> <li>• Cooling systems (generation and thruster systems)</li> <li>• Control and Service Air systems</li> <li>• Fuel systems</li> <li>• Lube systems</li> <li>• Thruster failure modes</li> <li>• Thruster auxiliaries</li> <li>• DP Control systems if fitted</li> <li>• Motion reference sensors, wind sensors &amp; gyrocompasses if fitted</li> <li>• Satellite based reference systems including diff link failure modes if fitted</li> <li>• Communications methods</li> <li>• alert systems</li> <li>• Alarm printing methods (both DP and vessel management systems)</li> </ul>
<p>2</p>	<p>FMEA Proving trials report As a minimum, the vessel FMEA Proving trials must meet IMCA standards and include cause and effect proving analysis of:</p> <ul style="list-style-type: none"> <li>• Engineering auxiliaries</li> <li>• Electrical HV including single worst case HV failure</li> <li>• Electrical MV</li> <li>• Electrical LV</li> <li>• UPS systems</li> <li>• Cooling systems (generation and thruster systems)</li> <li>• Control and Service Air systems</li> <li>• Fuel systems</li> <li>• Lube systems</li> <li>• Thruster failure modes</li> <li>• Thruster auxiliaries</li> <li>• DP Control systems if fitted</li> <li>• Motion reference sensors, wind sensors &amp; gyrocompasses if fitted</li> <li>• Satellite based reference systems including diff link failure modes if fitted</li> <li>• Communications methods</li> <li>• alert systems</li> <li>• Alarm printing methods (both DP and vessel management systems)</li> </ul>
<p>3</p>	<p>Annual trials for previous years Annual trials shall include tests which prove the failure modes in the following systems:</p> <ul style="list-style-type: none"> <li>• Engineering auxiliaries</li> <li>• Electrical HV including single worst case HV failure</li> </ul>
	<ul style="list-style-type: none"> <li>• Electrical MV</li> <li>• Electrical LV</li> <li>• UPS systems</li> <li>• Cooling systems (generation and thruster systems)</li> <li>• Control and Service Air systems</li> <li>• Fuel systems</li> <li>• Lube systems</li> <li>• Thruster failure modes</li> <li>• Thruster auxiliaries</li> <li>• DP Control systems if fitted</li> <li>• Motion reference sensors, wind sensors &amp; gyrocompasses if fitted</li> <li>• Satellite based reference systems including diff link failure modes if fitted</li> <li>• Communications methods</li> <li>• alert systems</li> </ul>

EXHIBIT C – PERSONNEL AND EQUIPMENT

4	<p>Performance capability and performance study for downgraded situation : Working and Survival speed VS Seismic drag effect</p> <ul style="list-style-type: none"> <li>Power available Vs Power needed for different configurations (See chart template Document) and different conditions (Normal and degraded)</li> <li>Load shedding philosophy</li> <li>Black-out test result at different configurations (Open and Close bus bar)</li> </ul>
5	<p>Propulsion Incident reports and changes made as a result of cause analysis</p> <ul style="list-style-type: none"> <li>The report shall include a description of the reporting philosophy in place.</li> </ul>
6	<p>Additional documentation such as:</p> <ul style="list-style-type: none"> <li>Vessel control management (Power and heading and interface between)</li> <li>Operations manual and onboard training schedules</li> <li>Deck and ECR Pre-Operations and Periodical checklists proposed</li> <li>Maintenance schedules covering well program period for critical equipments (Critical equipment list to be included)</li> </ul>
7	<p>Key personnel information</p> <ul style="list-style-type: none"> <li>Resume / CV of the key marine personnel</li> <li>Outline of onboard vessel familiarization and specific training required for new key DP personnel (Deck and Engine personnel)</li> <li>Training Matrix for all crew</li> </ul>

3.13. Pre-assessment of the performance of the vessel

- Each case to be filled in the form
- Worst case failure is defined as critical situation where the propulsion is degraded and minimum speed shall be maintained in order to prevent streamers to sink.
- Normal situation is defined when 100% of the propulsion is available and standard acquisition speed is to be kept.
- For acquisition (streamers) vessels:
  - The streamers simulation report shall be provided in support to this filled form,
  - In case of potential change of the streamers configuration e.g. fan mode, back-up design, a similar pre-assessment shall be submitted together with the associated streamers simulation report.

Load analysis Template - Diesel Electric propulsion - 2 bus					
	Case N°			Example	Asima
	Description			12 streamers x 6kms x 100m separation	12x8kmx100m
1	Normal Situation	Minimum speed	Kts	5	4.5
2		Drag Value	Tons	69	83
3		Corresponding Propulsion power needed	Kw	12000	6050
4	Worst Case failure	Minimum speed	Kts	3	3.5
5		Drag Value	Tons	40	50
6		Corresponding Propulsion power needed	Kw	7000	4060

EXHIBIT C – PERSONNEL AND EQUIPMENT

7	Power Production @ 80% Load	BUS 1	Kw	8000	5160
8		BUS 2	Kw	8000	5160
9	Power need (except Propulsion)	Hotel Loads BUS 1	Kw	500	800
10		Hotel Loads Bus 2	Kw	300	800
11		Auxiliaries and Seismic Equipment BUS 1	Kw	1000	250
12		Auxiliaries and Seismic Equipment BUS 2	Kw	300	250
13	Max Propulsion Power available =Power production - Power need	BUS1	Kw	6500	3750
14		BUS 2	Kw	7400	3750
15	Balance and result Green - Satisfactory Red - Not satisfactory	<b>Balance at Normal condition (Kw remaining)</b>	<b>Kw</b>	<b>1900</b>	1450
16		<b>Balance at Worst Case Failure Bus 1 off</b>	<b>Kw</b>	<b>-500</b>	-310
17		<b>Balance at Worst Case Failure Bus 2 off</b>	<b>Kw</b>	<b>400</b>	-310

#### 4 Seismic source

##### 4.1. Source characteristics

Type of seismic source	Bolt Gun
Number of sources	1 source
Volume of individual source	TBC
Number of sub-arrays per individual source	
Volume of individual sub-arrays	
Length of individual sub-array	
Width of individual sub-array	

Refer also to the following:

- Type, model and volume of individual guns described in Annexe 1 (Individual gun sub-array composition) of this Exhibit.

EXHIBIT C – PERSONNEL AND EQUIPMENT

- Separation, location of individual guns, detailed geometry of clusters, locations of near-field hydrophones, depth sensors and positioning devices, etc... displayed in Fig. 1 (Seismic source geometry) and Fig. 2 (Individual sub-array geometry) of this Exhibit.
- Time display and amplitude spectrum of source signatures displayed in Fig. 3 (Source signature modelled with standard parameters) and Fig. 4 (Source signature modelled with SURVEY acquisition parameters) of this Exhibit. The standard parameters are defined as: 0-128 Hz bandwidth, 6 m source depth and 2000 psi operating pressure.
- Source directivity diagrams, in line and cross line displayed in Fig. 12 of this Exhibit.
- Dropout specifications for proposed source array displayed in Fig.13 of this Exhibit

**4.2. Compressor, sensor and peripheral equipment characteristics**

Number, type and capacity of each air compressors	3 x LMF; 1800 cfm each
Minimum refilling time with survey parameters	6.5 seconds (using two compressors for 4240in <sup>3</sup> array)
Type and number of devices used to achieve source geometry (deflectors, booms, tie ropes, etc)	2 x Baro 46
Maximum distance between source outer gear and source centre	Sub array separation is 7m
Type of floats sustaining the sub-arrays	Flexible
Type, number and location of near-field hydrophones	AGH 7000, 1 per gun plate
Nearfield hydrophone signal real time display	Yes, available via Gunlink 4000
For multiple vessel operations, means to transmit nearfield signals to master vessel	N/A
Type, number and location of depth sensors (depth controller)	AGH 7000, 1 per gun plate
Type, number and location of air pressure sensors	AGH 7000, 1 per gun plate
Real time visualization of depth sensor values and air pressure sensor values	Yes, available via Gunlink 4000
Data recording of depth sensor and air pressure sensor values	Yes
Characteristics of firing control unit	GunLink 4000
Time delay between start of record and source blast	50ms
Source steering system description	Not available for this project

Refer also to Fig. 5 (Timing diagram of shooting and recording sequence) of this Exhibit.

**4.3. Seismic source spare equipment**

	Sufficient spares carried onboard to maintain source array and components
--	---

**5 Seismic recording system**

## EXHIBIT C – PERSONNEL AND EQUIPMENT

**5.1. Seismic recorder characteristics**

Type and model of seismic recording system	Sercel SEAL 24-Bit
Analog/digital conversion technology	24-bit Sigma/Delta Individual A/D converter per channel
Recording system overhead cycle time	0 sec. 700ms overlap is theoretically possible but will result in a system alarm.
Available sampling rates	0.25ms, 0.5ms, 1 ms , 2 ms, 4 ms
Available low-cut filters	Analog 2 Hz + user selectable Digital in 0.1 Hz increments from 2 Hz to 15Hz, 12dB/octave
Available high-cut filters	0.8 FN (Linear or Minimum Phase)
Maximum number of channels	12 x 480
Dual recording	Yes
Recording format	SEGD
Recording media	IBM 3592

Refer also to Fig. 6 (Amplitude and Phase Spectrum of the seismic recorder filters) of this Exhibit:

**5.2. Streamer characteristics**

Type and model of streamer	Sentinel II Solid
Type and characteristics of skin	Polyurethane
Maximum available number of streamers in operation per vessel	12
Maximum number of channels available per streamer	480
Number of traces per section	96
Trace interval	12.5m
Trace length	12.5
Trace sensitivity	19.73 V/Bar
Number of sensors per trace	8
Type and location of built-in depth sensors (independent of depth controllers)	N/A
Maximum distance between built-in depth sensors	300m (in Digicourse 5011 birds)
Calibration mode of depth sensors	Manufacturer calibration
Type and location of waterbreak hydrophones	N/A

EXHIBIT C – PERSONNEL AND EQUIPMENT

Location of communication coils for externally mounted equipment	24.2m and 124.2m from head of section
Maximum distance between depth controllers	300m
Calibration mode of depth controllers	Manufacturer calibration
Available calibrated streamer sections (age, number)	640 sections (12 x 8km) + spares. New in 2010
Minimum achievable in line distance source centre to near trace centre	~125m approx
Maximum achievable distance source centre to far trace centre	~6125m
Spare parts, contingency for replacement or repair	Minimum of 12 x 8100m + 10-20% spares carried onboard

Refer also to the following figures of this Exhibit:

- Fig. 7 (Vessel, source and streamer geometry) displaying the source and streamer offsets relative to the vessel
- Fig. 8 (Front streamer configuration) displaying the configuration of near-trace offsets, lead-ins, front end stretch sections, first active sections, deflecting devices, etc
- Fig. 9 (Tail streamer configuration) displaying the configuration of last active sections, tail stretch sections, tail-buoys, etc
- Fig. 10 (Streamer active section configuration) displaying the configuration of traces, waterbreak hydrophones if any, built-in depth sensors, depth controllers and sensors, acoustic units, digitising units, power supply units, compasses if any, etc
- Fig. 11 (Seismic trace configuration) displaying the location and weighting of hydrophones

Sensor (hydrophone and/or geophone) characteristics:

Type of hydrophone	Sercel Flexible Hydrophone	
Hydrophone physical and mechanical characteristics (maximum pressure allowed, etc)	<b>Category</b>	<b>Performance</b>
	Operating temperature	-10°C to +50°C
	Storage temperature	-40°C to +50°C
	Survival depth	250 m
	Capacitance change with temperature	0.5% per degree C
	Sensitivity change vs. depth	less than 1 dB over operational depth
	Sensitivity change vs. temperature	less than 1 dB over operational temperature
	Acceleration sensitivity	less than -65 dB referenced to 1 V/g
	Total harmonic distortion	less than -65 dB referenced to 1 V/μPa
	Lowest mechanical resonance	2.5 kHz
	Frequency response	±0.5 dB from 2 Hz to 500 Hz
	Dow Corning 200(R) fluid volume	0.062 litre
Hydrophone electronic characteristics	As Above	
Hydrophone sensitivity	As Above	
Type of geophone	N/A	
Geophone physical and mechanical characteristics (maximum pressure allowed, etc)	N/A	
Geophone electronic characteristics	N/A	
Geophone sensitivity	N/A	

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Characteristics of special units located inside the active sections:

Power supply units	N/A
Digitising units	N/A

Characteristics of streamer modules:

Head and terminator modules	Head Auxiliary Unit and Head Auxiliary Power Unit (HAU and HAUP). Tail Auxiliary and Power Unit (TAPU)
Electronic and connector modules	Line Acquisition Unit Marine (LAUM)

**5.3. Peripheral equipment characteristics**

Type and mechanical characteristics of lead-in	Sercel Fibre Optic Armoured Tow Lead-in
Type and characteristics of depth controllers	ION DigiBird model 5011
Number, type and characteristics of streamer deflectors	2 x Mode 37
Maximum lateral distance streamer deflector to outer streamer	Partner Plase 800 Litre Partially solar powered
Type and characteristics of active tailbuoys	Sercel Fibre Optic Armoured Tow Lead-in
Streamer tension meter type, real time display and data recording	The streamer tension is logged by the first streamer module (HAU module) situated approximately 40m from the lead-in. There are two tension values logged by the HCU in 5 second intervals; peak value and average value.  The values are available real time and history available through the Seal user interface. File is logged to disk so it can be exported as text file for further analyses.
Type and location of streamer steering devices, control software	DigiFIn , 200 m but Polarcus will confirm the final spread in combination with Total requirements

**5.4. Seismic recording spare equipment**

	Sufficient spares carried onboard to maintain recording equipment and components
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## 6 Seismic data quality control

Main characteristics of the processing system installed onboard:

Type and model of workstation	3 x HP Z420 desktops 2 x High performance processing workstations 4 x 30" monitors for Real Time QC displays
Operating system	Linux
Main hardware characteristics	<b>Lustre File System</b> <ul style="list-style-type: none"> <li>• Lustre is a high performance, clustered, highly-available global file system that is used by the largest supercomputers in the world.</li> <li>• Amalgamates the capacity and performance of an arbitrary number of storage servers (8 in the offered solution) into a single file system.</li> <li>• Capacity and bandwidth can be increased by adding more live servers, meaning there is no downtime to increase capacity or bandwidth.</li> <li>• Easy to manage and fault-resilient.</li> </ul>
Seismic processing software	DUG Insite
Version number	4 - Developed and Tailored Exclusively for Polarcus onboard processing
Main processing capabilities	<b>Compute Cluster</b> 20 nodes, each with: <ul style="list-style-type: none"> <li>• 2 x Xeon Ivy Bridge</li> <li>• 4x Intel Phi Co-processors</li> <li>• 128 GB RAM</li> </ul> <u>Computing power onboard one vessel - 172 TFLOPS</u>
Online seismic data capture	Yes, via Argus
Hard disk storage type and capacity	<b>Storage Cluster</b> 84 x 4TB drives, RAID 6, 1.4GB/s aggregate bandwidth

Refer also to Annexe 13 (Seismic data quality control description and examples) of this Exhibit.

## 7 Source and receiver positioning

Refer to annexe 16 (Source and receiver positioning detailed description) and annexe 14 (Positioning data quality control description and examples) of this Exhibit.

Annexe 16 will:

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- detail the systems and processes used to:
  - calculate source and receiver positions within specifications
  - record source and receiver positions
  - record raw positioning data
  - ensure that source and receiver actual positions will match preplot positions within specifications
- list contractor proposed positioning specifications

**8 DGPS**

Refer to the following:

- Annexe 2 (DGPS description) of this Exhibit.
- Annexe 3 (DGPS reference and monitor station description) of this Exhibit, detailing name, description, co-ordinates, distance and azimuth from prospect of all DGPS monitor and reference stations.

**8.1. Primary DGPS**

**8.1.1 DGPS characteristics**

Name of system	Fugro HP
Type of system	DGPS
Manufacturer	Fugro
Contractor	Fugro

**8.1.2 Vessel installation**

Receiver type	Integrated L1/L2 Dual frequency GPS carrier phase correction data from Fugro's network of reference stations and completely independent from SkyFix-XP
Number of channels	NA
Firmware version	TBC
Computer	TBC
Software and version	TBC
Differential transmission correction	INMARSAT, RTCM SC104
Mobile operator	Polarcus
Spare parts, contingency for replacement or repair	20% spares carried onboard vessel

**8.1.3 Shore installation**

Shore support	Fugro	
DGPS monitor station	Globally Corrected	

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<b>DGPS network control centre</b>	TBC	
<b>For each reference station</b>	N/A (Globally corrected)	
<b>Name</b>	N/A	
<b>Receiver type</b>	N/A	
<b>Number of channels</b>	N/A	
<b>Firmware and version</b>	N/A	
<b>Computer</b>	N/A	
<b>Software and version</b>	N/A	
<b>Power supply</b>	N/A	
<b>Spare parts, contingency for replacement or repair</b>	N/A	
<i>(add rows as necessary)</i>		

**8.2. Secondary DGPS**

**8.2.1 DGPS characteristics**

<b>Name of system</b>	Fugro G2 SkyFix G2	
<b>Type of system</b>	DGPS	
<b>Manufacturer</b>	Fugro	
<b>Contractor</b>	Fugro	

**8.2.2 Vessel installation**

<b>Receiver type</b>	Integrated L1/L2 dual GPS and GLONASS positioning system that is based on orbit and clock correction generated from Fugro's own expanded network of dual system reference stations	
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## EXHIBIT C – PERSONNEL AND EQUIPMENT

<b>Number of channels</b>	NA	
<b>Firmware version</b>	TBC	
<b>Computer</b>	TBC	
<b>Software and version</b>	TBC	
<b>Differential correction transmission</b>	INMARSAT RTC< SC104	
<b>Mobile operator</b>	Polarcus	
<b>Spare parts, contingency for replacement or repair</b>	10-20% spares carried onboard vessel	

## 8.2.3 Shore installation

<b>Shore support</b>	Fugro
<b>DGPS monitor station</b>	Globally Corrected
<b>DGPS network control centre</b>	TBC
<b>For each reference station</b>	N/A (Globally corrected)
<b>Name</b>	N/A
<b>Receiver type</b>	N/A
<b>Number of channels</b>	N/A
<b>Firmware and version</b>	N/A
<b>Computer</b>	N/A
<b>Software and version</b>	N/A
<b>Power supply</b>	N/A

<b>Spare parts, contingency for replacement or repair</b>	N/A
<i>(add rows as necessary)</i>	

**8.3. Tertiary DGPS**

*Article to be deleted, if necessary. 8.3.1*

**DGPS characteristics**

<b>Name of system</b>	
<b>Type of system</b>	
<b>Manufacturer</b>	
<b>Contractor</b>	

**8.3.2 Vessel installation**

<b>Receiver type</b>	
<b>Number of channels</b>	
<b>Firmware version</b>	
<b>Computer</b>	
<b>Software and version</b>	
<b>Differential correction transmission</b>	
<b>Mobile operator</b>	
<b>Spare parts, contingency for replacement or repair</b>	

**8.3.3 Shore installation**

<b>Shore support</b>	
<b>DGPS monitor station</b>	
<b>DGPS network control centre</b>	

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<b>For each reference station</b>	
<b>Name</b>	
<b>Receiver type</b>	
<b>Number of channels</b>	
<b>Firmware and version</b>	
<b>Computer</b>	
<b>Software and version</b>	
<b>Power supply</b>	
<b>Spare parts, contingency for replacement or repair</b>	
<i>(add rows as necessary)</i>	

**9 RGPS**

Type and manufacturer	BuoyLink, SEAMAP LTD
Source(s)	1 per gun-string
Float(s) or front buoys	If requested
Tailbuoys	1 per tailbuoy
Software and version	TBC
Hardware	TBC
Telemetry link front (gun), float(s)	Radio
Telemetry link tailbuoys	Radio
Spare parts, contingency for replacement or repair	10% - 20% carried onboard

Refer also to annexe 4 (RGPS description) of this Exhibit.

**10 Heading sensors****10.1. Gyro-compass**

Type and manufacturer	Anschutz, Standard 22, 22 GG-R / GGM-R
Calibration equipment	TBC
Spare parts, contingency for replacement or repair	Backup Gyro

Refer to Annexe 7 (Gyro-compass description) of this Exhibit.

**10.2. Back-up gyro-compass**

Type and manufacturer	Anschutz, Standard 22, 22 GG-R / GGM-R
Calibration equipment	TBC
Spare parts, contingency for replacement or repair	Backup Gyro

**10.3. GPS based heading and attitude sensor**

Type and manufacturer	N/A
Software and version	
Hardware	
Calibration equipment	
Spare parts, contingency for replacement or repair	

Refer to Annexe 8 (GPS heading sensor description) of this Exhibit.

**11 Streamer compasses**

Type and manufacturer	ION 5011
Calibration method	Onboard Calibration
Spacing	Every 300m (minimum)
Number per streamer and distribution	Deployment dependant
Batteries	Lithium

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Magnetic variation model	TBC
Spare parts, contingency for replacement or repair	10% - 20% carried onboard

Refer also to Annexe 9 (Streamer compass description) of this Exhibit.

## 12 Bathymetry

### 12.1. Echo sounder

Type and manufacturer	Kongsberg EA 600
Transducers, frequencies	12 KHz , 38 KHz , 200 KHz
Depth range	0 – 6000 m
Calibration equipment	TBC
Spare parts, contingency for replacement or repair	Min 20% spares carried onboard

Refer also to Annexe 10 (Echo sounder description) of this Exhibit.

### 12.2. Sea water speed of sound, temperature and salinity meter

Type and manufacturer	Valeport Midas SVX2
Depth range	1,2,4 or 8Hz
Calibration equipment	TBC
Spare parts, contingency for replacement or repair	10% - 20% spares carried onboard

Refer also to Annexe11 (sea water temperature and salinity meter description) of this Exhibit.

### 12.3. Bathymetry data processing

Refer to Annexe18 (bathymetry processing description) of this Exhibit. The annexe will describe:

- how the echosounder data is processed
- how the tide is measured or predicted and how it is used to correct the bathymetry data

## 13 Integrated navigation system

Refer to Annexe 12 (Integrated navigation system description) of this Exhibit.

### 13.1. Online integrated navigation system

Type and manufacturer	ION ORCA INS
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<b>Software and version</b>	ORCA Ver 1.7.1
<b>Hardware</b>	Dell Servers (4 Orca servers) + 5 orca display servers and Bridge machine

**13.2. Offline integrated navigation system**

<b>Type and manufacturer</b>	ION Sprint
<b>Software and version</b>	Sprint Ver 4.3.8
<b>Hardware</b>	TBC
<b>Network analysis software</b>	Sprint

**14 System timing**

Refer to Annexe 20 (system timing) of this Exhibit. The annexe will describe the method and systems used to synchronise source, recording and positioning across all the seismic fleet and seismic systems.

**15 Seismic processing equipment and software**

Type and manufacturer	Linux
Characteristics	As below

<p>Hard disk storage</p>	<p>System: <b>DUG Insight 3 Linux</b></p> <p>CPU Available: <b>172 TFlops</b></p> <p><b>20 nodes, each with:</b></p> <ul style="list-style-type: none"> <li>• <b>x Xeon Ivy Bridge</b></li> <li>• <b>4x Intel Phi Co-processors</b></li> <li>• <b>128 GB RAM</b></li> </ul> <p>Operating System: <b>Linux</b></p> <p>Tape Drives: <b>2 x IBM T1140 (E07) drives, 4TB max tape capacity with 3592 JC/JY tape cartridges</b> <b>4 x IBM T1130 (E06) drives with T1120 (E05) emulation option – 500GB with 3592JA media.</b></p> <p>Available Disk Space: <b>84 x 4TB drives, RAID 6, 1.4GB/s aggregate bandwidth</b></p> <p>Monitors: <b>6</b></p> <p>Spares Equipment: <b>sufficient spares available</b></p> <p>Processing Software: <b>Dug Insite 3</b></p>
<p><i>(add rows as necessary)</i></p>	

**16 Quality control attribute database**

Refer also to Annexe19 (Quality control attribute database) of this Exhibit. The annexe will describe:

- typical list of attributes gathered by contractor
- format of attribute files
- software used to graph and map attributes

**17 Gravimetry data acquisition equipment**

*Article to be deleted, if necessary.*

<p><b>Type and manufacturer</b></p>	
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<b>Characteristics</b>	
<i>(add rows as necessary)</i>	

**18 Magnetometry data acquisition equipment**

*Article to be deleted, if necessary.*

<b>Type and manufacturer</b>	
<b>Characteristics</b>	
<i>(add rows as necessary)</i>	

**19 Additional and optional EQUIPMENT**

*Article to be deleted, if necessary.*

**19.1. Additional EQUIPMENT**

<b>Type and manufacturer</b>	
<b>Characteristics</b>	
<i>(add rows as necessary)</i>	

**19.2. Optional EQUIPMENT**

<b>Type and manufacturer</b>	
<b>Characteristics</b>	
<i>(add rows as necessary)</i>	

**Figures**

- Fig. 1            Seismic source configuration
- Fig. 2        -    Individual sub-Array configuration
- Fig. 3        -    Seismic Source Signature (modelled with standard parameters)
- Fig. 4        -    Seismic Source Signature (modelled with SURVEY parameters)

EXHIBIT C – PERSONNEL AND EQUIPMENT

- Fig. 5 - Timing Diagram of Shooting and Recording Sequence
- Fig. 6 - Amplitude and Phase Spectrum of the seismic recorder filters
- Fig. 7 - Vessel, source and streamer geometry
- Fig. 8 - Front Streamer Configuration
- Fig. 9 - Tail Streamer Configuration
- Fig. 10 - Streamer Active Section Configuration
- Fig. 11 - Seismic Trace Configuration
- Fig. 12 - Source directivity diagrams, in line and cross line
- Fig.13 - Dropout specification for proposed source array

**Annexes**

Annexe 1	Individual gun sub-array composition
Annexe 2	DGPS description
Annexe 3	DGPS reference and monitor station description
Annexe 4	RGPS description
Annexe 5	Gyro-compass description
Annexe 6	GPS heading sensor description
Annexe 7	Streamer compass description
Annexe 8	Echo sounder description
Annexe 9	Sea water temperature and salinity meter description
Annexe 10	Integrated navigation system description
Annexe 11	Seismic data quality control description and examples
Annexe 12	Positioning data quality control description and examples
Annexe 13	Source and receiver positioning detailed description
Annexe 14	CVs of key personnel
Annexe 15	Bathymetry processing description
Annexe 16	Quality control attribute database
Annexe 17	System timing